

Key Information Memorandum Cum Application Form

QUANTUM DIVERSIFIED EQUITY ALL CAP ACTIVE FOF

(An Open-Ended Fund of Funds Scheme investing in diversified Equity Schemes across All Market Caps of Mutual Funds)

(Continuous Offer of units at NAV Based Prices)

Product Labeling

Name of the Scheme and Benchmark	This product is suitable for investors who are seeking*	Scheme Riskometer	Benchmark Riskometer (Tier I)
Quantum Diversified Equity All Cap Active FOF (An Open-Ended Fund of Funds Scheme investing in diversified Equity Schemes across All Market Caps of Mutual Funds.)	· Long Term Capital Appreciation · Investments in portfolio of diversified Equity Schemes across All Market Caps of Mutual Funds	 The risk of the scheme is Very High Risk	 The risk of the benchmark is Very High Risk
Tier I Benchmark - BSE 500 TRI			

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

MUTUAL FUND	ASSET MANAGEMENT COMPANY	TRUSTEE COMPANY
Quantum Mutual Fund 1st Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400 020 www.QuantumAMC.com	Quantum Asset Management Company Private Ltd. 1st Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400 020 CIN - U65990MH2005PTC156152 www.QuantumAMC.com	Quantum Trustee Company Private Ltd. 1st Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400 020 CIN - U67190MH2005PTC156119

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, ,penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website www.QuantumAMC.com.

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 2026, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This Key Information Memorandum is dated August 31, 2026.

Investment Objective

The investment objective of the scheme is to generate long-term capital appreciation by investing in diversified Equity Schemes across All Market Caps of Mutual Funds. There is no assurance that the investment objective of the Scheme will be achieved.

Asset Allocation Pattern of the scheme

Instruments	Indicative allocations (% of total assets)	
	Minimum	Maximum
Open-ended diversified Equity Schemes across All Market Caps	95	100
Money Market Instruments and Other Liquid Instruments	0	5

Other Liquid Instruments shall include Cash, Bank Deposits with Scheduled Commercial Banks, Government Securities, Treasury Bills, Repo on Government Securities and any other instruments as specified by SEBI.

The scheme will only invest in third party mutual funds. The Scheme shall invest in diversified Equity Schemes across all Market Caps of Mutual Funds.

The cumulative gross exposure across Equity Schemes, Money Market Instruments and Other Liquid Instruments shall not exceed 100% of the net assets of the Scheme pursuant to Para No. 13.18.1 of SEBI Master Circular for Mutual Funds dated March 20, 2026.

Pursuant to Para No. 13.18.6. of the SEBI Master Circular for Mutual Funds dated March 20, 2026 read with SEBI letter no. SEBI/HO/IMD-II/DOF3/ OW/P/2021/31487 /1 dated November 03, 2021, Cash and cash equivalents which includes T-bills, Government Securities and Repo on Government Securities having residual maturity of less than 91 Calendar Days, shall not be considered for the purpose of calculating gross exposure limit.

The Scheme may enter into repos/reverse repos as may be permitted by RBI/SEBI. From time to time, the Scheme may hold cash. A part of the net assets may be invested in the Tri-party Repos on Government Securities or Treasury Bills (TREPS) or repo as may be provided by RBI to meet the liquidity requirements, subject to regulatory approval, if any.

Indicative Table

Sr. No.	Type of Instrument	Percentage of Exposure	Circular references of SEBI Master Circular for Mutual Funds dated March 20, 2026
1.	Securities Lending	Nil	-
2.	Equity Derivatives for hedging purposes	Nil	-
3.	Equity Derivatives for non- hedging purposes	Nil	-
4.	Securitized Debt	Nil	-
5.	Debt instruments having Structured Obligations / Credit Enhancement	Nil	-
6.	Repo / Reverse Repo Transactions in Corporate Debt Securities	Nil	-
7.	Credit Default Swaps	Nil	-
8.	Tri-party Repos	For Pending Deployment of Funds	-
9.	Investment in other schemes managed by the AMC or in the schemes of any other mutual fund	Not Applicable	-
10.	Overseas Securities / ADR / GDR	Nil	-
11.	Investment in Units of InvITS	Nil	-
12.	Debt Instruments with Special Features (AT1 and AT2 Bonds)	Nil	-

Portfolio Rebalancing in case of deviation from Asset Allocation Under Defensive Consideration

The asset allocation pattern indicated above may change from time to time, depending on liquidity considerations or on account of high levels of subscriptions or Repurchase / Redemptions relative to Scheme size, or upon various defensive considerations including market conditions, market opportunities, applicable regulations and political and economic factors. These proportions may vary substantially depending upon the perception of the AMC the intention being at all times to seek to protect the interests of the Unitholders. Such changes in the investment pattern will be for short term and only for defensive considerations in accordance with Para No. 1.9.1. (b) of SEBI Master Circular for Mutual Funds dated March 20, 2026. In the event of deviations from the above asset allocation table, the Fund Manager will carry out rebalancing within 30 Calendar Days. However, at all the times the portfolio will adhere to the overall investment objectives of the Scheme.

Portfolio Rebalancing in case of Passive Breach

Pursuant to Para No. 3.11. of SEBI Master Circular for Mutual Funds dated March 20, 2026, in the event of deviation from the above asset allocation due to passive breaches (occurrence of instances not arising out of omission and commission of the AMC), the rebalancing shall be done within 30 Business Days. In case the rebalancing is not done within 30 Business Days, then justification in writing including details of efforts taken to rebalance the portfolio shall be placed before the Investment Committee. The Investment Committee, if so desires, can extend the timelines up to 60 Business Days from the date of completion of mandated rebalancing period (i.e. 30 Business Days).

In case the portfolio of scheme is not rebalanced within the aforementioned mandated plus extended timelines, the AMCs shall:

I. not be permitted to launch any new scheme till the time the portfolio is rebalanced.

II. not levy exit load, if any, on the investors exiting from the scheme.

The AMC shall report the deviation to Trustees at each stage. The reporting to Trustees shall be initiated immediately after the expiry of the mandated rebalancing period (i.e. 30 Business Days). Further, in case the AUM of deviated portfolio is more than 10% of the AUM of main portfolio of scheme, the AMC shall immediately after the expiry of the mandated rebalancing period (i.e. 30 Business Days):

1. Disclose the same to the investors through SMS and email / letter including details of portfolio not rebalanced.

2. Communicate to investors through SMS and email / letter when the portfolio is rebalanced.

Deviation of the portfolio, beyond the specified limits, from the mandated asset allocation beyond 30 Business Days shall also be disclosed on the website of the AMC.

The AMC shall also disclose any deviation from the mandated asset allocation to investors along with periodic portfolio disclosures as specified by SEBI from the date of lapse of mandated plus extended rebalancing timelines.

Investment Strategy

The investment strategy of the Scheme will be to invest in diversified Equity Schemes of mutual funds across all Market Caps, after using intensive fundamental analysis both quantitative and qualitative, monitor the portfolio regularly but not so as to engage in excessive churning, and control risk by keeping portfolio adequately diversified.

The scheme will only invest in diversified Equity Schemes of other Mutual Funds and not in Equity Schemes of Quantum Mutual Fund.

The Scheme will invest its corpus in diversified Equity Schemes across All Market Caps that have an established performance track record at the time of investment. Further, the Scheme may selectively invest in schemes with a relatively shorter track record where the investment team has strong conviction based on the fund management pedigree, investment philosophy, early performance indicators, and where an experienced fund manager follows a broadly similar investment process as in the past, thereby providing validation through their prior track record. The schemes that get short-listed will be tested across the quantitative and qualitative parameters, set as a part of the scheme selection process. The AMC may appoint an agency to provide overall research on diversified equity schemes. The Investment Decision shall be made by the Investment team. The Investment Committee of the AMC will from time-to-time review and approve the overall investment policy and strategy of the portfolio held by the Scheme.

Investment Portfolio

The Scheme's investment portfolio will consist of investments in diversified Equity Schemes across all Market Caps / based on varied categories covering Multi Cap Fund, Large Cap Fund, Large & Mid Cap Fund, Mid Cap Fund, Small Cap Fund, Focused Fund, Flexi Cap Fund and any other Equity Scheme categories based on market cap as prescribed under SEBI Regulation from time to time. The scheme will not invest in schemes wherein such underlying scheme invests based on factors like momentum, volatility etc.

While the majority of the assets will be invested in the diversified Equity Schemes across All Market Caps, the Scheme may also invest in Money Market Instruments & Other Liquid Instruments for the purpose of managing liquidity.

The Investment portfolio will comprise a diversified set of Equity Schemes across Market Caps. The number of schemes in the investment portfolio may vary based on prevailing market opportunities and portfolio construction requirements. Investment in any one mutual fund scheme will generally be maintained within a broad range of the Scheme's net assets, balancing to avoid too much concentration vs. excessive diversification while retaining flexibility to deviate based on performance track record, risk assessment and market conditions.

The portfolio will comprise a diversified set of Equity Schemes across market caps.

Risk Profile of the Scheme

Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below:

Risk Factors related to Equity Investments

1. The Scheme proposes to invest in the diversified equity schemes across all market caps of Mutual Funds. Hence the scheme's performance will depend upon the performance of the underlying schemes. Any change in the investment policies or the fundamental attributes of the underlying schemes may affect the performance of the scheme.

2. Investments in underlying equity schemes will have all the risks associated with such schemes including performance of underlying stocks, derivative investments, off shore investments, stock lending, etc.

3. The investors of the Scheme shall bear the recurring expenses and loads if any of the Scheme in addition to the expenses & loads of the underlying schemes. Hence the investor under the Scheme may receive lower pre-tax returns than that they could have received if they had invested directly in the underlying schemes in the same proportions.

4. The Portfolio disclosure of the Scheme will be limited to providing the particulars of the underlying schemes where the Scheme has invested and will not include the investments made by the underlying schemes.

5. The changes in asset allocation may result in higher transaction costs.

6. Since the Scheme follows a flexible approach in determining the number of underlying schemes in the portfolio, the level of diversification may vary over time based on market opportunities & portfolio construction considerations. In situations, the significant underperformance in even one of the underlying schemes may adversely affect the performance of the Scheme.

For details on risk factors and risk mitigation measures, please refer SID.

Plans/Options

Plans available under the Scheme:

- Direct Plan
- Regular Plan

Options under each Plan(s):

- Growth Option
- Income Distribution Cum Capital Withdrawal Option (IDCW)

(i) Payout of Income Distribution cum Capital Withdrawal (IDCW) Facility
(ii) Reinvestment of Income Distribution cum Capital Withdrawal (IDCW) Facility

(a) Growth Option:

The Income will not be declared & distributed under this Option. The income attributable to Units under this Option will continue to remain invested and will be reflected in the Net Asset Value of Units under this Option.

(b) Income Distribution Cum Capital Withdrawal Option (IDCW)

Under this Option, the income can be distributed subject to availability of distributable surplus, as computed in accordance with SEBI Regulations. The amounts can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains.

(i) Payout of Income Distribution cum Capital Withdrawal (IDCW) Facility

Under this facility, income distribution distributed, if any, will be paid (subject to deduction of tax and statutory levy, if any) to those Unit holders.

(ii) Reinvestment of Income Distribution cum Capital Withdrawal (IDCW) Facility

Under this Facility, the income distribution distributed if any (subject to deduction of tax and statutory levy, if any) will be compulsorily and without any further act by the Unitholders, reinvested in the Reinvestment of Income Distribution cum Capital Withdrawal Facility.

Investor should indicate the Direct / Regular Plan for which the subscription is made by indicating the choice in the application form. In case of valid application received without indicating any choice of plan then the application will be processed for plan as under prescribed in the Statement of Additional Information (SAI). For detailed disclosures on Default Plan, kindly refer SAI.

Investors should indicate the Option and the Facility for which the subscriptions are made by indicating the choice in the appropriate box provided for this purpose in the Application Form. In case of valid applications received, without indicating any choice of Option, it will be considered as opted for Growth Option and processed accordingly. In case of a valid application received where Income Distribution cum Capital Withdrawal Option has been selected without indicating any choice of Facility i.e. Payout of Income Distribution cum Capital Withdrawal Facility or Re-investment of Income Distribution cum capital withdrawal Facility, it will be considered as opted for the re-investment of Income Distribution cum Capital Withdrawal Facility.

Applicable NAV

SUBSCRIPTION/PURCHASE INCLUDING SWITCH-INS:-

a. In respect of valid application received up to 3.00 p.m. on a Business Day at the official point(s) of Acceptance and funds for the entire amount of subscription/ purchase (Including switch-in) as per the application are credited to the bank account of the Scheme and are available for utilization before the cut-off time (3.00 p.m.), the closing NAV of the day shall be applicable;

b. In respect of valid application received after 3.00 p.m. on a Business Day at the official Point(s) of acceptance and funds for the entire amount of subscription / purchase (including switch-in) as per the application are credited to the bank account of the Scheme on same day or before the cut-off time of the next business day i.e. funds are available for utilization before the cut-off time of next Business Day- the closing NAV of the next Business Day shall be applicable;

c. However, irrespective of the time of receipt of application at the official point(s) of acceptance, where the funds for the entire amount of subscription / purchase (including switch-in) as per the application are credited to the bank account of the Scheme on or before the cut - off time of the subsequent Business Day i.e. funds are available for utilization before the cut-off time of subsequent Business Day - the closing NAV of such subsequent Business Day shall be applicable;

It may be noted that in case of Systematic Investment Plan (SIP)/ Systematic Transfer Plan (STP), the units will be allotted based on the funds available for utilization by the respective scheme, irrespective of the installment date of the SIP/STP.

REDEMPTIONS INCLUDING SWITCH–OUTS:

a. In respect of valid applications received up to 3 p.m. on a Business Day, the closing NAV of the day of receipt of application shall be applicable.

b. In respect of valid applications received after 3 p.m. on a Business Day, the closing NAV of the next Business Day shall be applicable.

Minimum Application Amount/ Number of Units

Purchase	Additional Purchase	Redemption
₹500/- & in multiples of Re. 1/- thereafter	₹500/- & in multiples of Re. 1/- thereafter	NIL

Despatch of Redemption Request

The redemption or repurchase proceeds shall be transferred to the unitholders within three working days from the date of receipt of valid redemption or repurchase request.

Pursuant to Para No. 7.14. of SEBI Master Circular for Mutual Funds dated March 20, 2026, in case of exceptional circumstances, additional timelines as prescribed by SEBI/AMFI will be applicable for transfer of redemption or repurchase proceeds to the unitholders. List of exceptional circumstances along with the applicable timeframe for transfer of such proceeds is available on AMFI website.

Benchmark Index

Tier I Benchmark: BSE 500 TRI

Dividend/IDCW Policy

In terms of SEBI guidelines, the Trustees shall decide the quantum of income distribution and the record date (which is the date that will be considered for the purpose of determining the eligibility of investors). Income Distribution if distributed, will be paid (subject to deduction of tax at source, if any) to those Unitholders whose names appear in the Register of Unitholders on the notified record date. The AMC shall, within one calendar day of the decision by the Trustee, display the decision including the record date on the website of AMC. The record date shall be 2 working days from the date of publication in at least one English newspaper or in a newspaper published in the language of the region where the Head Office of the mutual fund is situated, whichever is issued earlier. In case of Units held in dematerialized mode, the Depositories (NSDL/ CDSL) will give the list of demat account holders and the number of Units held by them in electronic form on the Record date to the Registrars and Transfer Agent of the Mutual Fund who shall be eligible to receive the Income Distribution.

The payment of Dividend/IDCW to the unitholders shall be made within seven working days from the record date.

It must be distinctly understood that the actual income distribution and the frequency thereof will inter-alia, depend on the availability of distributable surplus as computed in accordance with SEBI Regulations. The decision of the Trustee in this regard shall be final. There is no assurance or guarantee to Unitholders as to the rate of income distribution nor will that income distribution be distributed regularly on distribution of income, the NAV will stand reduced by the amount income distributed and statutory levy, if any, at the close of business hours on record date. The Trustee / AMC reserves the rights to change the record date from time to time.

Name of the Fund Manager

Mr. Chirag Mehta (Fund Manager): Managing since November 01, 2013 (12 years and 9 months)
Mr. Piyush Singh (Associate Fund Manager): Managing since April 01, 2025 (1 year and 4 months)

Name of the Trustee Company

Quantum Trustee Company Private Ltd.

Performance of the scheme as on July 31, 2026

Quantum Diversified Equity All Cap Active FOF - Direct Plan - Growth Option

Period	Scheme Returns %	Tier – I Benchmark Returns % (BSE 500 Total Return Index)
1 year	2.60%	2.98%
3 years	12.49%	11.88%
5 years	11.44%	12.34%
7 years	14.41%	15.75%
10 years	12.23%	13.57%
Since Inception	13.52%	12.97%

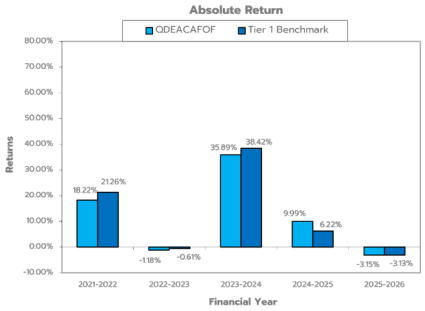
Past performance may or may not be sustained in the future.
Returns greater than one year are compounded annualized (CAGR). **Date of Inception: July 20, 2009.**

Quantum Diversified Equity All Cap Active FOF - Regular Plan - Growth Option

Period	Scheme Returns %	Tier – I Benchmark Returns % (BSE 500 Total Return Index)
1 year	2.22%	2.98%
3 years	12.17%	11.88%
5 years	11.14%	12.34%
7 years	14.11%	15.75%
Since Inception	11.74%	13.48%

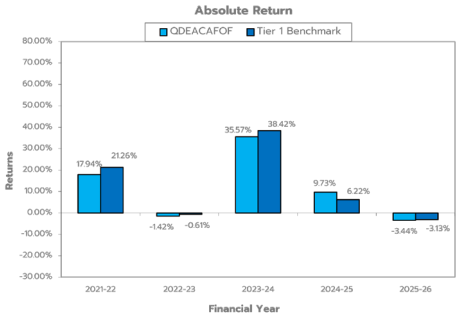
Past performance may or may not be sustained in the future.
Returns greater than one year are compounded annualized (CAGR). **Date of Inception: April 01, 2017.**

Absolute Returns for the last 5 Financial Years Quantum Diversified Equity All Cap Active FOF – Direct Plan – Growth Option



Date of Inception: July 20, 2009
Past performance may or may not be sustained in the future

Absolute Returns for last 5 Financial Years Quantum Diversified Equity All Cap Active FOF – Regular Plan – Growth Option



Date of Inception: April 1, 2017
Past performance may or may not be sustained in the future

Additional Scheme Related Disclosures

1. Scheme's Portfolio Holdings as on July 31, 2026 – https://www.quantumamc.com/FileCDN/Compliance/Offer_Documents/Top_10_holding_and_Fund_allocation.xlsx
2. Disclosure of name and exposure to top 7 issuers, stocks, groups and sectors as a percentage of NAV of the Scheme in case of debt and equity ETFs/Index funds through a functional website link that contains detailed description – Not Applicable
3. Scheme's Portfolio Turnover Ratio: Not Applicable

Expenses of the Scheme

Load Structure

Continuous Offer

Type of Load	Load chargeable (as % of NAV)
Exit Load/ Switch Out Load:	
10% of units If redeemed or switched out on or before 365 days from the date of allotment.	NIL
Remaining 90% of units if redeemed or switched out on or before 365 days from the date of allotment.	1
If redeemed or switched out after 365 days from the date of allotment	NIL

Recurring expenses

The AMC may charge up to 2.10 % of the daily net assets of the scheme as Base Expense Ratio (BER). For the actual current expenses being charged, the investor should refer to the website of the Mutual Fund (www.QuantumAMC.com).

Expense Ratio of Underlying Scheme as on July 31, 2026:

Underlying Schemes	Base Expense Ratio (p.a.)
Franklin India Flexi Cap Fund - Direct Plan – Growth Option	0.75%
HDFC Focused Fund - Direct Plan – Growth Option	0.60%
DSP Large Cap Fund - Direct Plan - Growth Option	0.76%
Aditya Birla Sun Life Focused Fund - Direct Plan – Growth Option	0.77%
Invesco India Midcap Fund - Direct Plan – Growth Option	0.48%
ICICI Prudential Focused Fund - Direct Plan - Growth Option	0.57%
Bank of India Small Cap Fund - Direct Plan - Growth Option	0.44%
HSBC Large & Mid Cap Fund - Direct Plan - Growth Option	0.66%
Kotak Focused Fund - Direct Plan - Growth Option	0.51%

Investors will be bearing the recurring expenses of the Scheme in addition to the expenses of the underlying schemes in which the Scheme makes investment.

The maximum limit of recurring expenses that can be charged to the Scheme would be as per Regulation 66 of the SEBI (MF) Regulation, 2026. Investors are requested to read "Section- Annual Scheme Recurring Expenses" in the SID.

Actual expenses for the financial year 2025-26:

Direct Plan – ₹59,92,795 (0.51%)
Regular Plan – ₹9,20,314 (0.80%)

Tax treatment for the Investors (Unitholders)

Investor will be advised to refer to the details in the Statement of Additional Information and also independently refer to his tax advisor.

Daily Net Asset Value (NAV) Publication

The AMC will calculate the NAV for each business day and disclose the same under a separate head on the website of the AMC www.QuantumAMC.com and on the website of Association of Mutual Funds in India www.amfiindia.com by 10.00 a.m. of the next Business Day.

For Investor Grievances please contact

Name and Address of Registrar Registrars & Transfer Agent: **KFin Technologies Limited** Unit: Quantum Mutual Fund, Selenium Tower B, Plot number 31 & 32, Financial District, Nanakramguda Serilingampally Mandal, Hyderabad - 500032, Phone: +91 - 040-23312454	Investor may contact Quantum AMC for any Queries / Clarifications / Complaints at Email - CustomerCare@QuantumAMC.com, Telephone number – 1800-209-3863 / 1800-22 -3863 (Toll Free). **Mr. Mayur Jadhav -** Investor Relation Officer (IRO) can be contacted at **Quantum Asset Management Company Private Limited**, 1st Floor, Apeejay House, 3 Dinshaw Vachha Road, Churchgate, Mumbai - 400020, Telephone Number 022-61447800, Email - IRO@Quantumamc.com For any grievances with respect to transactions through Stock Exchange Platform for Mutual Funds, the investors should approach either the stockbroker or the investor grievance cell of the respective stock exchange. For further details / escalation please refer the Grievance Policy available on the AMC Website https://www.quantumamc.com/downloads/pdfs/grievance_report.pdf

Unitholders' Information

(I) Account Statement/Allotment Confirmation:

On acceptance of the application for subscription, AMC shall allot units and send an allotment confirmation specifying the number of units allotted to the applicant by way of an email and/or SMS to the applicant's registered email address and/or mobile number as soon as possible but not later than 5 working days from the date of receipt of the request from the unitholders. Investors / unit holders are therefore requested to provide their email id and mobile number in the application form at the time of subscription.

For Folios covered in Consolidated Account Statement (CAS) the Depository shall send CAS investors that have opted for delivery via electronic mode, within 12 days from the month end and to investors that have opted for delivery via physical mode, within 15 days from the month end. For Folio not covered in CAS, the AMC / Registrar shall send the Account Statement within 15 days from the end of the month.

The CAS issued for the half year (September / March) shall include:

- The amount of actual gross commission paid by the AMC/MF to the distributors (in absolute terms) during the half-year period against the concerned investor's /unit holder's total investments in each scheme.
- Average Total Expense Ratio of the scheme (in percentage terms) for the half-year period for each Scheme's applicable plan (regular or direct or both) where the concerned investor / unit holder has actually invested in.

The CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September / March), shall be sent by mail/ email as per the timeline specified by the SEBI from time to time i.e. on or before 21st day of succeeding month by the Depositories to all such investors / unit holders which has demat account with nil balance and no transaction in securities or in folio has taken place during the period.

The half-yearly CAS shall not be issued to those investors who do not have any holdings in Schemes and where no commission against their investment has been paid to distributors, during the concerned half-year period.

The investor may request a physical Account Statement by writing / calling the AMC / Investor Service Center / Registrar & Transfer Agent. The AMC and Mutual Fund shall provide the physical Account Statement to the investor within 5 Business Days from the receipt of such request without any charges.

(II) Portfolio Disclosures

The AMC/Fund will disclose portfolio (along with ISIN) as on the last day of the month for the scheme on its website www.QuantumAMC.com and on the website of AMFI www.amfiindia.com within 10 calendar days from the close of each month in a user-friendly and downloadable spreadsheet format.

In case of unitholders whose email addresses are registered, the Fund will send the monthly portfolio via email within 10 calendar days from the close of each month.

The AMC shall declare on their website the hosting of the scheme's monthly portfolio on its website and on the website of AMFI and the modes such as SMS, telephone, email or written request (letter) through which a unit holder can submit a request for a physical or electronic copy of the statement of scheme portfolio.

(III) Half – Yearly Financial Results

The Fund shall within one month from the end of each half year, (i.e. March 31 and on September 30), display unaudited half yearly results on the website of AMC www.QuantumAMC.com and the website of AMFI www.amfiindia.com, in a user-friendly, downloadable and machine readable format, within 1 month after the end of each half year. Written communication (including digital modes such as email/SMS etc.) shall be sent to unitholders by the asset management company about the availability of financial results referred above.

(IV) Annual Report

The AMC shall e-mail the scheme annual reports or abridged summary thereof, in machine readable formats, to all such unit holders, whose email addresses are registered with the Mutual Fund, within 4 months from the date of closure of the relevant financial year. The said communication shall also include details of modes such as SMS, telephone, email or written request (letter), etc. through which unit holders can submit a request for a physical copy of the scheme wise annual report or abridged summary thereof.

The physical copies of the scheme wise annual report or abridged summary shall be made available to the investors / unit holders at the registered office of the AMC.

The scheme wise annual report, in machine readable format, shall be hosted on the website of the AMC www.QuantumAMC.com and on the website of AMFI www.amfiindia.com. The AMC shall send an email/SMS to all unitholders regarding the hosting of scheme wise annual report on their website and on the website of AMFI.

A link of the scheme annual report or abridged summary thereof shall be displayed prominently on the website of the Fund and shall also be displayed on the website of AMFI.

Dated: August 31, 2026.

INSTRUCTIONS FOR FILLING UP THE APPLICATION FORM

1. Product Labeling

Quantum Diversified Equity All Cap Active FOF **(An Open-Ended Fund of Funds Scheme investing in diversified Equity Schemes across All Market Caps of Mutual Funds.)**	**Scheme Riskometer**	**Benchmark Riskometer (Tier I)**
This product is suitable for investors who are seeking* · Long Term Capital Appreciation · Investments in portfolio of diversified Equity Schemes across All Market Caps of Mutual Funds	 The risk of the scheme is Very High Risk	 The risk of the benchmark is Very High Risk
Tier I Benchmark - BSE 500 TRI		

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

INSTRUCTIONS FOR FILLING UP THE APPLICATION FORM Continued...

2. General Instructions

a) Please read the Key Information Memorandum, Scheme Information Document (SID) of the respective scheme(s) and Statement of Additional Information (SAI) containing the terms of offer carefully before investing.

b) Please Tick (✓) in the appropriate box (☐), where boxes have been provided.

c) Application Form should be filled legibly in ENGLISH in BLOCK letters using Black or Dark Blue ink. Incomplete application forms are liable to be rejected. Please refer to the checklist at the end of the application form to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and / or rejection of your application.

d) Please strike out any section that is not applicable. Correction/Cancellation on any of the information should be countersigned by the investor.

e) All applicants are deemed to have accepted the terms subject to which the offer is being made and bind themselves to the terms upon signing the Application Form and tendering the payment.

f) In case of applications under Power of Attorney or by a Limited Company or by a Corporate Body or Eligible Institution or a Registered Society or a Trust or a Fund the original Power of Attorney or the certified copy thereof duly notarised and the relevant resolution or authority to make the application, as the case may be including authority granted in favour of the officials signing the application and their specimen signature etc., or duly certified copy thereof alongwith a certified copy of the Memorandum and Articles of Association and/or bye-laws and/or trust deed and/or partnership deed and certificate of registration must be lodged at the office of the Registrar, quoting the serial number of application simultaneously with the submission of the Application Form, failing which the application is liable to be rejected.

g) All communications and payments will be made to the sole/first applicant.

h) While processing the redemption/switch out request in case Quantum AMC/Registrar come across a signature mismatch, then Quantum AMC/Registrar reserves the right to process the redemption/switch only on the basis of supporting documents confirming the identity of the investors. List of such documents would be notified by Quantum AMC from time to time.

i) Investors who wish to make Systematic Investment Plan (SIP) will need to complete and submit the Application Form along with the SIP Application Form.

j) Quantum Mutual Fund does not levy any transaction charges to pay to the distributors.

k) Direct Plan: An investor who has made the investment directly from the Mutual Fund or through RIA (mentioned RIA code) needs to select the Plan as 'Direct'.

Regular Plan: Any investment made through a distributor by mentioning valid distributor details, need to select the Plan as 'Regular'. The AMC will be paying commission to the distributor for such transactions.

Investors are requested to note that, under the Income Distribution cum Capital Withdrawal Option/ Facility, the amounts can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains.

3. Existing Unitholder Information

An existing investor should mention the correct Folio Number & go directly to Section (Investment Details). Investors should note that there will be no change to the existing Investor Profile Details mentioned in the Existing Folio.

4. A) Permanent Account Number (PAN)

Permanent Account Number (PAN) issued by the Income Tax Authorities has been made mandatory for all the applications received in the Scheme , irrespective of the amount of transaction (except MICRO Investments). Accordingly it is mandatory for investor's to provide their PAN along with self-attested copy of PAN Card while making an application for Purchase of Units. In case of joint holding, PAN details of all holders should be submitted. In case the application is on behalf of minor /PoA holder, PAN details of the Guardian/ PoA holder must be submitted. PAN is not mandatory in the case of applicant resident is in the State of Sikkim, Central Government, State Government entities and the officials appointed by the courts e.g. Official liquidator, Court receiver etc (under the category of Government); however sufficient documentary evidence shall have to be submitted for verifying that they are residents of the State of Sikkim. Applications without the aforesaid details are liable to be rejected without any reference to the investors. The PAN Exempt KYC Registration Number (PEKRN) needs to be provided in case of PAN exempt cases.

B) Know Your Customer (KYC)

According to SEBI Guidelines under 'The Prevention of Money Laundering Act, 2002, Mutual Funds are required to follow enhanced Know Your Customer (KYC) norms. Accordingly, it will be mandatory for all categories of investors to be KYC Compliant, irrespective of the amount of investment. Categories include:

i. Individual investors.

ii. Non-individual investors such as Companies, Body Corporates, Association of Persons, Banks, Financial Institutions, Funds, Trusts, Societies, Hindu Undivided Family (HUF), Partnership Firms, Foreign Institutional Investors, Limited Liability Partnerships etc.

iii. Non-Resident Investors (NRIs), including Persons of Indian Origin (PIOs).

The above category of investors for the purpose of KYC compliance shall include their constituted Power of Attorney (POA) holder, in case of investment through a POA and each of the applicants, in case of application in joint names and also the guardian in case of a minor. Investors investing through Micro Investments investors residing in the state of Sikkim or UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India or in case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc. are exempt from the mandatory requirement of PAN proof submission, however they are required to mandatorily submit KYC Acknowledgment copy while making an investment in Quantum Mutual Fund. Please refer to our website www.QuantumAMC.com and AMFI website www.amfiindia.com and SEBI registered intermediaries for KYC compliance where the completed forms and the relevant documents are to be submitted. Applications for subscriptions without a valid KYC Compliance for the relevant category of investors may be rejected.

In case during first time purchase the investor's KYC verification/ Compliance is under process, subsequent purchase shall not be permitted till the investor is KYC Compliant.

In the event of non compliance of KYC requirements, Quantum AMC reserves the right to freeze the folio of the investor(s) for any kind of transactions or affect mandatory redemption of unit holdings of the investors at the Applicable NAV, subject to payment of exit load, if any. Investors, who have obtained MIN allotment letter by submitting the PAN copy, are deemed to be KYC compliant. Investors should note that on completion of KYC Compliance address details of the investor in the Mutual Fund records will be replaced by the details as given in KYC Application Form by the investor to the KRA. Any change in this details like change of Name / Address /Status, etc. should be given by Investor directly to KRA in the prescribed Change request form quoting PAN number. Quantum AMC reserves the right to call for any additional information from the investors/ applicant/ reject applications/subsequent application in order to fulfill the requirements of PMLA norms prescribed by SEBI/PMLA Regulations from time to time.

Quantum AMC further reserves the right to source data/ documents/ information/ specimen signature from third party/ KRA and that Quantum AMC has the right to use the same/ specimen signature for validation to process any future transactions that are submitted by the investor.

5. Employee Unique Identification Number (EUIN)

Investor investing through Intermediary shall mandatorily mention the EUIN on the application form, irrespective whether he/she has been advised by Sales person/ Employee/ Relationship manager of the Intermediary or not. However, in case of any exception cases, where there is no interaction by Sales person / Employee / Relationship manager of the intermediary with respect to the investment / transaction, the EUIN box may be left blank. If left blank, However, in case of any exceptional cases where there is no interaction by the employee/sales person/ relationship manager of the distributor/sub broker with respect to the transaction, investors are required to provide a duly signed declaration to this effect, as given in the Form.

It is mandatory to obtain EUIN for every Sales person / Employee / Relationship manager of the Intermediary for dealing (irrespective whether transactions are in the nature of execution or advisory) in mutual fund products. EUIN will assist in tackling the problem of mis-selling even if the employee/relationship manager/ sales person leaves the employment of the ARN holder / sub broker.

6. Applicant Information

a. Name should be given in full without any abbreviations. Preferably write exactly as it appears in your Bank Account & KYC records or as it appears in the incorporation document or demat account as the case may be.

b. Name, Date of birth of the Minor, Name of Parent/Guardian and relationship with minor is mandatory for investment on behalf of a Minor applicant, proof of date of birth and relationship proof is mandatory.

c. Name of the Contact Person, email and Telephone no. should be mentioned in case of investments by Company, Body Corporate, Trust, Society, FII and other non-individual applicants.

d. The signature should be in English or in any of the Indian languages. Thumb Impressions must be attested by a magistrate or a notary public or a special executive magistrate under his/her official seal. Application by minor should be signed by the guardian. In case of H. U. F., the Karta should sign on behalf of the H. U.F.

e. The designated Investor Service Center/ Official Point of Acceptance will affix time stamp/manual stamp and return the acknowledgement slip from the application form, to acknowledge receipt of the application. No separate receipt will be issued for the application money.

f. Please fill in all the fields to prevent rejection of your Application Form. Please refer to the checklist provided at the end of the Application Form to ensure that the necessary details and attachments are made available. The application complete in all respects along with the cheque/ fund transfer instructions must be submitted to the nearest designated Investor Service Center. Official Points of Acceptance Applications which are incomplete, invalid in any respect or not accompanied by cheque or fund transfer instructions for the amount payable are liable to be rejected.

g Investors must write the Application Form number / Folio number on the reverse of the cheques accompanying the Application Form.

h. Name and address must be given in full (P.O. Box Address alone is not sufficient).
In case of NRIs/FIIs 'Overseas Address' should also be provided failing which application may be rejected. In addition, Indian address should be stated for correspondence.

i. Differently abled here refers to people with Blindness, Low vision, Leprosy-cured, Hearing impairment, Loco motor disability, Mental retardation, Mental illness etc. as defined under the Persons with Disabilities Act, 1995, which ensures Participation and Equality of the People with Disabilities. This is as per the definition laid down by the Department of Empowerment of Persons with Disabilities, Government of India. https://thenationaltrust.gov.in/upload/uploadfiles/files/Persons%20with%20Disability%20Act%201995.pdf

j. Some additional details are required for validating and identifying for certain transactions / Communications. Hence please fill annual income, mobile number and email id in case of all applicants.

k. Please provide email ID & Mobile Number, this will help us send investment / product related communication and resolve any queries more promptly. This is compulsory for all electronic/telecommunication facilities available now and to be introduced in future. Please declare if the Email Id and Mobile Number of the respective applicants belongs to Self / Spouse / Dependent Children / Dependent siblings / Dependent Parents / Guardian

l. In the event the application has more than one investor and the mode of holding is not specified in the application form, the default option for holding would be considered to be "anyone or survivor". However, in all such cases, communications, proceeds of all income distribution/redemption will be paid to the first named holder.

m. The minor shall be the first and the sole holder in an account. There shall not be any joint accounts with minor as the first or joint holder. Investments shall be accepted from the bank account of the minor, parent or legal guardian of the minor, or from a joint account of the minor with parent or legal guardian. For existing folios needs to Change of Pay-out Bank mandate before redemption is processed. Irrespective of the source of payment for subscription, all redemption proceeds shall be credited only in the verified bank account of the minor, i.e. the account the minor may hold with the parent/ legal guardian after completing all KYC formalities.

n. Date of birth of the minor along with photocopy of supporting documents as enumerated below shall be mandatory while opening the account on behalf of minor:

   1. Birth certificate of the minor, or 2. School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., or 3. Passport of the minor, or 4. Any other suitable proof evidencing the date of birth of the minor.

o. Guardian in the folio on behalf of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian.

p. If guardian is a legal guardian then a court order duly notarized copy is to be attached along with the application.

7. Power Of Attorney

Applicants intending to apply for units through a Power of Attorney (POA) must ensure that the issuer of the POA and the holder of the POA must attach proof of KYC Compliance to be provided at the time of investment.

8. Online / Telephonic PIN

An online PIN is generated at the time of the online transaction for a new investment from our website / mobile application which is sent to the email id furnished while investing. It is used for making online transactions from our website like Insta-Redemption, Multiple Bank Account Registration, Nomination, and Folio Consolidation. Investor can also map offline folio online using a PIN. It can be also generated online through our website, in case investor unable to recollect. There is a "TPIN" that can be used to know the folio details in the IVR.

9. Electronic/ Telecommunication Facility

Electronic communication will be sent to folios where email id is available. Newsletters, addenda and other general information will be sent via email only. Physical copies of the same will not be sent. As per SEBI guidelines, Annual Report will be forwarded to the registered email id i.e. registered with the AMC. In case unitholder desires to receive physical copy of the same need to tick on the box accordingly.

10. Bank Account Details

SEBI Regulations have made it mandatory for investors to mention the Bank Name & address of branch and Bank Account Number in their Investment application form in order to protect the interest of investors from fraudulent encashment of cheques. For registering multiple bank accounts please fill separate Form for Registering/ Adding/cancellation of Multiple Bank Accounts. Individuals / HUF can register upto 5 bank accounts and Non Individuals upto 10 bank accounts.

Please attach either a Cancelled Cheque with first applicant name and account number pre-printed on the face of the cheque or a Bank Statement/ Certified bank passbook with current entries not older than 3 months or a Bank Letter/Certificate duly signed by Bank Branch Manager/Authorized Personnel. If photocopy of any document is submitted, the copy should be certified by the Bank Manager or self attested copy along with Original documents for verification.

Additionally the AMC may call for any additional documents, wherever required on case to case basis."
In case the investor is a minor, the bank account details for redemption purpose should be of the minor i.e. the minor should be an account holder in the bank account.

PAYMENT OF REDEMPTION /INCOME DISTRIBUTION:

In case of receipt of redemption request with new bank account there will be a cooling period of 10 calendar days for validation of new Bank mandate and dispatch of redemption proceeds shall be completed within 10 business days. In case the bank change request received along with the redemption request is invalid, then the change of bank will not be processed and redemption/income distribution proceeds, if any, will be processed as per the last registered Bank account in the folio.

In the case of NRIs, payments shall be:

(i) Credited only to NRE account of the NRI investor where the payment for purchase of Units repurchased/ redeemed was made out of funds held in NRE account or

(ii) Credited, at the NRI investor's option, to his/her NRO account, where the payment for the purchase of the Units repurchased /redeemed was made out of funds held in NRO account or

(iii) Remitted abroad or at the NRI investor's option, credited to his/ its NRE/FCNR/NRO account, where the Units were purchased on repatriation basis and the payment for the purchase of Units repurchased / redeemed was made by inward remittance through normal banking channels or out of funds held in NRE/FCNR account.

Investors are requested to provide the following details along with the mandatory requirement of bank account details (bank, branch address, and account type and account no.) in the application form for electronic fund transfer (EFT) of income distribution / redemption amount to the unit holders bank account. Quantum AMC will automatically extend this facility to all unit holders in case the bank account as communicated by the unit holder is with any of the bank providing EFT facility.

The 11 digit IFSC (Indian Financial System Code) is to be mandatorily given.

Based on the above information AMC will enable secure transfer of redemption and income distribution via the various electronic modes of transfers (RTGS /NEFT / Direct Credit mode that are available in the banking system).

This facility of EFT is safe and fast and eliminates the potential risk of loss of instruments in transit through physical mode. The Mutual Fund, however, reserves the right to issue a cheque / demand draft to unit holders where this facility is not available. "If the remittance is delayed or not affected for reasons of incomplete or incorrect information, Quantum AMC cannot be held responsible". For validation of IFSC, investor shall attach the cancelled cheque/ copy of cheque. If these documents are not provided the fund will not be responsible for consequent delay in receipt of payment. Fund is also not responsible for bankers delay.

11. Mode Of Payment

1. Quantum Mutual Fund shall not accept applications for subscriptions with third party payment instruments.

2. Investors may make payment by single Cheque payable locally in the city where the application form is submitted at Quantum AMC/ K-Fin Technologies Collection Centers or electronic mode such as RTGS/ NEFT directly to Mutual Fund Collection account.

3. The cheque should be drawn on any bank which is situated at and is a member/sub member of the bankers clearing house. Cheque drawn on the bank not participating in the clearing house will not be accepted.

4. Payment through Stock invest, outstation cheques and cash will not be accepted.

5. The cheque should be drawn in favor of "Quantum Mutual Fund A/c PAN (number)" or Quantum_(Scheme name) A/c PAN (number)" and crossed 'Account Payee Only'.

6. Returned cheques will not be presented again for collection and the accompanying application will be rejected.

7. Single cheque for investments in multiple schemes and multiple cheques for investments in Single Scheme will not be accepted.

8. If the income distribution amount under Payout of IDCW Facility/ Option in QLF and QDBF is less than or equal to ₹500/- and ₹100/-respectively the same will be reinvested.

10. **If paid by RTGS, Bank Account-to-Account Transfer, NEFT, ECS etc.:** Investors should attach to the purchase application form, an acknowledged copy of the instruction to the bank also stating the account number debited. The account number mentioned on the transfer instruction copy should be a registered bank account or the first named applicant/investor should be one of the account holders to the bank account. debited for such electronic transfer of funds.

11. **On Repatriation Basis:** In the case of NRIs including PIOs, payment may be made either by inward remittance through normal banking channels or out of funds held in his Non - Resident (External) Rupee Account (NRE) Foreign Currency (Non- Resident) Account (FCNR). In case Indian rupee drafts are purchased abroad or from Foreign Currency Accounts or Non-resident Rupee Accounts an account debit certificate from the Bank issuing the draft confirming the debit shall also be enclosed. FIIs shall pay their subscription either by inward remittance through normal banking channels or out of funds held in Foreign Currency Account or Non- Resident Rupee Account maintained by the FII with a designated branch of an authorized dealer in accordance with the relevant exchange management regulations.

    On non-repatriation basis: NRIs or person of Indian origin residing abroad investing on a non-repatriable basis may do so by issuing cheques/demand drafts drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Official Points of Acceptance are location.

12 A. Payment through electronic mode RTGS/NEFT:

i. For purchase through RTGS / NEFT, the investor needs to first complete the fund transfer formalities with the Bank.

Please note the Quantum Mutual Fund account details for the RTGS / NEFT:(Other Bank Investors)

Bank Name	HDFC Bank
Bank A/c Type	Current A/C
Beneficiary Account Number (QUANTUM and PAN Details)	QUANTUMABCDE1234F
Beneficiary Name	QUANTUM MUTUAL FUND
Branch Address	Sandoz Branch
IFSC Code	HDFC0000240

ii. After completing the fund transfer through RTGS / NEFT mode, the investor needs to mention the payment reference number under the section 'Payment Details' in the main application form.

iii. Enclose the fund transfer proof alongwith the application form.

13. Please register additional banks through which investor want to invest by filling and submitting Multiple Bank Mandate along with supporting documents

CHECKLIST (Please submit the following documents with your application (where applicable). For Investors other than Individuals please also submit declaration of Beneficial Ownership (as applicable) as per format available on www.QuantumAMC.com All documents should be original/true copies certified by a Director/Trustee /Company Secretary /Authorised signatory / Notary Public.)

Documents	Individual	Minor	NRI	Investment through POA	Sole Proprietorship	HUF	Companies / LLP	Societies	Partnership Firms	Trusts	FII's*	PIO	FPI#
Self Certified PAN Card copy	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
KYC Compliance acknowledgement	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Declaration under FATCA	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Resolution/ Authorization to invest							✓	✓	✓	✓	✓		✓
"List of authorized signatories with specimen signatures"				✓			✓	✓	✓	✓	✓		✓
Memorandum & Articles of Association							✓						
Trust Deed										✓			
Declaration of Ultimate Beneficial Ownership						✓	✓	✓	✓	✓	✓		✓
Bye-laws								✓					
Partnership Deed									✓				
Certificate of Registration											✓		✓
Notarized POA				✓									
Proof of Date of Birth		✓											
Proof of Relationship		✓											
PIO card												✓	
Foreign Inward Remittance Certificate												✓	
NPO Declaration								✓		✓			

*For FII's copy of SEBI registration certificate should be provided. #Certificate of registration granted by designated depository participants on behalf of SEBI.

12. Nomination Details

1. Nomination is mandatory for all the folios/accounts, where the mode of holding is single, or the folio/ account is opened by individual without any joint holding. New subscriptions received from individuals without nomination will be rejected. In case investor do not wish to nominate they need to submit "Nomination opt-out form".
2. The requirement of nomination shall be optional for jointly held accounts / folios.
3. Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate. The Nominee should be only individual investors.
4. Nomination is not allowed in a folio of a Minor unitholder.
5. Investor can make nomination or change nominee any number of times without any restriction.
6. The signatories for this nomination form in joint folios / account, shall be the same as that of your joint MF folio account. i.e. - 'Either or Survivor' Folios / Accounts - any one of the holders can sign - 'Jointly' Folios / Accounts - both holders have to sign.
7. A minor can be nominated and, in that event, the name and address of the guardian of the minor nominee shall be provided by the Unitholder (optional). The guardian should be a person other than the Unitholder.
8. In case all your nominees do not claim the assets from the AMC / DP, then the residual unclaimed asset shall continue to be with the AMC in case of MF units and with the concerned Depository incase of Demat account.
9. A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
10. Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of THREE nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If the total percentage of allocation amongst multiple nominees does not add upto 100%, we shall apportionment the assets equally among all the nominees.
11. In case of fresh nominee registrations, existing nominee details will be overwritten across the schemes under the folio.
12. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / account.
13. Nomination shall stand rescinded upon the transfer of units.
14. Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, then the assets shall be distributed to the surviving nominees on pro rata basis upon demise of the investor, as illustrated in below the table.

% share as specified by investor at the time of nomination		% assets to be apportioned to surviving nominees upon demise of investor and nominee			
Nominee	% share	Nominee	% initial share	% of A's share to be apportioned	Total % share
A	60%	A	0	0	0
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
Total	100%	-	40%	60%	100%

15. Legal heir(s) of nominee shall not be eligible to inherit the assets of the investor, if the nominee predeceases the investor.
16. Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).
17. The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
18. In respect of folios/accounts where the Nomination has been registered, AMC shall transmit the assets in the account / folio to the registered nominee(s) for effecting its due discharge. In absence of nomination, AMC shall transmit the assets in the account / folio to either; the legal heir(s) or legal representative(s) of the youngest of the joint holders as per the rules of intestate succession or as per the Will of the latter, as the case may be, after following the prescribed procedure.
19. In case of accounts / folio held by Hindu Undivided Family (HUF), upon the death of the Karta as recorded, the new Karta as constituted under applicable law, would be entitled to operate such an account/folio. In the absence of new Karta, the regulated entity shall effect transmission of account / folio as per dissolution deed and other criteria defined by the respective SRO / industry body in consultation with the SEBI.
20. The nominees(s) shall receive the assets of deceased sole account / sole holder(s) as trustee on behalf of legal heir(s) of deceased holder(s) thereby effecting due discharge of concerned regulated entity
21. For displaying the nominee details in SOA, the investor can either opt to display the name(s) of the nominee(s) or confirm whether the nomination has been made by the investor – Yes/No. If neither option is selected, the default display mode will be set to Yes/No.
22. Investors shall mandatorily provide the following.
    a) any one of the following personal identifiers of the nominee – PAN or Driving Licence number or last 4 digits of Aadhaar (only the document number is required to be provided; not the document) or Passport Number
    b) full contact details of nominee(s) such residential address, e-mail address, telephone / mobile number
    c) relationship of nominee(s) with the investor
    d) Date of birth of nominee(s) (if nominee is a minor)

13. Demat Account

The unit holders would have an option to hold the units in dematerialized form. The applicant intending to hold units in dematerialized form will be required to have a beneficiary account with a Depository Participant (DP) of the NSDL/CDSL and will be required to mention in the application form DP ID, DP Name and Beneficiary Account No. with the DP name at the time of subscribing to the units of the Scheme.

Investors have to ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant. If the details mentioned in the application are incomplete/ incorrect, not matching with the Depository data, then Units will be issued in Physical mode and Statement of Account will be issued to the Unit holder.

For units held in demat form, the KYC performed by the Depository Participant of the applicants will be considered as KYC verification done by the Trustee/AMC.

In case of holding in demat account the bank mandate, mode of holding, nominee details, contact details etc. will be as per the details stated in the demat account and not as per this application.

In case an investor desires to redeem , the redemption request can be placed through Depository Participants and Exchanges specified intermediaries where NSE MFSS/ BSE StAR MF platform is available for redeeming of Mutual Fund Units.

Kindly note that facility to subscribe/ hold units in demat form is not available for Quantum Liquid Fund - Reinvestment of Daily IDCW Facility, Switches and STP investments.

In case of Unit holders holding units in demat (electronic) mode, a demat statement will be sent by Depository Participant to the Unit holders.

14. List Of Documents

Investor are requested to enclose the relevant documents as listed in the check list of accompanying documents depending on the applicant's status and tick in the box against the document.

15. FATCA and CRS Details

FATCA is a tax reporting regime that obligates all financial institutions to report information to the relevant tax authorities about U.S reportable persons and certain entities in which U.S. persons hold a substantial ownership interest. India signed the Inter-Governmental Agreement (IGA) with the U.S. on July 9, 2015.

CRS is the OECD' & G-20's Model Competent Authority Agreement for multilateral tax information sharing. It enables automatic exchange of tax information based on the Standard through bilateral tax treaty networks. India signed the CRS Agreement on June 3, 2015. The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which requires Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. For meeting compliance requirements, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. If there is any change in the information provided, kindly intimate the same to us within 30 days.

16. SIP TOP-UP

It is a facility wherein an investor who is enrolling for SIP has an option to increase the amount of the SIP installment by a fixed amount at predefined intervals. Thus, an investor can progressively start increasing the amount invested, allowing him/her to gradually increase the investment corpus in a hassle-free manner. The silent features of the said facility are as follows:

- SIP TOP-UP facility is available in Monthly and Quarterly frequency only.
- Investor can TOP-UP the SIP an amount in fixed intervals with minimum amount of Rs 100/- in multiple of Re.1. For Quantum ELSS Tax Saver Fund Top Up minimum and in multiples of Rs. 500/ only.
- At presently, SIP Top- UP facility is applicable to an Investor who is enrolling for a new SIP.
- The investor can choose a frequency for the Top Up depending on the SIP frequency being opted. In case of a Monthly SIP, the investor can choose either a 'Half-yearly' or 'Annual' based Top-up frequency; in case of a Quarterly SIP, the top-up is available 'Annual' frequency only. In case SIP Top-Up frequency is not mentioned, the default frequency will be considered as 'Annual" for both monthly and Quarterly SIP.
- Investor shall have flexibility to choose either Top-Up Cap on **Amount or Period** (month- year). In case of multiple selection, Top-Up Cap amount will be considered as default selection.
- Investor opted to freeze the SIP top up amount once it reaches a fixed predefined amount. Once the SIP amount was reached freezing amount, the same amount will be considered as SIP instalment amount till the end of the SIP tenure. The fixed pre-defined amount should be same as the maximum amount mentioned by the investor in the bank mandate in case of difference between the Cap amount & the maximum amount mentioned on bank mandate, then amount which is lower of the two amounts shall be considered as the default amount of SIP Cap amount.
- Investor opted to freeze the SIP top up tenure once it reaches the said period, SIP TOP-UP will be stopped, and SIP amount will be considered as SIP instalment amount till the end of the SIP tenure. The fixed pre-defined period should be same as the end of the period of the mentioned by the investor in the bank mandate in case of difference between the end date and Cap period & the maximum period mentioned on bank mandate, then end date will be the earlier of the two dates.
- In case of no selection, TOP-UP freeze date or Cap amount not selected, then as a default amount of 10 Lakhs will be considered as Cap amount. Under the said facility, SIP amount will remain constant from Top - Up Cap date/ amount till the end of SIP Tenure.
- The Top-up details cannot be modified once enrolled. In order to make any changes, the investor must cancel the existing SIP and enrol for a fresh SIP with Top-up option.
- For further details and Forms, investors are requested to refer our website (www.QuantumAMC.com) or visit nearest collection centre of our Registrar viz. KFin Technologies Limited

COMMON APPLICATION FORM

(Continuous Offer of units at Applicable NAV)

Check Your KYC Status

Quantum Mutual Fund - 1st floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400 020 | www.QuantumAMC.com

1. Distributor Information

Name & ARN Code	Sub - Broker ARN	Sub - Broker Code	Employee Unique	E-Code/RM Code	RIA Code/EOP Code
ARN -	ARN -	Internal Code	Identication No. (EUIN) E		

***RIA/Declaration:** "I/We, have invested in the scheme(s) of Quantum Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all schemes of Quantum Mutual Fund, to the above mentioned SEBI Registered Investment Adviser."

☐ **EUIN Declaration:** I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/ sub broker. (All sections to be filled in English and in BLOCK LETTERS). Fields marked with (*) are mandatory.

2. Application for (Please ✓ any one) ☐ Lumpsum ☐ Lumpsum with SIP/STP/SWP* ☐ SIP without cheque* ☐ Zero Balance Folio*

*For registration of **SIP, STP and SWP** a separate duly completed and signed request form must be submitted for each facility.
*__Zero-balance folios__ are permitted for **Institutional Investors**, subject to the applicable regulatory guidelines

3. Existing Unit Holder Information (Please note that Applicant details & mode of holding will be as per existing Folio Number)

Folio No. | Name of 1st Applicant

4.	*PAN/PEKRN	Date of Birth (Mandatory)	CKYC Details (KIN Number, if any)
1st Applicant/Minor		D D M M Y Y Y Y	
2nd Applicant		D D M M Y Y Y Y	
3rd Applicant		D D M M Y Y Y Y	
Guardian/POA		D D M M Y Y Y Y	

5. *Applicant Information (To Be Filled In Block Letters)

MODE OF HOLDING (Please tick ✓) ☐ **SINGLE** ☐ **JOINT** ☐ **ANY ONE OR SURVIVOR(S)** (Default option)

NAME OF SOLE/ 1ST APPLICANT Mr. Ms. M/s.

GENDER ☐ Male ☐ Female ☐ Others

Guardian (In case of Minor)/Authorised Person (In case of non individual applicant)

Mr. Ms. M/s.

RELATIONSHIP WITH MINOR Father ☐ Mother ☐ Legal Guardian Note: If Guardian is a Legal Gaurdian, please submit duly notorised copy of court order along with application.

RELATIONSHIP PROOF (With specified Guardian) ☐ Birth Certificate ☐ Passport ☐ Other _____

PROOF OF DOB (Incase of Minor) ☐ Birth Certificate ☐ School leaving Certificate ☐ Passport ☐ Other _____

If the sole/first applicant is differently abled; then please tick the prefered mode of communication: ☐ Email & SMS ☐ Voice ☐ Both

LEI code | valid up to D D M M Y Y Y Y

Legal Entity Identifier Number is Mandatory for transaction value of INR 50 crore and above for non-Individual investors.

ADDRESS: Mailing Address of Sole/First Applicant (P.O Box alone may not be sufficient) This address will be replaced with the address as per your KYC records on validation of your KYC data. Overseas Investor must provide Indian

CITY | **STATE** | **COUNTRY** | **PIN CODE**

Contact Details of Sole/1st Applicant **Mobile No.** | **Email ID**

This Mobile No. belongs to (Mandatory Please ✓): ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ Custodian ☐ PMS ☐ POA

This Email ID belongs to (Mandatory Please ✓): ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ Custodian ☐ PMS ☐ POA

Overseas Address (mandatory for NRI/FII applicant). Applications from investors residing in USA or Canada shall not be accepted Address for correspondence (for NRI Applicants) ☐ Indian ☐ Overseas

CITY | **COUNTRY** | **ZIP CODE**

Note: The address provided by you above will be replicated with the address as per KYC record

NAME OF THE 2ND APPLICANT Mr. Ms. M/s.

Mobile No. | **Email ID**

ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

Quantum Mutual Fund - 1st Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400020. www.QuantumAMC.com

Received from: Mr./Ms./M/s _____

An application for purchase units of Scheme of Quantum Mutual Fund

Date D D M M Y Y Y Y

Scheme and cheque details overleaf. Cheques are subject to realisation.

Collection Center's Stamp & Receipt Date and Time

This Mobile No. belongs to (Mandatory Please ✓): Self Spouse Dependent Children Dependent Siblings Dependent Parents Guardian Custodian PMS POA

This Email ID belongs to (Mandatory Please ✓): Self Spouse Dependent Children Dependent Siblings Dependent Parents Guardian Custodian PMS POA

NAME OF THE 3RD APPLICANT Mr. Ms. M/s

Mobile No. **Email ID**

This Mobile No. belongs to (Mandatory Please ✓): Self Spouse Dependent Children Dependent Siblings Dependent Parents Guardian Custodian PMS POA

This Email ID belongs to (Mandatory Please ✓): Self Spouse Dependent Children Dependent Siblings Dependent Parents Guardian Custodian PMS POA

5. Tax Status (Applicable For First/Sole Applicant)

Resident Individual FIIs NRI-NRO HUF Society Company Body Corporate Club/Society PIO Minor
Government Body Trust NRI-NRE Bank & FI Proprietorship Firm Partnership Firm QFI Provident Fund
NRI minor with gaurdian Others _____

Additional KYC Details

Occupation	Professional	Agriculturist	Housewife	Retired	Government Service/Public Sector	Business	Forex Dealer	Student	Private Sector Service	Others
1st Applicant										
2nd Applicant										
3rd Applicant										
Guardian										

Gross Annual Income Details	Below 1 Lac	1-5 Lacs	5-10 Lacs	10-25 Lacs	25 Lacs-1 Crore	>1 Crore	Net-worth in Rs.	Date
1st Applicant							(Net worth should	D D M M Y Y Y Y
2nd Applicant							not be older	D D M M Y Y Y Y
3rd Applicant							than 1 year)	D D M M Y Y Y Y
Guardian								D D M M Y Y Y Y

PEP Details	1st Applicant	2nd Applicant	3rd Applicant	Guardian
Are you a Politically Exposed Person (PEP)	Yes No	Yes No	Yes No	Yes No
Are you related to a Politically Exposed Person (PEP)	Yes No	Yes No	Yes No	Yes No

For Non-Individual Investors (Please ✓)

Is the company a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company: Yes No (if No, Mandatory to provide the UBO declaration)

Yes No **Foreign Exchange/Money Charger Services** Yes No **Gaming/Gambling/Lottery/Casino Services** Yes No **Money Lending/Pawning**

NPO DECLARATION (Mandatory for Trust and Society) - For NPO declaration kindly visit our website

7. Power Of Attorney (POA)

POA Name Mr./Ms.

If investment is being made by a Constitutional Attorney, please submit notarised copy of POA

8. *Bank Account Details

A/c Type [please ✓] SB Current NRO NRE FCNR

Bank Name Branch

Account No IFSC MICR Code City Pin Code

*Mandatory - Please attach either a Cancelled Cheque with first applicant name and account number pre-printed on the face of the cheque or a Bank statement/certified bank passbook with current entries not older than 3 months or a bank letter/Certificate duly signed by Bank Branch Manager/ Authorized Personnel.

9. *Investment Details / Payment Details

Scheme Name	Plan	Option	Sub Option	Amount
Quantum				
Quantum				
Quantum				
Mode of Payment RTGS/NEFT Cheque Fund Transfer Cash			**Total**	

Date	Amount	Drawn on Bank & Branch	NEFT/RTGS/Cheque No.	Bank Account No. (NEFT/RTGS/Cheque)
D D M M Y Y Y Y				

In case of multiple Schemes, mention "Quantum Mutual Fund - Collection Account" on the payment instrument.

Applicable to minor (incase payment done other than the minor account)

Payment/bank account holder name _____ relationship with minor Father Mother Legal Guardian

Note: (1) Relationship proof with minor required (2) If payment done by Legal Guardian, please submit duly notarized copy of court order along with application.

ACKNOWLEDGEMENT SLIP (To be continued)

Quantum Mutual Fund - 1st Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400020. www.QuantumAMC.com

Investment Details / Payment Details

Scheme Name	Plan	Option/ Sub Option	Cheque No.	Amount
Quantum				
Quantum				
Quantum				
			Total	

10. FATCA And CRS Details For Individuals (Including Sole Proprietor) (Mandatory) The Below inforamtion is required for all applicants/guardian

	Place/City of Birth	Country of Birth	Country of Citizenship/Nationality
1st Applicant/Guardian			☐ Indian ☐ U.S. ☐ Others Please specify
2nd Applicant			☐ Indian ☐ U.S. ☐ Others Please specify
3rd Applicant			☐ Indian ☐ U.S. ☐ Others Please specify
POA Holder			☐ Indian ☐ U.S. ☐ Others Please specify

Are you a tax resident (i.e. are you assessed for tax) in any other country outside India? ☐ **Yes** ☐ **NO** (Please tick ✓)

If "YES" please fill for ALL countries (other than indian in which you are a Resident for tax purpose i.e. where you are a Citizen/Resident/Green Card Holder/Tax Resident in the respective countries)

	Country of Tax Residency#	Tax Indentification Number or Functional Equivalent	Indentification Type* (TIN or other please specify)	If TIN is not applicable Please tick (✓) the reason [as defined below]
1st Applicant/Guardian				Reason ☐ A ☐ B ☐ C
2nd Applicant				Reason ☐ A ☐ B ☐ C
3rd Applicant				Reason ☐ A ☐ B ☐ C
POA Holder				Reason ☐ A ☐ B ☐ C

#To also include USA, where the individual is a citizen/ green card holder of USA. *In case Tax Identification Number is Not available, kindly provide its functional equivalent.

➤ Reason A ➔ The country where the Account Holder is liable to pay tax does not issue TIN to its residents.

➤ Reason B ➔ No TIN required [Select this reason only if the authorities of the respective country of tax residence do not required the TIN to be collected]

➤ Reason C ➔ Others – Please specify the reasons _____

11. *Nomination Details	1st Nominee	2nd Nominee	3rd Nominee
Name of the Nominee(s)* (as in PAN card/KYC records)			
Date of Birth			
Relationship with Investor*	☐ Father ☐ Mother ☐ Sister ☐ Daughter ☐ Brother ☐ Husband ☐ Wife ☐ Son ☐ Spouse Other _____	☐ Father ☐ Mother ☐ Sister ☐ Daughter ☐ Brother ☐ Husband ☐ Wife ☐ Son ☐ Spouse Other _____	☐ Father ☐ Mother ☐ Sister ☐ Daughter ☐ Brother ☐ Husband ☐ Wife ☐ Son ☐ Spouse Other _____
POI Document/Number*	☐ PAN _____ ☐ Driving Licence _____ ☐ AADHAR (last 4) _____ ☐ Passport _____	☐ PAN _____ ☐ Driving Licence _____ ☐ AADHAR (last 4) _____ ☐ Passport _____	☐ PAN _____ ☐ Driving Licence _____ ☐ AADHAR (last 4) _____ ☐ Passport _____
Address*			
Guardian Name (in case Nominee is a Minor)			
Share of Nominee Allocation % (Total to be 100%)*			
Mobile / Telephone no. of Nominee(s) / Guardian in case of minor*			
Email Id of Nominee(s) / Guardian in case of minor*			

*Mandatory to provide in case of nomination

I / We want the details of my / our nominee to be printed in the statement of holding, provided to me/ us by the AMC / DP as follows;

(please tick, as appropriate) ☐ Name of nominee(s) ☐ Nomination: Yes/No. **OR** I do not wish to Nominate

☐ I hereby confirm that I do not wish to appoint any nominee(s) to my demat account/ mutual fund folio at this point of time.
I understand that – (i) the nomination helps to quickly identify the person for transfer of securities and helps in faster and smoother transmission of my securities to my legal heir(s) after my demise. (ii) in the absence of a nomination, my legal heir(s) may require the submission of certain additional legal or court-issued documents which may delay the process of transmission of securities to my legal heir(s). (iii) if no claim is made on the account / folio for a prolonged period after my demise, the holdings may be treated as unclaimed assets, and they may be transferred to Investor Education and protection Fund Authority (IEPF) in accordance with the applicable regulatory framework. I confirm that I have understood the above implications and that my decision to opt out of nomination is voluntary.

12. Demat Account Details (Please ✓)

☐ NSDL ☐ CDSL Enclose: ☐ Client Master List ☐ Transaction/Holding Statement ☐ DIS Copy

I would like to be allotted units in DEMAT mode. ☐ Yes ☐ No (Please ✓) (Non - ticking of this box would result in allotment of units in physical form).

Please ensure that the name of the investor in the application form matches with the account held with the depository participant.

NSDL I N _____ Beneficiary A/c No. (NSDL Only) _____ CDSL _____

13. Physical Copy

☐ Opt In to receive the physical copy of Annual Report/Statement of Account (SOA)

Declaration and Signature(s): I/We read and understood the contents of the Scheme Information Document and Statement of Additional Information and subsequent amendments thereto including the section on who cannot invest, "Prevention of Money Laundering" and "Know Your Customer", I/We hereby apply to Quantum Mutual fund for units of the Scheme as indicated above and agree to abide by the terms and conditions, rules and regulations of the Scheme. I/We further declare, I am / we are authorised to invest the amount & that the amount invested by me/us in the above mentioned Scheme(s) is derived through legitimate sources and is not held or designed for the purpose of contravention of any acts, rules, regulations or any statute or legislation or any other applicable laws or notifications, directions issued by the governmental or statutory authority from time to time. It is expressly understood that I/We have the express authority from our constitutional documents to invest in the units of the Scheme(s) and the AMC/Trustee/Fund would not be responsible if the investment is ultra vires thereto and the investment is contrary to the relevant constitutional documents.

I hereby give my consent to receive various Communications, emails, SMS, alerts and notifications statutory or otherwise including of products of Quantum Mutual Fund and also to receive call from Quantum AMC related to products and transactions in Quantum Mutual Fund even though my mobile number is registered under the National Do Not Call Registry (NDNC). Please read our complete private policy here https://www.quantumamc.com/privacy-policy.

Applicable to NRI only: I/We confirm that I am / we are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels from funds in my/our Non-Resident External/Ordinary Account/FCNR Account. Please (ü) (Including amount of Additional Purchase Transaction made in future)

Signature(s) Date D D M M Y Y Y Y Place _____

Sole/1st Applicant/Guardian/ Authorised Signatory	2nd Applicant / Authorised Signatory	3rd Applicant / Authorised Signatory

Reset Save

SIP REGISTRATION *along with Top-Up Form*

Quantum Mutual Fund - 1st floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400 020 | www.QuantumAMC.com

Name & ARN Code	Sub - Broker Code	Employee Unique Identication No. (EUIN)	RIA Code/EOP Code	E-Code / RM Code
ARN -	ARN -	E		

***RIA/Declaration:** "I/We, have invested in the scheme(s) of Quantum Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all schemes of Quantum Mutual Fund, to the above mentioned SEBI Registered Investment Adviser:"

☐ **EUIN Declaration:** I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/ sub broker. (All sections to be filled in English and in BLOCK LETTERS). Fields marked with (*) are mandatory.

1. Investor And Investment Details

PAN _____ Folio No. (For Existing Investor) _____

Sole/First Investor Name _____ (as appearing in ID proof)

2. SIP Details

☐ New Mandate along with SIP form (Fill point no. 4)

Existing SIP Registration Mode ☐ OTM ☐ K-OTM

OTM Reference No. _____ (if Multiple **O**ne **T**ime **M**andate are registered)

Scheme/Plan/Option	Frequency	Enrollment Period	SIP Amount	TOP-UP Facility Frequency
	☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly ☐ Quarterly	From D D M M Y Y Y Y To D D M M Y Y Y Y	₹ In Figures In Words	☐ Half Yearly / ☐ Yearly TOP-UP SIP Amount CAP Period D D M M Y Y Y Y or TOP-UP CAP Amount
	☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly ☐ Quarterly	From D D M M Y Y Y Y To D D M M Y Y Y Y	₹ In Figures In Words	☐ Half Yearly / ☐ Yearly TOP-UP SIP Amount CAP Period D D M M Y Y Y Y or TOP-UP CAP Amount
	☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly ☐ Quarterly	From D D M M Y Y Y Y To D D M M Y Y Y Y	₹ In Figures In Words	☐ Half Yearly / ☐ Yearly TOP-UP SIP Amount CAP Period D D M M Y Y Y Y or TOP-UP CAP Amount

SIP initial payment details (Optional)

Drawn on bank / branch name _____ Bank Account No. _____

Amount _____ ☐ Cheque/DD _____ Dated D D M M Y Y Y Y

In case of multiple SIP, mention "Quantum Mutual Fund - Collection Account" on the payment instrument. For Weekly and Fortnightly SIPs, the SIP Day will be determined based on the date selected by the investor at the time of registration. Subsequent instalments will be processed accordingly on a weekly or fortnightly basis.

3. Declaration

I/We hereby, declare that the particulars given above are correct and express my willingness to make payments referred above through participation in National Automated Clearing House (NACH)/Auto Debit. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information I/We would not hold Quantum Mutual Fund/Quantum Asset Management Company Pvt. Ltd responsible. I/We will also inform Quantum Mutual Fund about any changes in my bank account. I/We have read and agreed to the terms and conditions mentioned overleaf. This is to inform that I/We have registered for Auto Debit Facility and that my payment towards my investment in Quantum Mutual Fund shall be made from my/our bank account registered with Quantum Mutual Fund. I/We authorize Quantum Mutual Fund/Quantum Asset Management Company Pvt Ltd/representative of Quantum Asset Management Company Pvt Ltd carrying this Form to debit my bank account as per instructions given above.

Place _____ Date D D M M Y Y Y Y

First Account Holders Signature	Second Account Holders Signature	Third Account Holders Signature

4. One Time Mandate Form

UMRN: _____ Date: D D M M Y Y Y Y

Utility Code: _____ Create: ☑ Modify: ☒ Cancel: ☒

Sponsor Bank Code: _____ (Office use only) I/We authorize: QUANTUM MUTUAL FUND

To debit (Tick ✓) **SB/ CA/ CC/ SB-NRE / SB-NRO/ Other** Bank A/C number: _____

With Bank: _____ **IFSC/ MICR:** _____

an amount of Rupees _____ ₹ _____

Debit Type: ☒ Fixed Amount ☑ Maximum Amount

Frequency: ☒ Daily ☒ Weekly ☒ Bi-Monthly ☒ Monthly ☒ Quarterly ☒ Half-Yearly ☒ Annually ☑ As and when presented

Reference 1: _____ Reference 2: _____

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank. This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit my account, based on the instruction as agreed and signed by me. I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the user entity / corporate or the bank where I have authorized the debit. I/we understand and accept that in case transaction/s initiated against this mandate (within valid period of mandate) is/ are rejected due to insufficient funds or such other reason as permitted under applicable law, action may be taken against me/us under applicable law (including Negotiable Instruments Act).

From D D M M Y Y Y Y

To D D M M Y Y Y Y

Maximum Period of validity for this mandate is 40 years only

Signature of primary Account Holder	Signature of Account Holder	Signature of Account Holder
1. _____ Name as in bank records	2. _____ Name as in bank records	3. _____ Name as in bank records

SYSTEMATIC INVESTMENT FORM

TERMS & CONDITIONS

Quantum Mutual Fund - 1st floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400 020 | www.QuantumAMC.com

Systematic Investment Plan (SIP)

This mandate registration form will be submitted through National Automated Clearing House (NACH).

1. This SIP facility is offered to investors having bank accounts in select banks mentioned in the link http://www.npci.org.in/. The banks in the list may be modified /updated /changed/removed at any time in future entirely at the discretion of National Payments Corporation of India without assigning any reasons or prior notice. Standing instructions for investors in such Banks will be discontinued. We will inform on such discontinuation.
2. Investor/Unitholder(s) should submit original Cancelled Cheque (or a copy) along with mandate form with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted.
3. The Unitholder(s) cheque/bank account details are subject to third party verification.
4. SIP is offered on daily, weekly, fortnight, monthly and quarterly frequency.
5. Investor/ Unit holders can opt to invest on any day in daily frequency any week in weekly and fortnight frequency (for fortnight alternative week transaction will be processed example If investor selected as Monday the SIP will be processed alternative Monday) and any day in monthly and quarterly frequency.
6. In case the end date is not specified, the SIP will be registered for **40 years**.
7. Minimum installments and frequency wise minimum amount can be referred below table.

Systematic Investment Plan (SIP)			
Frequency of SIP	**Eligible dates for effect**	**Minimum amount per Instalment**	**Minimum term/duration applicable**
Daily	All Business days	₹100 and in multiple of ₹1 thereafter (for ELSS minimum ₹500 and multiple of ₹500)	30 Business days
Weekly	Any day of the week	₹500 and in multiple of ₹1 thereafter (for ELSS multiple of ₹500)	10 instalments
Fortnightly	Any day of alternative Week	₹500 and in multiple of ₹1 thereafter (for ELSS multiple of ₹500)	10 instalments
Monthly	Any date (except 29, 30, 31st)	₹500 and in multiple of ₹1 thereafter (for ELSS multiple of ₹500) ₹250 and in multiple of ₹1 thereafter (for Quantum Value Fund, Quantum Multi Asset Allocation Fund, Quantum Gold Savings Fund, Quantum Equity Fund of Funds, Quantum Nifty 50 ETF Fund of Fund, Quantum Multi Asset Fund of Funds)	12 instalments
Quarterly	Any date (except 29, 30, 31st)	₹500 and in multiple of ₹1 thereafter (for ELSS multiple of ₹500)	12 instalments

8. In case the frequency is not specified / ambiguity, in the application/enrolment form, it will be deemed as an application for monthly frequency and will be processed accordingly. In case the SIP date is not specified or in case of ambiguity for monthly or quarterly frequency, the SIP transaction will be processed as of 10th of every month/first month of every quarter default day for weekly/ fortnight will be Tuesday.
9. The units will be allotted to the investor at applicable NAV of the respective business day on which the investment is sought to be made as per the applicable cut-off timing subject to the funds available for utilization.
10. The request for enrollment of SIP in the prescribed form should be received at any official point of acceptance / Investor service center at least 21 Calendar Days in advance before the execution / commencement date.
11. The request for discontinuation of SIP in the prescribed form should be received at any official point of acceptance / Investor Service Center at least 10 Calendar days in advance before the execution / commencement date.
12. SIP enrolment automatically terminated in below scenario:
    a. Two for quarterly frequency and three for other than quarterly frequency consecutive payment instructions on submitted by Unit holder is not honored by banker.
    b. Upon receipt of intimation of death of the Unit holder/ 1st Unit holder.
    c. As a result of a stop payment instruction issued by the investor/unitholder.
    d. Bank account closed by investor.
13. Quantum Mutual Fund will not be liable for any transaction failures due to rejection by the investor's bank/branch.
14. The investor agrees to abide by the terms and conditions of NACH facility of NPCI.
15. Investor will not hold Quantum Mutual Fund and its service providers responsible if the transaction is delayed or not effected by the Investor's Bank or if debited in advance or after the specific date due to various reasons or for any bank charges debited by his banker in his account towards NACH Registration/Cancellation/Rejections.
16. Investors are required to ensure adequate funds in their bank account on opted date. Quantum Mutual Fund will endeavor to debit the investor bank account on opted date, however if there is any delay all such transactions are debited subsequently. Quantum Mutual Fund/Sponsor Bank/NPCI are not liable for the bank charges, if any debited from investor's bank account by the destination bank, on account of payment through NACH.
17. If any chosen day/date falls on a non-business day, the next business day/date will be considered as the transaction date.
18. In case of investments in the name of a minor, no new transactions / standing instructions / SIP / STP / SWP or cancellation of such requests will be allowed by the guardian from the date of minor attaining majority till instruction from the major is received by the AMC/Mutual Fund along with the prescribed documents for change of account status from minor to major.

19. **SIP Top-Up**

It is a facility wherein an investor who is enrolling for SIP has an option to increase the amount of the SIP instalment by a fixed amount at predefined intervals. Thus, an investor can progressively start increasing the amount invested, allowing him/her to gradually increase the investment corpus in a hassle-free manner. The silent features of the said facility are as follows:

- SIP Top-Up facility is available in Monthly and Quarterly frequency only.
- Investor can Top-Up the SIP amount in fixed intervals with minimum amount of Rs. 100/- in multiple of Re.1/-. For Quantum ELSS Tax Saver Fund, Top-Up minimum amount is Rs. 500/- in multiples of Rs. 500/- only.
- At presently, SIP Top-UP facility is applicable to an Investor who is enrolling for a new SIP.
- The investor can choose a frequency for the Top-Up depending on the SIP frequency being opted. In case of a Monthly SIP, the investor can choose either a 'Half-yearly' or 'Yearly based Top-Up frequency; in case of a Quarterly SIP, the Top-Up is available in "Yearly' frequency only. In case SIP Top-Up frequency is not mentioned, the default frequency will be considered as 'Yearly' for both Monthly and Quarterly SIP.
- Investor shall have flexibility to choose either Top-Up Cap on **Amount or Period** (month-year). In case of multiple selection, Top-Up Cap Amount will be considered as default selection. **Cap Amount:** Investor opts to freeze the SIP Top-Up amount on reaching the Cap Amount limit. Once it reaches the limit, the same amount will be considered as the SIP instalment amount until the end of the SIP tenure. The Cap Amount should be same as the maximum amount mentioned by the investor in the debit mandate. In case there is a difference between the Cap Amount & the maximum amount mentioned on debit mandate, amount which is lower of the two amounts shall be considered as the default amount of SIP Cap amount. **Cap Period:** Investor opts to freeze the SIP Top-Up tenure on reaching the Cap Period limit. Once it reaches the said period, the SIP Top-Up will be stopped, and SIP amount will be considered as the SIP instalment amount until the end of SIP tenure. The Cap Period should be same as the end period mentioned by the investor in the debit mandate. In case there is a difference between the Cap Period & the maximum period mentioned on debit mandate, end date will be the earlier of the two dates.
- In case Top-Up Cap Amount or Period is not selected, then default amount of Rs. 10 Lakhs will be considered as Cap Amount. Under the said facility, SIP amount will remain constant from Top - Up Cap date/ amount till the end of SIP Tenure.
- The Top-Up details cannot be modified once enrolled. In order to make any changes, the investor must cancel the existing SIP and enrol for a fresh SIP with Top-Up option.
- For further details and Forms, investors are requested to refer our website (www.QuantumAMC.com) or visit nearest collection centre of our Registrar viz. KFin Technologies Limited

SYSTEMATIC TRANSFER PLAN (STP) AND SYSTEMATIC WITHDRAWAL PLAN (SWP)

Quantum Mutual Fund - 1st floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400 020 | www.QuantumAMC.com

Distributor ARN	Sub Distributor ARN	Internal sub Code/SOI ID	Employee Code	Employee Unique Identication No. (EUIN)	Serial No./Date, Time & Stamp
ARN -	ARN -			E	

***RIA/Declaration:** "I/We, have invested in the scheme(s) of Quantum Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all schemes of Quantum Mutual Fund, to the above mentioned SEBI Registered Investment Adviser:"

☐ **EUIN Declaration:** I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/ relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

(All sections to be filled in English and in BLOCK LETTERS). Fields marked with (*) are mandatory.

1. Investor and Investment details

PAN _____ Folio No. (For Existing Investor) _____

Sole/First Investor Name _____ (as appearing in ID proof)

2. Systematic Transfer Plan (STP)

Source Scheme: _____ Plan: _____ Option: _____

3. Target Scheme (Please ✓ Choice of Scheme/Option/Facility) Please write appropriate scheme name as well as the Plan/Option/Sub Option.

Sr. No.	Target Scheme	Plan	Option	STP Amount (₹)	Frequency Details (Select any one frequency)
1.		☐ Direct ☐ Regular	☐ Growth ☐ IDCW (Payout) ☐ IDCW (Reinvestment) #IDCW frequency _____		☐ Daily ☐ Weekly _____ (Any Day - Monday to Friday) ☐ Fortnightly _____ (Any day of alternative Week) ☐ Monthly D D ☐ Quarterly D D
2.		☐ Direct ☐ Regular	☐ Growth ☐ IDCW (Payout) ☐ IDCW (Reinvestment) #IDCW frequency _____		☐ Daily ☐ Weekly _____ (Any Day - Monday to Friday) ☐ Fortnightly _____ (Any day of alternative Week) ☐ Monthly D D ☐ Quarterly D D
3.		☐ Direct ☐ Regular	☐ Growth ☐ IDCW (Payout) ☐ IDCW (Reinvestment) #IDCW frequency _____		☐ Daily ☐ Weekly _____ (Any Day - Monday to Friday) ☐ Fortnightly _____ (Any day of alternative Week) ☐ Monthly D D ☐ Quarterly D D

#Applicable only Quantum Liquid Fund and Quantum Dynamic Bond Fund.

4. STP Period

From D D M M Y Y Y Y To D D M M Y Y Y Y **OR** No. of installments _____

5. Systematic Withdrawal Plan (SWP)

Scheme: _____ Plan: _____ Option: _____

SWP Frequency Details (Please ✓)	☐ Weekly	☐ Fortnightly	☐ Monthly	☐ Quarterly	SWP Period
Amount (₹): _____	_____ (Any Day - Monday to Friday)	_____ (Any Day - Monday to Friday)	D D	D D	From DDMMYY To DDMMYY **OR** No. installments _____

6. Declaration

I/We have read and understood the contents of the Scheme Information Document(s)/Key Information Memorandum(s) & Statement of Additional Information(s) of the Scheme(s) and agree to abide by the terms, conditions, rules and regulations of the Scheme(s) as on the date of this transaction. I/We hereby declare that I am/we are not US Person(s).

Sole/1st Applicant/Guardian/ Authorised Signatory	2nd Applicant / Authorised Signatory	3rd Applicant / Authorised Signatory

ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

Quantum Mutual Fund - 1st Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400020. www.QuantumAMC.com

Received from: Mr./Ms./M/s _____

An application for STP/SWP under the Folio No. _____ Date D D M M Y Y Y Y

Collection Center's Stamp & Receipt Date and Time	

SYSTEMATIC TRANSACTION FORM

TERMS & CONDITIONS

Quantum Mutual Fund - 1st floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400 020 | www.QuantumAMC.com

Systematic Transfer Plan (STP)

STP facility is offered subject to following terms & conditions:

1. Minimum balance in the source (transferor) Scheme should be Rs.5,000/- at the time of enrolment for STP.
2. In case the Unitholder purchases additional units in the transferor scheme, the STP facility would be extended to such additional units also.
3. Units marked under lien or pledge in the source Scheme will not be eligible for STP.
4. In case the unit balance in the transferor scheme is lesser than amount specified by the Unitholders for STP, the AMC will transfer remaining unit balance to transferee scheme.
6. The facility will be automatically terminated if the units under the transferor scheme are pledged or upon receipt of intimation of death of the Unitholder.
7. Minimum installments and frequency wise details provided in below table:

Systematic Transfer Plan (STP)			
Frequency of SIP	**Eligible dates for effect**	**Minimum amount per Instalment**	**Minimum term/duration applicable**
Daily	All Business days	₹100 and in multiple of ₹1 thereafter (for ELSS ₹500 and multiple of ₹500).	30 Business days
Weekly	Any day of the week	₹500 and in multiple of ₹1 thereafter (for ELSS multiple of ₹500).	10 instalments
Fortnightly	Any day of alternative Week	₹500 and in multiple of ₹1 thereafter (for ELSS multiple of ₹500).	10 instalments
Monthly	Any date (except 29, 30, 31st)	₹500 and in multiple of ₹1 thereafter (for ELSS multiple of ₹500).	12 instalments
Quarterly	Any date (except 29, 30, 31st)	₹500 and in multiple of ₹1 thereafter (for ELSS multiple of ₹500).	12 instalments
Minimum balance to start STP : ₹5000/-			

8. In case the frequency is not specified, it will be considered as application for monthly frequency and will be processed accordingly. In case the STP date is not specified or in case of ambiguity, the STP transaction will be processed for weekly/fortnightly "Tuesday" and 10th of month/quarter. In case the end date is not specified, STP will continue till cancelation request received from the Unitholder or till unit balance become nil in the source scheme or expiry of the enrolment period whichever is earlier.
9. The application for start of STP should be submitted to Official Point(s) of Acceptance at least 5 business days before the date of commencement/start date of STP. Unitholder may change the amount/ frequency by giving written notice to any of the Official Point(s) of Acceptance at least 5 business days prior to next transfer/STP execution date.
10. Unitholder can discontinue STP facility at any time by sending a written notice to any of the Official Point(s) of Acceptance, at least 5 business days prior to next transfer/STP execution date.
11. If STP date is a Non-Business Day, then the next Business Day shall be the STP date and the same will be considered for the purpose of determining the applicability of NAV.

Systematic Withdrawal Plan (SWP)

1. Minimum balance in the scheme should be Rs.5,000/- at the time of enrolment for SWP.
2. Minimum installments and frequency wise details provided in below table:

Systematic Withdrawal Plan (SWP)			
Frequency of SIP	**Eligible dates for effect**	**Minimum amount per Instalment**	**Minimum term/duration applicable**
Weekly	Any day of the week	₹500 and in multiple of ₹1 thereafter	10 instalments
Fortnightly	Any day of alternative Week	₹500 and in multiple of ₹1 thereafter	10 instalments
Monthly	Any date	₹500 and in multiple of ₹1 thereafter	10 instalments
Quarterly	Any date	₹500 and in multiple of ₹1 thereafter	10 instalments
Minimum balance to start SWP : ₹5000/-			

3. The facility will be automatically terminated if the units in the Scheme are pledged or upon receipt of intimation of death of the Unitholder.
4. The SWP will be subject to applicable Exit load if any.
5. The application for start of SWP should be submitted to Official Point(s) of Acceptance at least 5 business days before the date of commencement/start date of SWP.
6. Unitholder may change the amount/frequency by giving written notice to any of the Official Point(s) of Acceptance at least 5 business days prior to next SWP execution date.
7. Unitholder can discontinue SWP facility by sending a written notice to any of the Official Point(s) of Acceptance, at least 5 business days prior to next SWP execution date.
8. SWP will be terminated automatically in case of a Zero balance in the respective Scheme-Folio on the run-date or expiry of the enrolment period whichever is earlier.
9. In case the unit balance in the scheme is lesser than amount specified by the Unitholders for SWP, the AMC will redeem remaining unit balance in the scheme.

FATCA-CRS DECLARATION & SUPPLEMENTARY KYC INFORMATION DECLARATION FORM FOR INDIVIDUALS

Please seek appropriate advice from your tax professional on your tax residency, related FATCA & CRS guidance

Quantum Mutual Fund - 1st floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400 020 | www.QuantumAMC.com

PAN / PEKRN*										
Name										
Address Type *[for KYC address]*	☐ Residential ☐ Registered Office ☐ Business			Nationality	☐ Indian ☐ US ☐ Others *(please specify)*					
Place of Birth				Country of Birth						
Gross Annual Income Details in INR Net Worth in INR. In Lacs & Date *[Optional]*	☐ Below 1 Lakh ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ 25 Lacs - 1 Cr ☐ > 1 Crore _____ dd-mm-yyyy _____			Occupation Details [Please tick any one (√)]	☐ Business ☐ Public Sector ☐ Government Service ☐ Agriculturist ☐ Student ☐ Others [Please specify]		☐ Forex Dealer ☐ Professional ☐ Private Sector ☐ Housewife ☐ Retired			
Politically Exposed Person [PEP]	☐ Yes ☐ Related to PEP ☐ Not Applicable			Any other information *[if applicable]*	[Please specify]					

Are you a tax resident (i.e. are you assessed for Tax) in any other country other than India? Yes ☐ No ☐

If 'Yes', please fill for all countries (other than India) in which you are a Resident for tax purpose i.e. where you are a Citizen / Resident / Green Card Holder / Tax Resident in the respective countries

S. No.	Country of Tax Residency	Tax Identification Number (TIN) or Functional Equivalent	Identification Type [TIN or other, please specify]	If TIN is not available, please tick √ ☐ the reason A, B or C [as defined below]
1.				➤ Reason A ☐ B ☐ C ☐
2.				➤ Reason A ☐ B ☐ C ☐

- ➤ Reason A ➔ The country where the Account Holder is liable to pay tax does not issue TIN to its residents.
- ➤ Reason B ➔ No TIN required [Select this reason only if the authorities of the respective country of tax residence do not required the TIN to be collected]
- ➤ Reason C ➔ Others – Please specify the reasons _____

Declaration:

I acknowledge and confirm that the information provided above is true and correct to the best of my knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/ am aware that I may liable for it. I hereby authorize you [Quantum/Fund/AMC] to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to / any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me of the same. Further, I authorize to share the given information to other SEBI Registered Intermediaries/or any regulated intermediaries registered with SEBI / RBI / IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days and also undertake to provide any other additional information as may be required at your / Fund's end or by domestic or overseas regulators/ tax authorities. I/ We authorize Fund/AMC/RTA to provide relevant information to upstream payors to enable withholding to occur and pay out any sums from my account or close or suspend my account(s) without any obligation of advising me of the same. I also confirm that I have read and understood the FATCA & CRS Terms and Conditions given below and hereby accept the same.

Date:
Place:

Signature:

Acknowledgement

We Quantum Mutual Funds acknowledge the receipt of FATCA/CRS declaration form duly filled and signed from

Mr. / Ms. / M/s. _____

PAN_____

Date:

Signature with Name, Emp. ID & Seal

DECLARATION FORM OF ULTIMATE BENEFICIAL OWNERSHIP [UBO] / CONTROLLING PERSONS

(Mandatory for Non-individual Investors)

Quantum Mutual Fund - 1st floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400 020 | www.QuantumAMC.com

I: Investor details:

Investor Name	
PAN*	

** If PAN is not available, specify Folio No. (s)*

II: Category

☐ Our company is a Listed Company on a recognized stock exchange in India / Subsidiary of a or Controlled by a Listed Company *[If this category is selected, no need to provide UBO details].*

Name of the Stock Exchange where it is listed#. ----------

Security ISIN# ----------

Name of the Listed Company (applicable if the investor is subsidiary/associate):

#mandatory in case of Listed company or subsidiary of the Listed Company

☐ Unlisted Company ☐ Partnership Firm / LLP ☐ Unincorporated association / body of individuals

☐ Public Charitable Trust ☐ Private Trust ☐ Religious Trust ☐ Trust created by a Will.

☐ Others *[please specify]* ----------

UBO / Controlling Person(s) details.

Does your company/entity have any individual person(s) who holds direct / indirect controlling ownership above the prescribed threshold limit? ☐ Yes ☐ No

If 'YES' - We hereby declare that the following individual person holds directly / indirectly controlling ownership in our entity above the prescribed threshold limit. Details of such individual(s) are given below.

If 'NO' - declare that no individual person (directly / indirectly) holds controlling ownership in our entity above the prescribed threshold limit. Details of the individual who holds the position of Senior Managing Official (SMO) are provided below.

	UBO-1 / Senior Managing Official (SMO)	UBO-2	UBO-3
Name of the UBO/ SMO#.			
UBO / SMO PAN#. For Foreign National, TIN to be provided]			
% of beneficial interest#.	>10% controlling interest. ☐ >15% controlling interest. ☐ >25% controlling interest. ☐ NA. (for SMO) ☐	>10% controlling interest. ☐ >15% controlling interest. ☐ >25% controlling interest. ☐ NA. (for SMO) ☐	>10% controlling interest. ☐ >15% controlling interest. ☐ >25% controlling interest. ☐ NA. (for SMO) ☐
UBO / SMO Country of Tax Residency#.			

UBO / SMO Taxpayer Identification Number / Equivalent ID Number#.			
UBO / SMO Identity Type			
UBO / SMO Place & Country of Birth#	Place of Birth ---------- Country of Birth ----------	Place of Birth ---------- Country of Birth ----------	Place of Birth ---------- Country of Birth ----------
UBO / SMO Nationality			
UBO / SMO Date of Birth [dd-mmm-yyyy] #			
UBO / SMO PEP#	Yes – PEP. ☐ Yes – Related to PEP. ☐ N – Not a PEP. ☐	Yes – PEP. ☐ Yes – Related to PEP. ☐ N – Not a PEP. ☐	Yes – PEP. ☐ Yes – Related to PEP. ☐ N – Not a PEP. ☐
UBO / SMO Address [include City, Pincode, State, Country]	Address: City: Pincode: State: Country:	Address: City: Pincode: State: Country:	Address: City: Pincode: State: Country:
UBO / SMO Address Type	Residence ☐ Business ☐ Registered Office ☐	Residence ☐ Business ☐ Registered Office ☐	Residence ☐ Business ☐ Registered Office ☐
UBO / SMO Email			
UBO / SMO Mobile			
UBO / SMO Gender	Male ☐ Female ☐ Others ☐	Male ☐ Female ☐ Others ☐	Male ☐ Female ☐ Others ☐
UBO / SMO Father's Name			
UBO / SMO Occupation	Public Service ☐ Private Service ☐ Business ☐ Others ☐	Public Service ☐ Private Service ☐ Business ☐ Others ☐	Public Service ☐ Private Service ☐ Business ☐ Others ☐
SMO Designation#			

UBO / SMO KYC Complied?	☐ Yes / ☐ No. If 'Yes,' please attach the KYC acknowledgement. If 'No,' complete the KYC and confirm the status	☐ Yes / ☐ No. If 'Yes,' please attach the KYC acknowledgement. If No, complete the KYC and confirm the status.	☐ Yes / ☐ No. If 'Yes,' please attach the KYC acknowledgement. If No, complete the KYC and confirm the status.

 # Mandatory column.
Note: If the given columns are not sufficient, required information in the given format can be enclosed as additional sheet(s) duly signed by Authorized Signatory.
** Participating Mutual Fund(s) / RTA may call for additional information/documentation wherever required or if the given information is not clear / incomplete / correct and you may provide the same as and when solicited.*

Declaration

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false, untrue, misleading, or misrepresenting, I/We am/are aware that I/We may be liable for it including any penalty levied by the statutory/legal/regulatory authority. I/We hereby confirm the above beneficial interest after perusing all applicable shareholding pattern and MF/RTA/other registered intermediaries can make reliance on the same. I/We hereby authorize you [RTA/Fund/AMC/Other participating entities] to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries /or any regulated intermediaries registered with SEBI / RBI / IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your / Fund's end or by domestic or overseas regulators/ tax authorities.

Signature with relevant seal:

Authorized Signatory Name: Designation:	Authorized Signatory Name: Designation:	Authorized Signatory Name: Designation:

Place: _____

Date: __/ ___/ ____

Instructions on Controlling Persons / Ultimate Beneficial Owner

As per PMLA guidelines and relevant SEBI circulars issued from time to time, non-individuals and trusts are required to provide details of controlling persons [CP] / ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such CPs/ UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted and includes a person who exercises ultimate effective control over a legal person or arrangement.

A. For Investors other than individuals or trusts:

(i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:
- more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company.
- more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership.
- more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

(ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.
(iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

B. For Investors which is a trust:

The identity of the settler of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

C. Exemption in case of listed companies / foreign investors

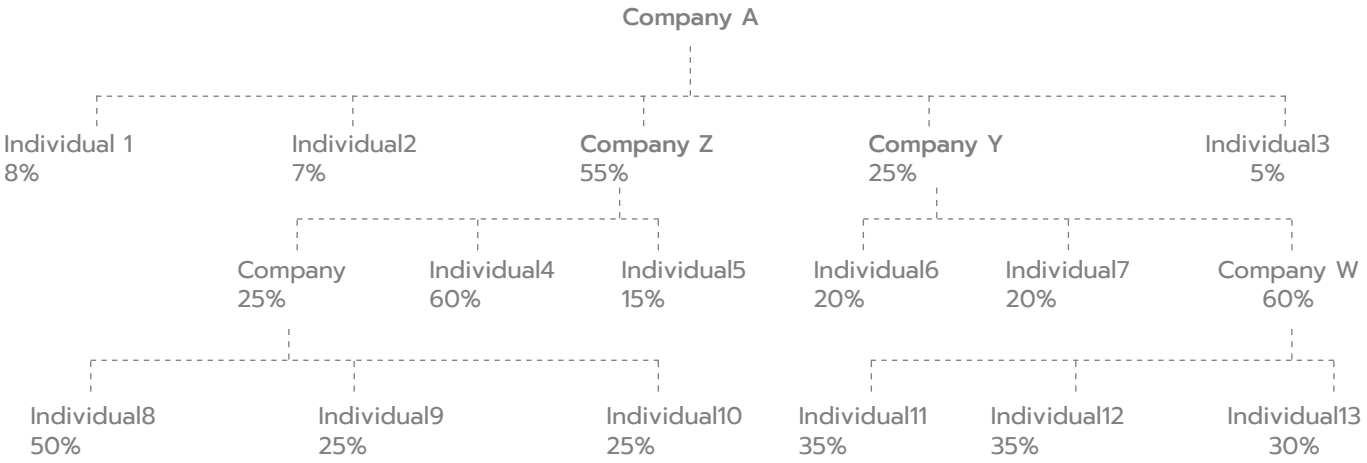
The client or the owner of the controlling interest is a company listed on a stock exchange or is a majority-owned subsidiary of such a company, there is no need for identification and verification of the identity of any shareholder or beneficial owner of such companies and hence exempted from UBO declaration provided other requisite information is provided. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012 and other circulars issued from time to time, for the purpose of identification of beneficial ownership of the client.

D. KYC requirements

Beneficial Owner(s) / Senior Managing Official (SMO) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the UBO(s) / SMO(s).

Sample Illustrations for ascertaining beneficial ownership:

Illustration No. 1 – Company A



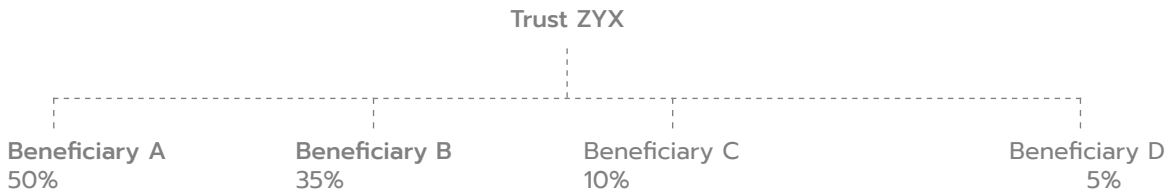
For Applicant A, Individual 4 is considered as UBO as it holds effective ownership of 33% in Company A. Hence details of Individual 4 must be provided with KYC proof, Shareholding pattern of Company A, Z & Y to be provided along with details of persons of Company Y who are senior managing officials and those exercising control.

Illustration No. 2 – Partner ABC



For Partnership Firm ABC, Partners 1, 2 and 5 are considered as UBO as each of them holds >=15% of capital. KYC proof of these partners needs to be submitted including shareholding

Illustration No. 3 – Trustee ZYX



For Trust ZYX, Beneficiaries A, B and C are considered as UBO as they are entitled to get benefitted for >10% of funds used. KYC proof for these beneficiaries needs to be submitted. Additionally, if they have nominated any person or group of persons as Settlor of Trust / Protector of Trust, relevant information to be provided along with the proof indicated.

INVESTOR SERVICE CENTERS/ OFFICIAL POINT OF ACCEPTANCE

Quantum Asset Management Company Pvt. Ltd. Mumbai: 1st Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400020

K-Fin Technologies Collection Centers

SL No	Branch Name	Address
1	Bangalore	KFIN Technologies Limited, Unit No- 201, No-65, Surasa Enclave, 2nd Floor, Puttanna Road, Gandhi Bazar, Basavanagudi, Bangalore- 560004
2	Belgaum	KFIN Technologies Limited, First Floor Orionis Ozone apartment, shop no:101, opp jain mandir, Near to Subhash photo studio, Somwarpeth, RPD Cross Tilakwadi, Belgaum, 540006
3	Bellary	KFIN Technologies Limited Ground Floor 3Rd Office Near Womens College Road Beside Amruth Diagnostic Shanthi Archade Bellary 583103
4	Davangere	KFIN Technologies Limited D.No 162/6 1St Floor 3Rd Main P J Extension Davangere Taluk Davangere Manda Davangere 577002
5	Hassan	KFIN Technologies Limited Sas No: 490 Hemadri Arcade 2Nd Main Road Salgame Road Near Brahmins Boys Hostel Hassan 573201
6	Hubli	KFIN Technologies Limited R R Mahalaxmi Mansion Above Indusind Bank 2Nd Floor Desai Cross Pinto Road Hubballi 580029
7	Mangalore	KFIN Technologies Limited Shop No - 305 Marian Paradise Plaza 3Rd Floor Bunts Hostel Road Mangalore - 575003
8	Margoa	KFIN Technologies Limited S20, 2Nd Floor, L & L Correira'S Pride, Nearest Landmark Above Kfc, Near Ktc Bus Stand, Margao Salcete Goa 403601
9	Mysore	KFIN Technologies Limited No 2924 2Nd Floor 1St Main 5Th Cross Saraswathi Puram Mysore 570009
10	Panjim	KFIN Technologies Limited H. No: T-9 T-10 Affran Plaza 3Rd Floor Near Don Bosco High School Panjim 403001
11	Shimoga	KFIN Technologies Limited Jayarama Nilaya 2Nd Corss Mission Compound Shimoga 577201
12	Ahmedabad	KFIN Technologies Limited SHOP 11 & 12, 3RD EYE, NEAR GIRISH COLD DRINKS C G ROAD, AHMEDABAD 380006
13	Anand	KFIN Technologies Limited, 203 Saffron Icon, Opp Senior Citizen Garden, Mota Bazar, V V Nagar Anand-388120
14	Baroda	KFIN Technologies Limited 1St Floor 125 Kanha Capital, Above Hdfc Bank, Opposite. Express Hotel, Alkapuri, Vadodara-07, 390007
15	Bharuch	KFIN Technologies Limited Shop No: 123, Nexus Business Hub, Near Dhiraj & Sons, Bharuch, 392001
16	Bhavnagar	KFIN Technologies Limited, office no 207, skyline square building, near Sanskar mandal, waghawadi Road, Bhavnagar, , 364001
17	Gandhidham	KFIN Technologies Limited Off No-12 Plot No-300, Ground Floor, Shree Ambica Arcade Building, Near Hdfc Bank, Gandhidham, 370201
18	Gandhinagar	KFIN Technologies Limited Office No 138, First Floor, Suyas Solitaire, Near Podar International School, Kudasan, Gandhinagar, 382421
19	Jamnagar	KFIN Technologies Limited 131, Madhav Plaza, Opp. Sbi Bank Jamnagar, 361001
20	Junagadh	KFIN Technologies Limited 203, Noble Plaza, Near Domadiya Wadi, Kalwa Chowk, Junagadh-362001
21	Mehsana	KFIN Technologies Limited Ff-21, Someswar Shopping Mall Modhera Road-Mehsana-384002
22	Nadiad	KFIN Technologies Limited 311-3Rd Floor City Centre, Near Paras Circle, Nadiad-387001
23	Navsari	KFIN Technologies Limited, A-205, 2nd Floor, Union Height building, Asha Nagar, Opp. avdhoot eye hospital, Navsari- 396445
24	Rajkot	KFIN Technologies Limited 406 Prism Square Building, Near Moti Tanki Chowk, Near Kathiyawadi Gymkhana, Opp RKC School Gate, Dr. Radhakrishnan Marg, Rajkot - 360001
25	Surat	KFIN Technologies Limited, 1st Floor 111 ICC Building, Ring Road, Surat 395007
26	Valsad	KFIN Technologies Limited 406, 4Th Floor, Dreamland Arcade, Tithal Road, Valsad, 396001
27	Vapi	KFIN Technologies Limited Sa/11, A Wing, 2Nd Floor, Solitaire Business Centre, Opp Dcb Bank, Vapi Gidc Char Rasta, Vapi-396195
28	Chennai	KFIN Technologies Limited 9Th Floor Capital Towers 180 Kodambakkam High Road Nungambakkam | Chennai – 600034
29	Calicut	KFIN Technologies Limited Second Floor Manimuriyil Centre Bank Road Kasaba Village Calicut 673001
30	Cochin	KFIN Technologies Ltd, 1st Floor, Matsun Towers, Building # 14/6505, AK Seshadri Road Near MG Road Metro Piller #689, Ernakulam, Cochin -682011
31	Kannur	KFIN Technologies Limited 2Nd Floor Global Village Bank Road Kannur 670001
32	Kollam	KFIN Technologies Limited Sree Vigneswara Bhavan Shastri Junction Kollam - 691001
33	Kottayam	KFIN Technologies Limited 1St Floor Csiascension Square Railway Station Road Collectorate P O Kottayam 686002
34	Palghat	KFIN Technologies Limited No: 20 & 21 Metro Complex H.P.O.Road Palakkad H.P.O.Road Palakkad 678001
35	Tiruvalla	KFIN Technologies Limited 2Nd Floorerinjery Complex Ramanchira Opp Axis Bank Thiruvalla 689107
36	Trichur	KFIN Technologies Ltd 1St Floor, Crown Tower, Near Sakthan Stand, Thrissur - 680001
37	Trivandrum	KFIN Technologies Limited, 3Rdfloor, No- 3B Tc-82/3417, Capitol Center, Opp Secretariat, Mg Road, Statue P O Trivandrum- 695001
38	Coimbatore	KFIN Technologies Limited 3Rd Floor Jaya Enclave 1057 Avinashi Road - Coimbatore 641018
39	Erode	KFIN Technologies Limited Address No 38/1 Ground Floor Sathy Road (Vctv Main Road) Sorna Krishna Complex Erode 638003
40	Madurai	KFIN Technologies Limited No. G-16/17 Ar Plaza 1St Floor North Veli Street Madurai 625001
41	Nagerkoil	KFIN Technologies Limited Hno 45 1St Floor East Car Street Nagercoil 629001
42	Pondicherry	KFIN Technologies Limited No 122(10B) Muthumariamman Koil Street - Pondicherry 605001
43	Salem	KFIN Technologies Limited No.6 Ns Complex Omalur Main Road Salem 636009
44	Tirunelveli	KFIN Technologies Limited 55/18 Jeney Building 2Nd Floor S N Road Near Aravind Eye Hospital Tirunelveli 627001
45	Trichy	KFIN Technologies Limited No 23C/1 E V R Road Near Vekkaliamman Kalyana Mandapam Putthur - Trichy 620017
46	Vellore	KFIN Technologies Limited No 2/19 1St Floor Vellore City Centre Anna Salai Vellore 632001
47	Agartala	KFIN Technologies Limited Old Rms Chowmuhani, Opp-Rhymond Showroom, Near Jana Sabak Saloon, Agartala, West Tripura, 799001
48	Guwahati	KFIN Technologies Limited, 2nd Floar, Dihang Arcade, Near Rajiv Bhavan, ABC, GS Road, Guwahati, 781005
49	Shillong	KFIN Technologies Limited Annex Mani Bhawan Lower Thana Road Near R K M Lp School Shillong 793001
50	Ananthapur	KFIN Technologies Limited. #13/4 Vishnupriya Complex Beside Sbi Bank Near Tower Clock Ananthapur-515001
51	Guntur	KFIN Technologies Limited 2Nd Shatter 1St Floor Hno. 6-14-48 14/2 Lane Arundal Pet Guntur 522002
52	Hyderabad	KFIN Technologies Limited, 2Nd Floor Jbs Station, Lower Concourse 1, Situated In Jubilee Bus Metro Station, Secunderabad 500009
53	Kurnool	KFIN Technologies Limited Shop No:47 2Nd Floor S Komda Shoping Mall Kurnool 518001
54	Nanded	KFIN Technologies Limited Shop No.4 Santakripa Market G G Road Opp.Bank Of India Nanded 431601
55	Rajahmundry	KFIN Technologies Limited, D.No: 6-7-7, Sri Venkata Satya Nilayam, 1St Floor, Vadrevu Vari Veedhi, T - Nagar, Rajahmundry Ap- 533101
56	Solapur	KFIN Technologies Limited Shop No 106. Krishna Complex 477 Dakshin Kasaba Datta Chowk Solapur-413007
57	Srikakulam	KFin Technologies Ltd, D No:1-6/2, First Floor, Near Vijaya Ganapathi Temple, Beside I.K. Rao Building, Palakonda Raod, Srikakulam Dist Andhra Pradesh - 532001
58	Tirupathi	KFIN Technologies Limited Shop No:18-1-421/F1 City Center K.T.Road Airtel Backside Office Tirupathi - 517501
59	Vijayawada	Kfin Technologies Limited 40-9-62, Sub Register Office Road, Acharya Ranga Nagar, Benz Circle Vijayawada 520008
60	Visakhapatnam	KFIN Technologies Limited Dno: 48-10-40 Ground Floor Surya Ratna Arcade Srinagar Opp Roadto Lalitha Jeweller Showroom Beside Taj Hotel Ladge Visakhapatnam 530016
61	Warangal	KFIN Technologies Limited Shop No22 Ground Floor Warangal City Center 15-1-237 Mulugu Road Junction Warangal 506002
62	Khammam	KFIN Technologies Limited 11-4-3/3 Shop No. S-9 1St Floor Srivenkata Sairam Arcade Old Cpi Office Near Priyadarshini Collegenehru Nagar Khammam 507002
63	Hyderabad (Gachibowli)	KFIN Technologies Limited Selenium Plot No: 31 & 32 Tower B Survey No.115/22 115/24 115/25 Financial District Gachibowli Nanakramguda Serilimgampally Mandal Hyderabad 500032
64	Akola	KFIN Technologies Limited Shop No 25 Ground Floor Yamuna Tarang Complex Murtizapur Road N.H. No- 6 Opp Radhakrishna Talkies Akola 444004
65	Amaravathi	KFIN Technologies Limited Shop No. 21 2Nd Floor Above Axis Bank Gulshan Tower Near Panchsheel Talkies Jaistambh Square Amaravathi 444601
66	Aurangabad	KFIN Technologies Limited Shop No B 38 Motiwala Trade Center Nirala Bazar Aurangabad 431001
67	Bhopal	KFIN Technologies Limited Sf-13 Gurukripa Plaza 2Nd Floor Plot No.48A Oppsite City Hospital Zone-2 M P Nagar Bhopal 462011
68	Dhule	KFIN Technologies Limited House No.1676, Lane No.-5, Hindu Ekta Chowk, Beside HDB Finance Services, Opposite Satish Tailor, Dhule-424001
69	Indore	KFIN Technologies Limited. 101, Diamond Trade Center, 3-4 Diamond Colony, New Palasia, Above Khurana Bakery, Indore 452001
70	Jabalpur	KFIN Technologies Limited 2Nd Floor 290/1 (615-New) Near Bhavartal Garden Jabalpur - 482001
71	Jalgaon	KFIN Technologies Limited 3Rd Floor 269 Jaee Plaza Baliram Peth Near Kishore Agencies Jalgaon 425001
72	Nagpur	KFIN Technologies Limited Shree Balaji Residency, Plot no 266, near S.N.G basketball ground, Shivaji Nagar Landmark- Opp Wazalwar Driving School, Nagpur – 440010
73	Nashik	KFIN Technologies Limited S-9 Second Floor Suyojit Sankul Sharanpur Road Nasik 422002
74	Sagar	KFIN Technologies Limited 2Nd Floor|Above Shiva Kanch Mandir 5 Civil Lines Sagar Madhya Pradesh -470001
75	Ujjain	KFIN Technologies Limited 227, 2Nd Floor Heritage Plaza, Above Vishal Megha Mart, Near Hotel Kshipra, Ujjain M.P. - 456010
76	Asansol	KFIN Technologies Limited 112/N G. T. Road Bhanga Pachil G.T Road Asansol Pin: 713 303; Paschim Bardhaman West Bengal Asansol 713303
77	Balasore	KFIN Technologies Limited 1-B. 1St Floor Kalinga Hotel Lane Baleshwar Baleshwar Sadar Balasore 756001
78	Bankura	KFIN Technologies Limited Plot Nos- 80/1/Anatunchati Mahalla 3Rd Floor Ward No-24 Opposite P.C Chandra Bankura Town Bankura 722101
79	Berhampur	KFIN Technologies Limited Opp Divya Nandan Kalyan Mandap 3Rd Lane Dharam Nagar Near Lohiya Motor Berhampur (Or) 760001
80	Bhilai	KFIN Technologies Limited Office No.2 1St Floor Plot No. 9/6 Nehru Nagar [East] Bhilai 490020
81	Bhubaneswar	KFIN Technologies Limited A/181 Back Side Of Shivam Honda Show Room Saheed Nagar - Bhubaneswar 751007
82	Bilaspur	KFIN Technologies Limited Shop.No.306 3Rd Floor Anandam Plaza Vyapar Vihar Main Road Bilaspur 495001
83	Bokaro	KFIN Technologies Limited City Centre Plot No. He-07 Sector-Iv Bokaro Steel City Bokaro 827004
84	Burdwan	KFIN Technologies Limited Saluja Complex; 846 Laxmipur G T Road Burdwan; Ps: Burdwan & Dist: Burdwan-East Pin: 713101
85	Chinsura	KFIN Technologies Limited No: 96 Po: Chinsurah Doctors Lane Chinsurah 712101
86	Cuttack	KFIN Technologies Limited D. Market, 515 Jagannath Bhawan Complex, First Floor BK- Professor Pada Road Po- Arunodaya Market Badambadi Colony Cuttack – 753012
87	Dhanbad	KFIN Technologies Limited 208 New Market 2Nd Floor Bank More - Dhanbad 826001
88	Durgapur	KFIN Technologies Limited Mwav-16 Bengal Ambuja 2Nd Floor City Centre Distt. Burdwan Durgapur-16 Durgapur 713216
89	Gaya	Kfin Technologies Limited Opposite Of Bharat Sewa Ashram, Near Dr A Barkat Multispeciality Hospital, Swarajpuri Road, Gaya-823001
90	Jalpaiguri	KFIN Technologies Limited D B C Road Opp Nirala Hotel Opp Nirala Hotel Opp Nirala Hotel Jalpaiguri 735101
91	Jamshedpur	KFIN Technologies Limited Madhukunj 3Rd Floor Q Road Sakchi Bistupur East Singhbhum Jamshedpur 831001
92	Kharagpur	KFIN Technologies Limited, 258/223/1, ICICI Bank Building, Bhawanipur, Malancha Road, Kharagpur - 721304
93	Kolkata	KFIN Technologies Limited 2/1 Russel Street 4Thfloor Kankaria Centre Kolkata 700071
94	Malda	KFIN Technologies Limited Ram Krishna Pally; Ground Floor English Bazar - Malda 732101
95	Patna	KFIN Technologies Limited, Flat No.- 102, 2Bhk Maa Bhawani Shardalay, Exhibition Road, Patna-800001
96	Raipur	KFIN Technologies Limited, Office No- 401, 4Th Floor, Pithalia Plaza, Fafadih Chowk, Raipur -492001
97	Ranchi	"KFIN Technologies Limited Room No 103, 1St Floor, Commerce Tower, Beside Mahabir Tower, Main Road, Ranchi -834001"
98	Rourkela	KFIN Technologies Limited 2Nd Floor Main Road Udit Nagar Sundargarh Rourekla 769012
99	Sambalpur	KFIN Technologies Limited First Floor; Shop No. 219 Sahej Plaza Golebazar; Sambalpur Sambalpur 768001
100	Siliguri	KFIN Technologies Limited Vyom Sachitra Building, 2Nd Floor, Pranami Mandir Road, Siliguri - 734001
101	Agra	KFIN Technologies Limited, 3Rd Floor, 303 Corporate Park, Block No- 109, Sanjay Place, Agra -282002
102	Aligarh	KFIN Technologies Limited, 1St Floor Sevti Complex, Lekhraj Nagar Centre Point, Samad Road, Aligarh-202001
103	Prayagraj	KFIN Technologies Limited, Shop No- Tf-9, 3Rd Floor, Vinayak Vrindavan Tower, H No-34/26, Tashkhant Road. Civil Station, Prayagraj- 211001
104	Ambala	KFIN Technologies Limited 6349 2Nd Floor Nicholson Road Adjacent Kos Hospitalambala Cant Ambala 133001
105	Bareilly	KFIN Technologies Limited, Tola Ram Building 68E, Civil Lines Choupla Chauraha Above Bajaj Gold Loan, Bareilly, 243001
106	Begusarai	KFIN Technologies Limited, Sri Ram Market, Kali Asthan Chowk, Matihani Road, Begusarai-851101
107	Bhagalpur	KFIN Technologies Limited 3rd Floor, Hakim Devi Prasad Bhawan, Dr. Rajendra Prasad Road, Beside- Raymond Showroom, Near Ghantaghar, Bhagalpur, Bihar, Pin-812002
108	Darbhanga	KFIN Technologies Limited H No-185, Ward No- 13, National Statistical Office Campus, Kathal Bari, Bhandar Chowk, Darbhanga-846007
109	Dehradun	KFIN Technologies Limited Shop No- 809/799, Street No- 2A, Rajender Nagar, Near Sheesha Lounge, Kaulagarh Road, Dehradun -248003

110	Deoria	KFIN Technologies Limited, 1St Floor, K K Plaza, Above Apurwa Sweets, Civil Lines Road, Deoria- 274001
111	Faridabad	KFIN Technologies Limited A-2B 2Nd Floor Neelam Bata Road Peer Ki Mazar Nehru Groundnit Faridabad 121001
112	Ghaziabad	KFIN Technologies Limited Second Floor, 8, Advocate Chambers, RDC, Raj Nagar, Ghaziabad, Pin - 21002
113	Gorakhpur	KFIN Technologies Limited, Cross Road The Mall, Shop No 8-9, 4Th Floor, Bank Road, Gorakhpur 273001
114	Gurgaon	KFIN Technologies Limited No: 212A 2Nd Floor Vipul Agora M. G. Road - Gurgaon 122001
115	Gwalior	KFIN Technologies Limited, T-303 3Rd Floor, Vasundhara Tower, Near Axis Bank In Front Of Virendra Villa, Patel Nagar, City Centre, Gwalior-474011
116	Haldwani	KFIN Technologies Limited, Shoop No 5, Kmvn Shoping Complex, Haldwani 263139
117	Haridwar	KFIN Technologies Limited, Shop No. - 17, Bhatia Complex, Near Jamuna Palace, Haridwar 249410
118	Hissar	KFIN Technologies Limited, Shop No 31, Ground Floor, Red Square Market, Near Bank of Baroda, Hissar-125001
119	Jhansi	KFIN Technologies Limited, 1st Floor, Basera Arcade, Opp. Major Dhyanchand Stadium, BKT Chitra Road, Civil Lines, Jhansi 284001
120	Kanpur	KFIN Technologies Limited 2nd Floor of Tower-A, Virendra Smriti Complex, 15/54-B Civil Lines, Kanpur-208001
121	Lucknow	KFIN Technologies Limited, Office No 202, 2nd Floor, Bhalla Chambers, 5 Park Road, Hazratganj, Lucknow 226001
122	Mandi	KFIN Technologies Limited House No. 99/11 3Rd Floor Opposite Gss Boy School School Bazar Mandi 175001
123	Mathura	KFIN Technologies Limited, Shop No. 9, Ground Floor, Vihari Lal Plaza, Opposite Brijwasi Centrum, Near New Bus Stand, Mathura 281001
124	Meerut	KFIN Technologies Limited Shop No.297/1, First Floor, Sbm Tower, Near Apex Tower, Canara Bank, Opposite Eves Petrol Pump, Ccs University Road, Mangal Pandey Nagar Meerut-250002
125	Mirzapur	KFIN Technologies Limited Triveni Campus, 2Nd Floor, Ratanganj, Near Sbi Life, Mirzapur-231001
126	Moradabad	KFIN Technologies Limited, Chadha Complex, G. M. D. Road, Near Tadi Khana Chowk, Moradabad-244001
127	Muzaffarpur	KFIN Technologies Limited 1St Floor, Saroj Complex, Diwan Road, Muzaffarpur -842001
128	Noida	KFIN Technologies Limited F-21 2Nd Floor Near Kalyan Jewelers Sector-18 Noida 201301
129	Panipat	KFIN Technologies Limited Shop No. 20 1St Floor Bmk Market Behind Hive Hotel G.T.Road Panipat-132103
130	Renukoot	KFIN Technologies Limited C/O Mallick Medical Store, Bangali Katra Main Road, Renukoot Dist. Sonebhadra -231217
131	Rewa	KFIN Technologies Limited, Shop No-2, Ground Floor, Shree Sai Anmol Complex, Opp Teerath Memorial Hospital, Rewa-486001
132	Rohtak	KFIN Technologies Limited Office No:- 61 First Floor Ashoka Plaza Delhi Road Rohtak 124001
133	Shimla	KFIN Technologies Limited 1St Floor Hills View Complex Near Tara Hall Shimla 171001
134	Sonepat	KFIN Technologies Limited PP Tower, Shop No 207, 2nd Floor, Opposite Income Tax office, Subhash Chowk, Sonepat-131001
135	Sultanpur	KFIN Technologies Limited 1St Floor, Shop No-90A, Ramashankar Market, Civil Lines, Near Bus Station, Sultanpur-228001
136	Varanasi	KFIN Technologies Limited D 64 / 127 C – H Arihant Complex, 2Nd Floor, Sigra (Near Petrol Pump) Varanasi (U P)-221010
137	Yamuna Nagar	KFIN Technologies Limited B-V 185/A 2Nd Floor Jagadri Road Near Dav Girls College (Uco Bank Building) Pyara Chowk - Yamuna Nagar 135001
138	Kolhapur	KFIN Technologies Limited 605/1/4 E Ward Shahupuri 2Nd Lane Laxmi Niwas Near Sultane Chambers Kolhapur 416001
139	Mumbai	KFIN Technologies Limited, 265, Birya House, Perin Nariman st, Shop No 2, Ground Floor, next to Apna Bazar, Fort, Mumbai 400001
140	Pune	"KFIN Technologies Limited Ayaan Chandrika, Office No. 14, 15, 16. Second Floor H.NO 1315, F.PL No. 701, Dadasaheb Torne Path, Off Jangli Maharaj Road, Shivaji Nagar Pune Maharastra -411005"
141	Vashi	KFIN Technologies Limited, Haware Infotech Park 902, 9Th Floor, Plot No 39/03, Sector 30A, Opp Inorbit Mall, Vashi Navi Mumbai 400703
142	Andheri	KFIN Technologies Limited. Office 103, Vertex Navkar, Commercial Complex, M V Road, Opp Andheri Court, Andheri East, Mumbai 400069
143	Borivali	KFIN Technologies Limited, Surbhi Apartment, Ground Floor Shop No 5-8, SVP Road, Opp HDFC Bank, Next to Jain Temple, Borivali West, Mumbai 400092
144	Thane	KFIN Technologies Ltd, Tropical Elite, 1st Floor, Shop no 106, Near Naupada Police Station, Near Hari Niwas Circle Thane West Mumbai- 400602
145	Ajmer	KFIN Technologies Limited, C/o Dani Complex, Behind Chandak Eye Hospital, Agra Gate Circle, P R Marg, Ajmer - 305001
146	Alwar	KFIN Technologies Limited Office Number 137 First Floor Jai Complex Road No-2 Alwar 301001
147	Amritsar	KFIN Technologies Limited Sco 5 2Nd Floor District Shopping Complex Ranjit Avenue Amritsar 143001
148	Bhatinda	KFIN Technologies Limited Mcb -Z-3-01043 2 Floor Goniana Road Opporite Nippon India Mf Gt Road Near Hanuman Chowk Bhatinda 151001
149	Bhilwara	KFIN Technologies Limited Office No. 14 B Prem Bhawan Pur Road Gandhi Nagar Near Canarabank Bhilwara 311001
150	Bikaner	KFIN Technologies Limited H.No. 10, Himtasar House, Museum Circle, Civil Line, Bikaner, Rajasthan - 334001
151	Chandigarh	KFin Technologies Ltd, S C O No. 2475-2476, 1st Floor Sector 22 C, Chandigarh - 160022
152	Ferozpur	KFIN Technologies Limited The Mall Road Chawla Bulding Ist Floor Opp. Centrail Jail Near Hanuman Mandir Ferozepur 152002
153	Hoshiarpur	KFIN Technologies Limited Unit # Sf-6 The Mall Complex 2Nd Floor Opposite Kapila Hospital Sutheri Road Hoshiarpur 146001
154	Jaipur	KFIN Technologies Limited, First Floor, Office number 102-103, Ambition Tower(Manglam), Malviya Marg, Agrasen Circle, C-Scheme, Jaipur - 302001
155	Jalandhar	KFIN Technologies Limited, 2nd Floor, Shanti Tower SCO no.37 PUDA Complex Opposite Tehsil Complex, Jalandhar, 144001
156	Jammu	KFIN Technologies Limited 1D/D Extension 2 Valmiki Chowk Gandhi Nagar Jammu 180004
157	Jodhpur	KFIN Technologies Limited, Flat No. - B, Ground Floor, Jodhana Arcade Complex, Near Safi Petrol Pump, Bombay Motor Circle, Jodhpur – 342003
158	Karnal	KFIN Technologies Limited 3 Randhir Colony Near Doctor J.C.Bathla Hospital Karnal (Haryana) 132001
159	Kota	KFIN Technologies Limited D-8 Shri Ram Complex Opposite Multi Purpose School Gumanpur Kota 324007
160	Ludhiana	KFIN Technologies Limited, SCO 124 First floor, Feroze Gandhi Market, Ludhiana-141001
161	Moga	KFIN Technologies Limited 1St Floordutt Road Mandir Wali Gali Civil Lines Barat Ghar Moga 142001
162	New Delhi	KFIN Technologies Limited 305 New Delhi House 27 Barakhamba Road - New Delhi 110001
163	Pathankot	KFIN Technologies Limited 2Nd Floor Sahni Arcade Complex Adj.Indra Colony Gate Railway Road Pathankot 145001
164	Patiala	KFIN Technologies Limited B- 17/423 Lower Mall Patiala Opp Modi College Patiala 147001
165	Sikar	KFIN Technologies Limited First Floorsuper Tower Behind Ram Mandir Near Taparya Bagichi - Sikar 332001
166	Sri Ganganagar	KFIN Technologies Limited Address Shop No. 5 Opposite Bihani Petrol Pump Nh - 15 Near Baba Ramdev Mandir Sri Ganganagar 335001
167	Udaipur	KFIN Technologies Limited Shop No. 202 2Nd Floor Business Centre 1C Madhuvan Opp G P O Chetak Circle Udaipur 313001
168	chandrapur	KFIN Technologies Limited C/O Global Financial Services, 2Nd Floor, Raghuwanshi Complex, Near Azad Garden, Chandrapur, Maharashtra-442401
169	Ghatkopar	KFIN Technologies Limited 11/Platinum Mall, Jawahar Road, Ghatkopar (East), Mumbai 400077
170	Satara	KFIN Technologies Limited G7, 465 A, Govind Park Satar Bazaar, Satara - 415001
171	Ahmednagar	KFIN Technologies Limited, Baiju Heights, Opposite to Canara bank, Near old Vasant talkies, Market yard road, Ahilyanagar, Ahmednagar Maharashtra - 414001
172	Nellore	KFIN Technologies Limited, H. No: 216/2/561, Ramarao Complex-2 3Rd Floor, Shop No: 305, Nagula Mitta Road, (Indira Bhavan), Opp: Bank Of Baroda, Nellore Pin: 524001
173	Kalyan	KFIN Technologies Limited Seasons Business Centre, 104 / 1St Floor, Shivaji Chowk, Opposite Kdmc (Kalyan Dombivali Mahanagar Corporation) Kalyan - 421301
174	Korba	KFIN Technologies Limited, Office No #202, 2Nd Floor, Icrc Qube, 97 T. P. Nagar, Korba-495677
175	Ratlam	KFIN Technologies Limited 106, Rajaswa Colony, Near Sailana Bus Stand, Ratlam, Madhya Pradesh 457001
176	Tinsukia	KFIN Technologies Limited 3Rd Floor, Somany Tiles Building Above Uti Mutual Fund, Chirwapatty Road, Tinsukia Assam - 786125
177	Saharanpur	KFIN Technologies Limited, 1St Floor, Krishna Complex, Opp. Hathi Gate, Court Road, Saharanpur - 247001
178	Kalyani	KFIN Technologies Limited Ground Floor, H No B-7/27S, Kalyani, Kalyani Ho, Nadia, West Bengal – 741235
179	Hosur	KFIN Technologies Limited No.2/3-4. Sri Venkateswara Layout, Denkanikottai Road, Dinnur Hosur - 635109
180	Malappuram	KFIN Technologies Limited, MM18/1974, Peekeys Arcade, (ICICI Bank Building), Near Municipal bus stand, A K Road, Downhill, Malappuram, Kerala, 676519
181	Serampore	KFIN Technologies Limited, Hinterland-II, GR.Floor, 6A Roy Ghat Lane, Serampore, Hooghly, West Bengal, 712201
182	Alappuzha	KFIN Technologies Limited, Sree Rajarajeswari Building, Ground Floor, Church Road, Mullackal Ward, Alappuzha, Kerala 688011
183	Palghar	KFIN Technologies Limited, The Edge Ground Floor, Shop number 4, Bhausaheb Dandekar Marg, Behind Prakash Talkies, Palghar Maharashtra 401404
184	Sangli	KFIN Technologies Limited, 514/A, Gala No. 2/A, The Signature Building, Near Pudhari Bhavan, Sangli – 416416
185	Cooch behar	KFIN Technologies Limited Beside Muthoot Fincorp, Opposite Udichi Market, Nripendra Narayan Road, Post & District- Cooch Behar, West BengalPIN-735101
186	Panvel	KFIN Technologies Limited, Plot No. 240, J.K. Plaza Building, T.P. Scheme No. 1, 1st Floor, Behind Shivaji Statue, MTNL Road, Panvel, Raigad - 410206
187	Howrah	KFIN Technologies Limited, Aurobindo Mall, Shri Aurobindo Road, Babudunga, Bandhaghat, Salika, , Howrah, 711106
188	Namakkal	KFIN Technologies Limited 1st Floor, 18/41, Salem Road, R P Pudur, Namakkal – 637001
189	Mapusa	KFIN Technologies Ltd, 101, 1st floor, Edcon solitaire building, Near Vodafone showroom, near Malisa Market, Opp axis bank, Mapusa – 403507
190	Tirupur	KFIN Technologies Limited 22/1, Binny Compound Main Street, Balaji Layout, Kannipiran Colony, Binny Compound, Tirupur – 641601
191	Dibrugarh	KFIN Technologies Limited, Amba Complex, 3rd Floor, HS Road, Dibrugarh, Assam - 786001
192	Ichalkaranji	KFIN Technologies Limited, Gaiban Building, Jawaharnagar, Ichalkaranji, Maharashtra - 416115

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