



Key Information Memorandum Cum Application Form

QUANTUM GOLD FUND

(An Open Ended Scheme Replicating / Tracking Gold)

(Continuous Offer of units at NAV Based Prices)

Scrip Codes: NSE: QGOLDHALF BSE: 590099

Product Labeling

Name of the Scheme and Benchmark	This product is suitable for investors who are seeking*	Risk-o-meter of Scheme	Risk-o-meter of Tier-I Benchmark
Quantum Gold Fund (An Open Ended Scheme Replicating / Tracking Gold) Tier I Benchmark: Domestic Price of Gold	<ul style="list-style-type: none"> Long term returns Investments in physical gold 	<p>The risk of the scheme is High Risk</p>	<p>The risk of the benchmark is High Risk</p>

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

MUTUAL FUND	TRUSTEE COMPANY	ASSET MANAGEMENT COMPANY
Quantum Mutual Fund 1st Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400 020 www.QuantumAMC.com	Quantum Trustee Company Private Ltd. 1st Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400 020 CIN - U67190MH2005PTC156119	Quantum Asset Management Company Private Ltd. 1st Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400 020 CIN - U65990MH2005PTC156152 www.QuantumAMC.com

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website www.QuantumAMC.com.

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This Key Information Memorandum is dated November 27, 2025.

Investment Objective

The Investment Objective of the Scheme is to generate returns that are in line with the performance of gold and gold related instruments subject to tracking errors. However, investment in gold related instruments will be made if and when SEBI permits mutual funds to invest, in gold related instruments. The Scheme is designed to provide returns that before expenses, closely correspond to the returns provided by gold.

There is no assurance that the Investment Objective of the Scheme will be achieved.

Asset Allocation Pattern of the scheme

Instruments	Indicative allocations (% of total assets)	
	Minimum	Maximum
Physical Gold	95%	100%
Money Market instruments	0%	5%

As the scheme invests 95% to 100% of the net assets into Gold, the scheme will, by and large, be passively managed fund. However, if and when permitted under SEBI regulations, the scheme may invest in gold related securities including derivatives.

Investment in warehouse receipts and other permitted instruments linked to Gold Prices and units of international gold linked ETFs would be made as and when, and to the extent permitted by regulatory authorities.

Cash and cash equivalents as per SEBI letter no. SEBI/HO/IMD-II/DOF3/OW/P/2021/31487/1 dated November 03, 2021 which includes T-bills, Government Securities and Repo on Government Securities having residual maturity of less than 91 Days, shall not be considered for the purpose of calculating gross exposure limit.

Indicative Table

Sr. No.	Type of Instruments	Percentage of Exposure	Circular Reference
1	Derivatives	5%	Para 12.26 of SEBI Master Circular No. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024

Portfolio Rebalancing in case of deviation from Asset Allocation Under Defensive Consideration

The asset allocation pattern indicated above may change from time to time, depending on liquidity considerations or on account of high levels of subscriptions or Repurchase / Redemptions relative to Scheme size, or upon various defensive considerations including market conditions, market opportunities, applicable regulations and political and economic factors. These proportions may vary substantially depending upon the perception of the AMC the intention being at all times to seek to protect the interests of the Unitholders. Such changes in the investment pattern will be for short term and only for defensive considerations. In the event of deviations from the above asset allocation table, the Fund Manager will carry out rebalancing within 30 Calendar Days in accordance to para-No. 2.9 of SEBI Master Circular No. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024. However, at all the times the portfolio will adhere to the overall investment objectives of the Scheme.

Investment Strategy

The AMC uses 'passive' approach to try and achieve the Scheme's investment objective. The Scheme does not try to "beat" the markets they track and do not seek temporary defensive positions when markets decline or appear overvalued. The AMC does not make any judgments about the investment merit of a particular gold investment nor will it attempt to apply any economic, financial or market analysis. Passive approach eliminates active management risks in regards to over / underperformance vis-à-vis the benchmark.

The AMC uses a "passive" approach to try and achieve the scheme investment objective. The scheme invests in gold as an asset regardless of its investment merit.

Investment Process

The Scheme will endeavor to track the domestic prices of gold by investing in physical Gold.

Normally the Fund will receive physical Gold from the Market Makers / Eligible Investors against the exchange of QGF units in Creation Unit size as defined by the Fund.

The AMC will analyse from time to time different ways of taking exposure in gold from the perspective of risk and returns and decide the same in the best interest of investors. The investment decisions of the scheme will be carried out by the Designated Fund Manager.

Risk Profile of the Scheme

Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below:

- The Scheme is subject to the principal risks described below. Some or all of these risks may adversely affect Scheme's NAV, trading price, yield, total returns and / or its ability to meet its objectives.
- The Scheme's NAV will react to the Gold price movements. The investor may lose money over short or long period due to fluctuation in scheme's NAV in response to factors such as economic and political developments, changes in interest rates and perceived trends in bullion prices, market movement and over longer periods during market downturns.
- Although the units described in this Scheme Information Document are listed on the Stock Exchange, there can be no assurance that an active secondary market will develop or be maintained.
- The market price of ETF units, like any other listed security, is largely dependent on two factors, viz., (1) the intrinsic value of the unit (or NAV) and (2) demand and supply of units in the market.

- Sizable demand or supply of the units in Exchange may lead to market price of the units to quote at premium or discount to NAV. Hence the price of QGF units is less likely to hold significant variance (large premium or discount) from the latest declaration NAV all the time.
- Trading in QGF units on the Exchange may be halted because of market conditions or for reasons that in view of Exchange Authorities or SEBI, trading in QGF units is not advisable. In addition, trading in QGF units is subject to trading halts caused by extraordinary market volatility and pursuant to Exchange and SEBI "circuit filter" rules. There can be no assurance that the requirements of Exchange necessary to maintain the listing of QGF units will continue to be met or will remain unchanged.
- Any changes in trading regulations by the Stock Exchange(s) or SEBI may affect the ability of Authorized Participant to arbitrage resulting into wider premium / discount to NAV. Any changes in the regulations relating to import and export of gold or gold jewellery (including customs duty, sales tax and any other statutory levies) may affect the ability of the scheme to buy/sell gold against the purchase and redemption requests received.
- The units of the Scheme may trade above or below their NAV. The NAV of the Scheme will fluctuate with changes in the market value of Scheme's holdings. The trading prices of QGF units will fluctuate in accordance with changes in their NAV as well as market supply and demand for the units. However, given that QGF units can be created and redeemed in creation units size directly with the fund, it is expected that large discounts or premiums to the NAV of QGF units will not sustain due to arbitrage opportunity available.
- Tracking error may have an impact on the performance of the scheme. However, the AMC will endeavour to keep the tracking error as low as possible.
- There is no exchange for physical gold in India. The Fund may have to buy or sell gold from the open market, which may lead to counter party risks for the Fund for trading and settlement.
- The Fund has to sell gold only to bullion bankers/traders who are authorized to buy gold. Though, there are adequate numbers of players (commercial or bullion bankers) to whom the Fund can sell gold, the Fund may have to resort to distress sale of gold if there is no or low demand for gold to meet its cash needs for redemption or expenses.
- There is a risk that part or all of the Scheme's gold could be lost, damaged or stolen. Access to the Scheme's gold could also be restricted by natural events or human actions.
- Any of these actions may have adverse impact on the operations of the scheme and consequently on investment in units.
- The Trustee, in the general interest of the Unit holders of the Scheme offered under this Scheme Offer Document and keeping in view the unforeseen circumstances market conditions, may limit the total number of units which can be redeemed on any Business Day.
- The returns from physical Gold in which the Scheme invests may underperform returns from the various general securities markets or different asset classes. Different types of securities tend to go through cycles of outperformance and under performance in comparison of the general securities markets.
- The Scheme is not actively managed. The Scheme may be affected by a general price decline in the Gold prices. The Scheme invests in the physical Gold regardless of their investment merit. The AMC does not attempt to take defensive positions in declining markets.
- Whereas the Indian Market was formerly restrictive, a process of deregulation has been taking place over recent years. This process has involved removal of trade barriers and protectionist measures, which could adversely affect the value of investments. It is possible that the future changes in the Indian political situation, including political, social or economic instability, diplomatic developments and changes in tax laws, changes in SEBI / Stock Exchange / RBI regulations and other applicable laws / regulations could have an effect on such investments and valuation thereof. Expropriation, confiscatory taxation or other relevant developments could affect the value of investments.
- **Risks attached with the use of derivatives:** As and when the Schemes trade in the derivatives market there are risk factors and issues concerning the use of derivatives that investors should understand. Derivative products are specialized instruments that require investment techniques and risk analysis different from those associated with stocks and bonds. The use of derivatives requires an understanding not only of the underlying instrument but of the derivative itself. Derivatives require the maintenance of adequate controls to monitor the transactions entered into, the ability to assess the risk that a derivative adds to the portfolio and the ability to forecast price or interest rate movements correctly. There is the possibility that a loss may be sustained by the portfolio as a result of the failure of another party (usually referred to as the "counter party") to comply with the terms of the derivatives contract. Other risks in using derivatives include the risk of mis-pricing or improper valuation of derivatives and the inability of derivatives to correlate perfectly with underlying assets, rates and indices.
- Derivative products are leveraged instruments and can provide disproportionate gains as well as disproportionate losses to the investor. Execution of such strategies depends upon the ability of the fund manager to identify such opportunities. Identification and execution of the strategies to be pursued by the fund manager involve uncertainty and decision of fund manager may not always be profitable. No assurance can be given that the fund manager will be able to identify or execute such strategies.
- The risks associated with the use of derivatives are different from or possibly greater than the risks associated with investing directly in securities and other traditional investments.

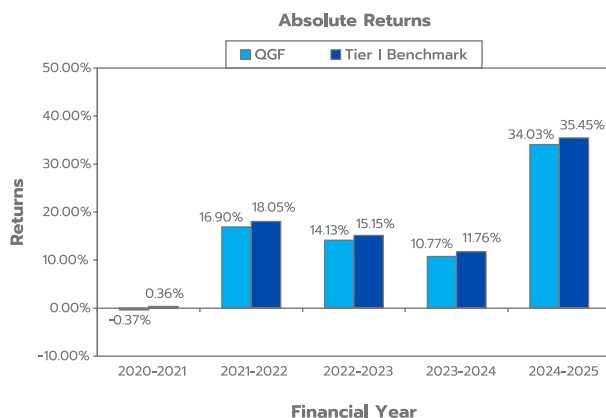
For details on risk factors and risk mitigation measures, please refer SID.

Plans/Options

Not Available

Applicable NAV	<p><u>In case of Purchase / Redemption directly with Mutual Fund (By Market Makers and Eligible Investors):</u></p> <p>The provisions for Cut-off timings for NAV applicability will not be applicable for direct transaction with the Fund.</p> <p><u>In case of Redemption directly with the Mutual Fund during Liquidity Window:</u></p> <p>The Cut-off time for receipt of valid application for Redemptions directly with the Fund during Liquidity Window is 3.00 p.m. Valid applications received by the fund upto the cut-off time will be processed on the basis of the closing NAV of the day of receipt of request and for valid applications received after cut-off time, the closing NAV of the next Business Day shall be applicable.</p> <p>Liquidity Window: Investor can directly approach the AMC for redemption of units of the Scheme for the transaction of upto ₹25 Crores without any exit load if any in case of the following scenarios:</p> <ol style="list-style-type: none"> Traded price (closing price) of the ETF units is at discount of more than 1% to the day end NAV for 7 continuous trading days or No quotes for the scheme are available on stock exchange(s) for 3 consecutive trading days or Total bid size on the exchange is less than half of Creation Units Size daily, averaged over a period of 7 consecutive trading days. 																					
Minimum Application Amount/ Number of Units	<p>Directly with Fund: Market Makers / Eligible Investors can create / redeem in exchange of Portfolio Deposit and Cash Component in creation unit size at the Intra -Day NAV based Price.</p> <p>On the Exchange: Approx equal to price of 0.01 gram of Gold quoted on the NSE. On NSE, the units can be purchased / redeemed in minimum lot of 1 unit and in multiples thereof.</p>																					
Despatch of Redemption Request	<p>The redemption or repurchase proceeds shall be dispatched / transferred to the unitholders within three working days from the date of receipt of valid redemption or repurchase request.</p> <p>Further, as per Clause 14.13 of SEBI Master Circular for Mutual Funds dated June 27, 2024, in case of exceptional circumstances, additional timelines as prescribed by SEBI/AMFI will be applicable for transfer of redemption or repurchase proceeds to the unitholders. List of exceptional circumstances along with the applicable timeframe for transfer of such proceeds is available on AMFI website.</p>																					
Benchmark Index	<p>Tier I Benchmark - Domestic Price of Gold</p>																					
Dividend/IDCW Policy	<p>In terms of SEBI guidelines, the Trustees shall fix the quantum of income distribution and the record date (which is the date that will be considered for the purpose of determining the eligibility of investors). Income Distribution if distributed, will be paid (subject to deduction of tax at source, if any) to those Unitholders whose names appear in the Register of Unitholders on the notified record date. The AMC shall, within one calendar day of the decision by the Trustee, issue notice to the public, communicating the decision, including the record date. The record date shall be 2 working days from the date of publication in at least one English newspaper or in a newspaper published in the language of the region where the Head Office of the mutual fund is situated, whichever is issued earlier. In case of Units held in dematerialized mode, the Depositories (NSDL/ CDSL) will give the list of demat account holders and the number of Units held by them in electronic form on the Record date to the Registrars and Transfer Agent of the Mutual Fund who shall be eligible to receive the Income Distribution.</p> <p>The payment of dividend/IDCW to the unitholders shall be made within seven working days from the record date.</p> <p>It must be distinctly understood that the actual income distribution and the frequency thereof will inter-alia, depend on the availability of distributable surplus as computed in accordance with SEBI Regulations. The decision of the Trustee in this regard shall be final. There is no assurance or guarantee to Unitholders as to the rate of income distribution nor will that income distribution be distributed regularly on distribution of income, the NAV will stand reduced by the amount income distributed and statutory levy, if any, at the close of business hours on record date. The Trustee / AMC reserves the rights to change the record date from time to time.</p>																					
Name of the Fund Manager	<p>Mr. Chirag Mehta (Fund Manager): Managing since June 01, 2024 (1 year and 4 months)</p>																					
Name of the Trustee Company	<p>Quantum Trustee Company Private Ltd.</p>																					
Performance of the scheme as on September 30, 2025	<p>Quantum Gold Fund</p> <table border="1" data-bbox="507 1832 1485 2007"> <thead> <tr> <th>Period</th> <th>Scheme Returns %</th> <th>Tier – I Benchmark Returns % (Domestic Price of Gold)</th> </tr> </thead> <tbody> <tr> <td>1 Year</td> <td>52.23%</td> <td>53.97%</td> </tr> <tr> <td>3 Years</td> <td>30.79%</td> <td>32.13%</td> </tr> <tr> <td>5 Years</td> <td>17.09%</td> <td>18.18%</td> </tr> <tr> <td>7 Years</td> <td>19.91%</td> <td>21.06%</td> </tr> <tr> <td>10 Years</td> <td>14.78%</td> <td>15.91%</td> </tr> <tr> <td>Since Inception</td> <td>12.64%</td> <td>13.56%</td> </tr> </tbody> </table> <p>Past performance may or may not be sustained in the future. Returns greater than one year are compounded annualized (CAGR). Date of Inception: February 22, 2008.</p>	Period	Scheme Returns %	Tier – I Benchmark Returns % (Domestic Price of Gold)	1 Year	52.23%	53.97%	3 Years	30.79%	32.13%	5 Years	17.09%	18.18%	7 Years	19.91%	21.06%	10 Years	14.78%	15.91%	Since Inception	12.64%	13.56%
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Absolute Returns for the last 5 Financial Years



Date of Inception: February 22, 2008.

Past performance may or may not be sustained in the future

Additional Scheme Related Disclosures

1. Scheme's Portfolio Holdings as on September 30, 2025 – https://www.quantumamc.com/FileCDN/Pdf/Top_10_holding_and_Fund_allocation.xlsx
2. Disclosure of name and exposure to top 7 issuers, stocks, groups and sectors as a percentage of NAV of the Scheme in case of debt and equity ETFs/Index funds through a functional website link that contains detailed description – Not Applicable
3. Scheme's Portfolio Turnover Ratio: Not Applicable

Expenses of the Scheme Load Structure

Continuous Offer

Type of Load	Load chargeable (as % of NAV)
Exit Load	NIL

Recurring expenses

The AMC has estimated that upto 100 % of the daily net asset of the scheme will be charged as the expense ratio to the Scheme as permitted under Regulation 52 of SEBI (Mutual Funds) Regulations, 1996. The Fund will disclose the Total Expense Ratio (TER) of the Scheme on a daily basis on the website of the AMC (www.QuantumAMC.com) and of AMFI (www.amfiindia.com). Any change in the base TER charged to any scheme/plan will be communicated to investors of the scheme/plan through notice via email or SMS at least three working days prior to effecting such change. Investors can refer the aforesaid notice on the AMC website at the link: <https://www.quantumamc.com/total-expense-ratio>.

The maximum limit of recurring expenses that can be charged to the Scheme would be as per Regulation 52 of the SEBI (MF) Regulation, 1996. Investors are requested to read "Section- Annual Scheme Recurring Expenses" in the SID.

Actual expenses for the financial year 2024-25: ₹1,79,32,162/- (0.78%)

Tax treatment for the Investors (Unitholders)

Investor will be advised to refer to the details in the Statement of Additional Information and also independently refer to his tax advisor.

Daily Net Asset Value (NAV) Publication

The NAV will be disclosed on the website of the AMC www.QuantumAMC.com and on the website of Association of Mutual Funds in India www.amfiindia.com by 11.00 P.M. on every Business Day.

Indicative NAV (iNAV) shall be disclosed on a continuous basis on the stock exchange(s), where the units of the scheme are listed and traded. The iNAV shall be updated based on the latest available data for Gold. Accordingly, iNAV disclosed may either be static or dynamic depending upon the availability of the underlying price of Domestic Gold.

For Investor Grievances please contact

<p>Name and Address of Registrar</p> <p>Registrars & Transfer Agent:</p> <p>KFin Technologies Limited Unit: Quantum Mutual Fund, Selenium Tower B, Plot number 31 & 32, Financial District, Nanakramguda Serilingampally Mandal, Hyderabad - 500032, Phone: +91 - 040-23312454</p>	<p>Investor may contact Quantum AMC for any Queries / Clarifications / Complaints at Email - CustomerCare@QuantumAMC.com, Telephone number – 1800-209-3863 / 1800-22 -3863 (Toll Free).</p> <p>Mr. Mayur Jadhav - Investor Relation Officer (IRO) can be contacted at Quantum Asset Management Company Private Limited, 1st Floor, Apeejay House, 3 Dinshaw Vachha Road, Churchgate, Mumbai - 400020, Telephone Number 022-61447800, Email - IRO@Quantumamc.com</p> <p>For any grievances with respect to transactions through Stock Exchange Platform for Mutual Funds, the investors should approach either the stockbroker or the investor grievance cell of the respective stock exchange.</p> <p>For further details / escalation please refer the Grievance Policy available on the AMC Website https://www.quantumamc.com/downloads/pdfs/grievance_report.pdf</p>
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Unitholders' Information

(I) Account Statement/Allotment Confirmation:

On acceptance of the application for subscription, an allotment confirmation specifying the number of units allotted by way of email and/or SMS or issue units in the dematerialized form as soon as possible but not later than 5 Business Days from the date of receipt of transaction request. The allotment confirmation will be sent to the applicant's registered e-mail address and/or mobile number. Investors / unit holders are therefore requested to provide their email id and mobile number in the application form at the time of subscription.

As the units of the Schemes are in demat, investors would be provided with a statement of holding by his / her / their Depository Participants as per the rules of Depository. The statement issued by the Depository would be deemed to be adequate compliance with requirement of SEBI regarding dispatch of statement of account.

(II) Portfolio Disclosures

The Fund will disclose portfolio (along with ISIN) in user friendly & downloadable spreadsheet format, as on the last day of the month / half year for the scheme on its website www.QuantumAMC.com & on the website of AMFI www.amfiindia.com within 10 days from the close of each month / half year.

In case of unitholders whose email addresses are registered, the Fund will send via email both the monthly and half yearly statement of scheme portfolio within 10 days from the close of each month / half year respectively.

The Fund will publish an advertisement every half-year in the all India edition of at least two daily newspapers, one each in English & Hindi, disclosing the hosting of the half yearly statement of the scheme's portfolio on the AMC's website www.QuantumAMC.com & on the website of AMFI www.amfiindia.com. The Fund will provide physical copy of the statement of scheme portfolio without any cost, on specific request received from a unitholder.

(III) Half – Yearly Financial Results

The Fund shall within one month from the close of each half year, (i.e. March 31 and on September 30), host a soft copy of its unaudited financial results on its website www.QuantumAMC.com. Further, the Fund shall publish an advertisement disclosing the hosting of such unaudited half yearly financial results on their website, in at least one national English daily newspaper having nationwide circulation and in newspaper having wide circulation published in the language of the region where the Head Office of the Mutual Fund is situated.

(IV) Annual Report

The AMC / Mutual Fund shall send the Scheme wise annual report or an abridged summary thereof within four months from the date of closure of the relevant accounting year i.e. March 31 each year to all investors / unit holders as per the following mode:

- i. by e-mail to the investors / Unit holders whose e-mail address is available with the AMC / Fund.
- ii. in physical form to the investors / Unit holders whose email address is not registered with the AMC / Fund and/or those Unit holders who have opted / requested for the same.

The physical copies of the scheme wise annual report or abridged summary shall be made available to the investors / unit holders at the registered office of the AMC.



A link of the scheme annual report or abridged summary thereof shall be displayed prominently on the website of the Fund and shall also be displayed on the website of Association of Mutual Funds in India.

The AMC / Mutual Fund shall publish an advertisement every year disclosing the hosting of the scheme wise annual report on their respective website and on the website of AMFI and the modes such as SMS, telephone, email or written request (letter), etc. through which unitholders can submit a request for a physical or electronic copy of the scheme wise annual report or abridged summary thereof. Such advertisement shall be published in the all India edition of at least two daily newspapers, one each in English and Hindi.

Dated: November 27, 2025.

INSTRUCTIONS FOR FILLING UP THE APPLICATION FORM

1. Product Labeling

<p>QUANTUM GOLD FUND (An Open Ended Scheme Replicating / Tracking Gold)</p>	<p>RISK-O-METER OF SCHEME</p>  <p>The risk of the scheme is High Risk</p>	<p>RISK-O-METER OF TIER I BENCHMARK</p>  <p>The risk of the benchmark is High Risk</p>
<p>This product is suitable for investors who are seeking*</p> <ul style="list-style-type: none"> • Long term returns • Investments in physical gold 		
<p>Tier I Benchmark: Domestic Price of Gold</p>		

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

INSTRUCTIONS FOR FILLING UP THE APPLICATION FORM Continued...

2. General Instructions	<p>a) Please read the Key Information Memorandum, Scheme Information Document (SID) of the respective scheme(s) and Statement of Additional Information (SAI) containing the terms of offer carefully before investing.</p> <p>b) Please Tick (✓) in the appropriate box (☐), where boxes have been provided.</p> <p>c) Application Form should be filled legibly in ENGLISH in BLOCK letters using Black or Dark Blue ink. Incomplete application forms are liable to be rejected. Please refer to the checklist at the end of the application form to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and / or rejection of your application.</p> <p>d) Please strike out any section that is not applicable. Correction/Cancellation on any of the information should be countersigned by the investor.</p> <p>e) All applicants are deemed to have accepted the terms subject to which the offer is being made and bind themselves to the terms upon signing the Application Form and tendering the payment.</p> <p>f) In case of applications under Power of Attorney or by a Limited Company or by a Corporate Body or Eligible Institution or a Registered Society or a Trust or a Fund the original Power of Attorney or the certified copy thereof duly notarised and the relevant resolution or authority to make the application, as the case may be including authority granted in favour of the officials signing the application and their specimen signature etc., or duly certified copy thereof alongwith a certified copy of the Memorandum and Articles of Association and/or bye-laws and/or trust deed and/ or partnership deed and certificate of registration must be lodged at the office of the Registrar, quoting the serial number of application simultaneously with the submission of the Application Form, failing which the application is liable to be rejected.</p> <p>g) All communications and payments will be made to the sole/first applicant.</p> <p>h) While processing the redemption/switch out request in case Quantum AMC/Registrar come across a signature mismatch, then Quantum AMC/Registrar reserves the right to process the redemption/switch only on the basis of supporting documents confirming the identity of the investors. List of such documents would be notified by Quantum AMC from time to time.</p>
3. Existing Unitholder Information	An existing investor should mention the correct Folio number and name. Investors should note that there will be no change to the existing Investor Profile Details mentioned in the Existing Folio.
4. A) Permanent Account Number (PAN)	Permanent Account Number (PAN) issued by the Income Tax Authorities has been made mandatory for all the applications received in the Scheme, irrespective of the amount of transaction (except MICRO Investments). Accordingly it is mandatory for investor's to provide their PAN along with self-attested copy of PAN Card while making an application for Purchase of Units. In case of joint holding, PAN details of all holders should be submitted. In case the application is on behalf of minor /PoA holder, PAN details of the Guardian/ PoA holder must be submitted. PAN is not mandatory in the case of applicant resident is in the State of Sikkim, Central Government, State Government entities and the officials appointed by the courts e.g. Official liquidator, Court receiver etc (under the category of Government); however sufficient documentary evidence shall have to be submitted for verifying that they are residents of the State of Sikkim. Applications without the aforesaid details are liable to be rejected without any reference to the investors. The PAN Exempt KYC Registration Number (PEKRN) needs to be provided in case of PAN exempt cases.
B) Know Your Customer (KYC)	For units held in demat form, the KYC performed by the Depository Participant of the applicants will be considered as KYC verification done by the Trustee / AMC.
5. Applicant Information	<p>a. Name should be given in full without any abbreviations. Preferably write exactly as it appears in your Bank Account & demat records or as it appears in the incorporation document or demat account as the case may be.</p> <p>b. Name, Date of birth of the Minor, Name of Parent/Guardian and relationship with minor is mandatory for investment on behalf of a Minor applicant, proof of date of birth and relationship proof is mandatory.</p> <p>c. Name of the Contact Person, email and Telephone no. should be mentioned in case of investments by Company, Body Corporate, Trust, Society, FII and other non-individual applicants.</p> <p>d. The signature should be in English or in any of the Indian languages. Thumb Impressions must be attested by a magistrate or a notary public or a special executive magistrate under his/her official seal. Application by minor should be signed by the guardian. In case of H. U. F., the Karta should sign on behalf of the H. U. F.</p> <p>e. The designated Investor Service Center/ Official Point of Acceptance will affix time stamp/manual stamp and return the acknowledgement slip from the application form, to acknowledge receipt of the application. No separate receipt will be issued for the application money.</p> <p>f. Please fill in all the fields to prevent rejection of your Application Form. Please refer to the checklist provided at the end of the Application Form to ensure that the necessary details and attachments are made available. The application complete in all respects along with the cheque/ fund transfer instructions must be submitted to the nearest designated Investor Service Center. Official Points of Acceptance Applications which are incomplete, invalid in any respect or not accompanied by cheque or fund transfer instructions for the amount payable are liable to be rejected.</p> <p>g. Investors must write the Application Form number / Folio number on the reverse of the cheques accompanying the Application Form.</p> <p>h. Name and address must be given in full (P.O. Box Address alone is not sufficient). In case of NRIs/FIIs 'Overseas Address' should also be provided failing which application may be rejected. In addition, Indian address should be stated for correspondence.</p>

- i. Differently abled here refers to people with Blindness, Low vision, Leprosy-cured, Hearing impairment, Loco motor disability, Mental retardation, Mental illness etc. as defined under the Persons with Disabilities Act, 1995, which ensures Participation and Equality of the People with Disabilities. This is as per the definition laid down by the Department of Empowerment of Persons with Disabilities, Government of India. http://disabilityaffairs.gov.in/upload/uploadfiles/files/PWD_Act.pdf.
- j. Some additional details are required for validating your identity for certain transactions / Communications. Hence please fill annual income, mobile number and email id in case of all applicants.
- k. Please provide email ID & Mobile Number, this will help us send investment / product related communication and resolve any queries more promptly. This is compulsory for all electronic/telecommunication facilities available now and to be introduced in future.
- l. In the event the application has more than one investor and the mode of holding is not specified in the application form, the default option for holding would be considered to be "anyone or survivor". However, in all such cases, communications, proceeds of all income distribution/redemption will be paid to the first named holder.
- m. The minor shall be the first and the sole holder in an account. There shall not be any joint accounts with minor as the first or joint holder.
- n. Date of birth of the minor along with photocopy of supporting documents as enumerated below shall be mandatory while opening the account on behalf of minor:
 - 1. Birth certificate of the minor, or 2. School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., or 3. Passport of the minor, or 4. Any other suitable proof evidencing the date of birth of the minor.
- o. Guardian in the folio on behalf of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian.

6. Power Of Attorney

Applicants intending to apply for units through a Power of Attorney (POA) must ensure that the issuer of the POA and the holder of the POA must attach proof of KYC Compliance to be provided at the time of investment.

7. Electronic/ Telecommunication Facility

Electronic communication will be sent to folios where email id is available. Newsletters, addenda and other general information will be sent to you via email only. Physical copies of the same will not be sent. As per SEBI guidelines, Annual Report will be forwarded to the registered email id i.e. registered with the AMC. In case unitholder desires to receive physical copy of the same you need to tick on the box accordingly.

8. Bank Account Details

Investor to be provided the bank account details which is linked to depository account, if any other bank details provided, post allotment of the units the same will be overwritten the bank account which is linked to depository account (DP).

9. FATCA and CRS Details

FATCA is a tax reporting regime that obligates all financial institutions to report information to the relevant tax authorities about US reportable persons and certain entities in which U.S. persons hold a substantial ownership interest. India signed the Inter-Governmental Agreement (IGA) with the U.S. on July 9, 2015.

CRS is the OECD' & G-20's Model Competent Authority Agreement for multilateral tax information sharing. It enables automatic exchange of tax information based on the Standard through bilateral tax treaty networks. India signed the CRS Agreement on June 3, 2015. The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which requires Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. For meeting compliance requirements, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. If there is any change in the information provided, kindly intimate the same to us within 30 days.

10. Mode Of Payment

1. As required by AMFI, Quantum Mutual Fund shall not accept applications for subscriptions with third party payment instruments.
2. Investors may make payment by single Cheque/ DD payable locally in the city where the application form is submitted at Quantum AMC/ K-Fin Technologies Collection Centers or electronic mode such as RTGS/NEFT directly to Mutual Fund Collection account.
3. The cheque should be drawn on any bank which is situated at and is a member/sub member of the bankers clearing house. Cheque drawn on the bank not participating in the clearing house will not be accepted.
4. Payment through Stock invest, outstation cheques and cash will not be accepted.
5. The cheque should be drawn in favor of "Quantum Mutual Fund & PAN (number)" or Quantum_(Scheme name) & PAN (number)" and crossed 'Account Payee Only'.
6. Returned cheques will not be presented again for collection and the accompanying application will be rejected.
7. NRI / PIO/ FII's / FPI's Repatriation Basis - In the case of NRIs / PIO, payment may be made either by inward remittance through normal banking channels or out of funds held in their Non-Resident (External) Rupee Account (NRE)/ Foreign Currency (Non-Resident) Account (FCNR). FII's / FPI's shall pay their subscription either by inward remittance through normal banking channels or out of funds held

in Foreign Currency Account or Non-Resident Rupee Account maintained by the FII with a designated branch of an authorized dealer with the approval of the RBI. Non Repatriation Basis - In the case of NRIs, payment may be made either by inward remittance through normal banking channels or out of funds held in their NRE/FCNR/Non-Resident Ordinary Rupee Account (NRO). Please indicate by ticking the relevant account from which payment is being made.

11. Nomination Details

Nomination details will be updated folio/records as per demat account.

12. Demat Account

The unit holders would have an option to hold the units in dematerialized form. The applicant intending to hold units in dematerialized form will be required to have a beneficiary account with a Depository Participant (DP) of the NSDL/CDSL and will be required to mention in the application form DP ID, DP Name and Beneficiary Account No. with the DP name at the time of subscribing to the units of the Scheme.

Investors have to ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant. If the details mentioned in the application are incomplete/incorrect, not matching with the Depository data, then Units will be issued in Physical mode and Statement of Account will be issued to the Unit holder.

For units held in demat form, the KYC performed by the Depository Participant of the applicants will be considered as KYC verification done by the Trustee/AMC.

In case of holding in demat account the bank mandate, mode of holding, nominee details, contact details etc. will be as per the details stated in the demat account and not as per this application.

In case of Unit holders holding units in demat (electronic) mode, a demat statement will be sent by Depository Participant to the Unit holders.

1. Minimum Application Amount / No. Of Units	<p>Market Makers / Eligible Investors can create / redeem in exchange of Portfolio Deposit and Cash Component in creation unit size at the Intra -Day NAV based Price.</p> <p>based Price. Presently one Creation Unit Size is equal to 1,20,000 QGF units and in multiples thereof.</p> <p>Creation Unit Size is the number of QGF units, which is exchanged for "Portfolio Deposit" which would consist of Physical Gold of defined purity and quantity, and "Cash Component".</p>
2. Portfolio Deposit	<p>Portfolio Deposit shall consist of Physical Gold which will be in predefined quantity and purity and will be held by the Custodian / Sub- Custodian as may be specified by the AMC and will be defined and announced by the AMC and will vary from time to time.</p>
3. Cash Component	<p>Cash Component represents the difference between the applicable net asset value of units in Creation Unit Size and the market value of physical Gold. The difference will represent the accrued interest, income earned by the Scheme, accrued annual charges including management fees and residual cash in the Scheme. In addition the cash Component may include transaction cost as charged by the Custodian/DP and other incidental expenses for creating units. The cash component will vary from time to time and will be decided and announced by the AMC.</p> <p>The Portfolio Deposit and Cash Component for QGF units may change from time to time due to change in NAV. The Fund may from time to time change the size of creation unit in order to equate it with marketable lots of underlying instruments.</p> <p>The AMC discloses the Portfolio Deposit and Cash Component on daily basis on the website i.e. www.QuantumAMC.com for creating and redeeming units in Creation Unit Size for QGF.</p>
Procedure For Creating QGF Units In Creation Unit Size – Portfolio Deposit	<p>The requisite physical gold constituting the Portfolio Deposit has to be submitted to the Custodian/ AMC while the Cash Component has to be paid to the Custodian / AMC. On confirmation of the same by the Custodian/ AMC that the pre-defined quantity and purity of physical gold has been received, the AMC will transfer the respective number of QGF Units into the investors DP account. The AMC may create "Creation Units" prior to receipt of all or a portion of the relevant Portfolio Deposit and Cash Component in certain circumstances were the purchaser, among other things, posts collateral to secure its obligation to deliver such outstanding Portfolio Deposit Securities and Cash Component.</p> <p>The investors need to furnish a Cheque towards payment of Cash Component or credit the Cash Component to the collection account of the Fund.</p>
5. Good / Bad Delivery Of Gold	<ol style="list-style-type: none"> i. Delivery would be in bars of weight 1 kg only (or such other denomination at the discretion of the AMC). The gold bars delivered should be of a minimum of 0.995 fineness. ii. Bars are received from a refiner accredited to London Bullion Market Association (LBMA). iii. Details in Assay Certificate matches with the details on the bar with regard to fineness, bar number, refiner etc. iv. Assay certificate is duly signed by the signatory with the refiners logo printed on it. v. The Box number / Bar number should match with the Box number / Bar number listing. vi. Copy of delivery order issued to vault agent by the investor/counterparty alongwith a letter from the vault agent of the investor/counterparty (through its authorized signatory) certifying Master Airway Bill No. (MAWB), box and bar nos, date of import, location of customs clearance and also stating that all statutory charges have been paid and the respective bars have been directly imported by the counterparty and have not left the physical custody of vaulting agent at any time after import. <p>Certified Vault agents would be Brinks Arya Pvt. Ltd.</p> <ol style="list-style-type: none"> vii. Original VAT invoice. The rate of gold indicated therein would be the same as defined by the AMC in the portfolio deposit. In case the AP/Eligible Investor does not have a VAT registration, the purchase tax, if any, paid by the Fund on receipt of Gold from the AP/Eligible Investor, will be recovered from the AP/Eligible investor. <p>The above norms are indicative and the AMC reserves the right to alter these without giving any notice. The AP / Eligible Investor may verify from the Custodian the good / bad delivery norms before delivery of gold. The gold delivered will be verified by the Custodian or its authorized Vaulting Agents for compliance with good / bad delivery norms.</p> <p>The Custodian will have a right to reject any delivery as bad and the decision of the Custodian in this regard will be final. Where the delivery is rejected by the Custodian on account of not meeting 'good delivery norms', it shall be the responsibility of the AP / Eligible Investor to collect the gold from the premises of Custodian / authorized Vaulting Agent.</p> <p>The AMC will on confirmation from the Custodian that the gold submitted meets the good delivery norms, instruct the Registrar to upload the units in the demat account of the investor.</p>

6. Delivery Of Gold

All deliveries, in case of subscription, shall be in Mumbai only. In case of redemption, it shall be the responsibility of the AP / Eligible Investor to deliver the Gold to any of the Custodian's authorized vaulting agents. Similarly, in case of redemption the AMC will deliver the Gold to the AP / Eligible Investor at the premises of the Custodian's authorized vaulting agent. Deutsche Bank AG, the Fund's Custodian, has appointed Brinks Arya India Private Limited as their vaulting agents. Their contact details are as under:

Mumbai Branch:-

Brinks Arya India Private Limited (Brinks)
Cenzer House, Sheikh Menon Street, Zaveri Bazar, Mumbai - 400 002
Contact Person for Custody - Mr. Avinash R. Shetty
Tel No. - 91-22-23414186/87
Fax No. - 91-22-23414189
e-mail address - Avinash.Shetty@brinksglobal.com

Ahmedabad Branch:-

Brinks Arya India Private Limited (Brinks)
203-204/1, Phase 2nd Rd, GIDC Naroda, Ahmedabad, Gujarat - 382330
Contact Person for Custody - Mr. Ravindra Yadav
Tel No. - 91-79-26602353
Fax No. - 91-79-26600539
e-mail address - Ravindra.yadav@brinksglobal.com

Brinks will accept / give deliveries of gold on all working days between 9:00 am to 5:00 pm.

7. Procedure For Redeeming Qgf Units In Creation Unit Size - Portfolio Deposit

The requisite number of QGF Units equaling the Creation Unit Size has to be transferred to the Fund's DP account and the Cash Component to be paid to the AMC / Custodian. On confirmation of the same by the AMC, the custodian will transfer the Portfolio Deposit by handing over the physical Gold of the predefined purity and quantity to the investor and pay the Cash Component, if applicable. The AMC may redeem the QGF units in Creation Unit size prior to receipt of all or portion of the relevant QGF units in certain circumstances where the purchaser, among other things, posts collateral to secure its obligation to deliver such outstanding QGF units.

Demat Account Details:

Depository Name	Deutsche Bank AG
DP ID (CDSL)	16013900
Client ID	00008821
Name of the account	Quantum Gold Fund

8. Procedure For Creation Of Qgf Units In Creation Unit Size - Cash Subscription

The payment of requisite cash for creation of units in Creation Unit Size at applicable NAV based prices shall be made as determined by the AMC only by means of Payment Instruction of Real Time Gross Settlement (RTGS) / National Electronic Fund Transfer (NEFT) / Funds Transfer Letter / Transfer Cheque of a bank where QGF has a collection account. The AMC will arrange to buy the underlying physical gold on behalf of the Eligible Investors / Market Makers on receipt of the subscription application along with the subscription amount. The underlying physical gold shall be purchased within 3 Business Days on the receipt of the subscription amount. The Eligible Investors / Market Makers need to incur all the actual cost of purchase of the underlying physical gold and funding of market movement from the time of application to actual buying of underlying physical gold by the AMC in addition to the cash component (if any) as determined by the AMC from time to time. Any excess / shortfall in the amount paid on account of the subscription will be refunded / collected to / from the Eligible Investors / Market Makers on allotment of units.

Collection Account to be used for Creation of Unit Size - Cash Subscription:

Beneficiary Account name	Quantum Gold Fund - Collection Account
Bank Name	HDFC BANK LTD
Beneficiary Account No	00600350108142
Branch Address	FORT - NANIK MOTWANI MARG
IFSC code	HDFC0000060

9. Procedure For Redeeming Of Qgf Units In Creation Unit Size - Cash Redemption

The requisite QGF units in demat mode have to be transferred to the Fund DP's account along with the application for redemption of units in Creation Unit Size at applicable NAV based prices. The AMC will arrange to sell physical gold on behalf of the Eligible Investors / Market Makers within 3 Business Days of the receipt of request for redemption. The Eligible Investors / Market Makers need to incur all the actual costs in connection with the sale of physical gold and market movement from the time of application to actual selling of physical gold by the AMC in addition to the cash component (if any) as determined by the AMC from time to time. The sale proceeds of the physical gold, after adjusting necessary charges / cost will be remitted to the Eligible Investors / Market Makers.

Demat Account to be used for Redeeming QGF units in Creation Unit Size – Portfolio Deposit :

Depository Name	Deutsche Bank AG
DP ID (CDSL)	16013900
Client ID	00008821
Name of the account	Quantum Gold Fund

COMMON APPLICATION FORM FOR ETF

(Applicable Form For Market Makers & Large Investors)

Check Your KYC Status



Application No: QMFP

1st Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400020 | www.QuantumAMC.com

(Continuous Offer of units at Applicable NAV)

1. Unitholding Option

Investor Name

DEMAT ACCOUNT DETAILS - Please ensure that the sequence of names as mentioned in the application form matches with that of the account held with any one of the Depository Participant. Demat Account details are mandatory.

National Securities Depository Limited		Central Depository Securities Limited	
Depository Participant Name	<input type="text"/>	Depository participant Name	<input type="text"/>
DP ID No.	I N <input type="text"/>	Target ID No.	<input type="text"/>
Beneficiary Account No.	<input type="text"/>		

Enclosures (Please tick any one box) : Client Master List (CML) Transaction cum Holding Statement Cancelled Delivery Instruction Slip (DIS)

2. Transaction Details (Please tick either Purchase OR Redemption)

Purchase: (Please tick Cash 'in-Kind' Cash & 'in-Kind'

Incase purchase in 'in-Kind' investor have to send the Stock/Securities details in format mentioned at the end of the form on email id transact@quantumamc.com
Please issue all payments to below mentioned account:

Bank Details For Funds Transfer					
BANK NAME	Account Name	Account No.	Bank A/c Type	IFSC Code	Branch Address
HDFC BANK LTD	Quantum Gold Fund – Collection Account	00600350108142	Current A/c	HDFC0000060	FORT - NANIK MOTWANI MARG
HDFC BANK LTD	Quantum Nifty 50 ETF – Collection Account	00600350108159	Current A/c	HDFC0000060	FORT - NANIK MOTWANI MARG

Redemption: (Please tick Cash 'in-Kind' For Redemption the units have to be transferred to:

Scheme Name	Account Name	Demat account no	PAN	DP Name
Quantum Nifty 50 ETF	QUANTUM NIFTY ETF- REDEMPTION ACCOUNT QUANTIF-RA	1601390000009221	AAATQ0088J	DEUTSCHE BANK A.G
Quantum Gold Fund ETF	QUANTUM GOLD FUND- REDEMPTION ACCOUNT QUANTUMMFR	1601390000008821	AAATQ0088J	DEUTSCHE BANK A.G

3. Scheme Details

Scheme Name	No. of Units/Basket	Baskets	Number of Units in figures	Number of Units in words	Cash Component Amount
Quantum Nifty 50 ETF	2,000				
Quantum Gold Fund ETF	1,20,000				

4. Order Execution Details (To be filled by AMC)

Scheme Name	<input type="text"/>	Number of Basket	<input type="text"/>
Employee name	<input type="text"/>	Stamp and Signature	<input type="text"/>
Employee name	<input type="text"/>	Stamp and Signature	<input type="text"/>

5. Payment Details

Amount (₹) Mode of Payment : Cheque DD RTGS/NEFT Cheque/DD/UTR No.

Bank Name : A/c. Number IFSC Code

6. Important Points

- Minimum amount for Purchase / Redemption in cash is Rs. 25 Crs. Incase of purchase 'in-Kind' and Cash & 'in-kind' valuation of the securities as per yesterday's closing price and for redemption the valuation of units as per previous day's NAV should be minimum of Rs. 25 Crores.
- If investor has opted to purchase 'in-Kind' and Cash & 'in-kind' then investor has to share the list of securities in below format to transact@quantumamc.com

Bank Details For Funds Transfer								
Sr no	ISIN	ISIN Description	Face Value	Quantity	Total value	Credit Rating	Credit Agency	Shut Period (Y/N)

- If funds/Securities are credited in the bank/Demat account up to 3:00 PM, units will be allotted with end of day NAV and if the credit is post 3:00 PM, next business days' end of day NAV will be applicable for allotment of units.

7. Signature/S as per Mode of Holding in the Folio

I/We have read, understood and hereby agree to abide by the Scheme Information Document/Key Information Memorandum of the Scheme(s) as well as the terms & conditions, rules and regulations of SEBI, AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable to me/us from time to time. I/we hereby confirm that I/we have complied with all the provisions of KYC/CKYC as well as FATCA/CRS and have submitted the details/disclosures to the Depository Participant with whom I/we hold my/our Demat Account. Quantum Asset Management Limited can rely on the KYC/FATCA related details provided by me to Depository Participant. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the amount invested in the Scheme(s) is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulations or any other applicable laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us the commissions (in the form of trail commission or any other mode), payable to him for the different competing schemes of various Mutual Funds from amongst which the Scheme(s) is being recommended to me/us. I/We hereby confirm that the information/documents provided by me/us in this Application Form are true, correct and complete in all respect. I/We hereby agree and confirm to inform AMC promptly in case of any changes. I/We am/are interested in receiving promotional material from the AMC via email, SMS, telecall, etc.

Date _____ Place _____	SIGNATURES	First Unit Holder / Guardian / POA / Authorised Signatory	Second Unit Holder / Authorised Signatory	Third Unit Holder / Authorised Signatory
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Quantum Asset Management Company Pvt. Ltd.

Mumbai:

1st Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay
Reclamation, Churchgate, Mumbai - 400020

**Want To
Have The Latest
Information
About Us?**

 **Website** : www.QuantumAMC.com

 **Email** : CustomerCare@QuantumAMC.com

 **SMS** : <QUANTUM> to 9243-22-3863

 **Toll Free Helpline** : 1800-22-3863 /
1800-209-3863

 **Missed Call Facility** : 022 6829 3807