SYSTEMATIC TRANSFER PLAN (STP) AND SYSTEMATIC WITHDRAWAL PLAN (SWP)

Quantum Mutual Fund - 1st floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400 020 | www.QuantumAMC.com

Distributor ARN	Sub Distributor ARN	Internal sub Code/SOI ID	Employee Code	Employee Unique Identication No. (EUIN)	RIA Code/EOP Code
ARN -	ARN -			E	

***RIA/Declaration:** "I/We, have invested in the scheme(s) of Quantum Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all schemes of Quantum Mutual Fund, to the above mentioned SEBI Registered Investment Adviser:"

☐ **EUIN Declaration:** I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/ relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

(All sections to be filled in English and in BLOCK LETTERS). Fields marked with (*) are mandatory.

1. Investor and Investment details

PAN _____ Folio No. (For Existing Investor) _____

Sole/First Investor Name _____ (as appearing in ID proof)

2. Systematic Transfer Plan (STP)

Source Scheme: _____ Plan: _____ Option: _____

3. Target Scheme (Please ✓ Choice of Scheme/Option/Facility) Please write appropriate scheme name as well as the Plan/Option/Sub Option.

Sr. No.	Target Scheme	Plan	Option	STP Amount (₹)	Frequency Details (Select any one frequency)
1.		☐ Direct ☐ Regular	☐ Growth ☐ IDCW (Payout) ☐ IDCW (Reinvestment) #IDCW frequency _____		☐ Daily ☐ Weekly _____ (Any Day - Monday to Friday) ☐ Fortnightly _____ (Any day of alternative Week) ☐ Monthly D D ☐ Quarterly D D
2.		☐ Direct ☐ Regular	☐ Growth ☐ IDCW (Payout) ☐ IDCW (Reinvestment) #IDCW frequency _____		☐ Daily ☐ Weekly _____ (Any Day - Monday to Friday) ☐ Fortnightly _____ (Any day of alternative Week) ☐ Monthly D D ☐ Quarterly D D
3.		☐ Direct ☐ Regular	☐ Growth ☐ IDCW (Payout) ☐ IDCW (Reinvestment) #IDCW frequency _____		☐ Daily ☐ Weekly _____ (Any Day - Monday to Friday) ☐ Fortnightly _____ (Any day of alternative Week) ☐ Monthly D D ☐ Quarterly D D

#Applicable only Quantum Liquid Fund and Quantum Dynamic Bond Fund.

4. STP Period

From D D M M Y Y Y Y To D D M M Y Y Y Y **OR** No. of installments _____

5. Systematic Withdrawal Plan (SWP)

Scheme: _____ Plan: _____ Option: _____

SWP Frequency Details (Please ✓)	☐ Weekly	☐ Fortnightly	☐ Monthly	☐ Quarterly	SWP Period
Amount (₹): _____	_____ (Any Day - Monday to Friday)	_____ (Any Day - Monday to Friday)	D D	D D	From DDMMYY To DDMMYY **OR** No. installments _____

6. Declaration

I/We have read and understood the contents of the Scheme Information Document(s)/Key Information Memorandum(s) & Statement of Additional Information(s) of the Scheme(s) and agree to abide by the terms, conditions, rules and regulations of the Scheme(s) as on the date of this transaction. I/We hereby declare that I am/we are not US Person(s).

Sole/1st Applicant/Guardian/ Authorised Signatory	2nd Applicant / Authorised Signatory	3rd Applicant / Authorised Signatory

ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

Quantum Mutual Fund - 1st Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400020. www.QuantumAMC.com

Received from: Mr./Ms./M/s _____

An application for STP/SWP under the Folio No. _____ Date D D M M Y Y Y Y

Collection Center's Stamp & Receipt Date and Time	

SYSTEMATIC TRANSACTION FORM

TERMS & CONDITIONS

Quantum Mutual Fund - 1st floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400 020 | www.QuantumAMC.com

Systematic Transfer Plan (STP)

STP facility is offered subject to following terms & conditions:

1. Minimum balance in the source (transferor) Scheme should be Rs.5,000/- at the time of enrolment for STP.
2. In case the Unitholder purchases additional units in the transferor scheme, the STP facility would be extended to such additional units also.
3. Units marked under lien or pledge in the source Scheme will not be eligible for STP.
4. In case the unit balance in the transferor scheme is lesser than amount specified by the Unitholders for STP, the AMC will transfer remaining unit balance to transferee scheme.
6. The facility will be automatically terminated if the units under the transferor scheme are pledged or upon receipt of intimation of death of the Unitholder.
7. Minimum installments and frequency wise details provided in below table:

Systematic Transfer Plan (STP)			
Frequency of SIP	**Eligible dates for effect**	**Minimum amount per Instalment**	**Minimum term/duration applicable**
Daily	All Business days	₹100 and in multiple of ₹1 thereafter (for ELSS ₹500 and multiple of ₹500).	30 Business days
Weekly	Any day of the week	₹500 and in multiple of ₹1 thereafter (for ELSS multiple of ₹500).	10 instalments
Fortnightly	Any day of alternative Week	₹500 and in multiple of ₹1 thereafter (for ELSS multiple of ₹500).	10 instalments
Monthly	Any date (except 29, 30, 31st)	₹500 and in multiple of ₹1 thereafter (for ELSS multiple of ₹500).	12 instalments
Quarterly	Any date (except 29, 30, 31st)	₹500 and in multiple of ₹1 thereafter (for ELSS multiple of ₹500).	12 instalments
Minimum balance to start STP : ₹5000/-			

8. In case the frequency is not specified, it will be considered as application for monthly frequency and will be processed accordingly. In case the STP date is not specified or in case of ambiguity, the STP transaction will be processed for weekly/fortnightly "Tuesday" and 10th of month/quarter. In case the end date is not specified, STP will continue till cancelation request received from the Unitholder or till unit balance become nil in the source scheme or expiry of the enrolment period whichever is earlier.
9. The application for start of STP should be submitted to Official Point(s) of Acceptance at least 5 business days before the date of commencement/start date of STP. Unitholder may change the amount/ frequency by giving written notice to any of the Official Point(s) of Acceptance at least 5 business days prior to next transfer/STP execution date.
10. Unitholder can discontinue STP facility at any time by sending a written notice to any of the Official Point(s) of Acceptance, at least 5 business days prior to next transfer/STP execution date.
11. If STP date is a Non-Business Day, then the next Business Day shall be the STP date and the same will be considered for the purpose of determining the applicability of NAV.

Systematic Withdrawal Plan (SWP)

1. Minimum balance in the scheme should be Rs.5,000/- at the time of enrolment for SWP.
2. Minimum installments and frequency wise details provided in below table:

Systematic Withdrawal Plan (SWP)			
Frequency of SIP	**Eligible dates for effect**	**Minimum amount per Instalment**	**Minimum term/duration applicable**
Weekly	Any day of the week	₹500 and in multiple of ₹1 thereafter	10 instalments
Fortnightly	Any day of alternative Week	₹500 and in multiple of ₹1 thereafter	10 instalments
Monthly	Any date	₹500 and in multiple of ₹1 thereafter	10 instalments
Quarterly	Any date	₹500 and in multiple of ₹1 thereafter	10 instalments
Minimum balance to start SWP : ₹5000/-			

3. The facility will be automatically terminated if the units in the Scheme are pledged or upon receipt of intimation of death of the Unitholder.
4. The SWP will be subject to applicable Exit load if any.
5. The application for start of SWP should be submitted to Official Point(s) of Acceptance at least 5 business days before the date of commencement/start date of SWP.
6. Unitholder may change the amount/frequency by giving written notice to any of the Official Point(s) of Acceptance at least 5 business days prior to next SWP execution date.
7. Unitholder can discontinue SWP facility by sending a written notice to any of the Official Point(s) of Acceptance, at least 5 business days prior to next SWP execution date.
8. SWP will be terminated automatically in case of a Zero balance in the respective Scheme-Folio on the run-date or expiry of the enrolment period whichever is earlier.
9. In case the unit balance in the scheme is lesser than amount specified by the Unitholders for SWP, the AMC will redeem remaining unit balance in the scheme.