

# QUANTUM INDIA ESG EQUITY FUND

An Open ended equity scheme investing in companies following Environment, Social and Governance (ESG) theme

## Investment Objective

To achieve long-term capital appreciation by investing in share of companies that meet Quantum's Environment, Social and Governance (ESG) criteria.

## ★ Scheme Features



### Fund Manager

**Mr. Chirag Mehta**  
Work experience: 19 years.

**Ms. Sneha Joshi**  
Work experience: 8.6 years.  
Both have been managing the fund since July 12, 2019.



### Category of Scheme

Thematic Scheme



### Inception Date (Date of Allotment)

July 12, 2019



### Declaration of Net Asset Value (NAV)

Every Business Day



### Entry / Sales Load

Not Applicable



### Total Expense Ratio (As on month end)

TER specified are the actual expenses charged (i.e. effective rate) as at the end of the month

#### Direct Plan - Total TER = 0.90%

{Base TER 0.78% (Inclusive of 0.69% Management Fees & 0.09% Other Expenses) + 0.12% GST (18% GST on 0.69% Management Fees)}

#### Regular Plan - Total TER = 1.65%

{Base TER 1.53% (Inclusive of 0.69% Management Fees & 0.09% Other Expenses & 0.75% Distributor Commission) + 0.12% GST (18% GST on 0.69% Management Fees)}



### Benchmark Index

Nifty 100 ESG Total Return Index



### Minimum Application Amount (Under each Option)

Purchase: ₹500/- and in multiples of ₹1/- thereafter.  
Additional Purchase: ₹500/- and in multiples of ₹1/- thereafter.



### Investment Options

Growth

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## Key Statistics

^^Standard Deviation NA

^^Beta NA

^^Sharpe Ratio NA

## Brokerages & Commissions Details

Brokerages on Investments for February 2021 ₹ 12,697.38

Distributor commissions for February 2021 ₹ 47,262.33

Portfolio Turnover Ratio (Last one year): 16.18%

## ★ Scheme Features



### Redemption Proceeds

Processed through RTGS/NEFT mode on T+3 basis from the date of transaction where the investor's Bank details are available.

Processed through cheque on T+3 basis from the date of transaction where the required Bank details of investor are not available.



### Exit Load

For complete details on Exit Load please refer page no. 4



### Taxation<sup>#</sup>

The amount of Long Term Capital Gain in excess of Rs 1,00,000/- in a year will be taxable @ 10%. Tax on Short Term Capital Gains - 15%.

NAV (as on February 26, 2021)	Direct Plan (₹/Unit)	Regular Plan (₹/Unit)
Growth Option	14.23	14.09

### AUM ₹(In Crores)

(as on February 28, 2021)

Average AUM\*  
34.91

Absolute AUM  
35.03

\*Cumulative Daily AUM /No of days in the month

<sup>#</sup>The mentioned Tax Rates shall be increased by applicable surcharge, If any, Health and Education Cess @ 4% where ever as applicable. Equity oriented schemes will also attract

Securities Transaction Tax (STT) @ 0.001% at the time of redemption and switch to other schemes.

Stamp Duty applicable on Investors subscription unit transactions including Switch in as per the rate mentioned in the Amendments to Indian Stamp Act,1899

**TRANSACTION CHARGES:** No Transaction Charges shall be deducted from the investment amount for applications received in the Regular Plan.

The Scheme is managed by **Mr. Chirag Mehta and Ms. Sneha Joshi**

**Mr. Chirag Mehta** is the Fund Manager and **Ms. Sneha Joshi** is the Associate Fund Manager managing the scheme since **July 12, 2019**.

For other Schemes Managed by Mr. Chirag Mehta please see page no. 6 & 7

Performance of the Scheme

Direct Plan

Quantum India ESG Equity Fund - Direct Plan

				Value of investment of Rs. 10,000@		
		Benchmark	Additional Benchmark		Benchmark	Additional Benchmark
Period	Scheme Returns (%)	NIFTY 100 ESG TRI Returns (%)	S&P BSE Sensex TRI Returns (%)	Scheme (₹)	NIFTY100 ESG TRI Returns (₹)	S&P BSE Sensex TRI Returns (₹)
Since Inception (12th Jul 2019)	24.12%	20.77%	16.93%	14,230	13,610	12,910
Feb 28, 2020 to Feb 26, 2021 (1 year)	40.61%	37.13%	30.00%	14,047	13,701	12,990

**Past performance may or may not be sustained in the future.** Load is not taken into consideration in Scheme returns calculation.

Different Plans shall have a different expense structure.

Refer to the section "GIPS Compliance" on Page no. 6 for GIPS related disclosure.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR)

Performance of the Scheme

Regular Plan

Quantum India ESG Equity Fund - Regular Plan

				Value of investment of Rs. 10,000@		
		Benchmark	Additional Benchmark		Benchmark	Additional Benchmark
Period	Scheme Returns (%)	NIFTY 100 ESG TRI Returns (%)	S&P BSE Sensex TRI Returns (%)	Scheme (₹)	NIFTY100 ESG TRI Returns (₹)	S&P BSE Sensex TRI Returns (₹)
Since Inception (12th Jul 2019)	23.37%	20.77%	16.93%	14,090	13,610	12,910
Feb 28, 2020 to Feb 26, 2021 (1 year)	39.77%	37.13%	30.00%	13,964	13,701	12,990

**Past performance may or may not be sustained in the future.** Load is not taken into consideration in Scheme returns calculation.

Different Plans shall have a different expense structure.

Refer to the section "GIPS Compliance" on Page no. 6 for GIPS related disclosure.

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## SIP Performance as on February 26, 2021

	Total Amount Invested (₹'000)	Mkt Value as on Feb 26, 2021 (₹'000)	Scheme Returns (XIRR*) (%)	NIFTY 100 ESG total return Index (XIRR*) <sup>§</sup> (%)	S&P BSE Sensex TRI Returns (XIRR*) <sup>®</sup> (%)
SIP Since Inception	190.00	257.81	43.32%	39.09%	34.39%
1 Year SIP	120.00	160.48	71.22%	63.86%	59.62%

**Past performance may or may not be sustained in the future.** The above SIP performance is for Quantum India ESG Equity Fund - Direct plan - Growth option. Load is not taken into consideration using applicable NAV on the SIP day (5th of every month). Return on SIP and Benchmark are annualized and compounded investment return for cash flows resulting out of uniform and regular monthly subscriptions as on 5th day of every month (in case 5th is a non-Business Day, then the next Business Day) and have been worked out using the Excel spreadsheet function known as XIRR. XIRR calculates the internal rate of return for series of cash flow. Assuming ₹10,000 invested every month on 5th day of every month (in case 5th is a non-Business Day, then the next Business Day), and since inception returns from SIP are annualized and compounded investment return computed on the assumption that SIP installments were received across the time periods from the start date of SIP.

Returns are net of total expenses

<sup>§</sup>Benchmark Return

<sup>®</sup>Additional Benchmark Return

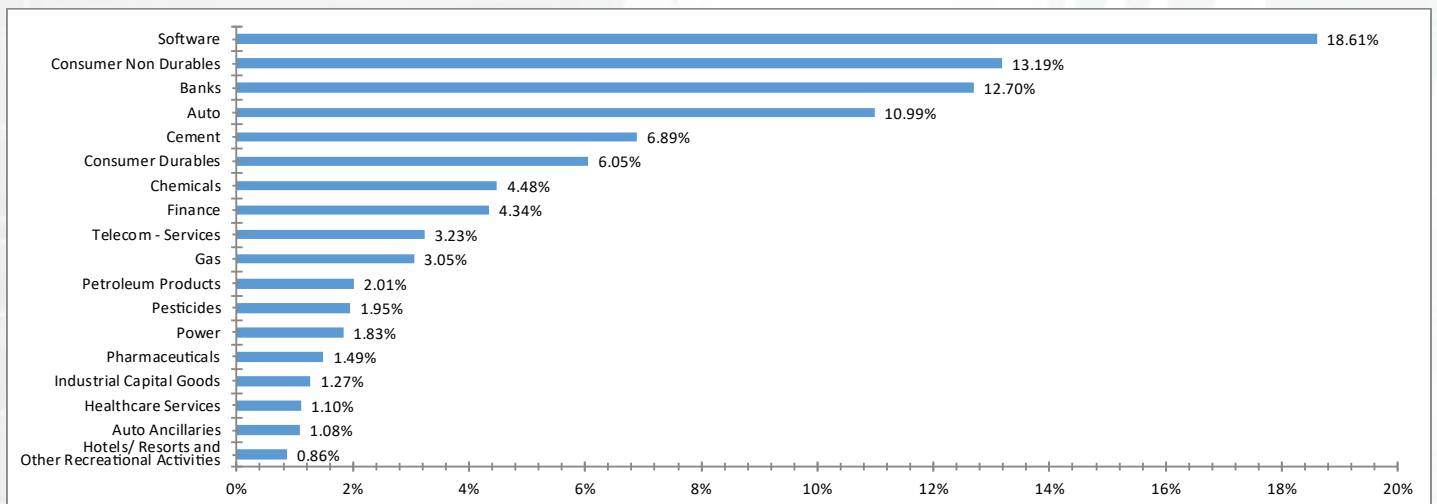
\*XIRR - XIRR calculates the internal rate of return to measure and compare the profitability of series of investments.

## Exit Load:

Provisions	% of Exit Load
10% of units If redeemed or switched out on or before 365 days from the date of allotment	NIL
Remaining 90% of units if redeemed or switched out on or before 365 days from the date of allotment	1%
If redeemed or switched out on or after 365 days from the date of allotment	NIL

**Note: Redemptions / Switch outs of units will be done on First In First Out (FIFO) basis. The above mentioned load structure shall be equally applicable to the special facilities such as Systematic Withdrawal Plan (SWP) / Systematic Transfer Plan (STP) and Switches etc. However, no load shall be charged for switching between option / plan within the Scheme.**

## Industry Allocation (% of Net Assets) as on February 28, 2021





Portfolio as on February 28, 2021  
**QUANTUM INDIA ESG EQUITY FUND**

Name of Instrument	Industry	Quantity	Market / Fair Value (₹ in Lakhs)	% to Net Assets
<b>EQUITY &amp; EQUITY RELATED</b>				
<b>a. Listed /Awaiting listing on Stock Exchanges</b>				
1. Infosys Limited	Software	13,412	168.09	4.80%
2. Tata Consultancy Services Limited	Software	5,381	155.74	4.45%
3. Housing Development Finance Corporation Limited	Finance	5,983	151.93	4.34%
4. Wipro Limited	Software	33,477	137.36	3.92%
5. Tata Motors Limited	Auto	42,234	136.39	3.89%
6. HDFC Bank Limited	Banks	8,352	128.15	3.66%
7. Tata Chemicals Limited	Chemicals	16,215	119.91	3.42%
8. Tata Communications Limited	Telecom - Services	10,472	113.28	3.23%
9. Tata Consumer Products Limited	Consumer Non Durables	18,156	110.60	3.16%
10. Kotak Mahindra Bank Limited	Banks	5,768	102.69	2.93%
11. Havells India Limited	Consumer Durables	9,040	99.94	2.85%
12. Marico Limited	Consumer Non Durables	25,008	99.42	2.84%
13. Hindustan Unilever Limited	Consumer Non Durables	4,017	85.64	2.45%
14. Axis Bank Limited	Banks	11,525	83.53	2.38%
15. ACC Limited	Cement	4,799	83.18	2.37%
16. Shree Cement Limited	Cement	305	80.83	2.31%
17. Tech Mahindra Limited	Software	8,448	77.62	2.22%
18. Ambuja Cements Limited	Cement	28,303	77.41	2.21%
19. TVS Motor Company Limited	Auto	12,648	75.22	2.15%
20. Gujarat Gas Limited	Gas	14,183	70.16	2.00%
21. IndusInd Bank Limited	Banks	6,501	69.10	1.97%
22. Rallis India Limited	Pesticides	26,707	68.28	1.95%
23. Power Grid Corporation of India Limited	Power	29,891	64.06	1.83%
24. Maruti Suzuki India Limited	Auto	921	63.24	1.81%
25. ICICI Bank Limited	Banks	10,332	61.76	1.76%
26. Nestle India Limited	Consumer Non Durables	381	61.35	1.75%
27. Colgate Palmolive (India) Limited	Consumer Non Durables	3,561	56.31	1.61%
28. Hero MotoCorp Limited	Auto	1,715	55.29	1.58%
29. Mahindra & Mahindra Limited	Auto	6,793	54.78	1.56%
30. Syngene International Limited	Pharmaceuticals	9,321	52.12	1.49%
31. Dabur India Limited	Consumer Non Durables	9,575	48.17	1.38%
32. Thermax Limited	Industrial Capital Goods	3,260	44.31	1.27%
33. Castrol India Limited	Petroleum Products	33,246	43.12	1.23%
34. Voltas Limited	Consumer Durables	3,977	40.49	1.16%
35. Crompton Greaves Consumer Electricals Limited	Consumer Durables	10,262	39.52	1.13%
36. Mphasis Limited	Software	2,340	38.54	1.10%
37. Dr. Lal Path Labs Limited	Healthcare Services	1,670	38.42	1.10%
38. Info Edge (India) Limited	Software	779	38.25	1.09%
39. Bosch Limited	Auto Ancillaries	255	37.88	1.08%
40. Vinati Organics Limited	Chemicals	2,656	37.25	1.06%
41. Mahanagar Gas Limited	Gas	3,189	36.94	1.05%
42. HCL Technologies Limited	Software	3,985	36.24	1.03%
43. Titan Company Limited	Consumer Durables	2,256	31.74	0.91%
44. The Indian Hotels Company Limited	Hotels/ Resorts and Other Recreational Activities	24,489	30.08	0.86%
45. Bharat Petroleum Corporation Limited	Petroleum Products	6,040	27.17	0.78%
<b>b. Unlisted</b>			<b>NIL</b>	<b>NIL</b>
<b>Total of all Equity</b>			<b>3,331.50</b>	<b>95.12%</b>
<b>MONEY MARKET INSTRUMENTS</b>				
<b>a. TREPS*</b>			<b>223.09</b>	<b>6.37%</b>
<b>Net Receivable/(payable)</b>			<b>-51.94</b>	<b>-1.49%</b>
<b>Grand Total</b>			<b>3,502.65</b>	<b>100.00%</b>

\* Cash & Cash Equivalents



## GIPS Compliance

- A) Quantum Asset Management Company Pvt. Ltd. claims compliance with the Global Investment Performance Standards (GIPS®). GIPS® is a registered trademark of CFA Institute. CFA Institute does not endorse or promote this organization, nor does it warrant the accuracy or quality of the content contained herein.
- B) Indian Rupee (INR) is the currency used to express performance and other statistics.



## PERFORMANCE OF THE FUNDS MANAGED BY CHIRAG MEHTA

### QUANTUM MULTI ASSET FUND OF FUNDS

Mr. Chirag Mehta Co-managing along with Mr. Nilesh Shetty since July 11, 2012.

Period	Feb 28, 2020 to Feb 26, 2021 (1 year)		Feb 28, 2018 to Feb 26, 2021 (3 years)		Feb 29, 2016 to Feb 26, 2021 (5 years)	
	Scheme Return (%)	Benchmark Return# (%)	Scheme Return (%)	Benchmark Return# (%)	Scheme Return (%)	Benchmark Return# (%)
Quantum Multi Asset Fund of Funds - Direct Plan	15.25%	17.51%	8.78%	13.11%	10.50%	12.88%
Quantum Multi Asset Fund of Funds - Regular Plan	14.90%	17.51%	8.54%	13.11%	NA	NA

**Past performance may or may not be sustained in the future.** Load is not taken into consideration in Scheme Return Calculation.

#Indicates CRISIL Composite Bond Fund Index (40%) + S&P BSE SENSEX Total Return Index (40%) + Domestic price of Gold (20%). It is a customized index and it is rebalanced daily. Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 5 schemes of the Quantum Mutual Fund. Mr. Nilesh Shetty manages 2 schemes of the Quantum Mutual Fund.

### QUANTUM EQUITY FUND OF FUNDS

Mr. Chirag Mehta is managing the fund since November 01, 2013.

Period	Feb 28, 2020 to Feb 26, 2021 (1 year)		Feb 28, 2018 to Feb 26, 2021 (3 years)		Feb 29, 2016 to Feb 26, 2021 (5 years)	
	Scheme Return (%)	S&P BSE 200 TRI Returns# (%)	Scheme Return (%)	S&P BSE 200 TRI Returns# (%)	Scheme Return (%)	S&P BSE 200 TRI Returns# (%)
Quantum Equity Fund of Funds - Direct Plan - Growth Option	25.48%	33.70%	8.67%	12.06%	15.59%	17.63%
Quantum Equity Fund of Funds - Regular Plan - Growth Option	25.18%	33.70%	8.46%	12.06%	NA	NA

**Past performance may or may not be sustained in the future.** Load is not taken into consideration in Scheme Return Calculation.

#Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 5 schemes of the Quantum Mutual Fund.

## QUANTUM GOLD FUND

Mr. Chirag Mehta is managing the scheme since May 01, 2009 and Ms. Ghazal Jain Co-managing the scheme since June 2, 2020.

Period	Feb 28, 2020 to Feb 26, 2021 (1 year)		Feb 28, 2018 to Feb 26, 2021 (3 years)		Feb 29, 2016 to Feb 26, 2021 (5 years)	
	Scheme Return (%)	Domestic Price of Gold Returns <sup>#</sup> (%)	Scheme Return (%)	Domestic Price of Gold Returns <sup>#</sup> (%)	Scheme Return (%)	Domestic Price of Gold Returns <sup>#</sup> (%)
Quantum Gold Fund	6.78%	7.67%	13.60%	14.68%	7.51%	8.59%

Past performance may or may not be sustained in the future.

<sup>#</sup>Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Mr. Chirag Mehta manages 5 Schemes and Ms. Ghazal Jain manages 2 Schemes of the Quantum Mutual Fund

The Scheme being Exchange Traded Fund has one plan to invest through stock exchange and having a single expense structure.

## QUANTUM GOLD SAVINGS FUND

Mr. Chirag Mehta managing the scheme since May 19, 2011 and Ms. Ghazal Jain Co-managing the scheme since June 2, 2020.

Period	Feb 28, 2020 to Feb 26, 2021 (1 year)		Feb 28, 2018 to Feb 26, 2021 (3 years)		Feb 29, 2016 to Feb 26, 2021 (5 years)	
	Scheme Return (%)	Domestic Price of Gold Returns <sup>#</sup> (%)	Scheme Return (%)	Domestic Price of Gold Returns <sup>#</sup> (%)	Scheme Return (%)	Domestic Price of Gold Returns <sup>#</sup> (%)
Quantum Gold Savings Fund - Direct Plan	7.39%	7.67%	14.19%	14.68%	8.56%	8.59%
Quantum Gold Savings Fund - Regular Plan	7.30%	7.67%	14.06%	14.68%	NA	NA

Past performance may or may not be sustained in the future.

<sup>#</sup>Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 5 Schemes and Ms. Ghazal Jain manages 2 Schemes of the Quantum Mutual Fund.

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## Product Labeling

Name of the Scheme	This product is suitable for investors who are seeking*	Riskometer
Quantum India ESG Equity Fund (An Open ended equity scheme investing in companies following Environment, Social and Governance (ESG) theme)	<ul style="list-style-type: none"> <li>Long term capital appreciation</li> <li>Invests in shares of companies that meet Quantum's Environment, Social and Governance (ESG) criteria.</li> </ul>	<p>Investors understand that their principal will be at Very High Risk</p>

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them. The Risk Level of the Scheme in the Riskometer is based on the portfolio of the scheme as on February 28, 2021.

<sup>^^</sup> Note:

Since the scheme has not completed 3 years data will not be available.