

# QUANTUM INDIA ESG EQUITY FUND

An Open ended equity scheme investing in companies following Environment, Social and Governance (ESG) theme

## Investment Objective

To achieve long-term capital appreciation by investing in share of companies that meet Quantum's Environment, Social and Governance (ESG) criteria.

## ★ Scheme Features



### Fund Manager

**Mr. Chirag Mehta**  
Work experience: 15 years.

**Ms. Sneha Joshi**  
Work experience: 6 years.  
Both have been managing the fund since July 12, 2019.



### Category of Scheme

Thematic Scheme



### Inception Date (Date of Allotment)

July 12, 2019



### Declaration of Net Asset Value (NAV)

Every Business Day



### Entry / Sales Load

Not Applicable



### Total Expense Ratio (As on month end)

TER specified are the actual expenses charged (i.e. effective rate) as at the end of the month

**Direct Plan - Total TER = 0.90%**  
{Base TER 0.79% (Inclusive of 0.58% Management Fees & 0.21% Other Expenses) + 0.11% GST (18% GST on 0.58% Management Fees)}

**Regular Plan - Total TER = 1.65%**  
{Base TER 1.54% (Inclusive of 0.58% Management Fees & 0.21% Other Expenses & 0.75% Distributor Commission) + 0.11% GST (18% GST on 0.58% Management Fees)}



### Benchmark Index

Nifty 100 ESG Total Return Index



### Minimum Application Amount (Under each Option)

Purchase: ₹500/- and in multiples of ₹1/- thereafter.  
Additional Purchase: ₹500/- and in multiples of ₹1/- thereafter.



### Investment Options

Growth

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## Key Statistics

^^Standard Deviation NA

^^Beta NA

^^Sharpe Ratio NA

## Brokerages & Commissions Details

Brokerages on Investments for December 2020 ₹ 50,268.56

Distributor commissions for December 2020 ₹ 23,458.89

Portfolio Turnover Ratio (Last one year): 18.93%

## ★ Scheme Features



### Redemption Proceeds

Processed through RTGS/NEFT mode on T+3 basis from the date of transaction where the investor's Bank details are available.

Processed through cheque on T+3 basis from the date of transaction where the required Bank details of investor are not available.



### Exit Load

For complete details on Exit Load please refer page no. 4



### Taxation<sup>#</sup>

The amount of Long Term Capital Gain in excess of Rs 1,00,000/- in a year will be taxable @ 10%. Tax on Short Term Capital Gains - 15%.

NAV (as on December 31, 2020)	Direct Plan (₹/Unit)	Regular Plan (₹/Unit)
Growth Option	13.3100	13.1900

### AUM ₹(In Crores)

(as on December 31, 2020)

Average AUM\*  
26.27

Absolute AUM  
27.17

\*Cumulative Daily AUM /No of days in the month

<sup>#</sup>The mentioned Tax Rates shall be increased by applicable surcharge, If any, Health and Education Cess @ 4% where ever as applicable. Equity oriented schemes will also attract

Securities Transaction Tax (STT) @ 0.001% at the time of redemption and switch to other schemes.

Stamp Duty applicable on Investors subscription unit transactions including Switch in as per the rate mentioned in the Amendments to Indian Stamp Act, 1899

**TRANSACTION CHARGES:** No Transaction Charges shall be deducted from the investment amount for applications received in the Regular Plan.

The Scheme is managed by **Mr. Chirag Mehta and Ms. Sneha Joshi**

**Mr. Chirag Mehta** is the Fund Manager and **Ms. Sneha Joshi** is the Associate Fund Manager managing the scheme since **July 12, 2019.**

For other Schemes Managed by Mr. Chirag Mehta please see page no. 6 & 7

## Performance of the Scheme

### Direct Plan

#### Quantum India ESG Equity Fund - Direct Plan

				Value of investment of Rs. 10,000@		
		Benchmark	Additional Benchmark		Benchmark	Additional Benchmark
Period	Scheme Returns (%)	NIFTY 100 ESG TRI Returns (%)	S&P BSE Sensex TRI Returns (%)	Scheme (₹)	NIFTY100 ESG TRI Returns (₹)	S&P BSE Sensex TRI Returns (₹)
Since Inception (12th Jul 2019)	21.36%	19.82%	16.55%	13,310	13,061	12,537
Dec 31, 2019 to Dec 31, 2020 (1 year)	25.72%	22.89%	17.11%	12,580	12,296	11,716

**Past performance may or may not be sustained in the future.** Load is not taken into consideration in Scheme returns calculation.

Different Plans shall have a different expense structure.

Refer to the section "GIPS Compliance" on Page no. 6 for GIPS related disclosure.

The Schemes has been in existence for more than 1 year but has not yet completed 3 and 5 years period.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR)

## Performance of the Scheme

### Regular Plan

#### Quantum India ESG Equity Fund - Regular Plan

				Value of investment of Rs. 10,000@		
		Benchmark	Additional Benchmark		Benchmark	Additional Benchmark
Period	Scheme Returns (%)	NIFTY 100 ESG TRI Returns (%)	S&P BSE Sensex TRI Returns (%)	Scheme (₹)	NIFTY100 ESG TRI Returns (₹)	S&P BSE Sensex TRI Returns (₹)
Since Inception (12th Jul 2019)	20.62%	19.82%	16.55%	13,190	13,061	12,537
Dec 31, 2019 to Dec 31, 2020 (1 year)	24.95%	22.89%	17.11%	12,502	12,296	11,716

**Past performance may or may not be sustained in the future.** Load is not taken into consideration in Scheme returns calculation.

The Schemes has been in existence for more than 1 year but has not yet completed 3 and 5 years period

Different Plans shall have a different expense structure.

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Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR)

## SIP Performance as on December 31, 2020

	Total Amount Invested (₹'000)	Mkt Value as on Dec 31, 2020 (₹'000)	Scheme Returns (XIRR*) (%)	NIFTY 100 ESG total return Index (XIRR*) <sup>§</sup> (%)	S&P BSE Sensex TRI Returns (XIRR*) <sup>®</sup> (%)
SIP Since Inception	170.00	222.05	41.66%	41.51%	37.89%
1 Year SIP	120.00	155.84	61.02%	60.95%	58.06%

**Past performance may or may not be sustained in the future.** The above SIP performance is for Quantum India ESG Equity Fund - Direct plan - Growth option. Load is not taken into consideration using applicable NAV on the SIP day (5th of every month). Return on SIP and Benchmark are annualized and compounded investment return for cash flows resulting out of uniform and regular monthly subscriptions as on 5th day of every month (in case 5th is a non-Business Day, then the next Business Day) and have been worked out using the Excel spreadsheet function known as XIRR. XIRR calculates the internal rate of return for series of cash flow. Assuming ₹ 10,000 invested every month on 5th day of every month (in case 5th is a non-Business Day, then the next Business Day), and since inception returns from SIP are annualized and compounded investment return computed on the assumption that SIP installments were received across the time periods from the start date of SIP.

Returns are net of total expenses

<sup>§</sup>Benchmark Return

<sup>®</sup>Additional Benchmark Return

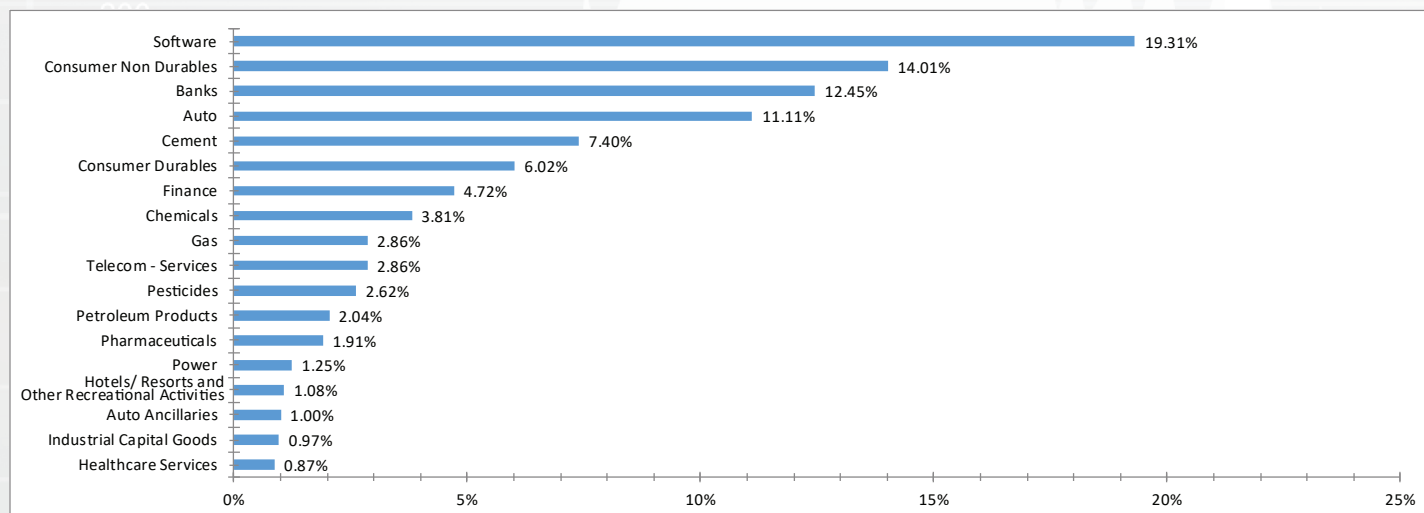
\*XIRR - XIRR calculates the internal rate of return to measure and compare the profitability of series of investments.

## Exit Load:

Provisions	% of Exit Load
10% of units If redeemed or switched out on or before 365 days from the date of allotment	NIL
Remaining 90% of units if redeemed or switched out on or before 365 days from the date of allotment	1%
If redeemed or switched out on or after 365 days from the date of allotment	NIL

**Note: Redemptions / Switch outs of units will be done on First In First Out (FIFO) basis. The above mentioned load structure shall be equally applicable to the special facilities such as Systematic Withdrawal Plan (SWP) / Systematic Transfer Plan (STP) and Switches etc. However, no load shall be charged for switching between option / plan within the Scheme.**

## Industry Allocation (% of Net Assets) as on December 31, 2020





# Portfolio as on December 31, 2020

## QUANTUM INDIA ESG EQUITY FUND

Name of Instrument	Industry	Quantity	Market / Fair Value (Rs. in Lakhs)	% to Net Assets
<b>EQUITY &amp; EQUITY RELATED</b>				
<b>a. Listed /Awaiting listing on Stock Exchanges</b>				
1. Infosys Limited	Software	11,226	140.98	5.19%
2. Housing Development Finance Corporation Limited	Finance	5,008	128.14	4.72%
3. Tata Consultancy Services Limited	Software	4,243	121.47	4.47%
4. Wipro Limited	Software	29,033	112.14	4.13%
5. HDFC Bank Limited	Banks	7,185	103.20	3.80%
6. Kotak Mahindra Bank Limited	Banks	4,534	90.48	3.33%
7. Tata Consumer Products Limited	Consumer Non Durables	15,127	89.23	3.28%
8. Marico Limited	Consumer Non Durables	21,006	84.58	3.11%
9. Tata Communications Limited	Telecom - Services	7,065	77.76	2.86%
10. Tata Motors Limited	Auto	42,234	77.65	2.86%
11. Tata Chemicals Limited	Chemicals	16,215	77.41	2.85%
12. Havells India Limited	Consumer Durables	8,357	76.56	2.82%
13. Hindustan Unilever Limited	Consumer Non Durables	3,039	72.80	2.68%
14. Tech Mahindra Limited	Software	7,382	71.84	2.64%
15. Rallis India Limited	Pesticides	25,333	71.25	2.62%
16. ACC Limited	Cement	4,365	70.61	2.60%
17. Ambuja Cements Limited	Cement	27,017	67.23	2.47%
18. Maruti Suzuki India Limited	Auto	878	67.16	2.47%
19. Shree Cement Limited	Cement	264	63.39	2.33%
20. TVS Motor Company Limited	Auto	11,942	57.92	2.13%
21. Axis Bank Limited	Banks	9,219	57.20	2.10%
22. Hero MotoCorp Limited	Auto	1,715	53.34	1.96%
23. Syngene International Limited	Pharmaceuticals	8,124	51.96	1.91%
24. IndusInd Bank Limited	Banks	5,623	50.32	1.85%
25. Colgate Palmolive (India) Limited	Consumer Non Durables	3,027	47.38	1.74%
26. Mahindra & Mahindra Limited	Auto	6,356	45.80	1.69%
27. Nestle India Limited	Consumer Non Durables	238	43.77	1.61%
28. Gujarat Gas Limited	Gas	11,617	43.73	1.61%
29. Dabur India Limited	Consumer Non Durables	8,091	43.21	1.59%
30. ICICI Bank Limited	Banks	6,940	37.13	1.37%
31. Castrol India Limited	Petroleum Products	29,121	35.83	1.32%
32. Power Grid Corporation of India Limited	Power	17,861	33.91	1.25%
33. Mahanagar Gas Limited	Gas	3,189	33.84	1.25%
34. Crompton Greaves Consumer Electricals Limited	Consumer Durables	8,837	33.63	1.24%
35. Mphasis Limited	Software	2,080	32.03	1.18%
36. HCL Technologies Limited	Software	3,182	30.11	1.11%
37. The Indian Hotels Company Limited	Hotels/ Resorts and Other Recreational Activities	24,489	29.41	1.08%
38. Voltas Limited	Consumer Durables	3,430	28.32	1.04%
39. Bosch Limited	Auto Ancillaries	213	27.23	1.00%
40. Thermax Limited	Industrial Capital Goods	2,903	26.36	0.97%
41. Vinati Organics Limited	Chemicals	2,159	26.09	0.96%
42. Titan Company Limited	Consumer Durables	1,591	24.93	0.92%
43. Dr. Lal Path Labs Limited	Healthcare Services	1,031	23.77	0.87%
44. Bharat Petroleum Corporation Limited	Petroleum Products	5,140	19.59	0.72%
45. Info Edge (India) Limited	Software	336	15.99	0.59%
<b>b. Unlisted</b>			<b>NIL</b>	<b>NIL</b>
<b>Total of all Equity</b>			<b>2,616.68</b>	<b>96.29%</b>
<b>MONEY MARKET INSTRUMENTS</b>				
<b>a. TREPS*</b>			<b>237.29</b>	<b>8.73%</b>
<b>Net Receivable/(payable)</b>			<b>-136.64</b>	<b>-5.02%</b>
<b>Grand Total</b>			<b>2,717.33</b>	<b>100.00%</b>

\* Cash & Cash Equivalents



## GIPS Compliance

- A) Quantum Asset Management Company Pvt. Ltd. claims compliance with the Global Investment Performance Standards (GIPS®). GIPS® is a registered trademark of CFA Institute. CFA Institute does not endorse or promote this organization, nor does it warrant the accuracy or quality of the content contained herein.
- B) Indian Rupee (INR) is the currency used to express performance and other statistics.



## PERFORMANCE OF THE FUNDS MANAGED BY CHIRAG MEHTA

### QUANTUM MULTI ASSET FUND OF FUNDS\*

Mr. Chirag Mehta Co-managing along with Mr. Nilesh Shetty since July 11, 2012.

Period	Dec 31, 2019 to Dec 31, 2020 (1 year)		Dec 29, 2017 to Dec 31, 2020 (3 years)		Dec 31, 2015 to Dec 31, 2020 (5 years)	
	Scheme Return (%)	Benchmark Return# (%)	Scheme Return (%)	Benchmark Return# (%)	Scheme Return (%)	Benchmark Return# (%)
Quantum Multi Asset Fund of Funds - Direct Plan	13.42%	18.81%	8.59%	13.75%	9.61%	12.91%
Quantum Multi Asset Fund of Funds - Regular Plan	13.10%	18.81%	8.37%	13.75%	NA	NA

**Past performance may or may not be sustained in the future.** Load is not taken into consideration in Scheme Return Calculation.

#Indicates CRISIL Composite Bond Fund Index (40%) + S&P BSE SENSEX Total Return Index (40%) + Domestic price of Gold (20%). It is a customized index and it is rebalanced daily. Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 5 schemes of the Quantum Mutual Fund. Mr. Nilesh Shetty manages 2 schemes of the Quantum Mutual Fund.

Regular plan launched on 1st April 2017 but not yet completed 5 years period since its launch.

\*With effect from 1st January 2020, the name of "Quantum Multi Asset Fund" has been changed to "Quantum Multi Asset Fund of Funds"

### QUANTUM EQUITY FUND OF FUNDS

Mr. Chirag Mehta is managing the fund since November 01, 2013.

Period	Dec 31, 2019 to Dec 31, 2020 (1 year)		Dec 29, 2017 to Dec 31, 2020 (3 years)		Dec 31, 2015 to Dec 31, 2020 (5 years)	
	Scheme Return (%)	S&P BSE 200 TRI Returns# (%)	Scheme Return (%)	S&P BSE 200 TRI Returns# (%)	Scheme Return (%)	S&P BSE 200 TRI Returns# (%)
Quantum Equity Fund of Funds - Direct Plan - Growth Option	13.86%	17.87%	5.28%	9.45%	10.94%	13.27%
Quantum Equity Fund of Funds - Regular Plan - Growth Option	13.58%	17.87%	5.09%	9.45%	NA	NA

**Past performance may or may not be sustained in the future.** Load is not taken into consideration in Scheme Return Calculation.

#Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 5 schemes of the Quantum Mutual Fund.

Regular plan launched on 1st April 2017 but not yet completed 5 years period since its launch.

## QUANTUM GOLD FUND

Mr. Chirag Mehta is managing the scheme since May 01, 2009 and Ms. Ghazal Jain Co-managing the scheme since June 2, 2020.

Period	Dec 31, 2019 to Dec 31, 2020 (1 year)		Dec 29, 2017 to Dec 31, 2020 (3 years)		Dec 31, 2015 to Dec 31, 2020 (5 years)	
	Scheme Return (%)	Domestic Price of Gold Returns# (%)	Scheme Return (%)	Domestic Price of Gold Returns# (%)	Scheme Return (%)	Domestic Price of Gold Returns# (%)
Quantum Gold Fund	26.15%	27.39%	18.28%	19.46%	13.45%	14.62%

Past performance may or may not be sustained in the future.

#Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Mr. Chirag Mehta manages 5 Schemes and Ms. Ghazal Jain manages 2 Schemes of the Quantum Mutual Fund

The Scheme being Exchange Traded Fund has one plan to invest through stock exchange and having a single expense structure.

## QUANTUM GOLD SAVINGS FUND

Mr. Chirag Mehta managing the scheme since May 19, 2011 and Ms. Ghazal Jain Co-managing the scheme since June 2, 2020.

Period	Dec 31, 2019 to Dec 31, 2020 (1 year)		Dec 29, 2017 to Dec 31, 2020 (3 years)		Dec 31, 2015 to Dec 31, 2020 (5 years)	
	Scheme Return (%)	Domestic Price of Gold Returns# (%)	Scheme Return (%)	Domestic Price of Gold Returns# (%)	Scheme Return (%)	Domestic Price of Gold Returns# (%)
Quantum Gold Savings Fund - Direct Plan	26.37%	27.39%	18.25%	19.46%	13.43%	14.62%
Quantum Gold Savings Fund - Regular Plan	26.27%	27.39%	18.13%	19.46%	NA	NA

Past performance may or may not be sustained in the future.

#Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).


Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 5 Schemes and Ms. Ghazal Jain manages 2 Schemes of the Quantum Mutual Fund.

Regular plan launched on 1st April 2017 but not yet completed 5 years period since its launch.

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## Product Labeling

Name of the Scheme	This product is suitable for investors who are seeking*	Riskometer
Quantum India ESG Equity Fund (An Open ended equity scheme investing in companies following Environment, Social and Governance (ESG) theme)	<ul style="list-style-type: none"> <li>Long term capital appreciation</li> <li>Invests in shares of companies that meet Quantum's Environment, Social and Governance (ESG) criteria.</li> </ul>	 <p>Investors understand that their principal will be at Very High Risk</p>

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

The Risk Level of the Scheme in the Riskometer is based on the portfolio of the scheme as on December 31, 2020.

^^ Note:

Since the scheme has not completed 3 years data will not be available.