

QUANTUM INDIA ESG EQUITY FUND

(An Open ended equity scheme investing in companies following Environment, Social and Governance (ESG) theme)



Investment Objective : The Investment Objective of the scheme is to achieve long-term capital appreciation by investing in share of companies that meet Quantum's Environment, Social and Governance (ESG) criteria.

Scheme Features



Fund Manager & Associate Fund Manager

Mr. Chirag Mehta

Work experience: 15 years.

Ms. Sneha Joshi

Work experience: 6 years.

Both have been managing the fund since July 12, 2019.



Category of Scheme

Thematic Scheme



Inception Date (Date of Allotment)

July 12, 2019



Declaration of Net Asset Value (NAV)

Every Business Day



Entry / Sales Load

Not Applicable



Total Expense Ratio (As on month end)

TER specified are the actual expenses charged (i.e. effective rate) as at the end of the month.

Direct Plan - Total TER = 0.90%

(Base TER 0.81% (Inclusive of 0.47% Management Fees & 0.34% Other Expenses) + 0.09% GST (18% GST on 0.47% Management Fees))

Regular Plan - Total TER = 1.40%

(Base TER 1.31% (Inclusive of 0.47% Management Fees & 0.34% Other Expenses & 0.50% Distributor Commission) + 0.09% GST (18% GST on 0.47% Management Fees))



Benchmark Index

Nifty 100 ESG Total Return Index



Minimum Application Amount (Under each Option)

Purchase: ₹500/- and in multiples of ₹1/- thereafter.

Additional Purchase: ₹500/- and in multiples of ₹1/- thereafter.



Investment Options

Growth



Redemption Proceeds

Processed through RTGS/NEFT mode on T+3 basis from the date of transaction where the investor's Bank details are available. Processed through cheque on T+3 basis from the date of transaction where the required Bank details of investor are not available.



Exit Load

For complete details on Exit Load please refer page no.3



Taxation#

The amount of Long Term Capital Gain in excess of Rs 1,00,000/- in a year will be taxable @ 10%. Tax on Short Term Capital Gains - 15%.

#The mentioned Tax Rates shall be increased by applicable surcharge, If any, Health and Education Cess @ 4% where ever as applicable. Equity oriented schemes will also attract Securities Transaction Tax (STT) @ 0.001% at the time of redemption and switch to other schemes.

Stamp Duty applicable on Investors unit transaction as mentioned in the Amendments to Indian Stamp Act, 1899.

TRANSACTION CHARGES: No Transaction Charges shall be deducted from the investment amount for applications received in the Regular Plan.

| NAV (as on August 31, 2020) | Direct Plan (₹/Unit) | Regular Plan (₹/Unit) |
|--------------------------------|-------------------------|--------------------------|
| Growth Option | 10.7900 | 10.7200 |

AUM ₹(In Crores)
(as on August 31, 2020)

Average AUM*

17.83

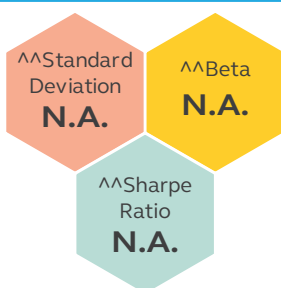
Absolute AUM

18.12

*Cumulative Daily AuM /No of days in the month

August 2020

Key Statistics



Brokerages & Commissions Details

| | |
|---|------------|
| Brokerages on Investments for August 2020 | ₹ 5,589.26 |
| Distributor commissions for August 2020 | ₹ 8,207.54 |
| Portfolio Turnover Ratio (Last one year): | 18.31% |

Quantum India ESG Equity Fund Performance as on August 31, 2020

The Scheme is co-managed by Mr.Chirag Mehta and Ms.Sneha Joshi
For other Schemes Managed by Mr. Chirag Mehta please see page no. **page no.5, 6**
Mr Chirag Mehta and Ms.Sneha Joshi are Fund Managers effective from July 12, 2019.

Performance of the Scheme

Quantum India ESG Equity Fund - Direct Plan

| Period | Scheme Returns (%) | Benchmark | | Scheme (Rs.) | Value of investment of Rs. 10,000@ | |
|---------------------------------------|--------------------|------------------------------|--------------------------------|--------------|------------------------------------|---|
| | | NIFTY100 ESG TRI Returns (%) | S&P BSE Sensex TRI Returns (%) | | NIFTY100 ESG TRI (Rs.) | Benchmark S&P BSE Sensex TRI Return (Rs.) |
| Since Inception (12th Jul 2019) | 6.88 | 4.38 | 1.03 | 10,790 | 10,502 | 10,118 |
| Aug 30, 2019 to Aug 31, 2020 (1 year) | 10.04 | 8.61 | 4.58 | 11,010 | 10,866 | 10,460 |

Past performance may or may not be sustained in the future. Load is not taken into consideration in Scheme returns calculation.
Different Plans shall have a different expense structure.
Refer to the section "GIPS Compliance" on Page 4 for GIPS related disclosure.
The Schemes has been in existence for more than 1 year but has not yet completed 3 and 5 years period.
Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Performance of the Scheme

Quantum India ESG Equity Fund - Regular Plan

| Period | Scheme Returns (%) | Benchmark | | Scheme (Rs.) | Value of investment of Rs. 10,000@ | |
|---------------------------------------|--------------------|------------------------------|--------------------------------|--------------|------------------------------------|---|
| | | NIFTY100 ESG TRI Returns (%) | S&P BSE Sensex TRI Returns (%) | | NIFTY100 ESG TRI (Rs.) | Benchmark S&P BSE Sensex TRI Return (Rs.) |
| Since Inception (12th Jul 2019) | 6.27 | 4.38 | 1.03 | 10,720 | 10,502 | 10,118 |
| Aug 30, 2019 to Aug 31, 2020 (1 year) | 9.33 | 8.61 | 4.58 | 10,939 | 10,866 | 10,460 |

Past performance may or may not be sustained in the future. Load is not taken into consideration in Scheme returns calculation.
The Schemes has been in existence for more than 1 year but has not yet completed 3 and 5 years period
Different Plans shall have a different expense structure.
Refer to the section "GIPS Compliance" on Page 4 for GIPS related disclosure.
Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

SIP Performance

SIP Performance of Quantum India Esg Equity Fund - Direct Plan as on August 31, 2020

| | Total Amount Invested (₹'000) | Mkt Value as on Aug 31, 20 (₹'000) | Scheme Returns (XIRR*) (%) | Nifty 100 ESG Total Return Index [§] (%) |
|---------------------|-------------------------------|------------------------------------|----------------------------|---|
| SIP Since Inception | 130.00 | 142.74 | 17.53 | 15.11 |
| 1 Year SIP | 120.00 | 131.61 | 18.79 | 16.01 |

Past performance may or may not be sustained in the future.

Load is not taken into consideration using applicable NAV on the SIP day (5th of every month). Return on SIP and Benchmark are annualized and compounded investment return for cash flows resulting out of uniform and regular monthly subscriptions as on 5th day of every month (in case 5th is a non-Business Day, then the next Business Day) and have been worked out using the Excel spreadsheet function known as XIRR. XIRR calculates the internal rate of return for series of cash flow. Assuming ₹10,000 invested every month on 5th day of every month (in case 5th is a non-Business Day, then the next Business Day), and since inception returns from SIP are annualized and compounded investment return computed on the assumption that SIP installments were received across the time periods from the start date of SIP

Returns are net of total expenses

after 10 under S&P BSE Sensex TRI Return (Rs.)

Nifty 100 ESG Total Return Index

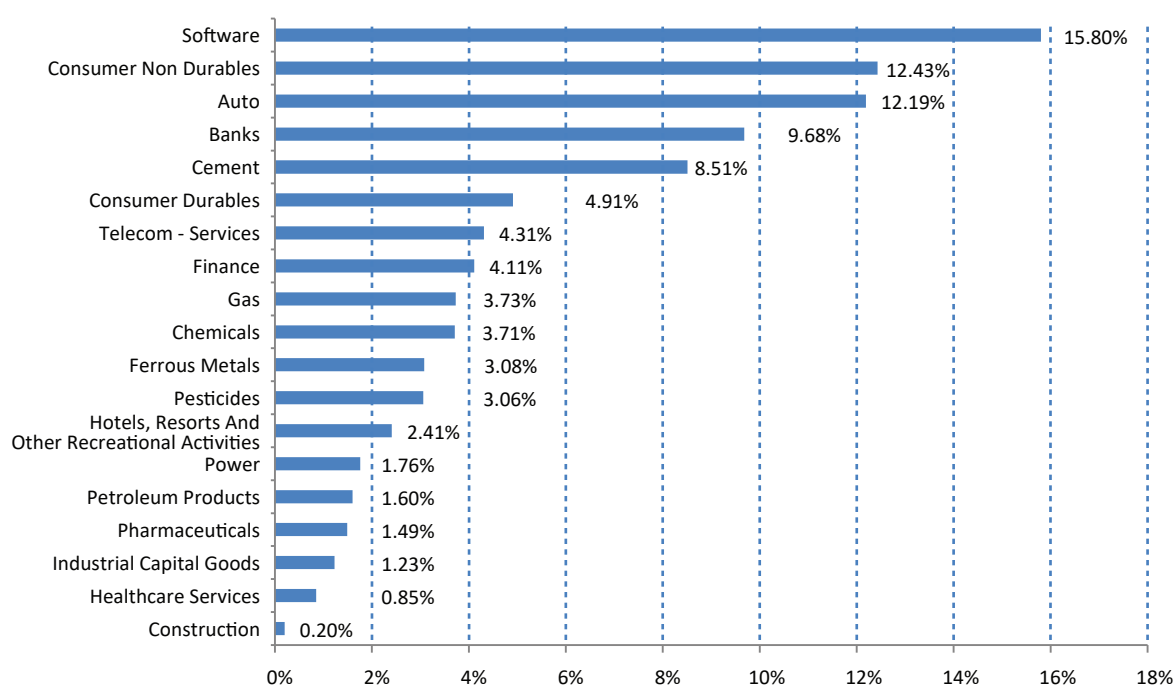
*XIRR - XIRR calculates the internal rate of return to measure and compare the profitability of series of investments.

Exit Load:

| Provisions | % of Exit Load |
|---|----------------|
| 10% of units If redeemed or switched out on or before 365 days from the date of allotment | NIL |
| Remaining 90% of units if redeemed or switched out on or before 365 days from the date of allotment | 1 |
| If redeemed or switched out on or after 365 days from the date of allotment | NIL |

Note: Redemptions / Switch outs of units will be done on First In First Out (FIFO) basis. The above mentioned load structure shall be equally applicable to the special facilities such as Systematic Withdrawal Plan (SWP) / Systematic Transfer Plan (STP) and Switches etc. However, no load shall be charged for switching between option / plan within the Scheme.

Industry Allocation (% of Net Assets) as on August 31, 2020



August 2020

Portfolio as on August 31, 2020

QUANTUM INDIA ESG EQUITY FUND

| Name of Instrument | Industry | Quantity | Market Value In Lakhs | % to Net Assets |
|---|---|----------|-----------------------|-----------------|
| EQUITY & EQUITY RELATED | | | | |
| A) Listed /Awaiting listing on Stock Exchanges | | | | |
| 1. Tata Consultancy Services Limited | Software | 3,897 | 87.97 | 4.86% |
| 2. Housing Development Finance Corporation Limited | Finance | 4,061 | 74.42 | 4.11% |
| 3. Infosys Limited | Software | 7,938 | 73.71 | 4.07% |
| 4. HDFC Bank Limited | Banks | 6,366 | 71.04 | 3.92% |
| 5. Tata Communications Limited | Telecom - Services | 7,065 | 61.51 | 3.40% |
| 6. Wipro Limited | Software | 22,142 | 60.07 | 3.32% |
| 7. Marico Limited | Consumer Non Durables | 16,273 | 60.03 | 3.31% |
| 8. Ambuja Cements Limited | Cement | 27,829 | 58.59 | 3.23% |
| 9. TVS Motor Company Limited | Auto | 13,248 | 57.34 | 3.17% |
| 10. Tata Steel Limited | Ferrous Metals | 13,522 | 55.85 | 3.08% |
| 11. Rallis India Limited | Pesticides | 18,895 | 55.49 | 3.06% |
| 12. Shree Cement Limited | Cement | 273 | 55.37 | 3.06% |
| 13. Kotak Mahindra Bank Limited | Banks | 3,936 | 55.16 | 3.04% |
| 14. Hindustan Unilever Limited | Consumer Non Durables | 2,364 | 50.05 | 2.76% |
| 15. Tata Chemicals Limited | Chemicals | 15,519 | 48.50 | 2.68% |
| 16. Tata Motors Limited | Auto | 33,689 | 48.24 | 2.66% |
| 17. Maruti Suzuki India Limited | Auto | 673 | 46.03 | 2.54% |
| 18. Tata Consumer Products Limited | Consumer Non Durables | 8,537 | 45.45 | 2.51% |
| 19. The Indian Hotels Company Limited | Hotels, Resorts And Other Recreational Activities | 42,108 | 43.67 | 2.41% |
| 20. Tech Mahindra Limited | Software | 5,691 | 42.17 | 2.33% |
| 21. ACC Limited | Cement | 3,037 | 40.14 | 2.22% |
| 22. Havells India Limited | Consumer Durables | 6,399 | 39.39 | 2.17% |
| 23. Hero MotoCorp Limited | Auto | 1,214 | 36.49 | 2.01% |
| 24. Mahanagar Gas Limited | Gas | 3,804 | 36.21 | 2.00% |
| 25. Mahindra & Mahindra Limited | Auto | 5,388 | 32.70 | 1.81% |
| 26. Power Grid Corporation of India Limited | Power | 17,861 | 31.96 | 1.76% |
| 27. Gujarat Gas Limited | Gas | 9,633 | 31.35 | 1.73% |
| 28. Axis Bank Limited | Banks | 5,455 | 27.10 | 1.50% |
| 29. Syngene International Limited | Pharmaceuticals | 5,682 | 26.94 | 1.49% |
| 30. Nestle India Limited | Consumer Non Durables | 165 | 26.32 | 1.45% |
| 31. Thermax Limited | Industrial Capital Goods | 2,903 | 22.20 | 1.23% |
| 32. HCL Technologies Limited | Software | 3,182 | 22.10 | 1.22% |
| 33. IndusInd Bank Limited | Banks | 3,504 | 22.08 | 1.22% |
| 34. Colgate Palmolive (India) Limited | Consumer Non Durables | 1,616 | 22.03 | 1.22% |
| 35. Dabur India Limited | Consumer Non Durables | 4,496 | 21.34 | 1.18% |
| 36. Vinati Organics Limited | Chemicals | 1,847 | 18.61 | 1.03% |
| 37. Crompton Greaves Consumer Electricals Limited | Consumer Durables | 6,757 | 18.37 | 1.01% |
| 38. Bharat Petroleum Corporation Limited | Petroleum Products | 4,404 | 17.96 | 0.99% |
| 39. Bharti Airtel Limited | Telecom - Services | 3,230 | 16.57 | 0.91% |
| 40. Voltas Limited | Consumer Durables | 2,553 | 16.21 | 0.89% |
| 41. Dr. Lal Path Labs Limited | Healthcare Services | 871 | 15.46 | 0.85% |
| 42. Titan Company Limited | Consumer Durables | 1,390 | 15.30 | 0.84% |
| 43. Indian Oil Corporation Limited | Petroleum Products | 12,812 | 10.99 | 0.61% |
| 44. Hemisphere Properties India Limited** | Construction | 3,103 | 3.69 | 0.20% |
| B) Unlisted | | | NIL | NIL |
| Total of all Equity | | | 1,722.17 | 95.06% |
| MONEY MARKET INSTRUMENTS | | | | |
| A) TREPS* | | | 101.34 | 5.59% |
| Net Receivable/(payable) | | | -11.97 | -0.65% |
| Grand Total | | | 1,811.54 | 100.00% |

** Awaiting Listing.

* Cash & Cash Equivalents

GIPS Compliance

A) Quantum Asset Management Company Pvt. Ltd. claims compliance with the Global Investment Performance Standards (GIPS®). GIPS® is a registered trademark of CFA Institute. CFA Institute does not endorse or promote this organization, nor does it warrant the accuracy or quality of the content contained herein.

B) Indian Rupee (INR) is the currency used to express performance and other statistics.

PERFORMANCE OF THE FUNDS MANAGED BY CHIRAG MEHTA

QUANTUM MULTI ASSET FUND OF FUNDS*

Co-managing along with Mr. Nilesh Shetty effective from July 11, 2012

| Period | Aug 30, 2019 to Aug 31, 2020 (1 year) | | Aug 31, 2017 to Aug 31, 2020 (3 years) | | Aug 31, 2015 to Aug 31, 2020 (5 years) | |
|--|--|--------------------------|---|--------------------------|---|--------------------------|
| | Scheme Return (%) | Benchmark Return# (%) | Scheme Return (%) | Benchmark Return# (%) | Scheme Return (%) | Benchmark Return# (%) |
| Quantum Multi Asset Fund of Funds - Direct Plan | 9.24 | 13.75 | 7.18 | 11.32 | 8.65 | 10.85 |
| Quantum Multi Asset Fund of Funds - Regular Plan | 8.98 | 13.75 | 6.99 | 11.32 | NA | NA |

Past performance may or may not be sustained in the future. Load is not taken into consideration in Scheme Return Calculation.

Indicates CRISIL Composite Bond Fund Index (40%) + S&P BSE SENSEX Total Return Index (40%) + Domestic price of Gold (20%). It is a customized index and it is rebalanced daily

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 5 schemes of the Quantum Mutual Fund. Mr. Nilesh Shetty manages 2 schemes of the Quantum Mutual Fund.

Regular plan launched on 1st April 2017 but not yet completed 5 years period since its launch.

*With effect from 1st January 2020, the name of "Quantum Multi Asset Fund" has been changed to "Quantum Multi Asset Fund of Funds".

QUANTUM EQUITY FUND OF FUNDS

Effective from November 01, 2013

| Period | Aug 30, 2019 to Aug 31, 2020 (1 year) | | Aug 31, 2017 to Aug 31, 2020 (3 years) | | Aug 31, 2015 to Aug 31, 2020 (5 years) | |
|---|--|------------------------------------|---|------------------------------------|---|------------------------------------|
| | Scheme Return (%) | S&P BSE 200 TRI Returns# (%) | Scheme Return (%) | S&P BSE 200 TRI Returns# (%) | Scheme Return (%) | S&P BSE 200 TRI Returns# (%) |
| Quantum Equity Fund of Funds - Direct Plan - Growth Option | 3.93 | 5.50 | 2.28 | 4.80 | 7.32 | 8.76 |
| Quantum Equity Fund of Funds - Regular Plan - Growth Option | 3.68 | 5.50 | 2.10 | 4.80 | NA | NA |

Past performance may or may not be sustained in the future. Load is not taken into consideration in Scheme Return Calculation.

#Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 5 schemes of the Quantum Mutual Fund.

Regular plan launched on 1st April 2017 but not yet completed 5 years period since its launch.

QUANTUM GOLD FUND

Mr. Chirag Mehta effective from May 1, 2009.Co-managing with Ms. Ghazal Jain effective from June 2, 2020

| Period | Aug 30, 2019 to Aug 31, 2020 (1 year) | | Aug 31, 2017 to Aug 31, 2020 (3 years) | | Aug 31, 2015 to Aug 31, 2020 (5 years) | |
|-------------------|--|--|---|--|---|--|
| | Scheme Return (%) | Domestic Price of Gold Returns#(%) | Scheme Return (%) | Domestic Price of Gold Returns#(%) | Scheme Return (%) | Domestic Price of Gold Returns#(%) |
| Quantum Gold Fund | 30.59 | 31.99 | 19.70 | 20.94 | 13.13 | 14.33 |

Past performance may or may not be sustained in the future.

#Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Mr. Chirag Mehta manages 5 Schemes and Ms. Ghazal Jain manages 2 Schemes of the Quantum Mutual Fund

The Scheme being Exchange Traded Fund has one plan to invest through stock exchange and having a single expense structure.

QUANTUM GOLD SAVINGS FUND

Mr. Chirag Mehta effective from May 19, 2011. Co-managing with Ms. Ghazal Jain effective from June 2, 2020

| Period | Aug 30, 2019 to Aug 31, 2020 (1 year) | | Aug 31, 2017 to Aug 31, 2020 (3 years) | | Aug 31, 2015 to Aug 31, 2020 (5 years) | |
|--|---------------------------------------|-------------------------------------|--|-------------------------------------|--|-------------------------------------|
| | Scheme Return (%) | Domestic Price of Gold Returns# (%) | Scheme Return (%) | Domestic Price of Gold Returns# (%) | Scheme Return (%) | Domestic Price of Gold Returns# (%) |
| Quantum Gold Savings Fund - Direct Plan | 33.11 | 31.99 | 19.26 | 20.94 | 12.86 | 14.33 |
| Quantum Gold Savings Fund - Regular Plan | 32.96 | 31.99 | 19.11 | 20.94 | NA | NA |

Past performance may or may not be sustained in the future.

#Benchmark returns.

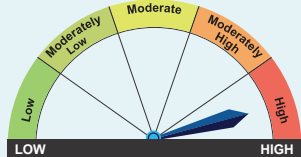
Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 5 Schemes and Ms. Ghazal Jain manages 2 Schemes of the Quantum Mutual Fund.

Regular plan launched on 1st April 2017 but not yet completed 5 years period since its launch.

Product Labeling

| Name of the Scheme | This product is suitable for investors who are seeking* | Riskometer |
|--|---|---|
| Quantum India ESG Equity Fund (An Open ended equity scheme investing in companies following Environment, Social and Governance (ESG) theme) | <ul style="list-style-type: none"> Long term capital appreciation Invests in shares of companies that meet Quantum's Environment, Social and Governance (ESG) criteria. |  <p>Investors understand that their principal will be at High Risk</p> |

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

August 2020