NOMINATION FORM
FOR NEW & EXISTING NOMINATIONS

1st Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation,
Churchgate, Mumbai - 400020 | www.QuantumAMC.com

I/We wish to make a nomination. [As per given details below]

Folio No. / Application No.
1.
2.
3.

Nomination Details

Total Count of Nominations : [ ]

Nominee 1	
Name of the Nominee*	
POI document*/POI number*$ ☐ PAN _____ ☐ Driving Licence _____ ☐ AADHAR (last 4) _____ ☐ Passport _____	% of Allocation
Nominee Relationship with 1st Applicant*	Date of Birth (mandate for minor) D D M M Y Y Y Y
Name of Gurdian	
Address*	
City State	PIN
Email*	Mobile*

Nominee 2	
Name of the Nominee*	
POI document*/POI number*$ ☐ PAN _____ ☐ Driving Licence _____ ☐ AADHAR (last 4) _____ ☐ Passport _____	% of Allocation
Nominee Relationship with 1st Applicant*	Date of Birth (mandate for minor) D D M M Y Y Y Y
Name of Gurdian	
Address*	
City State	PIN
Email*	Mobile*

Nominee 3	
Name of the Nominee*	
POI document*/POI number*$ ☐ PAN _____ ☐ Driving Licence _____ ☐ AADHAR (last 4) _____ ☐ Passport _____	% of Allocation
Nominee Relationship with 1st Applicant*	Date of Birth (mandate for minor) D D M M Y Y Y Y
Name of Gurdian	
Address*	
City State	PIN
Email*	Mobile*

$Provide PAN number or Driving License number or Aadhaar (last 4). Copy of the document is not required.

#to be furnished Date of Birth only if the nominee is minor and Guardian: It is optional for you to provide, if the nominee is minor.

*indicate mandatory.

I / We want the details of my / our nominee to be printed in the statement of holding, provided to me/us by the AMC / DP as follows; (please tick, as appropriate) ☐ Name of nominee(s) ☐ Nomination: Yes/No.

Name(s) of holder(s)	Signature(s) of holder
Sole / First Holder	
Second Holder	
Third Holder	

Investors /Unitholder should be signed as per mode of holding in the folio

Instructions

1. Nomination is mandatory for all the folios/accounts, where the mode of holding is single, or the folio/account is opened by individual without any joint holding. New subscriptions received from individuals without nomination will be rejected. In case investor do not wish to nominate they need to submit "Nomination opt-out form".
2. The requirement of nomination shall be optional for jointly held accounts / folios.
3. Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate. The Nominee should be only individual investors.
4. Nomination is not allowed in a folio of a Minor unitholder.
5. Investor can make nomination or change nominee any number of times without any restriction.
6. The signatories for this nomination form in joint folios / account, shall be the same as that of your joint MF folio account. i.e. - 'Either or Survivor' Folios / Accounts - any one of the holders can sign - 'Jointly' Folios / Accounts - both holders have to sign.
7. A minor can be nominated and, in that event, the name and address of the guardian of the minor nominee shall be provided by the Unitholder (optional). The guardian should be a person other than the Unitholder.
8. In case all your nominees do not claim the assets from the AMC / DP, then the residual unclaimed asset shall continue to be with the AMC in case of MF units and with the concerned Depository incase of Demat account.
9. A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
10. Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of THREE nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If the total percentage of allocation amongst multiple nominees does not add upto 100%, we shall apportionment the assets equally among all the nominees.
11. In case of fresh nominee registrations, existing nominee details will be overwritten across the schemes under the folio.
12. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / account.
13. Nomination shall stand rescinded upon the transfer of units.
14. Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, then the assets shall be distributed to the surviving nominees on pro rata basis upon demise of the investor, as illustrated in below the table.

% share as specified by investor at the time of nomination		% assets to be apportioned to surviving nominees upon demise of investor and nominee			
Nominee	% share	Nominee	% initial share	% of A's share to be apportioned	Total % share
A	60%	A	0	0	0
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
Total	**100%**	-	**40%**	**60%**	**100%**

15. Legal heir(s) of nominee shall not be eligible to inherit the assets of the investor, if the nominee predeceases the investor.
16. Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).
17. The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
18. In respect of folios/accounts where the Nomination has been registered, AMC shall transmit the assets in the account / folio to the registered nominee(s) for effecting its due discharge. In absence of nomination, AMC shall transmit the assets in the account / folio to either; the legal heir(s) or legal representative(s) of the youngest of the joint holders as per the rules of intestate succession or as per the Will of the latter, as the case may be, after following the prescribed procedure.
19. In case of accounts / folio held by Hindu Undivided Family (HUF), upon the death of the Karta as recorded, the new Karta as constituted under applicable law, would be entitled to operate such an account/folio. In the absence of new Karta, the regulated entity shall effect transmission of account / folio as per dissolution deed and other criteria defined by the respective SRO / industry body in consultation with the SEBI.
20. The nominees(s) shall receive the assets of deceased sole account / sole holder(s) as trustee on behalf of legal heir(s) of deceased holder(s) thereby effecting due discharge of concerned regulated entity
21. For displaying the nominee details in SOA, the investor can either opt to display the name(s) of the nominee(s) or confirm whether the nomination has been made by the investor – Yes/No. If neither option is selected, the default display mode will be set to Yes/No.
22. Investors shall mandatorily provide the following.
    a) any one of the following personal identifiers of the nominee – PAN or Driving Licence number or last 4 digits of Aadhaar (only the document number is required to be provided; not the document) or Passport Number
    b) full contact details of nominee(s) such residential address, e-mail address, telephone / mobile number
    c) relationship of nominee(s) with the investor
    d) Date of birth of nominee(s) (if nominee is a minor)