ONE TIME MANDATE FORM

1st Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay
Reclamation, Churchgate, Mumbai - 400020 | www.QuantumAMC.com

UMRN: _____   Date: [D][D][M][M][Y][Y][Y][Y]

Utility Code: _____ (Office use only) _____   Create: [✓]   Modify: [✗]   Cancel: [✗]

Sponsor Bank Code: _____ (Office use only) _____   I/We authorize: _____ QUANTUM MUTUAL FUND _____

To debit (Tick ✓) [SB/ CA/ CC/ SB-NRE / SB-NRO/ Other]   Bank A/C number: [ ]

With Bank: _____   IFSC/ MICR: [ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ]

an amount of Rupees _____ (in words) _____   ₹ [_____]

Debit Type: [✗] Fixed Amount   [✓] Maximum Amount   Frequency: [✗] Mthly   [✗] Qtly   [✗] H-yrly   [✗] Yrly   [✓] As & when presented

Reference 1: [_____]   Reference 2: [_____]

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.
This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit my account, based on the instruction as agreed and signed by me. I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation/ amendment request to the user entity / corporate or the bank where I have authorized the debit.

From [D][D][M][M][Y][Y][Y][Y]   Maximum period of validity of this mandate is 40 years only

To [D][D][M][M][Y][Y][Y][Y]

Phone No. [_____]

	Signature of 1st Account Holder	Signature of 2nd Account Holder	Signature of 3rd Account Holder
	_____	_____	_____
	Name as in bank records	Name as in bank records	Name as in bank records
	1 _____	2 _____	3 _____

Instructions to fill Mandate

All fields in the form are mandatory to be filled

1. Date in DD/MM/YYYY format.

2. Tick on box to select type of action to be initiated.

3. Tick on box to select type of account to be affected.

4. Customer's bank account number, left padded with zeroes. (Maximum length - 20 Alpha Numeric Characters)

5. Name of bank and branch.

6. IFSC / MICR code of customer bank. (Maximum length - 11 Alpha Numeric Characters)

7. Amount payable for service or maximum amount per transaction that needs to be processed, in words.

8. Amount in figures, similar to the amount mentioned in words as per point 7 above.

9. Tick on box to select frequency of transaction.

10. Validity of mandate with dates in DD/MM/YYYY format.

11. Names of customer/s and signatures as well as seal of company (where required). (Maximum length of Name - 40 Alpha Numeric Characters)

12. Undertaking by customer.

13. 10 digit mobile number of customer. Mail ID of customer.

14. You can Tick on 'Until Cancelled' incase you do not wish to mention the 'To Date'.

Quantum
MUTUAL FUND
FOR THOUGHTFUL INVESTORS

APPLICATION FORM FOR REGISTRATION OF SYSTEMATIC INVESTMENT PLAN (SIP)

Name & ARN Code	Sub - Broker Code	EUIN	RIA Code	E-Code / RM Code

"I/We, have invested in the scheme(s) of Quantum Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all schemes of Quantum Mutual Fund, to the above mentioned SEBI Registered Investment Adviser:"

☐ **EUIN Declaration** I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/ relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/ relationship manager/sales person of the distributor/sub broker.

(All sections to be filled in English and in BLOCK LETTERS). Fields marked with (*) are mandatory.

1. INVESTOR AND INVESTMENT DETAILS Please ✓ wherever applicable.

Sole/First Investor Name _____ (as appearing in ID proof)

PAN No. ☐☐☐☐☐☐☐☐☐☐ Folio No. (For Existing Investor) ☐☐☐☐☐☐☐☐☐☐

2. INVESTMENT DETAILS/ Payment Details (Please ✓) Choice of Scheme/Option/Facility

Separate cheque draft must be issued for each investment, drawn in favour of respective scheme name. Please write appropriate scheme name as well as the Plan / Option /Sub Option.

Sr. No.	Scheme Name	Plan	Option	Amount Invested (₹)	Frequency Details (Select any one frequency)
1.		☐ Direct ☐ Regular	☐ Growth ☐ IDCW (Payout) ☐ IDCW (Reinvestment) #IDCW frequency _____		☐ Daily ☐ Weekly _____ (Any day - Monday to Friday) ☐ Fortnightly _____ (Any day of alternative Week) ☐ Monthly ☐D☐D ☐ Quarterly ☐D☐D
2.		☐ Direct ☐ Regular	☐ Growth ☐ IDCW (Payout) ☐ IDCW (Reinvestment) #IDCW frequency _____		☐ Daily ☐ Weekly _____ (Any day - Monday to Friday) ☐ Fortnightly _____ (Any day of alternative Week) ☐ Monthly ☐D☐D ☐ Quarterly ☐D☐D
3.		☐ Direct ☐ Regular	☐ Growth ☐ IDCW (Payout) ☐ IDCW (Reinvestment) #IDCW frequency _____		☐ Daily ☐ Weekly _____ (Any day - Monday to Friday) ☐ Fortnightly _____ (Any day of alternative Week) ☐ Monthly ☐D☐D ☐ Quarterly ☐D☐D

#Applicable for Quantum Liquid Fund & Quantum Dynamic Bond Fund.

3. Payment Details

Sr. No.	Cheque Amount (₹)	Cheque No.	Bank Name	Account Number
1.				
2.				
3.				

4. SIP PERIOD

From ☐D☐D☐M☐M☐Y☐Y☐Y☐Y To ☐D☐D☐M☐M☐Y☐Y☐Y☐Y **OR** No. of installments _____

5. SIP TOP-UP DETAILS (Refer Instruction 19)   TOP-UP FREQUENCY (✓): ☐ HALF YEARLY ☐ YEARLY   (Under Quarterly SIP, the SIP TOP-UP frequency available is Yearly)
(If TOP-UP frequency is not selected, then the default option will be Yearly.)

Scheme Name _____ SIP TOP-UP Amount: _____

(Minimum Rs. 100/- in multiple of Re. 1/-. For Quantum ELSS Tax Saver Fund, Rs. 500/- in multiple of Rs. 500/-)

SIP TOP-UP CAP

Cap Amount*: _____ OR Cap Period#: ☐M☐M☐Y☐Y☐Y☐Y *(Investor has to choose only one option – either CAP Amount or Cap Period)*

*TOP-UP CAP Amount: Investor has an option to freeze the SIP Top up amount once it reaches a fixed predefined amount. This amount should be same as the maximum amount mentioned in the bank mandate. In case of discrepancy between the CAP Amount & the maximum amount then whichever is lower shall be considered as the default amount of SIP till the end of SIP tenure.

#TOP-UP Cap Period: It is the date from which SIP Top-Up amount will cease and last SIP installment including Top-Up amount will remain constant from Cap date till the end of SIP tenure.

5. DECLARATION

I/We hereby, declare that the particulars given above are correct and express my willingness to make payments referred above through participation in National Automated Clearing House (NACH)/Auto Debit. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information I/We would not hold Quantum Mutual Fund/Quantum Asset Management Company Pvt. Ltd responsible. I/We will also inform Quantum Mutual Fund about any changes in my bank account. I/We have read and agreed to the terms and conditions mentioned overleaf. This is to inform that I/We have registered for Auto Debit Facility and that my payment towards my investment in Quantum Mutual Fund shall be made from my/our bank account registered with Quantum Mutual Fund. I/We authorize Quantum Mutual Fund/Quantum Asset Management Company Pvt Ltd/representative of Quantum Asset Management Company Pvt Ltd carrying this Form to debit my bank account as per instructions given above.

First Account Holders Signature	Second Account Holders Signature	Third Account Holders Signature

Quantum MUTUAL FUND FOR THOUGHTFUL INVESTORS

Systematic Investment Plan (SIP)

This mandate registration form will be submitted through National Automated Clearing House (NACH).

1. This SIP facility is offered to investors having bank accounts in select banks mentioned in the link http://www.npci.org.in/. The banks in the list may be modified /updated /changed/removed at any time in future entirely at the discretion of National Payments Corporation of India without assigning any reasons or prior notice. Standing instructions for investors in such Banks will be discontinued. We will inform on such discontinuation.
2. Investor/Unitholder(s) should submit original Cancelled Cheque (or a copy) along with mandate form with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted.
3. The Unitholder(s) cheque/bank account details are subject to third party verification.
4. SIP is offered on daily, weekly, fortnight, monthly and quarterly frequency.
5. Investor/ Unit holders can opt to invest on any day in daily frequency any week in weekly and fortnight frequency (for fortnight alternative week transaction will be processed example If investor selected as Monday the SIP will be processed alternative Monday) and any day in monthly and quarterly frequency.
6. In case the end date is not specified, the SIP will be registered for **40 years.**
7. Minimum installments and frequency wise minimum amount can be referred below table.

	Systematic Investment Plan (SIP)			
Frequency of SIP	Eligible dates for effect	Minimum amount per Instalment		Minimum term/duration applicable
Daily	All Business days	₹100 and in multiple of ₹1 thereafter (for ELSS minimum ₹500 and multiple of ₹500)		30 Business days
Weekly	Any day of the week	₹500 and in multiple of ₹1 thereafter (for ELSS multiple of ₹500)		10 instalments
Fortnightly	Any day of alternative Week	₹500 and in multiple of ₹1 thereafter (for ELSS multiple of ₹500)		10 instalments
Monthly	Any date (except 29, 30, 31st)	₹500 and in multiple of ₹1 thereafter (for ELSS multiple of ₹500)		12 instalments
Quarterly	Any date (except 29, 30, 31st)	₹500 and in multiple of ₹1 thereafter (for ELSS multiple of ₹500)		12 instalments

8. In case the frequency is not specified / ambiguity, in the application/enrolment form, it will be deemed as an application for monthly frequency and will be processed accordingly. In case the SIP date is not specified or in case of ambiguity for monthly or quarterly frequency, the SIP transaction will be processed as of 10th of every month/ first month of every quarter default day for weekly/ fortnight will be Tuesday.
9. The units will be allotted to the investor at applicable NAV of the respective business day on which the investment is sought to be made as per the applicable cut-off timing subject to the funds available for utilization.
10. The request for enrollment of SIP in the prescribed form should be received at any official point of acceptance / Investor service center at least 21 Calendar Days in advance before the execution / commencement date.
11. The request for discontinuation of SIP in the prescribed form should be received at any official point of acceptance / Investor Service Center at least 10 Calendar days in advance before the execution / commencement date.
12. SIP enrolment automatically terminated in below scenario:
    a. Two for quarterly frequency and three for other than quarterly frequency consecutive payment instructions on submitted by Unit holder is not honored by banker.
    b. Upon receipt of intimation of death of the Unit holder/ 1st Unit holder.
    c. As a result of a stop payment instruction issued by the investor/unitholder.
    d. Bank account closed by investor.
13. Quantum Mutual Fund will not be liable for any transaction failures due to rejection by the investor's bank/branch.
14. The investor agrees to abide by the terms and conditions of NACH facility of NPCI.
15. Investor will not hold Quantum Mutual Fund and its service providers responsible if the transaction is delayed or not effected by the Investor's Bank or if debited in advance or after the specific date due to various reasons or for any bank charges debited by his banker in his account towards NACH Registration/Cancellation/Rejections.
16. Investors are required to ensure adequate funds in their bank account on opted date. Quantum Mutual Fund will endeavor to debit the investor bank account on opted date, however if there is any delay all such transactions are debited subsequently. Quantum Mutual Fund/Sponsor Bank/NPCI are not liable for the bank charges, if any debited from investor's bank account by the destination bank, on account of payment through NACH.
17. If any chosen day/date falls on a non-business day, the next business day/date will be considered as the transaction date.
18. In case of investments in the name of a minor, no new transactions / standing instructions / SIP / STP / SWP or cancellation of such requests will be allowed by the guardian from the date of minor attaining majority till instruction from the major is received by the AMC/Mutual Fund along with the prescribed documents for change of account status from minor to major.

19. SIP Top-Up

It is a facility wherein an investor who is enrolling for SIP has an option to increase the amount of the SIP instalment by a fixed amount at predefined intervals. Thus, an investor can progressively start increasing the amount invested, allowing him/her to gradually increase the investment corpus in a hassle-free manner. The silent features of the said facility are as follows:

- SIP Top-Up facility is available in Monthly and Quarterly frequency only.
- Investor can Top-Up the SIP amount in fixed intervals with minimum amount of Rs. 100/- in multiple of Re.1/-. For Quantum ELSS Tax Saver Fund, Top-Up minimum amount is Rs. 500/- in multiples of Rs. 500/- only.
- At presently, SIP Top-UP facility is applicable to an Investor who is enrolling for a new SIP.
- The investor can choose a frequency for the Top-Up depending on the SIP frequency being opted. In case of a Monthly SIP, the investor can choose either a 'Half-yearly' or 'Yearly based Top-Up frequency; in case of a Quarterly SIP, the Top-Up is available in ''Yearly' frequency only. In case SIP Top-Up frequency is not mentioned, the default frequency will be considered as 'Yearly' for both Monthly and Quarterly SIP.
- Investor shall have flexibility to choose either Top-Up Cap on **Amount or Period** (month-year). In case of multiple selection, Top-Up Cap Amount will be considered as default selection. **Cap Amount:** Investor opts to freeze the SIP Top-Up amount on reaching the Cap Amount limit. Once it reaches the limit, the same amount will be considered as the SIP instalment amount until the end of the SIP tenure. The Cap Amount should be same as the maximum amount mentioned by the investor in the debit mandate. In case there is a difference between the Cap Amount & the maximum amount mentioned on debit mandate, amount which is lower of the two amounts shall be considered as the default amount of SIP Cap amount. **Cap Period:** Investor opts to freeze the SIP Top-Up tenure on reaching the Cap Period limit. Once it reaches the said period, the SIP Top-Up will be stopped, and SIP amount will be considered as the SIP instalment amount until the end of SIP tenure. The Cap Period should be same as the end period mentioned by the investor in the debit mandate. In case there is a difference between the Cap Period & the maximum period mentioned on debit mandate, end date will be the earlier of the two dates.
- In case Top-Up Cap Amount or Period is not selected, then default amount of Rs. 10 Lakhs will be considered as Cap Amount. Under the said facility, SIP amount will remain constant from Top - Up Cap date/ amount till the end of SIP Tenure.
- The Top-Up details cannot be modified once enrolled. In order to make any changes, the investor must cancel the existing SIP and enrol for a fresh SIP with Top-Up option.
- For further details and Forms, investors are requested to refer our website (www.QuantumAMC.com) or visit nearest collection centre of our Registrar viz. KFin Technologies Limited