SIP REGISTRATION *along with Top-Up Form*

Quantum Mutual Fund - 1st floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400 020 | www.QuantumAMC.com

Distributor ARN	Sub Distributor ARN	Internal sub Code/SOI ID	Employee Code	Employee Unique Identication No. (EUIN)	RIA Code/EOP Code
ARN -	ARN -			E	

***RIA/Declaration:** "I/We, have invested in the scheme(s) of Quantum Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all schemes of Quantum Mutual Fund, to the above mentioned SEBI Registered Investment Adviser:"

☐ **EUIN Declaration:** I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/ sub broker. (All sections to be filled in English and in BLOCK LETTERS). Fields marked with (*) are mandatory.

1. Investor And Investment Details

PAN _____ Folio No. (For Existing Investor) _____

Sole/First Investor Name _____ (as appearing in ID proof)

2. SIP Details

☐ New Mandate along with SIP form (Fill point no. 4)

☐ Single SIP ☐ Multi SIP

SIP Registration Mode

☐ OTM ☐ K-OTM

OTM Reference No. _____
(if Multiple **O**ne **T**ime **M**andate are registered)

Scheme/Plan/Option	Frequency	Enrollment Period	SIP Amount	TOP-UP Facility/Frequency
	☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly ☐ Quarterly	From D D M M Y Y Y Y To D D M M Y Y Y Y	₹ In Figures In Words	☐ Half Yearly / ☐ Yearly TOP-UP SIP Amount CAP Period D D M M Y Y Y Y or TOP-UP CAP Amount
	☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly ☐ Quarterly	From D D M M Y Y Y Y To D D M M Y Y Y Y	₹ In Figures In Words	☐ Half Yearly / ☐ Yearly TOP-UP SIP Amount CAP Period D D M M Y Y Y Y or TOP-UP CAP Amount
	☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly ☐ Quarterly	From D D M M Y Y Y Y To D D M M Y Y Y Y	₹ In Figures In Words	☐ Half Yearly / ☐ Yearly TOP-UP SIP Amount CAP Period D D M M Y Y Y Y or TOP-UP CAP Amount

SIP initial payment details (Optional)

Drawn on bank / branch name _____ Bank Account No. _____

Amount _____ ☐ Cheque/DD _____ Dated D D M M Y Y Y Y

In case of multiple SIP, mention "Quantum Mutual Fund - Collection Account" on the payment instrument. For Weekly and Fortnightly SIPs, the SIP Day will be determined based on the date selected by the investor at the time of registration. Subsequent instalments will be processed accordingly on a weekly or fortnightly basis.

3. Declaration

I/We hereby, declare that the particulars given above are correct and express my willingness to make payments referred above through participation in National Automated Clearing House (NACH)/Auto Debit. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information I/We would not hold Quantum Mutual Fund/Quantum Asset Management Company Pvt. Ltd responsible. I/We will also inform Quantum Mutual Fund about any changes in my bank account. I/We have read and agreed to the terms and conditions mentioned overleaf. This is to inform that I/We have registered for Auto Debit Facility and that my payment towards my investment in Quantum Mutual Fund shall be made from my/our bank account registered with Quantum Mutual Fund. I/We authorize Quantum Mutual Fund/Quantum Asset Management Company Pvt Ltd/representative of Quantum Asset Management Company Pvt Ltd carrying this Form to debit my bank account as per instructions given above.

Place _____ Date D D M M Y Y Y Y

First Account Holders Signature | Second Account Holders Signature | Third Account Holders Signature

4. One Time Mandate Form

UMRN: _____ Date: D D M M Y Y Y Y

Utility Code: _____ Create: ☑ Modify: ☒ Cancel: ☒

Sponsor Bank Code: _____ (Office use only) I/We authorize: QUANTUM MUTUAL FUND

To debit (Tick ✓) **SB/ CA/ CC/ SB-NRE / SB-NRO/ Other** Bank A/C number: _____

With Bank: _____ **IFSC/ MICR:** _____

an amount of Rupees _____ ₹ _____

Debit Type: ☒ Fixed Amount ☑ Maximum Amount

Frequency: ☒ Daily ☒ Weekly ☒ Bi-Monthly ☒ Monthly ☒ Quarterly ☒ Half-Yearly ☒ Annually ☑ As and when presented

Reference 1: _____ Reference 2: _____

1) I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank. 2) This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit my account, based on the instruction as agreed and signed by me. 3) I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the user entity / corporate or the bank where I have authorized the debit. 4) I/we understand and accept that in case transaction/s initiated against this mandate (within valid period of mandate) is/ are rejected due to insufficient funds or such other reason as permitted under applicable law, action may be taken against me/us under applicable law (including Negotiable Instruments Act).

Maximum Period of validity for this mandate is 40 years only

From D D M M Y Y Y Y

To D D M M Y Y Y Y

_____ Signature of primary Account Holder

_____ Signature of Account Holder

_____ Signature of Account Holder

1. _____ Name as in bank records

2. _____ Name as in bank records

3. _____ Name as in bank records

SYSTEMATIC INVESTMENT FORM

TERMS & CONDITIONS

Quantum Mutual Fund - 1st floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400 020 | www.QuantumAMC.com

Systematic Investment Plan (SIP)

This mandate registration form will be submitted through National Automated Clearing House (NACH).

1. This SIP facility is offered to investors having bank accounts in select banks mentioned in the link http://www.npci.org.in/. The banks in the list may be modified /updated /changed/removed at any time in future entirely at the discretion of National Payments Corporation of India without assigning any reasons or prior notice. Standing instructions for investors in such Banks will be discontinued. We will inform on such discontinuation.
2. Investor/Unitholder(s) should submit original Cancelled Cheque (or a copy) along with mandate form with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted.
3. The Unitholder(s) cheque/bank account details are subject to third party verification.
4. SIP is offered on daily, weekly, fortnight, monthly and quarterly frequency.
5. Investor/ Unit holders can opt to invest on any day in daily frequency any week in weekly and fortnight frequency (for fortnight alternative week transaction will be processed example If investor selected as Monday the SIP will be processed alternative Monday) and any day in monthly and quarterly frequency.
6. In case the end date is not specified, the SIP will be registered for **40 years**.
7. Minimum installments and frequency wise minimum amount can be referred below table.

Systematic Investment Plan (SIP)			
Frequency of SIP	**Eligible dates for effect**	**Minimum amount per Instalment**	**Minimum term/duration applicable**
Daily	All Business days	₹100 and in multiple of ₹1 thereafter (for ELSS minimum ₹500 and multiple of ₹500)	30 Business days
Weekly	Any day of the week	₹500 and in multiple of ₹1 thereafter (for ELSS multiple of ₹500)	10 instalments
Fortnightly	Any day of alternative Week	₹500 and in multiple of ₹1 thereafter (for ELSS multiple of ₹500)	10 instalments
Monthly	Any date (except 29, 30, 31st)	₹500 and in multiple of ₹1 thereafter (for ELSS multiple of ₹500) ₹250 and in multiple of ₹1 thereafter (for Quantum Value Fund, Quantum Multi Asset Allocation Fund, Quantum Gold Savings Fund, Quantum Equity Fund of Funds, Quantum Nifty 50 ETF Fund of Fund, Quantum Multi Asset Fund of Funds)	12 instalments
Quarterly	Any date (except 29, 30, 31st)	₹500 and in multiple of ₹1 thereafter (for ELSS multiple of ₹500)	12 instalments

8. In case the frequency is not specified / ambiguity, in the application/enrolment form, it will be deemed as an application for monthly frequency and will be processed accordingly. In case the SIP date is not specified or in case of ambiguity for monthly or quarterly frequency, the SIP transaction will be processed as of 10th of every month/first month of every quarter default day for weekly/ fortnight will be Tuesday.
9. The units will be allotted to the investor at applicable NAV of the respective business day on which the investment is sought to be made as per the applicable cut-off timing subject to the funds available for utilization.
10. The request for enrollment of SIP in the prescribed form should be received at any official point of acceptance / Investor service center at least 21 Calendar Days in advance before the execution / commencement date.
11. The request for discontinuation of SIP in the prescribed form should be received at any official point of acceptance / Investor Service Center at least 10 Calendar days in advance before the execution / commencement date.
12. SIP enrolment automatically terminated in below scenario:
    a. Two for quarterly frequency and three for other than quarterly frequency consecutive payment instructions on submitted by Unit holder is not honored by banker.
    b. Upon receipt of intimation of death of the Unit holder/ 1st Unit holder.
    c. As a result of a stop payment instruction issued by the investor/unitholder.
    d. Bank account closed by investor.
13. Quantum Mutual Fund will not be liable for any transaction failures due to rejection by the investor's bank/branch.
14. The investor agrees to abide by the terms and conditions of NACH facility of NPCI.
15. Investor will not hold Quantum Mutual Fund and its service providers responsible if the transaction is delayed or not effected by the Investor's Bank or if debited in advance or after the specific date due to various reasons or for any bank charges debited by his banker in his account towards NACH Registration/Cancellation/Rejections.
16. Investors are required to ensure adequate funds in their bank account on opted date. Quantum Mutual Fund will endeavor to debit the investor bank account on opted date, however if there is any delay all such transactions are debited subsequently. Quantum Mutual Fund/Sponsor Bank/NPCI are not liable for the bank charges, if any debited from investor's bank account by the destination bank, on account of payment through NACH.
17. If any chosen day/date falls on a non-business day, the next business day/date will be considered as the transaction date.
18. In case of investments in the name of a minor, no new transactions / standing instructions / SIP / STP / SWP or cancellation of such requests will be allowed by the guardian from the date of minor attaining majority till instruction from the major is received by the AMC/Mutual Fund along with the prescribed documents for change of account status from minor to major.

19. **SIP Top-Up**

It is a facility wherein an investor who is enrolling for SIP has an option to increase the amount of the SIP instalment by a fixed amount at predefined intervals. Thus, an investor can progressively start increasing the amount invested, allowing him/her to gradually increase the investment corpus in a hassle-free manner. The silent features of the said facility are as follows:

- SIP Top-Up facility is available in Monthly and Quarterly frequency only.
- Investor can Top-Up the SIP amount in fixed intervals with minimum amount of Rs. 100/- in multiple of Re.1/-. For Quantum ELSS Tax Saver Fund, Top-Up minimum amount is Rs. 500/- in multiples of Rs. 500/- only.
- At presently, SIP Top-UP facility is applicable to an Investor who is enrolling for a new SIP.
- The investor can choose a frequency for the Top-Up depending on the SIP frequency being opted. In case of a Monthly SIP, the investor can choose either a 'Half-yearly' or 'Yearly based Top-Up frequency; in case of a Quarterly SIP, the Top-Up is available in "Yearly' frequency only. In case SIP Top-Up frequency is not mentioned, the default frequency will be considered as 'Yearly' for both Monthly and Quarterly SIP.
- Investor shall have flexibility to choose either Top-Up Cap on **Amount or Period** (month-year). In case of multiple selection, Top-Up Cap Amount will be considered as default selection. **Cap Amount:** Investor opts to freeze the SIP Top-Up amount on reaching the Cap Amount limit. Once it reaches the limit, the same amount will be considered as the SIP instalment amount until the end of the SIP tenure. The Cap Amount should be same as the maximum amount mentioned by the investor in the debit mandate. In case there is a difference between the Cap Amount & the maximum amount mentioned on debit mandate, amount which is lower of the two amounts shall be considered as the default amount of SIP Cap amount. **Cap Period:** Investor opts to freeze the SIP Top-Up tenure on reaching the Cap Period limit. Once it reaches the said period, the SIP Top-Up will be stopped, and SIP amount will be considered as the SIP instalment amount until the end of SIP tenure. The Cap Period should be same as the end period mentioned by the investor in the debit mandate. In case there is a difference between the Cap Period & the maximum period mentioned on debit mandate, end date will be the earlier of the two dates.
- In case Top-Up Cap Amount or Period is not selected, then default amount of Rs. 10 Lakhs will be considered as Cap Amount. Under the said facility, SIP amount will remain constant from Top - Up Cap date/ amount till the end of SIP Tenure.
- The Top-Up details cannot be modified once enrolled. In order to make any changes, the investor must cancel the existing SIP and enrol for a fresh SIP with Top-Up option.
- For further details and Forms, investors are requested to refer our website (www.QuantumAMC.com) or visit nearest collection centre of our Registrar viz. KFin Technologies Limited