



## MONTHLY FACTSHEET OCTOBER 2021

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Mutual fund investments are subject to market risks, read all scheme related documents carefully.

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EQUITY OUTLOOK BY

**Sorbh Gupta**

Fund Manager, Equity

S&P BSE SENSEX increased by 0.44% on a total return basis in the month of October 2021. It has had a large underperformance vs. its developed market peers such as S&P 500 (7.0%) & Dow Jones Industrial Average Index (5.9%). After this month's underperformance, the YTD performance of SENSEX & S&P 500 is very similar.

The broader market has underperformed the S&P BSE Sensex this month. The S&P BSE Midcap Index was flat during the month and the S&P BSE Smallcap Index declined by -0.33%. With this month's performance, the Midcap & the Smallcap index have given the return of 42.1% & 55.7% respectively on a YTD basis. Buoyed by good quarterly results, Consumer Discretionary & Banking sectors stood out in terms of returns appreciating by 4.5% each

Quantum Long Term Equity Value Fund (QLTEVF) saw a 1.04% appreciation in its NAV in October 2021. This compares to a 0.37% appreciation in its benchmark S&P BSE 200. Cash in the scheme stood at approximately 6.3% at the end of the month. Some of the sectors like Autos & Banking, where the fund has significant exposure generated better return than the benchmark, resulting in outperformance of the fund this month. QLTEVF's portfolio positioning remains tilted towards cyclical as they benefit the most from a broad-based economic recovery.

## Half-yearly results indicate improving demand outlook, but operating costs are inching up

Equity Markets had a parabolic & polarized move in Aug-2021 & Sept-2021 (Sensex moved up by 11% in two months). This means we entered the H1FY22 result season with elevated expectations. Market reactions have been extreme so far both in terms of rewarding companies which beat expectations & punishing those which have missed them. Technology & Banking sectors results have been strong. Some of the consumption companies though have reported strong revenue growth but have missed on profitability due to high input costs. Overall, till the end of October, results have been a mixed bag, with a slight bias towards companies seeing EPS upgrades vs. those seeing downgrades.

The management commentary post in post result conference calls indicates improvement in demand across sectors. However, amidst all these talks of demand recovery, managements are quick to add a comment on pressure on operating margins due to higher input cost inflation. Corporates clearly are facing a dilemma of either live with margins erosion or risk of jeopardising the still frail demand recovery by price hikes.

By the mid of this month as earnings season comes to an end, we will be able to clearly comment on the continuity of the earning upgrade cycle (an important driver for equity markets) which started in Q2FY21.

## FPI outflows due to taper tantrums

October-21 has seen FPI outflows to US\$ 1,892 mn vs inflows of US\$ 1,792 mn in the month of September-21. This is the highest monthly FPI selling since March 2020. On a YTD basis, FPI inflows stand at US\$ 6,462 bn. Seen in the light of US Federal Reserve's imminent tapering. The volatility in FPI flows is not entirely unexpected. However, in the medium & long term, India's nominal GDP growth will look better than the western world & inflows should resume after a pause. DII's have remained net buyers for October 2021 to the tune of US\$ 202 mn.



## The second leg of the macroeconomy cycle & equity market returns

Having picked most of the low hanging fruits in the last 12-18 months, macroeconomy & stock markets are entering a more difficult phase of economic recovery & equity performance. The resurgence of Covid-19, the U.S Fed's tapering & subsequent interest rate hike and higher than expected inflation are some of the macro variables which can increase market volatility in the next few months. However, from a medium-term perspective, the two key drivers of equity markets; a) Corporate earning upgrade & b) liquidity appear sanguine.

- With the economic recovery in place, we are in the first meaningful corporate earning upgrade cycle since 2014. Sectors with strong multiplier effects in the economy like real estate & IT are seeing steady recovery. An uptick in private CAPEX cycle in the next few quarters, if it happens, will further confirm this trend and will be positive for equities.
- On liquidity, US Federal Reserve's imminent tapering is clearly the most significant risk to FPI flows in the near term. However, in the medium & long term, India's nominal GDP growth will look better than the western world. This makes it a sought-after destination for yield & growth-seeking long term global investors. Domestic institutions are again seeing positive flows in the last few months from retail investors. The biggest risk to liquidity is retail flows to direct equities which is at an all-time high. Near term volatility in return expectation can result in sharp outflows from direct retail investors.

After the recent rally, the benchmark indices appear expensive. Nonetheless, markets are heterogeneous & not everything is cheap or expensive at the same time. Investors should look at active funds with portfolio P/E multiples ideally more attractively valued than the benchmark but offering a very similar or higher growth profile. Lower P/E reduces the drawdown risk, but a similar growth outlook indicates the portfolio's ability to capture the upside sufficiently.

From a long-term financial planning perspective, investors should create a diversified equity portfolio through a mix of 5 to 6 schemes of varying styles and market capitalizations. A value fund as the anchor fund can be (20% to 25% of total exposure) layered with funds of different market capitalizations. Thematic-ESG funds could also be explored. It is advisable to review & rebalance the portfolio every six months. Given the near-term volatility, a time horizon of 3 to 5 years seems good for any equity investment.

### Data source: NSDL

Refer page no. 14 for product label of Quantum Long Term Equity Value Fund



DEBT OUTLOOK BY

**Pankaj Pathak**

Fund Manager,  
Fixed Income

The bond market selloff of late September continued in October. During the month, the 10-year benchmark government bond yield (Gsec) surged 17 basis points from 6.22% on September 30, 2021, to 6.39% on October 29, 2021.

Since September 20, 2021, the 10-year government bond yield has moved up by a cumulative 24 basis points. At the shorter end, the impact was even more pronounced as yields on 1-3 year maturity government bonds jumped by about 35-40 basis points during the same period.

Much of the selloff can be attributed to two developments – (1) a steep rise in crude oil price and (2) a normalization of liquidity operations by the RBI.

The crude oil price has been rising for the last two months due to the pick up in global demand and restricted supply by the oil producers' cartel – the OPEC and Russia. The Brent oil price has jumped by ~18% in the last two months and currently hovering near its 2018 peak of USD 86/barrel.

If supply is not raised quickly, crude oil prices will remain under pressure which poses a risk for Indian bonds.

The RBI has been normalizing its liquidity operation since the start of this year with staggered re-introduction of variable-rate term reverse repos (VRRR). This was on expected lines and was more or less priced in the market.

However, total liquidity absorption under VRRRs increased significantly during the last month, which in turn led to a sharp reduction in the overnight surplus liquidity with banks. At the same time cutoff yields on the VRRR auctions also moved higher between 3.75%-3.99%.

The sharp jump in the money market rates inflicted to the front end of the bond curve and pushed yields higher. There is also an expectation of a hike in the reverse repo rate in the upcoming monetary policy review in December 2021.

Although the macro backdrop is unfavourable, valuations at both the short and long end of the curve have improved significantly after the sell-off. We particularly like the 3-5 year segment of the government bond market which in our opinion, is already pricing much of the liquidity normalisation and a start of rate hiking cycle by end of this year. Given the steep bond yield curve, 3-5 year bonds offer the best roll down potential as well.

At the longer maturity segment, current yield levels look good from a perspective that the terminal repo rate in this cycle may remain much below its pre-pandemic normal level. However, we are restricting



## QUANTUM'S VIEW FOR OCTOBER 2021

exposure to the longer segment due to risk from the rising crude oil prices and the absence of assured RBI buying.

Currently, a bulk of the QDBF portfolio is positioned in the 2-5 year space which is reflective of our aforesaid view on the bond market.

In the current juncture, we believe a combination of liquid to money market funds to benefit from the increase in interest rates in the coming months; along with an allocation to short term debt funds and/or dynamic bond funds with low credit, risks should remain as the core fixed income allocation.

**Source: RBI, Worldometer.info**

*Refer page no. 39 & page no. 44 for product labels of Quantum Liquid Fund & Quantum Dynamic Bond Fund*



Gold Outlook by

**Chirag Mehta**

Senior Fund Manager,  
Alternative Investment

After ending last month in the mid \$1,700 levels, international gold prices hovered near \$1,800 in October as investors worried about inflation.

Even while central banks maintain that the spike in inflation is short-lived, the phenomenal breakdown in supply chains, high energy prices, and accelerating wage growth suggest higher for longer inflation and have complicated the global recovery. Consumer Price Inflation in the US continues to be at 3-decade highs. Experts have pointed out that oil prices, which have touched multi-year highs of \$85 per barrel, are likely to remain structurally higher going forward as global oil consumption rebounds amid tight supply after years of underinvestment in the sector and growing pressure to transition to green energy. And even if the increase in prices of goods and commodities turns out to be "transitory", it is resulting in workers demanding higher wages to get back to their jobs as the pandemic recedes. This increase in wages cannot be reversed, tends to create a vicious cycle and thereby results in sticky inflation.

On the other hand, the world's recovery faces a critical moment with central banks starting to unwind stimulus just as the global economy is slowing thanks to raw material and energy shortages, labour market losing momentum and consumer sentiment souring due to rising prices. The US economy created only 1,94,000 jobs in September compared to estimates of 5,00,000. In its latest World Economic Outlook, the IMF has downgraded its outlook for the global recovery this year to 5.9% from its projection of 6% in July.

With the risk of stagflation growing, gold has begun to find support.

Global debt is fast approaching \$300 trillion and that complicates the normalization of monetary policy. With interest rates at ultra-low levels, servicing this ballooning debt hasn't been very difficult. However, if central banks hike them, the interest costs will increase, putting pressure on governments and businesses. On the flip side, if rates are not hiked in time, inflation could get out of hand only to result in aggressive tightening later which could trigger debt crises and stock market eruptions. Gold can benefit from the resulting risk aversion.

Fed Chairman Jerome Powell recently commented that though he thinks it's time to taper, he doesn't think it's time to raise rates. While unwinding of monetary stimulus by the Fed as soon as in November will support the dollar and hurt gold, investors are expecting the Fed and other major central banks to lag behind inflation, which will mean negative real yields will remain well into next year and gold with its inflation-combating abilities will reassert itself.

While optimism about economic recovery has kept domestic risk assets popular and gold struggling, there are concerns



## QUANTUM'S VIEW FOR OCTOBER 2021

about the sustainability of market valuations. There are macroeconomic risks that can increase stock market volatility in the coming months. Festive celebrations amid lower vaccination rates can increase the chances of a third wave in India. Monetary tightening in the developed world could impact flows to domestic markets and higher input cost inflation can negatively impact corporate earnings. Holding negatively correlated gold in the portfolio can help investors tackle any equity market corrections.

Economies of major gold consumers India and China have bounced back from the pandemic induced recession. India imported 91 tonnes of gold in September, compared to 12 tonnes a year earlier. Consumer incomes and sentiment have improved. A recent report by the World Gold Council reveals that for each 1% increase in gross national income per capita, domestic gold demand in India has historically risen by 0.9%. Pent up demand thanks to lockdowns and postponed marriages is now supporting prices. A good monsoon and the upcoming festive season in India should further aid the uptick in consumer demand. This is a classic two-hand approach that works well for gold markets where the increase in physical demand supports prices providing a floor in times of slower investment demand. Gold prices have fallen substantially over the last year. As per the World Gold Council, for each 1% fall in gold prices in a year, Indian demand for gold increases by 1.2%.

Firming crude oil prices and the surge in imports with domestic demand bouncing back is set to increase India's trade deficit and pressure the rupee. The strengthening of the dollar is further weighing on the currency. A depreciating rupee should keep domestic gold prices well supported.

Given the current macroeconomic background, investors should maintain their 10-15% allocation to gold. Those with lower allocation can take advantage of such phases of consolidation in prices to build their exposure.

**Sources: World Gold Council, Bloomberg**

# QUANTUM LONG TERM EQUITY VALUE FUND

An Open Ended Equity Scheme  
following a Value Investment Strategy

## Investment Objective

To achieve long-term capital appreciation by investing primarily in shares of companies that will typically be included in the S&P BSE 200 Index and are in a position to benefit from the anticipated growth and development of the Indian economy and its markets.

## ★ Scheme Features



### Fund Manager

**Mr. Sorbh Gupta**

Work experience: 16.5 years. He has been managing the fund since December 1, 2020.

**Mr. Nilesh Shetty**

Work experience: 18.2 years. He has been managing the fund since March 28, 2011.



### Category of Scheme

Value Fund



### Inception Date (Date of Allotment)

March 13, 2006



### Declaration of Net Asset Value (NAV)

Every Business Day



### Entry / Sales Load

Not Applicable



### Total Expense Ratio (As on month end)

TER specified are the actual expenses charged (i.e. effective rate) as at the end of the month

#### Direct Plan - Total TER = 1.29%

{Base TER 1.14% (Inclusive of 0.84% Management Fees & 0.30% Other Expenses) + 0.15% GST (18% GST on 0.84% Management Fees)}

#### Regular Plan - Total TER = 1.79%

{Base TER 1.64% (Inclusive of 0.84% Management Fees & 0.30% Other Expenses & 0.50% Distributor Commission) + 0.15% GST (18% GST on 0.84% Management Fees)}



### Benchmark Index

S&P BSE 200 - Total Return Index



### Minimum Application Amount (Under each Option)

Purchase: ₹ 500/- and in multiples of ₹ 1/- thereafter. Additional Purchase: ₹ 500/- and in multiples of ₹ 1/- thereafter/ 50 units

# QUANTUM LONG TERM EQUITY VALUE FUND

An Open Ended Equity Scheme  
following a Value Investment Strategy

## Key Statistics

^^Standard Deviation 21.57%

^^Beta 0.98

^^Sharpe Ratio 0.58

## Brokerages & Commissions Details

Brokerages on Investments for October 2021 ₹2,07,955.63

Distributor commissions for October 2021 ₹1,21,666.51

Portfolio Turnover Ratio (Last one year) 5.18%

## ★ Scheme Features



### Investment Options

Growth & Income Distribution cum Capital Withdrawal (IDCW) (Income Distribution cum Capital Withdrawal (IDCW) Option will in turn have two Facilities, Payout of Income Distribution cum Capital Withdrawal (IDCW) Facility and Reinvestment of Income Distribution cum Capital Withdrawal (IDCW) Facility)



### Redemption Proceeds

Processed through RTGS/NEFT mode on T+3 basis from the date of transaction where the investor's Bank details are available. Processed through cheque on T+3 basis from the date of transaction where the required Bank details of investor are not available.



### Exit Load

For complete details on Exit Load please refer page no. 12



### Taxation#

The amount of Long Term Capital Gain in excess of Rs 1,00,000/- in a year will be taxable @ 10%.

Tax on Short Term Capital Gains - 15%.

| NAV<br>(as on October 29, 2021) | Direct Plan<br>(₹/Unit) | Regular Plan<br>(₹/Unit) |
|---------------------------------|-------------------------|--------------------------|
| IDCW Option                     | 79.34                   | 77.82                    |
| Growth Option                   | 78.67                   | 77.38                    |

| AUM ₹(In Crores)<br>(as on October 31, 2021) |              |
|----------------------------------------------|--------------|
| Average AUM*                                 | Absolute AUM |
| 935.70                                       | 921.15       |

\*Cumulative Daily AUM / No of days in the month

#The mentioned Tax Rates shall be increased by applicable surcharge, If any, Health and Education Cess @ 4% where ever as applicable. Equity oriented schemes will also attract Securities Transaction Tax (STT) @ 0.001% at the time of redemption and switch to other schemes.

Stamp Duty applicable on Investors subscription unit transactions including Switch in as per the rate mentioned in the Amendments to Indian Stamp Act, 1899

**TRANSACTION CHARGES:** No Transaction Charges shall be deducted from the investment amount for applications received in the Regular Plan.



The Scheme is co-managed by **Mr. Sorbh Gupta & Mr. Nilesh Shetty.**

**Mr. Nilesh Shetty** is the Fund Manager managing the scheme since **March 28, 2011.**

**Mr. Sorbh Gupta** is the Fund Manager managing the scheme since **December 1, 2020.**

For other Schemes Managed by Mr. Nilesh Shetty & Mr. Sorbh Gupta please see page no. 14



## Performance of the Scheme

## Direct Plan

## Quantum Long Term Equity Value Fund - Direct Plan - Growth Option

|                                                 |                    |                              |                                | Current Value ₹ 10,000 Invested at the beginning of a given period |                      |                        |
|-------------------------------------------------|--------------------|------------------------------|--------------------------------|--------------------------------------------------------------------|----------------------|------------------------|
|                                                 |                    | Benchmark                    | Additional Benchmark           |                                                                    | Benchmark            | Additional Benchmark   |
| Period                                          | Scheme Returns (%) | S&P BSE 200 TRI* Returns (%) | S&P BSE Sensex TRI Returns (%) | Scheme (₹)                                                         | S&P BSE 200 TRI* (₹) | S&P BSE Sensex TRI (₹) |
| Since Inception (13th Mar 2006)                 | 14.09%             | 13.15%                       | 13.04%                         | 78,670                                                             | 69,117               | 68,071                 |
| October 31, 2011 to October 29, 2021 (10 years) | 14.00%             | 15.04%                       | 14.39%                         | 37,091                                                             | 40,610               | 38,390                 |
| October 31, 2014 to October 29, 2021 (7 years)  | 10.94%             | 13.73%                       | 12.80%                         | 20,686                                                             | 24,611               | 23,235                 |
| October 28, 2016 to October 29, 2021 (5 years)  | 11.33%             | 16.69%                       | 17.60%                         | 17,113                                                             | 21,658               | 22,514                 |
| October 31, 2018 to October 29, 2021 (3 years)  | 14.29%             | 21.33%                       | 21.26%                         | 14,922                                                             | 17,853               | 17,821                 |
| October 30, 2020 to October 29, 2021 (1 year)   | 54.44%             | 57.54%                       | 51.40%                         | 15,425                                                             | 15,734               | 15,123                 |

**Past performance may or may not be sustained in the future.** Load is not taken into consideration in scheme returns calculation.

Different Plans shall have a different expense structure.

Refer to the section "GIPS Compliance" on page no. 13 for GIPS related disclosure.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

\*with effect from February 01, 2020 benchmark has been changed from S&P Sensex TRI to S&P BSE 200 TRI. As TRI data is not available since inception of the scheme, benchmark performance is calculated using composite CAGR S&P BSE 200 index PRI Value from March 13, 2006 to July 31, 2006 and TRI Value since August 1, 2006.



## Performance of the Scheme

## Regular Plan

## Quantum Long Term Equity Value Fund - Regular Plan - Growth Option

|                                                |                    |                              |                                | Current Value ₹ 10,000 Invested at the beginning of a given period |                      |                        |
|------------------------------------------------|--------------------|------------------------------|--------------------------------|--------------------------------------------------------------------|----------------------|------------------------|
|                                                |                    | Benchmark                    | Additional Benchmark           |                                                                    | Benchmark            | Additional Benchmark   |
| Period                                         | Scheme Returns (%) | S&P BSE 200 TRI* Returns (%) | S&P BSE Sensex TRI Returns (%) | Scheme (₹)                                                         | S&P BSE 200 TRI* (₹) | S&P BSE Sensex TRI (₹) |
| Since Inception (01st Apr 2017)                | 10.74%             | 16.69%                       | 17.79%                         | 15,965                                                             | 20,290               | 21,177                 |
| October 31, 2018 to October 29, 2021 (3 years) | 13.77%             | 21.33%                       | 21.26%                         | 14,719                                                             | 17,853               | 17,821                 |
| October 30, 2020 to October 29, 2021 (1 year)  | 53.65%             | 57.54%                       | 51.40%                         | 15,347                                                             | 15,734               | 15,123                 |

**Past performance may or may not be sustained in the future.** Load is not taken into consideration in scheme returns calculation.

Different Plans shall have a different expense structure.

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Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

\*with effect from February 01, 2020 benchmark has been changed from S&P Sensex TRI to S&P BSE 200 TRI. As TRI data is not available since inception of the scheme, benchmark performance is calculated using composite CAGR S&P BSE 200 index PRI Value from March 13, 2006 to July 31, 2006 and TRI Value since August 1, 2006.

## SIP Performance as on October 29, 2021

|                     | Total Amount Invested (₹ '000) | Mkt Value as on Oct 29, 21 (₹ '000) | S&P BSE 200 TRI (₹ '000) | S&P BSE Sensex TRI (₹ '000) | Scheme Returns (XIRR*) (%) | S&P BSE 200 TRI Returns (XIRR*)# (%) | S&P BSE Sensex TRI Returns (XIRR*)® (%) |
|---------------------|--------------------------------|-------------------------------------|--------------------------|-----------------------------|----------------------------|--------------------------------------|-----------------------------------------|
| SIP Since Inception | 1,870.00                       | 6,231.99                            | 6,284.21                 | 6,042.62                    | 14.12%                     | 14.21%                               | 13.78%                                  |
| 10 Years SIP        | 1,200.00                       | 2,497.65                            | 2,872.86                 | 2,795.77                    | 14.07%                     | 16.68%                               | 16.18%                                  |
| 7 Years SIP         | 840.00                         | 1,370.90                            | 1,573.86                 | 1,570.60                    | 13.80%                     | 17.69%                               | 17.63%                                  |
| 5 Years SIP         | 600.00                         | 889.14                              | 1,000.27                 | 1,001.85                    | 15.83%                     | 20.68%                               | 20.74%                                  |
| 3 Years SIP         | 360.00                         | 515.12                              | 549.24                   | 534.33                      | 24.97%                     | 29.76%                               | 27.69%                                  |
| 1 Year SIP          | 120.00                         | 139.55                              | 143.62                   | 141.74                      | 32.62%                     | 39.75%                               | 36.45%                                  |

**Past performance may or may not be sustained in the future.** The above SIP performance is for Quantum Long Term Equity Value Fund - Direct plan - Growth option. Load is not taken into consideration using applicable NAV on the SIP day (5th of every month). Return on SIP and Benchmark are annualized and compounded investment return for cash flows resulting out of uniform and regular monthly subscriptions as on 5th day of every month (in case 5th is a non-Business Day, then the next Business Day) and have been worked out using the Excel spreadsheet function known as XIRR. XIRR calculates the internal rate of return for series of cash flow. Assuming ₹ 10,000 invested every month on 5th day of every month (in case 5th is a non-Business Day, then the next Business Day), the 1 year, 3 years, 5 years, 7 years, 10 years and since inception returns from SIP are annualized and compounded investment return computed on the assumption that SIP installments were received across the time periods from the start date of SIP from the end of the relevant period viz. 1 year, 3 years, 5 years, 7 years, 10 years and since inception. \*XIRR - XIRR calculates the internal rate of return to measure and compare the profitability of series of investments. With effect from February 01, 2020 benchmark has been changed from S&P Sensex TRI to S&P BSE 200 TRI. As TRI data is not available since inception of the scheme, benchmark performance is calculated using composite CAGR S&P BSE 200 index PRI Value from March 13, 2006 to July 31, 2006 and TRI Value since August 1, 2006. Refer to the section "GIPS Compliance" on Page no. 13 for GIPS related disclosure.

Returns are net of total expenses

#Benchmark Returns.

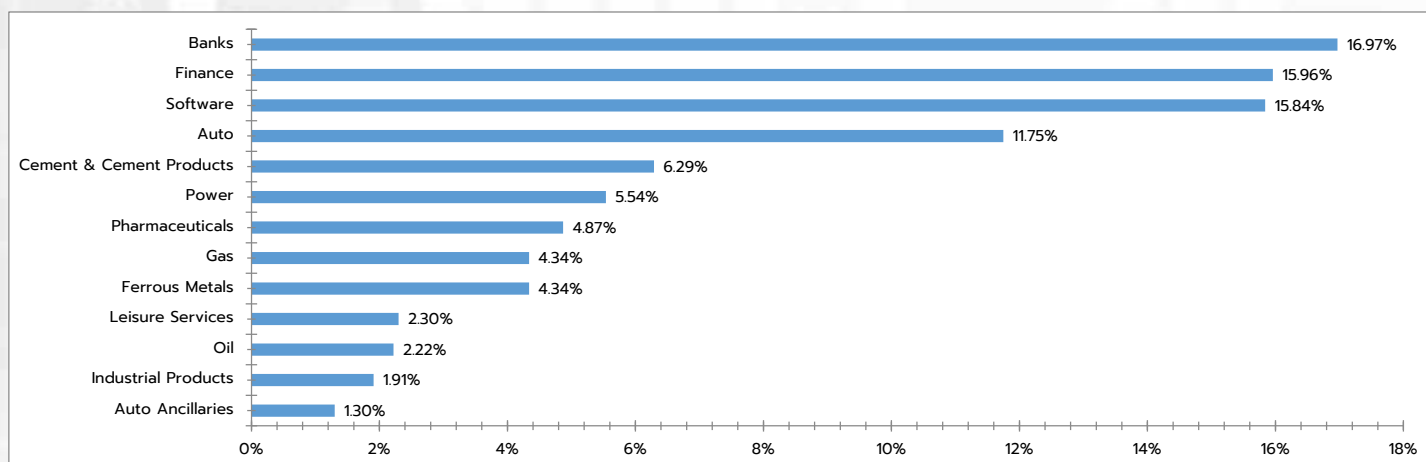
®Additional Benchmark Returns.

## Exit Load:

| Provisions                                                                                                                                                         | % of Exit Load |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
| 10% of units if redeemed or switched out during exit load period i.e. 730 days from the allotment<br><b>Exit Load Period : 730 days from the date of allotment</b> | NIL            |
| <b>Remaining 90% of units in parts or full :</b>                                                                                                                   |                |
| (i) if redeemed or switched out on or before 365 days from the date of allotment                                                                                   | 2%             |
| (ii) if redeemed or switched out on or after 365 days but before 730 days from the date of allotment                                                               | 1%             |
| If units redeemed or switched out after 730 days from the date of allotment                                                                                        | NIL            |

**Note:** Redemptions / Switch outs of units will be done on First In First Out (FIFO) basis. The above mentioned Exit Load shall be equally applicable to the special products such as Systematic Withdrawal Plan (SWP)/Systematic Transfer Plan (STP) and Switches etc. However, no load shall be charged for switching in between option / plan within the scheme.

## Industry Allocation (% of Net Assets) as on October 31, 2021





## Portfolio as on October 31, 2021 QUANTUM LONG TERM EQUITY VALUE FUND

| Name of Instrument                                    | Industry +/- Rating      | Quantity  | Market / Fair Value<br>(₹ in Lakhs) | % to NAV       | Yield to Maturity |
|-------------------------------------------------------|--------------------------|-----------|-------------------------------------|----------------|-------------------|
| <b>EQUITY &amp; EQUITY RELATED</b>                    |                          |           |                                     |                |                   |
| <b>A) Listed /Awaiting listing on Stock Exchanges</b> |                          |           |                                     |                |                   |
| 1. Housing Development Finance Corporation Limited    | Finance                  | 3,02,608  | 8,608.29                            | 9.35%          |                   |
| 2. Infosys Limited                                    | Software                 | 4,18,741  | 6,983.55                            | 7.58%          |                   |
| 3. HDFC Bank Limited                                  | Banks                    | 3,19,975  | 5,064.72                            | 5.50%          |                   |
| 4. ICICI Bank Limited                                 | Banks                    | 6,29,834  | 5,051.58                            | 5.48%          |                   |
| 5. Tata Steel Limited                                 | Ferrous Metals           | 3,03,998  | 4,000.46                            | 4.34%          |                   |
| 6. Mahindra & Mahindra Limited                        | Auto                     | 4,33,862  | 3,836.42                            | 4.16%          |                   |
| 7. Shriram Transport Finance Company Limited          | Finance                  | 2,55,264  | 3,666.10                            | 3.98%          |                   |
| 8. State Bank of India                                | Banks                    | 7,22,931  | 3,630.20                            | 3.94%          |                   |
| 9. ACC Limited                                        | Cement & Cement Products | 1,53,174  | 3,574.16                            | 3.88%          |                   |
| 10. Wipro Limited                                     | Software                 | 5,25,884  | 3,401.15                            | 3.69%          |                   |
| 11. Bajaj Auto Limited                                | Auto                     | 75,629    | 2,803.76                            | 3.04%          |                   |
| 12. NTPC Limited                                      | Power                    | 20,69,390 | 2,745.05                            | 2.98%          |                   |
| 13. Tech Mahindra Limited                             | Software                 | 1,84,355  | 2,724.49                            | 2.96%          |                   |
| 14. LIC Housing Finance Limited                       | Finance                  | 5,95,442  | 2,422.56                            | 2.63%          |                   |
| 15. Hero MotoCorp Limited                             | Auto                     | 90,434    | 2,402.92                            | 2.61%          |                   |
| 16. Power Grid Corporation of India Limited           | Power                    | 12,72,234 | 2,354.27                            | 2.56%          |                   |
| 17. Cipla Limited                                     | Pharmaceuticals          | 2,48,656  | 2,250.46                            | 2.44%          |                   |
| 18. Lupin Limited                                     | Pharmaceuticals          | 2,42,258  | 2,235.80                            | 2.43%          |                   |
| 19. Ambuja Cements Limited                            | Cement & Cement Products | 5,47,366  | 2,215.74                            | 2.41%          |                   |
| 20. Gujarat State Petronet Limited                    | Gas                      | 6,94,031  | 2,117.84                            | 2.30%          |                   |
| 21. The Indian Hotels Company Limited                 | Leisure Services         | 10,75,043 | 2,114.07                            | 2.30%          |                   |
| 22. Oil & Natural Gas Corporation Limited             | Oil                      | 13,73,899 | 2,047.80                            | 2.22%          |                   |
| 23. IndusInd Bank Limited                             | Banks                    | 1,65,260  | 1,884.29                            | 2.05%          |                   |
| 24. GAIL (India) Limited                              | Gas                      | 12,62,947 | 1,879.90                            | 2.04%          |                   |
| 25. Eicher Motors Limited                             | Auto                     | 71,904    | 1,786.67                            | 1.94%          |                   |
| 26. Cummins India Limited                             | Industrial Products      | 1,96,920  | 1,763.81                            | 1.91%          |                   |
| 27. Tata Consultancy Services Limited                 | Software                 | 43,526    | 1,478.90                            | 1.61%          |                   |
| 28. Exide Industries Limited                          | Auto Ancillaries         | 6,92,547  | 1,197.41                            | 1.30%          |                   |
| <b>B) Unlisted</b>                                    |                          |           | NIL                                 | NIL            | NIL               |
| <b>Total of all Equity</b>                            |                          |           | <b>86,242.37</b>                    | <b>93.63%</b>  |                   |
| <b>MONEY MARKET INSTRUMENTS</b>                       |                          |           |                                     |                |                   |
| <b>A) Treasury Bills (T-Bill)</b>                     |                          |           |                                     |                |                   |
| 1. 364 Days Tbill (MD 16/12/2021)                     | Sovereign                | 50,000    | 49.79                               | 0.05%          | 3.45%             |
| <b>Total of T-Bill</b>                                |                          |           | <b>49.79</b>                        | <b>0.05%</b>   |                   |
| <b>B) TREPS*</b>                                      |                          |           | 5,959.80                            | 6.47%          | 3.31%             |
| <b>Total of Money Market Instruments</b>              |                          |           | <b>6,009.59</b>                     | <b>6.52%</b>   |                   |
| <b>Net Receivable/(payable)</b>                       |                          |           | <b>-136.49</b>                      | <b>-0.15%</b>  |                   |
| <b>Grand Total</b>                                    |                          |           | <b>92,115.47</b>                    | <b>100.00%</b> |                   |

\* Cash & Cash Equivalents



### GIPS Compliance

- A) Quantum Asset Management Company Pvt. Ltd. claims compliance with the Global Investment Performance Standards (GIPS®). GIPS® is a registered trademark of CFA Institute. CFA Institute does not endorse or promote this organization, nor does it warrant the accuracy or quality of the content contained herein.
- B) Indian Rupee (INR) is the currency used to express performance and other statistics.
- C) Using Price Return for a benchmark is allowed by SEBI where the Total Return index values are not available. This requirement is different from GIPS Standards wherein the GIPS compliant firms are required to provide benchmark returns in based on Total Return Index value only



## PERFORMANCE OF THE FUNDS MANAGED BY SORBH GUPTA

### QUANTUM TAX SAVING FUND

Mr. Sorbh Gupta is the Fund Manager managing the scheme since October 1, 2016.

| Period                                                 | Oct 30, 2020 to Oct 29, 2021 (1 year) |                              | Oct 31, 2018 to Oct 29, 2021 (3 years) |                              | Oct 28, 2016 to Oct 29, 2021 (5 years) |                              |
|--------------------------------------------------------|---------------------------------------|------------------------------|----------------------------------------|------------------------------|----------------------------------------|------------------------------|
|                                                        | Scheme Return (%)                     | S&P BSE 200 TRI Returns# (%) | Scheme Return (%)                      | S&P BSE 200 TRI Returns# (%) | Scheme Return (%)                      | S&P BSE 200 TRI Returns# (%) |
| Quantum Tax Saving Fund - Direct Plan - Growth Option  | 53.70%                                | 57.54%                       | 14.27%                                 | 21.33%                       | 11.39%                                 | 16.69%                       |
| Quantum Tax Saving Fund - Regular Plan - Growth Option | 52.98%                                | 57.54%                       | 13.75%                                 | 21.33%                       | NA                                     | NA                           |

Past performance may or may not be sustained in the future.

#Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Sorbh Gupta manages 2 scheme of the Quantum Mutual Fund.



## PERFORMANCE OF THE FUNDS MANAGED BY NILESH SHETTY

### QUANTUM MULTI ASSET FUND OF FUNDS

Mr. Chirag Mehta Co-managing along with Mr. Nilesh Shetty since July 11, 2012.

| Period                                           | Oct 30, 2020 to Oct 29, 2021 (1 year) |                       | Oct 31, 2018 to Oct 29, 2021 (3 years) |                       | Oct 28, 2016 to Oct 29, 2021 (5 years) |                       |
|--------------------------------------------------|---------------------------------------|-----------------------|----------------------------------------|-----------------------|----------------------------------------|-----------------------|
|                                                  | Scheme Return (%)                     | Benchmark Return# (%) | Scheme Return (%)                      | Benchmark Return# (%) | Scheme Return (%)                      | Benchmark Return# (%) |
| Quantum Multi Asset Fund of Funds - Direct Plan  | 14.08%                                | 19.55%                | 10.13%                                 | 14.54%                | 8.73%                                  | 11.92%                |
| Quantum Multi Asset Fund of Funds - Regular Plan | 13.66%                                | 19.55%                | 9.83%                                  | 14.54%                | NA                                     | NA                    |

Past performance may or may not be sustained in the future. Load is not taken into consideration in Scheme Return Calculation.

#Benchmark has been changed from Crisil Composite Bond Fund Index (40%) + S&P BSE SENSEX Total Return Index (40%) + Domestic price of gold (20%) to CRISIL Composite Bond Fund Index (20%) + S&P BSE Total Return Index (40%) + CRISIL Liquid Index (25%) + Domestic Price of Gold (15%) with effective from April 01, 2021.

It is a customized index and it is rebalanced daily.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 5 schemes of the Quantum Mutual Fund. Mr. Nilesh Shetty manages 2 schemes of the Quantum Mutual Fund.



## Product Labeling

| Name of the Scheme & Primary Benchmark                                                                                                                   | This product is suitable for investors who are seeking*                                                                                                                                    | Risk-o-meter of Scheme                                                                                                                                          | Risk-o-meter of Benchmark                                                             |
|----------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| Quantum Long Term Equity Value Fund<br><br>(An Open Ended Equity Scheme following a Value Investment Strategy)<br><br>Primary Benchmark: S&P BSE 200 TRI | <ul style="list-style-type: none"> <li>Long term capital appreciation</li> <li>Invests primarily in equity and equity related securities of companies in S&amp;P BSE 200 index.</li> </ul> |  <p>Investors understand that their principal will be at Very High Risk</p> |  |

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

The Risk Level of the Scheme in scheme Risk O Meter is basis it's portfolio as on October 31, 2021.

The Risk Level of the Benchmark Index in the Risk O Meter is basis it's constituents as on October 31, 2021.

^^ Note:

Risk Free Rate assumed to be 3.42% (FBIL Overnight MIBOR for 29th October 2021) for calculating Sharpe Ratio.

Standard Deviation, Sharpe Ratio & Beta are calculated on Annualised basis using 3 years history of monthly returns.

#### Definitions

**Standard** deviation measures historical volatility. A high standard deviation suggests high volatility, while lower standard deviation would refer to more stability.

**Beta** is the tendency of a fund's returns to respond to market swings. A beta of 1 indicates that the fund price will move with the market. A beta of less than 1 means that the security will be less volatile than the market. A beta of greater than 1 indicates that the security's price will be more volatile than the market.

Sharpe Ratio is used to characterise how well the return of an asset compensates the investor for the risk taken. The greater a portfolio's Sharpe ratio, the better its risk-adjusted performance has been.

**Portfolio Turnover Ratio** is the percentage of a funds assets that have changed over the course of a year.

# QUANTUM TAX SAVING FUND

An Open Ended Equity Linked Saving Scheme with a Statutory Lock in of 3 years and Tax Benefit

## Investment Objective

To achieve long-term capital appreciation by investing primarily in shares of companies that will typically be included in the S&P BSE 200 Index and are in a position to benefit from the anticipated growth and development of the Indian economy and its markets.

## ★ Scheme Features



### Fund Manager

**Mr. Sorbh Gupta**

Work experience: 16.5 years. He has been managing this fund since October 1, 2016



### Category of Scheme

Equity Linked Saving Scheme (ELSS)



### Inception Date (Date of Allotment)

December 23, 2008



### Declaration of Net Asset Value (NAV)

Every Business Day



### Entry / Sales Load

Not Applicable



### Total Expense Ratio (As on month end)

TER specified are the actual expenses charged (i.e. effective rate) as at the end of the month.

#### Direct Plan - Total TER = 1.29%

{Base TER 1.14% (Inclusive of 0.84% Management Fees & 0.30% Other Expenses) + 0.15% GST (18% GST on 0.84% Management Fees)}

#### Regular Plan - Total TER = 1.79%

{Base TER 1.64% (Inclusive of 0.84% Management Fees & 0.30% Other Expenses & 0.50% Distributor Commission) + 0.15% GST (18% GST on 0.84% Management Fees)}



### Benchmark Index

S&P BSE 200 - Total Return Index



### Minimum Application Amount (Under each Option)

Purchase: ₹ 500/- and in multiples of ₹ 500/- thereafter. Additional Purchase: ₹ 500/- and in multiples of ₹ 500/- thereafter



### Investment Options

Growth & Income Distribution cum Capital Withdrawal (IDCW)

# QUANTUM TAX SAVING FUND

An Open Ended Equity Linked Saving Scheme with a Statutory Lock in of 3 years and Tax Benefit

## Key Statistics

**Standard Deviation** 21.22%

**Beta** 0.96

**Sharpe Ratio** 0.58

## Brokerages & Commissions Details

Brokerages on Investments for October 2021 ₹20,802.60

Distributor commissions for October 2021 ₹31,116.57

Portfolio Turnover Ratio (Last one year) 7.63%

## ★ Scheme Features



### Redemption Proceeds

Processed through RTGS/NEFT mode on T+3 basis from the date of transaction where the investor's Bank details are available. Processed through cheque on T+3 basis from the date of transaction where the required Bank details of investor are not available.



### Exit Load

Nil



### Taxation#

The amount of Long Term Capital Gain in excess of ₹1,00,000/- in a year will be taxable @ 10%. Tax on Short Term Capital Gains - 15%.



### Lock-in Period

3 years from the date of allotment of the respective Units.

| NAV<br>(as on October 29, 2021) | Direct Plan<br>(₹/Unit) | Regular Plan<br>(₹/Unit) |
|---------------------------------|-------------------------|--------------------------|
| IDCW Option                     | 77.97                   | 76.69                    |
| Growth Option                   | 77.97                   | 76.69                    |

| AUM ₹(In Crores)<br>(as on October 31, 2021) |              |
|----------------------------------------------|--------------|
| Average AUM*                                 | Absolute AUM |
| 103.98                                       | 102.57       |

\*Cumulative Daily AuM / No of days in the month

#The mentioned Tax Rates shall be increased by applicable surcharge, If any, Health and Education Cess @ 4% where ever as applicable. Equity oriented schemes will also attract Securities Transaction Tax (STT) @ 0.001% at the time of redemption and switch to other schemes.

Stamp Duty applicable on Investors subscription unit transactions including Switch in as per the rate mentioned in the Amendments to Indian Stamp Act,1899

**TRANSACTION CHARGES:** No Transaction Charges shall be deducted from the investment amount for applications received in the Regular Plan.

The Scheme is managed by **Mr. Sorbh Gupta**.

Mr. Sorbh Gupta is the Fund Manager managing the scheme since **October 1, 2016**.

For other Schemes Managed by Mr. Sorbh Gupta please see page no. 20

## Performance of the Scheme

### Direct Plan

#### Quantum Tax Saving Fund - Direct Plan - Growth Option

|                                                 |                    |                              |                                | Current Value ₹10,000 Invested at the beginning of a given period |                      |                        |
|-------------------------------------------------|--------------------|------------------------------|--------------------------------|-------------------------------------------------------------------|----------------------|------------------------|
|                                                 |                    | Benchmark                    | Additional Benchmark           |                                                                   | Benchmark            | Additional Benchmark   |
| Period                                          | Scheme Returns (%) | S&P BSE 200 TRI* Returns (%) | S&P BSE Sensex TRI Returns (%) | Scheme (₹)                                                        | S&P BSE 200 TRI* (₹) | S&P BSE Sensex TRI (₹) |
| Since Inception (23rd Dec 2008)                 | 17.32%             | 17.44%                       | 16.72%                         | 77,970                                                            | 79,034               | 73,023                 |
| October 31, 2011 to October 29, 2021 (10 years) | 14.01%             | 15.04%                       | 14.39%                         | 37,113                                                            | 40,610               | 38,390                 |
| October 31, 2014 to October 29, 2021 (7 years)  | 10.81%             | 13.73%                       | 12.80%                         | 20,518                                                            | 24,611               | 23,235                 |
| October 28, 2016 to October 29, 2021 (5 years)  | 11.39%             | 16.69%                       | 17.60%                         | 17,159                                                            | 21,658               | 22,514                 |
| October 31, 2018 to October 29, 2021 (3 years)  | 14.27%             | 21.33%                       | 21.26%                         | 14,914                                                            | 17,853               | 17,821                 |
| October 30, 2020 to October 29, 2021 (1 year)   | 53.70%             | 57.54%                       | 51.40%                         | 15,351                                                            | 15,734               | 15,123                 |

Past performance may or may not be sustained in the future.

Different Plans shall have a different expense structure.

Refer to the section "GIPS Compliance" on Page no. 19 for GIPS related disclosure.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

\*with effect from February 01, 2020 benchmark has been changed from S&P Sensex TRI to S&P BSE 200 TRI.

## Performance of the Scheme

### Regular Plan

#### Quantum Tax Saving Fund - Regular Plan - Growth Option

|                                                |                    |                              |                                | Current Value ₹10,000 Invested at the beginning of a given period |                      |                        |
|------------------------------------------------|--------------------|------------------------------|--------------------------------|-------------------------------------------------------------------|----------------------|------------------------|
|                                                |                    | Benchmark                    | Additional Benchmark           |                                                                   | Benchmark            | Additional Benchmark   |
| Period                                         | Scheme Returns (%) | S&P BSE 200 TRI* Returns (%) | S&P BSE Sensex TRI Returns (%) | Scheme (₹)                                                        | S&P BSE 200 TRI* (₹) | S&P BSE Sensex TRI (₹) |
| Since Inception (01st Apr 2017)                | 10.76%             | 16.69%                       | 17.79%                         | 15,977                                                            | 20,290               | 21,177                 |
| October 31, 2018 to October 29, 2021 (3 years) | 13.75%             | 21.33%                       | 21.26%                         | 14,711                                                            | 17,853               | 17,821                 |
| October 30, 2020 to October 29, 2021 (1 year)  | 52.98%             | 57.54%                       | 51.40%                         | 15,280                                                            | 15,734               | 15,123                 |

Past performance may or may not be sustained in the future.

Different Plans shall have a different expense structure.

Refer to the section "GIPS Compliance" on Page no. 19 for GIPS related disclosure.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

\*with effect from February 01, 2020 benchmark has been changed from S&P Sensex TRI to S&P BSE 200 TRI.

## SIP Performance as on October 29, 2021

|                     | Total Amount Invested (₹ '000) | Mkt Value as on Oct 29, 21 (₹ '000) | S&P BSE 200 TRI (₹ '000) | S&P BSE Sensex TRI (₹ '000) | Scheme Returns (XIRR*) (%) | S&P BSE 200 TRI Returns (XIRR*)# (%) | S&P BSE Sensex TRI Returns (XIRR*)® (%) |
|---------------------|--------------------------------|-------------------------------------|--------------------------|-----------------------------|----------------------------|--------------------------------------|-----------------------------------------|
| SIP Since Inception | 1,540.00                       | 4,052.23                            | 4,452.33                 | 4,306.21                    | 14.11%                     | 15.42%                               | 14.96%                                  |
| 10 Years SIP        | 1,200.00                       | 2,499.26                            | 2,872.86                 | 2,795.77                    | 14.08%                     | 16.68%                               | 16.18%                                  |
| 7 Years SIP         | 840.00                         | 1,370.44                            | 1,573.86                 | 1,570.60                    | 13.79%                     | 17.69%                               | 17.63%                                  |
| 5 Years SIP         | 600.00                         | 888.00                              | 1,000.27                 | 1,001.85                    | 15.77%                     | 20.68%                               | 20.74%                                  |
| 3 Years SIP         | 360.00                         | 513.94                              | 549.24                   | 534.33                      | 24.80%                     | 29.76%                               | 27.69%                                  |
| 1 Year SIP          | 120.00                         | 139.21                              | 143.62                   | 141.74                      | 32.02%                     | 39.75%                               | 36.45%                                  |

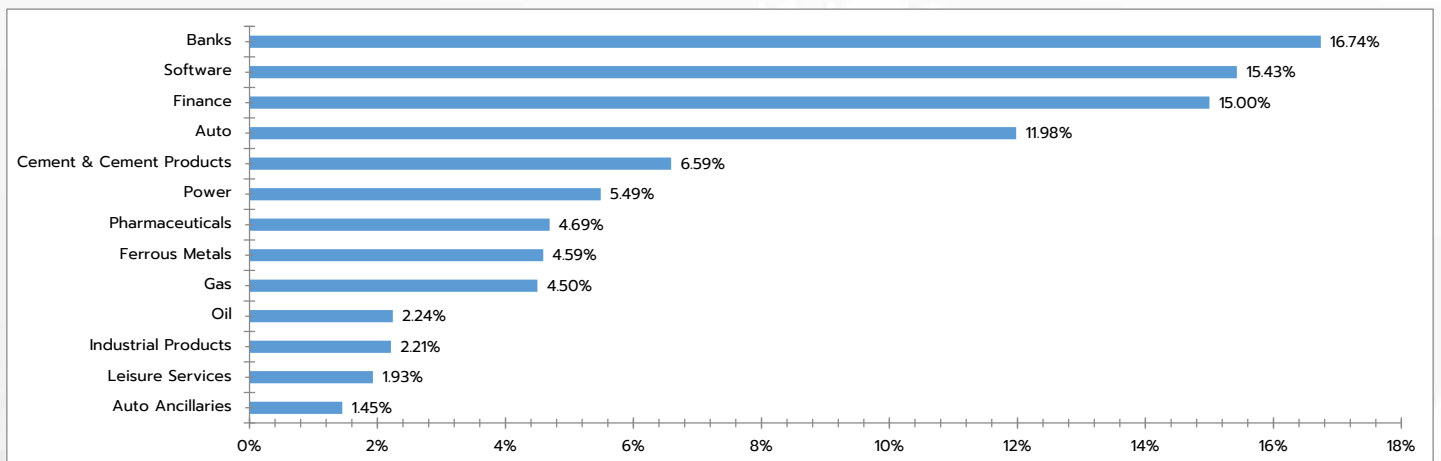
**Past performance may or may not be sustained in the future.** The above SIP performance is for Quantum Tax Saving Fund - Direct plan - Growth option. Scheme performance has been calculated using applicable NAV on the SIP day (5th of every month). Return on SIP and Benchmark are annualized and compounded investment return for cash flows resulting out of uniform and regular monthly subscriptions as on 5th day of every month (in case 5th is a non-Business Day, then the next Business Day) and have been worked out using the Excel spreadsheet function known as XIRR. XIRR calculates the internal rate of return for series of cash flow. Assuming ₹ 10,000 invested every month on 5th day of every month (in case 5th is a non-Business Day, then the next Business Day), the 1 year, 3 years, 5 years, 7 years, 10 years, and since inception returns from SIP are annualized and compounded investment return computed on the assumption that SIP installments were received across the time periods from the start date of SIP from the end of the relevant period viz. 1 year, 3 years, 5 years, 7 years, 10 years and since Inception. \*XIRR - XIRR calculates the internal rate of return to measure and compare the profitability of series of investments.

Returns are net of total expenses

#Benchmark Returns.

®Additional Benchmark Returns.

## Industry Allocation (% of Net Assets) as on October 31, 2021





## Portfolio as on October 31, 2021 Quantum Tax Saving Fund

| Name of Instrument                                    | Industry                 | Quantity | Market / Fair Value<br>(₹ in Lakhs) | % to NAV       |
|-------------------------------------------------------|--------------------------|----------|-------------------------------------|----------------|
| <b>EQUITY &amp; EQUITY RELATED</b>                    |                          |          |                                     |                |
| <b>A) Listed /Awaiting listing on Stock Exchanges</b> |                          |          |                                     |                |
| 1. Housing Development Finance Corporation Limited    | Finance                  | 32,975   | 938.04                              | 9.15%          |
| 2. Infosys Limited                                    | Software                 | 45,920   | 765.83                              | 7.47%          |
| 3. HDFC Bank Limited                                  | Banks                    | 36,012   | 570.02                              | 5.56%          |
| 4. ICICI Bank Limited                                 | Banks                    | 70,701   | 567.06                              | 5.53%          |
| 5. Tata Steel Limited                                 | Ferrous Metals           | 35,813   | 471.28                              | 4.59%          |
| 6. Mahindra & Mahindra Limited                        | Auto                     | 46,754   | 413.42                              | 4.03%          |
| 7. ACC Limited                                        | Cement & Cement Products | 17,367   | 405.24                              | 3.95%          |
| 8. Wipro Limited                                      | Software                 | 59,433   | 384.38                              | 3.75%          |
| 9. State Bank of India                                | Banks                    | 73,399   | 368.57                              | 3.59%          |
| 10. Shriram Transport Finance Company Limited         | Finance                  | 25,076   | 360.14                              | 3.51%          |
| 11. Bajaj Auto Limited                                | Auto                     | 9,284    | 344.18                              | 3.36%          |
| 12. NTPC Limited                                      | Power                    | 2,16,455 | 287.13                              | 2.80%          |
| 13. Tech Mahindra Limited                             | Software                 | 19,271   | 284.80                              | 2.78%          |
| 14. Power Grid Corporation of India Limited           | Power                    | 1,48,886 | 275.51                              | 2.69%          |
| 15. Ambuja Cements Limited                            | Cement & Cement Products | 66,864   | 270.67                              | 2.64%          |
| 16. Hero MotoCorp Limited                             | Auto                     | 10,106   | 268.53                              | 2.62%          |
| 17. Cipla Limited                                     | Pharmaceuticals          | 28,284   | 255.98                              | 2.50%          |
| 18. Gujarat State Petronet Limited                    | Gas                      | 79,548   | 242.74                              | 2.37%          |
| 19. LIC Housing Finance Limited                       | Finance                  | 58,990   | 240.00                              | 2.34%          |
| 20. Oil & Natural Gas Corporation Limited             | Oil                      | 1,53,943 | 229.45                              | 2.24%          |
| 21. Cummins India Limited                             | Industrial Products      | 25,275   | 226.39                              | 2.21%          |
| 22. Lupin Limited                                     | Pharmaceuticals          | 24,384   | 225.04                              | 2.19%          |
| 23. GAIL (India) Limited                              | Gas                      | 1,46,497 | 218.06                              | 2.13%          |
| 24. IndusInd Bank Limited                             | Banks                    | 18,500   | 210.94                              | 2.06%          |
| 25. Eicher Motors Limited                             | Auto                     | 8,140    | 202.26                              | 1.97%          |
| 26. The Indian Hotels Company Limited                 | Leisure Services         | 1,00,466 | 197.57                              | 1.93%          |
| 27. Exide Industries Limited                          | Auto Ancillaries         | 85,824   | 148.39                              | 1.45%          |
| 28. Tata Consultancy Services Limited                 | Software                 | 4,316    | 146.65                              | 1.43%          |
| <b>B) Unlisted</b>                                    |                          |          |                                     |                |
|                                                       |                          |          | NIL                                 | NIL            |
| <b>Total of all Equity</b>                            |                          |          | <b>9,518.27</b>                     | <b>92.84%</b>  |
| <b>MONEY MARKET INSTRUMENTS</b>                       |                          |          |                                     |                |
| <b>a) TREPS*</b>                                      |                          |          | <b>750.82</b>                       | <b>7.32%</b>   |
| <b>Net Receivable/(payable)</b>                       |                          |          | <b>-11.72</b>                       | <b>-0.16%</b>  |
| <b>Grand Total</b>                                    |                          |          | <b>10,257.37</b>                    | <b>100.00%</b> |

\* Cash & Cash Equivalents



## GIPS Compliance

A) Quantum Asset Management Company Pvt. Ltd. claims compliance with the Global Investment Performance Standards (GIPS®). GIPS® is a registered trademark of CFA Institute. CFA Institute does not endorse or promote this organization, nor does it warrant the accuracy or quality of the content contained herein.

B) Indian Rupee (INR) is the currency used to express performance and other statistics.



## QUANTUM LONG TERM EQUITY VALUE FUND

Mr. Nilesh Shetty managing the scheme since March 28, 2011 and

Mr. Sorbh Gupta managing the scheme since December 1, 2020.

| Period                                                                | Oct 30, 2020 to<br>Oct 29, 2021 (1 year) |                                    | Oct 31, 2018 to<br>Oct 29, 2021 (3 years) |                                    | Oct 28, 2016 to<br>Oct 29, 2021 (5 years) |                                    |
|-----------------------------------------------------------------------|------------------------------------------|------------------------------------|-------------------------------------------|------------------------------------|-------------------------------------------|------------------------------------|
|                                                                       | Scheme<br>Return (%)                     | S&P BSE<br>200 TRI<br>Returns# (%) | Scheme<br>Return (%)                      | S&P BSE<br>200 TRI<br>Returns# (%) | Scheme<br>Return (%)                      | S&P BSE<br>200 TRI<br>Returns# (%) |
| Quantum Long Term Equity Value Fund -<br>Direct Plan - Growth Option  | 54.44%                                   | 57.54%                             | 14.29%                                    | 21.33%                             | 11.33%                                    | 16.69%                             |
| Quantum Long Term Equity Value Fund -<br>Regular Plan - Growth Option | 53.65%                                   | 57.54%                             | 13.77%                                    | 21.33%                             | NA                                        | NA                                 |

Past performance may or may not be sustained in the future. Load is not taken into consideration in Scheme Return Calculation.

#Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Nilesh Shetty and Mr. Sorbh Gupta manages 2 Schemes of Quantum Mutual Fund.

## Product Labeling

| Name of the Scheme &<br>Primary Benchmark                                                                                                                                            | This product is suitable for<br>investors who are seeking*                                                                                                                                                                                                                                                      | Risk-o-meter of Scheme                                                                                                                                         | Risk-o-meter of Benchmark                                                            |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|
| Quantum Tax Saving Fund<br><br>(An Open Ended Equity<br>Linked Saving Scheme with a<br>Statutory Lock in of 3 years<br>and Tax Benefit)<br><br>Primary Benchmark:<br>S&P BSE 200 TRI | <ul style="list-style-type: none"> <li>Long term capital appreciation</li> <li>Invests primarily in equity and equity related securities of companies in S&amp;P BSE 200 index and to save tax u/s 80 C of the Income Tax Act. Investments in this product are subject to lock in period of 3 years.</li> </ul> |  <p>Investors understand that their principal will be at Very High Risk</p> |  |

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

The Risk Level of the Scheme in scheme Risk O Meter is basis it's portfolio as on October 31, 2021.

The Risk Level of the Benchmark Index in the Risk O Meter is basis it's constituents as on October 31, 2021.

### ^^ Note:

Risk Free Rate assumed to be 3.42% (FBIL Overnight MIBOR for 29th October 2021) for calculating Sharpe Ratio.

Standard Deviation, Sharpe Ratio & Beta are calculated on Annualised basis using 3 years history of monthly returns.

### Definitions

**Standard deviation** measures historical volatility. A high standard deviation suggests high volatility, while lower standard deviation would refer to more stability.

**Beta** is the tendency of a fund's returns to respond to market swings. A beta of 1 indicates that the fund price will move with the market. A beta of less than 1 means that the security will be less volatile than the market. A beta of greater than 1 indicates that the security's price will be more volatile than the market.

**Sharpe Ratio** is used to characterise how well the return of an asset compensates the investor for the risk taken. The greater a portfolio's Sharpe ratio, the better its risk-adjusted performance has been.

**Portfolio Turnover Ratio** is the percentage of a funds assets that have changed over the course of a year.

# QUANTUM EQUITY FUND OF FUNDS

An Open Ended Fund of Funds scheme  
Investing in Open Ended Diversified  
Equity Schemes of Mutual Funds

## Investment Objective

The investment objective of the scheme is to generate long-term capital appreciation by investing in a portfolio of open-ended diversified equity schemes of mutual funds registered with SEBI. There can be no assurance of positive returns from following the stated investment strategy.

## ★ Scheme Features



### Fund Manager

**Mr. Chirag Mehta**

Work experience: 19 years. He has been managing this fund since November 1, 2013



### Category of Scheme

Fund of Funds – Domestic



### Inception Date (Date of Allotment)

July 20, 2009



### Declaration of Net Asset Value (NAV)

Every Business Day



### Entry / Sales Load

Not Applicable



### Total Expense Ratio (As on month end)

TER specified are the actual expenses charged (i.e. effective rate) as at the end of the month.

#### Direct Plan - Total TER = 0.51%

{Base TER 0.46% (Inclusive of 0.26% Management Fees & 0.20% Other Expenses) + 0.05% GST (18% GST on 0.26% Management Fees)}

#### Regular Plan – Total TER = 0.75%

{Base TER 0.70% (Inclusive of 0.26% Management Fees & 0.20% Other Expenses & 0.24% Distributor Commission) + 0.05% GST (18% GST on 0.26% Management Fees)}



### Benchmark Index

S&P BSE 200 - Total Return Index



### Minimum Application Amount (Under each Option)

Purchase: ₹ 500/- and in multiples of ₹ 1/- thereafter.  
Additional Purchase: ₹ 500/- and in multiples of ₹ 1/- thereafter/ 50 units



### Investment Options

Growth & Income Distribution cum Capital Withdrawal (IDCW) (Income Distribution cum Capital Withdrawal (IDCW) Option will in turn have two Facilities, Payout of Income Distribution cum Capital Withdrawal (IDCW) Facility and Reinvestment of Income Distribution cum Capital Withdrawal (IDCW) Facility)

# QUANTUM EQUITY FUND OF FUNDS

An Open Ended Fund of Funds scheme  
Investing in Open Ended Diversified  
Equity Schemes of Mutual Funds

## Key Statistics

^^Standard Deviation 20.11%

^^Beta 0.93

^^Sharpe Ratio 0.81

## Brokerages & Commissions Details

Brokerages on Investments for October 2021 NIL

Distributor commissions for October 2021 ₹16,833.85

## ★ Scheme Features



### Redemption Proceeds

Processed through RTGS/NEFT mode on T+3 basis from the date of transaction where the investor's Bank details are available. Processed through cheque on T+3 basis from the date of transaction where the required Bank details of investor are not available.



### Exit Load

For complete details on Exit Load please refer page no. 24



### Research Services

Quantum Information Services Private Limited (QIS) which owns the website [www.PersonalFN.com](http://www.PersonalFN.com) is the designated agency to provide a recommended list of diversified equity schemes to Quantum Equity Fund of Funds. The investments in diversified equity schemes is made by Quantum Equity Fund of Funds based on / from that recommended list of diversified equity schemes provide by QIS

| NAV<br>(as on October 29, 2021) | Direct Plan<br>(₹/Unit) | Regular Plan<br>(₹/Unit) |
|---------------------------------|-------------------------|--------------------------|
| IDCW Option                     | 54.970                  | 54.504                   |
| Growth Option                   | 54.970                  | 54.504                   |

| AUM ₹(In Crores)<br>(as on October 31, 2021) |              |
|----------------------------------------------|--------------|
| Average AUM*                                 | Absolute AUM |
| 80.28                                        | 78.44        |

\*Cumulative Daily AuM / No of days in the month



The Scheme is managed by **Mr. Chirag Mehta**.

Mr. Chirag Mehta is the Fund Manager managing the scheme since **November 01, 2013**.

For other Schemes Managed by Mr. Chirag Mehta please see page no. 25 & 26



## Performance of the Scheme

## Direct Plan

## Quantum Equity Fund of Funds - Direct Plan - Growth Option

|                                                 |                    |                             |                                | Current Value ₹ 10,000 Invested at the beginning of a given period |                     |                        |
|-------------------------------------------------|--------------------|-----------------------------|--------------------------------|--------------------------------------------------------------------|---------------------|------------------------|
|                                                 |                    | Benchmark                   | Additional Benchmark           |                                                                    | Benchmark           | Additional Benchmark   |
| Period                                          | Scheme Returns (%) | S&P BSE 200 TRI Returns (%) | S&P BSE Sensex TRI Returns (%) | Scheme (₹)                                                         | S&P BSE 200 TRI (₹) | S&P BSE Sensex TRI (₹) |
| Since Inception (20th Jul 2009)                 | 14.88%             | 13.78%                      | 13.27%                         | 54,970                                                             | 48,835              | 46,212                 |
| October 31, 2011 to October 29, 2021 (10 years) | 15.36%             | 15.04%                      | 14.39%                         | 41,764                                                             | 40,610              | 38,390                 |
| October 31, 2014 to October 29, 2021 (7 years)  | 13.17%             | 13.73%                      | 12.80%                         | 23,773                                                             | 24,611              | 23,235                 |
| October 28, 2016 to October 29, 2021 (5 years)  | 14.10%             | 16.69%                      | 17.60%                         | 19,351                                                             | 21,658              | 22,514                 |
| October 31, 2018 to October 29, 2021 (3 years)  | 19.02%             | 21.33%                      | 21.26%                         | 16,854                                                             | 17,853              | 17,821                 |
| October 30, 2020 to October 29, 2021 (1 year)   | 54.07%             | 57.54%                      | 51.40%                         | 15,388                                                             | 15,734              | 15,123                 |

Past performance may or may not be sustained in the future. Load is not taken into consideration in scheme returns calculation.

Different Plans shall have a different expense structure.

Refer to the section "GIPS Compliance" on Page no. 25 for GIPS related disclosure.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).



## Performance of the Scheme

## Regular Plan

## Quantum Equity Fund of Funds - Regular Plan - Growth Option

|                                                |                    |                             |                                | Current Value ₹ 10,000 Invested at the beginning of a given period |                     |                        |
|------------------------------------------------|--------------------|-----------------------------|--------------------------------|--------------------------------------------------------------------|---------------------|------------------------|
|                                                |                    | Benchmark                   | Additional Benchmark           |                                                                    | Benchmark           | Additional Benchmark   |
| Period                                         | Scheme Returns (%) | S&P BSE 200 TRI Returns (%) | S&P BSE Sensex TRI Returns (%) | Scheme (₹)                                                         | S&P BSE 200 TRI (₹) | S&P BSE Sensex TRI (₹) |
| Since Inception (01st Apr 2017)                | 13.81%             | 16.69%                      | 17.79%                         | 18,094                                                             | 20,290              | 21,177                 |
| October 31, 2018 to October 29, 2021 (3 years) | 18.76%             | 21.33%                      | 21.26%                         | 16,742                                                             | 17,853              | 17,821                 |
| October 30, 2020 to October 29, 2021 (1 year)  | 53.69%             | 57.54%                      | 51.40%                         | 15,351                                                             | 15,734              | 15,123                 |

Past performance may or may not be sustained in the future. Load is not taken into consideration in scheme returns calculation.

Different Plans shall have a different expense structure.

Refer to the section "GIPS Compliance" on Page no. 25 for GIPS related disclosure.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

## SIP Performance as on October 29, 2021

|                     | Total Amount Invested<br>(₹ '000) | Mkt Value as on Oct 29, 21<br>(₹ '000) | S&P BSE 200 TRI<br>(₹ '000) | S&P BSE Sensex TRI<br>(₹ '000) | Scheme Returns<br>(XIRR*) (%) | S&P BSE 200 TRI Returns<br>(XIRR*)# (%) | S&P BSE Sensex TRI Returns<br>(XIRR*)® (%) |
|---------------------|-----------------------------------|----------------------------------------|-----------------------------|--------------------------------|-------------------------------|-----------------------------------------|--------------------------------------------|
| SIP Since Inception | 1,470.00                          | 3,939.09                               | 3,960.54                    | 3,851.33                       | 15.09%                        | 15.17%                                  | 14.76%                                     |
| 10 Years SIP        | 1,200.00                          | 2,806.93                               | 2,872.86                    | 2,795.77                       | 16.25%                        | 16.68%                                  | 16.18%                                     |
| 7 Years SIP         | 840.00                            | 1,472.26                               | 1,573.86                    | 1,570.60                       | 15.81%                        | 17.69%                                  | 17.63%                                     |
| 5 Years SIP         | 600.00                            | 943.15                                 | 1,000.27                    | 1,001.85                       | 18.25%                        | 20.68%                                  | 20.74%                                     |
| 3 Years SIP         | 360.00                            | 532.78                                 | 549.24                      | 534.33                         | 27.47%                        | 29.76%                                  | 27.69%                                     |
| 1 Year SIP          | 120.00                            | 143.50                                 | 143.62                      | 141.74                         | 39.55%                        | 39.75%                                  | 36.45%                                     |

**Past performance may or may not be sustained in the future.** The above SIP performance is for Quantum Equity Fund of Funds - Direct plan - Growth option. Load is not taken into consideration using applicable NAV on the SIP day (5th of every month). Return on SIP and Benchmark are annualized and compounded investment return for cash flows resulting out of uniform and regular monthly subscriptions as on 5th day of every month (in case 5th is a non-Business Day, then the next Business Day) and have been worked out using the Excel spreadsheet function known as XIRR. XIRR calculates the internal rate of return for series of cash flow. Assuming ₹ 10,000 invested every month on 5th day of every month (in case 5th is a non-Business Day, then the next Business Day), the 1 year, 3 years, 5 years, 7 years, 10 years and since inception returns from SIP are annualized and compounded investment return computed on the assumption that SIP installments were received across the time periods from the start date of SIP from the end of the relevant period viz. 1 year, 3 years, 5 years, 7 years, 10 years and since Inception. \*XIRR - XIRR calculates the internal rate of return to measure and compare the profitability of series of investments.

Returns are net of total expenses

#Benchmark Returns.

®Additional Benchmark Returns

## Exit Load:

| Provisions                                                                                       | % of Exit Load |
|--------------------------------------------------------------------------------------------------|----------------|
| 10% of units if redeemed or switched out on or before 365 days from the date of allotment        | NIL            |
| Remaining 90% of units if redeemed or switched out on or before 365 days from the date allotment | 1%             |
| If redeemed or switched out of units after 365 days from the date of allotment                   | NIL            |

**Note: Redemptions / Switch outs of units will be done on First In First Out (FIFO) basis. The above mentioned Exit Load shall be equally applicable to the special products such as Systematic Withdrawal Plan (SWP) / Systematic Transfer Plan (STP) and Switches etc. However, no load shall be charged for switching in between option / plan within the scheme.**

## Portfolio as on October 31, 2021 Quantum Equity Fund of Funds

| Name of Instrument                                                | Quantity  | Market / Fair Value<br>(₹ in Lakhs) | % to Net Assets |
|-------------------------------------------------------------------|-----------|-------------------------------------|-----------------|
| <b>MUTUAL FUND UNITS</b>                                          |           |                                     |                 |
| 1. Invesco India Midcap Fund - Direct Plan - Growth Option        | 9,29,802  | 934.08                              | 11.91%          |
| 2. Axis Bluechip Fund - Direct Plan - Growth                      | 16,45,907 | 861.80                              | 10.99%          |
| 3. Mirae Asset Large Cap Fund - Direct Plan - Growth              | 9,91,837  | 859.05                              | 10.95%          |
| 4. Uti - Flexi Cap Fund-Growth Option - Direct                    | 3,07,792  | 853.35                              | 10.88%          |
| 5. Canara Robeco Bluechip Equity Fund - Direct Plan - Growth      | 18,33,207 | 852.81                              | 10.87%          |
| 6. Principal Emerging Bluechip Fund - Direct Plan - Growth Option | 4,25,296  | 845.79                              | 10.78%          |
| 7. Canara Robeco Emerging Equities - Direct Plan - Growth Option  | 4,66,454  | 839.99                              | 10.71%          |
| 8. Kotak Standard Multicap Fund - Growth - Direct                 | 14,39,325 | 835.49                              | 10.65%          |
| 9. Invesco India Growth Opportunities Fund - Direct Plan - Growth | 13,80,783 | 828.88                              | 10.57%          |
| <b>Total of Mutual Fund Units</b>                                 |           | <b>7,711.24</b>                     | <b>98.31%</b>   |
| <b>MONEY MARKET INSTRUMENTS</b>                                   |           |                                     |                 |
| <b>B) TREPS*</b>                                                  |           | <b>232.12</b>                       | <b>2.96%</b>    |
| <b>Net Receivable/(payable)</b>                                   |           | <b>-99.53</b>                       | <b>-1.27%</b>   |
| <b>Grand Total</b>                                                |           | <b>7,843.83</b>                     | <b>100.00%</b>  |

\* Cash & Cash Equivalents



## GIPS Compliance

A) Quantum Asset Management Company Pvt. Ltd. claims compliance with the Global Investment Performance Standards (GIPS®). GIPS® is a registered trademark of CFA Institute. CFA Institute does not endorse or promote this organization, nor does it warrant the accuracy or quality of the content contained herein.

B) Indian Rupee (INR) is the currency used to express performance and other statistics.



## PERFORMANCE OF THE FUNDS MANAGED BY CHIRAG MEHTA

### QUANTUM MULTI ASSET FUND OF FUNDS

Mr. Chirag Mehta Co-managing along with Mr. Nilesh Shetty since July 11, 2012.

| Period                                                              | Oct 30, 2020 to<br>Oct 29, 2021 (1 year) |                          | Oct 31, 2018 to<br>Oct 29, 2021 (3 years) |                          | Oct 28, 2016 to<br>Oct 29, 2021 (5 years) |                          |
|---------------------------------------------------------------------|------------------------------------------|--------------------------|-------------------------------------------|--------------------------|-------------------------------------------|--------------------------|
|                                                                     | Scheme<br>Return (%)                     | Benchmark<br>Return# (%) | Scheme<br>Return (%)                      | Benchmark<br>Return# (%) | Scheme<br>Return (%)                      | Benchmark<br>Return# (%) |
| Quantum Multi Asset Fund of Funds -<br>Direct Plan - Growth Option  | 14.08%                                   | 19.55%                   | 10.13%                                    | 14.54%                   | 8.73%                                     | 11.92%                   |
| Quantum Multi Asset Fund of Funds -<br>Regular Plan - Growth Option | 13.66%                                   | 19.55%                   | 9.83%                                     | 14.54%                   | NA                                        | NA                       |

Past performance may or may not be sustained in the future. Load is not taken into consideration in Scheme Return Calculation.

#Benchmark has been changed from Crisil Composite Bond Fund Index (40%) + S&P BSE SENSEX Total Return Index (40%) + Domestic price of gold (20%) to CRISIL Composite Bond Fund Index (20%) + S&P BSE Total Return Index (40%) + CRISIL Liquid Index (25%) + Domestic Price of Gold (15%) with effective from April 01, 2021.

It is a customized index and it is rebalanced daily.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 5 schemes of the Quantum Mutual Fund. Mr. Nilesh Shetty manages 2 schemes of the Quantum Mutual Fund.

### QUANTUM INDIA ESG EQUITY FUND

Mr. Chirag Mehta Co-managing along with Ms. Sneha Joshi since July 12, 2019.

| Period                                                          | Oct 30, 2020 to<br>Oct 29, 2021 (1 year) |                                      | Oct 31, 2018 to<br>Oct 29, 2021 (3 years) |                                      | Oct 28, 2016 to<br>Oct 29, 2021 (5 years) |                                      |
|-----------------------------------------------------------------|------------------------------------------|--------------------------------------|-------------------------------------------|--------------------------------------|-------------------------------------------|--------------------------------------|
|                                                                 | Scheme<br>Return (%)                     | NIFTY 100<br>ESG TRI<br>Returns# (%) | Scheme<br>Return (%)                      | NIFTY 100<br>ESG TRI<br>Returns# (%) | Scheme<br>Return (%)                      | NIFTY 100<br>ESG TRI<br>Returns# (%) |
| Quantum India ESG Equity Fund -<br>Direct Plan - Growth Option  | 52.34%                                   | 56.68%                               | NA                                        | NA                                   | NA                                        | NA                                   |
| Quantum India ESG Equity Fund -<br>Regular Plan - Growth Option | 51.19%                                   | 56.68%                               | NA                                        | NA                                   | NA                                        | NA                                   |

Past performance may or may not be sustained in the future.

Different Plans shall have a different expense structure.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Mr. Chirag Mehta manages 5 Schemes and Ms. Sneha Joshi manages 1 scheme of the Quantum Mutual Fund.

#Benchmark Return

### QUANTUM GOLD FUND

Mr. Chirag Mehta is managing the scheme since May 01, 2009 and Ms. Ghazal Jain Co-managing the scheme since June 2, 2020.

| Period            | Oct 30, 2020 to<br>Oct 29, 2021 (1 year) |                                           | Oct 31, 2018 to<br>Oct 29, 2021 (3 years) |                                           | Oct 28, 2016 to<br>Oct 29, 2021 (5 years) |                                           |
|-------------------|------------------------------------------|-------------------------------------------|-------------------------------------------|-------------------------------------------|-------------------------------------------|-------------------------------------------|
|                   | Scheme<br>Return (%)                     | Domestic<br>Price of Gold<br>Returns# (%) | Scheme<br>Return (%)                      | Domestic<br>Price of Gold<br>Returns# (%) | Scheme<br>Return (%)                      | Domestic<br>Price of Gold<br>Returns# (%) |
| Quantum Gold Fund | -5.61%                                   | -4.92%                                    | 13.37%                                    | 14.41%                                    | 8.43%                                     | 9.46%                                     |

Past performance may or may not be sustained in the future.

#Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Mr. Chirag Mehta manages 5 Schemes and Ms. Ghazal Jain manages 2 Schemes of the Quantum Mutual Fund

The Scheme being Exchange Traded Fund has one plan to invest through stock exchange and having a single expense structure.

## QUANTUM GOLD SAVINGS FUND

Mr. Chirag Mehta managing the scheme since May 19, 2011 and Ms. Ghazal Jain Co-managing the scheme since June 2, 2020.

| Period                                                   | Oct 30, 2020 to Oct 29, 2021 (1 year) |                                     | Oct 31, 2018 to Oct 29, 2021 (3 years) |                                     | Oct 28, 2016 to Oct 29, 2021 (5 years) |                                     |
|----------------------------------------------------------|---------------------------------------|-------------------------------------|----------------------------------------|-------------------------------------|----------------------------------------|-------------------------------------|
|                                                          | Scheme Return (%)                     | Domestic Price of Gold Returns# (%) | Scheme Return (%)                      | Domestic Price of Gold Returns# (%) | Scheme Return (%)                      | Domestic Price of Gold Returns# (%) |
| Quantum Gold Savings Fund - Direct Plan - Growth Option  | -6.48%                                | -4.92%                              | 13.47%                                 | 14.41%                              | 8.11%                                  | 9.46%                               |
| Quantum Gold Savings Fund - Regular Plan - Growth Option | -6.57%                                | -4.92%                              | 13.34%                                 | 14.41%                              | NA                                     | NA                                  |

Past performance may or may not be sustained in the future.

#Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 5 Schemes and Ms. Ghazal Jain manages 2 Schemes of the Quantum Mutual Fund.

## Product Labeling

| Name of the Scheme & Primary Benchmark                                                                                                                                                | This product is suitable for investors who are seeking*                                                                                                                                                                                                                                            | Risk-o-meter of Scheme                                                                                                                                         | Risk-o-meter of Benchmark                                                            |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|
| Quantum Equity Fund of Funds<br><br>(An Open Ended Fund of Funds scheme Investing in Open Ended Diversified Equity Schemes of Mutual Funds)<br><br>Primary Benchmark: S&P BSE 200 TRI | <ul style="list-style-type: none"> <li>Long term capital appreciation</li> <li>Investments in portfolio of open-ended diversified equity schemes of mutual funds registered with SEBI whose underlying investments are in equity and equity related securities of diversified companies</li> </ul> |  <p>Investors understand that their principal will be at Very High Risk</p> |  |

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

The Risk Level of the Scheme in scheme Risk O Meter is basis it's portfolio as on October 31, 2021.

The Risk Level of the Benchmark Index in the Risk O Meter is basis it's constituents as on October 31, 2021.

| Tax on Capital Gains# | Resident Individuals & HUF | FII's / Overseas Financial Organisations | Partnership Firm    | Non Resident Indians                                                                       | Indian Companies    | Foreign Companies                                                                          |
|-----------------------|----------------------------|------------------------------------------|---------------------|--------------------------------------------------------------------------------------------|---------------------|--------------------------------------------------------------------------------------------|
| Long Term             | 20% with Indexation        | 10% without Indexation                   | 20% with Indexation | 10% without Indexation (on transfer of long term capital assets being unlisted securities) | 20% with Indexation | 10% without Indexation (on transfer of long term capital assets being unlisted securities) |
| Short Term            | Maximum 30%                | 30%                                      | 30%                 | Maximum 30%                                                                                | 30%                 | 40%                                                                                        |

#The mentioned Tax Rates shall be increased by applicable surcharge, If any, Health and Education Cess @ 4% where ever as applicable.

Equity oriented schemes will also attract Securities Transaction Tax (STT) @ 0.001% at the time of redemption and switch to other schemes.

The Schemes which are selected for investments in QEFOF based on QIS / PFN Research.

Stamp Duty applicable on Investors subscription unit transactions including Switch in as per the rate mentioned in the Amendments to Indian Stamp Act, 1899

**TRANSACTION CHARGES:** No Transaction Charges shall be deducted from the investment amount for applications received in the Regular Plan.

^^ Note:

Risk Free Rate assumed to be 3.42% (FBIL Overnight MIBOR for 29th October 2021) for calculating Sharpe Ratio.

Standard Deviation, Sharpe Ratio & Beta are calculated on Annualised basis using 3 years history of monthly returns.

### Definitions

**Standard deviation** measures historical volatility. A high standard deviation suggests high volatility, while lower standard deviation would refer to more stability.

**Beta** is the tendency of a fund's returns to respond to market swings. A beta of 1 indicates that the fund price will move with the market. A beta of less than 1 means that the security will be less volatile than the market. A beta of greater than 1 indicates that the security's price will be more volatile than the market.

**Sharpe Ratio** is used to characterise how well the return of an asset compensates the investor for the risk taken. The greater a portfolio's Sharpe ratio, the better its risk-adjusted performance has been.

# QUANTUM INDIA ESG EQUITY FUND

An Open ended equity scheme investing in companies following Environment, Social and Governance (ESG) theme

## Investment Objective

To achieve long-term capital appreciation by investing in share of companies that meet Quantum's Environment, Social and Governance (ESG) criteria.

## ★ Scheme Features



### Fund Manager

**Mr. Chirag Mehta**

Work experience: 19 years.

**Ms. Sneha Joshi**

Work experience: 9 years.

Both have been managing the fund since July 12, 2019.



### Category of Scheme

Thematic Scheme



### Inception Date (Date of Allotment)

July 12, 2019



### Declaration of Net Asset Value (NAV)

Every Business Day



### Entry / Sales Load

Not Applicable



### Total Expense Ratio (As on month end)

TER specified are the actual expenses charged (i.e. effective rate) as at the end of the month

#### Direct Plan - Total TER = 0.93%

{Base TER 0.83% (Inclusive of 0.54% Management Fees & 0.29% Other Expenses) + 0.10% GST (18% GST on 0.54% Management Fees)}

#### Regular Plan - Total TER = 1.68%

{Base TER 1.58% (Inclusive of 0.54% Management Fees & 0.29% Other Expenses & 0.75% Distributor Commission) + 0.10% GST (18% GST on 0.54% Management Fees)}



### Benchmark Index

Nifty 100 ESG Total Return Index



### Minimum Application Amount (Under each Option)

Purchase: ₹500/- and in multiples of ₹1/- thereafter.

Additional Purchase: ₹500/- and in multiples of ₹1/- thereafter.



### Investment Options

Growth

# QUANTUM INDIA ESG EQUITY FUND

An Open ended equity scheme investing in companies following Environment, Social and Governance (ESG) theme

## Key Statistics

^^Standard Deviation NA

^^Beta NA

^^Sharpe Ratio NA

## Brokerages & Commissions Details

Brokerages on Investments for October 2021 ₹35,128.72

Distributor commissions for October 2021 ₹72,565.00

Portfolio Turnover Ratio (Last one year): 13.42%

## ★ Scheme Features



### Redemption Proceeds

Processed through RTGS/NEFT mode on T+3 basis from the date of transaction where the investor's Bank details are available.

Processed through cheque on T+3 basis from the date of transaction where the required Bank details of investor are not available.



### Exit Load

For complete details on Exit Load please refer page no. 30



### Taxation<sup>#</sup>

The amount of Long Term Capital Gain in excess of Rs 1,00,000/- in a year will be taxable @ 10%. Tax on Short Term Capital Gains - 15%.

| NAV<br>(as on October 29, 2021) | Direct Plan<br>(₹/Unit) | Regular Plan<br>(₹/Unit) |
|---------------------------------|-------------------------|--------------------------|
| Growth Option                   | 17.24                   | 16.99                    |

### AUM ₹(In Crores)

(as on October 31, 2021)

| Average AUM* | Absolute AUM |
|--------------|--------------|
| 54.75        | 54.14        |

\*Cumulative Daily AUM / No of days in the month

<sup>#</sup>The mentioned Tax Rates shall be increased by applicable surcharge, If any, Health and Education Cess @ 4% where ever as applicable. Equity oriented schemes will also attract

Securities Transaction Tax (STT) @ 0.001% at the time of redemption and switch to other schemes.

Stamp Duty applicable on Investors subscription unit transactions including Switch in as per the rate mentioned in the Amendments to Indian Stamp Act, 1899

**TRANSACTION CHARGES:** No Transaction Charges shall be deducted from the investment amount for applications received in the Regular Plan.

The Scheme is managed by **Mr. Chirag Mehta and Ms. Sneha Joshi**

**Mr. Chirag Mehta** is the Fund Manager and **Ms. Sneha Joshi** is the Associate Fund Manager managing the scheme since **July 12, 2019**.

For other Schemes Managed by Mr. Chirag Mehta please see page no. 32 & 33

## Performance of the Scheme

### Direct Plan

#### Quantum India ESG Equity Fund - Direct Plan

|                                               |                    |                               |                                | Value of investment of Rs. 10,000@ |                              |                                |
|-----------------------------------------------|--------------------|-------------------------------|--------------------------------|------------------------------------|------------------------------|--------------------------------|
|                                               |                    | Benchmark                     | Additional Benchmark           |                                    | Benchmark                    | Additional Benchmark           |
| Period                                        | Scheme Returns (%) | NIFTY 100 ESG TRI Returns (%) | S&P BSE Sensex TRI Returns (%) | Scheme (₹)                         | NIFTY100 ESG TRI Returns (₹) | S&P BSE Sensex TRI Returns (₹) |
| Since Inception (12th Jul 2019)               | 26.67%             | 26.30%                        | 21.71%                         | 17,240                             | 17,126                       | 15,725                         |
| October 30, 2020 to October 29, 2021 (1 year) | 52.34%             | 56.68%                        | 51.40%                         | 15,216                             | 15,649                       | 15,123                         |

**Past performance may or may not be sustained in the future.** Load is not taken into consideration in Scheme returns calculation.

Different Plans shall have a different expense structure.

Refer to the section "GIPS Compliance" on Page no. 32 for GIPS related disclosure.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR)

## Performance of the Scheme

### Regular Plan

#### Quantum India ESG Equity Fund - Regular Plan

|                                               |                    |                               |                                | Value of investment of Rs. 10,000@ |                              |                                |
|-----------------------------------------------|--------------------|-------------------------------|--------------------------------|------------------------------------|------------------------------|--------------------------------|
|                                               |                    | Benchmark                     | Additional Benchmark           |                                    | Benchmark                    | Additional Benchmark           |
| Period                                        | Scheme Returns (%) | NIFTY 100 ESG TRI Returns (%) | S&P BSE Sensex TRI Returns (%) | Scheme (₹)                         | NIFTY100 ESG TRI Returns (₹) | S&P BSE Sensex TRI Returns (₹) |
| Since Inception (12th Jul 2019)               | 25.87%             | 26.30%                        | 21.71%                         | 16,990                             | 17,126                       | 15,725                         |
| October 30, 2020 to October 29, 2021 (1 year) | 51.19%             | 56.68%                        | 51.40%                         | 15,102                             | 15,649                       | 15,123                         |

**Past performance may or may not be sustained in the future.** Load is not taken into consideration in Scheme returns calculation.

Different Plans shall have a different expense structure.

Refer to the section "GIPS Compliance" on Page no. 32 for GIPS related disclosure.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR)

## SIP Performance as on October 29, 2021

|                     | Total Amount Invested (₹ '000) | Mkt Value as on Oct 29, 21 (₹ '000) | NIFTY100 ESG TRI (₹ '000) | S&P BSE Sensex TRI (₹ '000) | Scheme Returns (XIRR*) (%) | NIFTY100 ESG TRI (XIRR*)# (%) | SS&P BSE Sensex TRI (XIRR*)® (%) |
|---------------------|--------------------------------|-------------------------------------|---------------------------|-----------------------------|----------------------------|-------------------------------|----------------------------------|
| SIP Since Inception | 270.00                         | 399.90                              | 405.98                    | 386.66                      | 38.11%                     | 39.74%                        | 34.52%                           |
| 1 Year SIP          | 120.00                         | 140.30                              | 143.87                    | 141.74                      | 33.93%                     | 40.20%                        | 36.45%                           |

**Past performance may or may not be sustained in the future.** The above SIP performance is for Quantum India ESG Equity Fund - Direct plan - Growth option. Load is not taken into consideration using applicable NAV on the SIP day (5th of every month). Return on SIP and Benchmark are annualized and compounded investment return for cash flows resulting out of uniform and regular monthly subscriptions as on 5th day of every month (in case 5th is a non-Business Day, then the next Business Day) and have been worked out using the Excel spreadsheet function known as XIRR. XIRR calculates the internal rate of return for series of cash flow. Assuming ₹ 10,000 invested every month on 5th day of every month (in case 5th is a non-Business Day, then the next Business Day), and since inception returns from SIP are annualized and compounded investment return computed on the assumption that SIP installments were received across the time periods from the start date of SIP.

Returns are net of total expenses

\*Benchmark Return

®Additional Benchmark Return

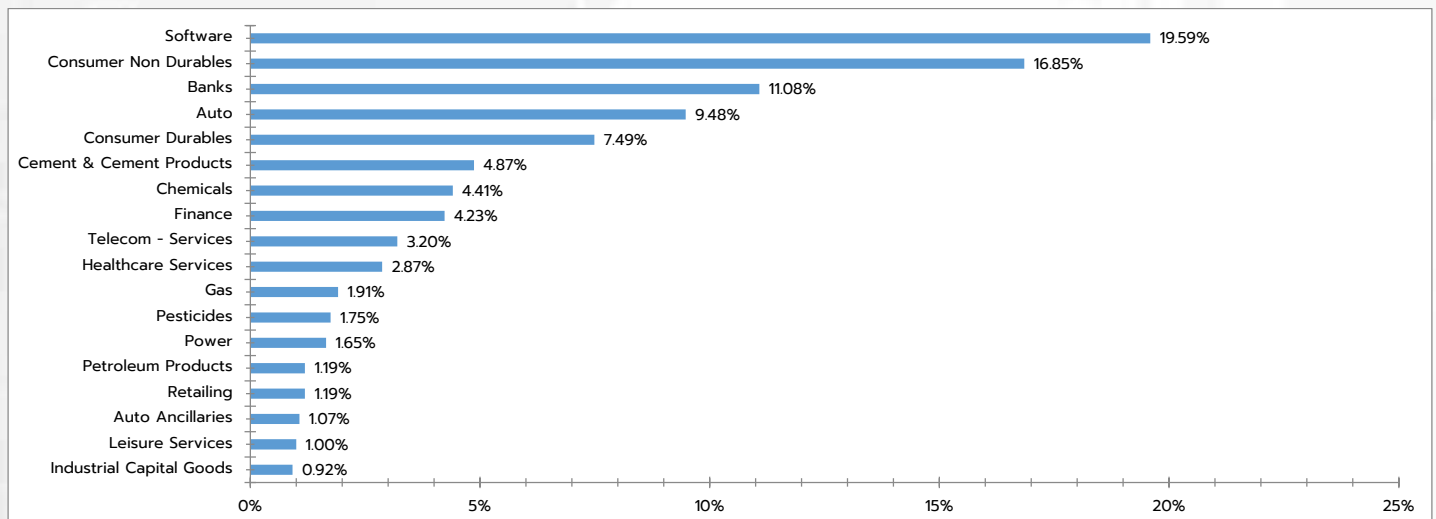
\*XIRR - XIRR calculates the internal rate of return to measure and compare the profitability of series of investments.

## Exit Load:

| Provisions                                                                                          | % of Exit Load |
|-----------------------------------------------------------------------------------------------------|----------------|
| 10% of units If redeemed or switched out on or before 365 days from the date of allotment           | NIL            |
| Remaining 90% of units if redeemed or switched out on or before 365 days from the date of allotment | 1%             |
| If redeemed or switched out on or after 365 days from the date of allotment                         | NIL            |

**Note: Redemptions / Switch outs of units will be done on First In First Out (FIFO) basis. The above mentioned load structure shall be equally applicable to the special facilities such as Systematic Withdrawal Plan (SWP) / Systematic Transfer Plan (STP) and Switches etc. However, no load shall be charged for switching between option / plan within the Scheme.**

## Industry Allocation (% of Net Assets) as on October 31, 2021





Portfolio as on October 31, 2021  
**QUANTUM INDIA ESG EQUITY FUND**

| Name of Instrument                                    | Industry                 | Quantity | Market / Fair Value<br>(₹ in Lakhs) | % to Net Assets |
|-------------------------------------------------------|--------------------------|----------|-------------------------------------|-----------------|
| <b>EQUITY &amp; EQUITY RELATED</b>                    |                          |          |                                     |                 |
| <b>a. Listed /Awaiting listing on Stock Exchanges</b> |                          |          |                                     |                 |
| 1. Infosys Limited                                    | Software                 | 14,958   | 249.46                              | 4.61%           |
| 2. Tata Consultancy Services Limited                  | Software                 | 6,947    | 236.04                              | 4.36%           |
| 3. Housing Development Finance Corporation Limited    | Finance                  | 8,043    | 228.80                              | 4.23%           |
| 4. Wipro Limited                                      | Software                 | 30,393   | 196.57                              | 3.63%           |
| 5. Marico Limited                                     | Consumer Non Durables    | 32,276   | 183.47                              | 3.39%           |
| 6. Tata Consumer Products Limited                     | Consumer Non Durables    | 22,356   | 180.97                              | 3.34%           |
| 7. Tata Chemicals Limited                             | Chemicals                | 19,793   | 178.40                              | 3.30%           |
| 8. Tata Communications Limited                        | Telecom - Services       | 13,261   | 173.37                              | 3.20%           |
| 9. HDFC Bank Limited                                  | Banks                    | 9,632    | 152.46                              | 2.82%           |
| 10. Kotak Mahindra Bank Limited                       | Banks                    | 7,243    | 147.12                              | 2.72%           |
| 11. Havells India Limited                             | Consumer Durables        | 11,066   | 139.76                              | 2.58%           |
| 12. ACC Limited                                       | Cement & Cement Products | 5,748    | 134.12                              | 2.48%           |
| 13. Ambuja Cements Limited                            | Cement & Cement Products | 31,938   | 129.29                              | 2.39%           |
| 14. Tech Mahindra Limited                             | Software                 | 8,610    | 127.24                              | 2.35%           |
| 15. Voltas Limited                                    | Consumer Durables        | 10,538   | 126.94                              | 2.34%           |
| 16. TVS Motor Company Limited                         | Auto                     | 18,898   | 125.21                              | 2.31%           |
| 17. Hindustan Unilever Limited                        | Consumer Non Durables    | 5,193    | 124.28                              | 2.30%           |
| 18. Axis Bank Limited                                 | Banks                    | 16,276   | 120.77                              | 2.23%           |
| 19. Tata Motors Limited                               | Auto                     | 24,307   | 117.57                              | 2.17%           |
| 20. Nestle India Limited                              | Consumer Non Durables    | 588      | 111.72                              | 2.06%           |
| 21. Gujarat Gas Limited                               | Gas                      | 16,577   | 103.16                              | 1.91%           |
| 22. Mahindra & Mahindra Limited                       | Auto                     | 10,921   | 96.57                               | 1.78%           |
| 23. Rallis India Limited                              | Pesticides               | 35,785   | 94.96                               | 1.75%           |
| 24. ICICI Bank Limited                                | Banks                    | 11,766   | 94.37                               | 1.74%           |
| 25. Power Grid Corporation of India Limited           | Power                    | 48,372   | 89.51                               | 1.65%           |
| 26. Persistent Systems Limited                        | Software                 | 2,275    | 89.26                               | 1.65%           |
| 27. Maruti Suzuki India Limited                       | Auto                     | 1,176    | 87.99                               | 1.63%           |
| 28. Hero MotoCorp Limited                             | Auto                     | 3,246    | 86.25                               | 1.59%           |
| 29. IndusInd Bank Limited                             | Banks                    | 7,433    | 84.75                               | 1.57%           |
| 30. Syngene International Limited                     | Healthcare Services      | 15,353   | 83.03                               | 1.53%           |
| 31. HCL Technologies Limited                          | Software                 | 7,258    | 83.01                               | 1.53%           |
| 32. Kansai Nerolac Paints Limited                     | Consumer Non Durables    | 14,976   | 81.63                               | 1.51%           |
| 33. Asian Paints Limited                              | Consumer Non Durables    | 2,604    | 80.73                               | 1.49%           |
| 34. Mphasis Limited                                   | Software                 | 2,443    | 79.05                               | 1.46%           |
| 35. Dabur India Limited                               | Consumer Non Durables    | 13,098   | 76.73                               | 1.42%           |
| 36. Titan Company Limited                             | Consumer Durables        | 3,085    | 73.53                               | 1.36%           |
| 37. Dr. Lal Path Labs Limited                         | Healthcare Services      | 2,067    | 72.53                               | 1.34%           |
| 38. Colgate Palmolive (India) Limited                 | Consumer Non Durables    | 4,700    | 72.50                               | 1.34%           |
| 39. Crompton Greaves Consumer Electricals Limited     | Consumer Durables        | 14,104   | 65.67                               | 1.21%           |
| 40. Info Edge (India) Limited                         | Retailing                | 1,062    | 64.52                               | 1.19%           |
| 41. Castrol India Limited                             | Petroleum Products       | 46,494   | 64.23                               | 1.19%           |
| 42. Vinati Organics Limited                           | Chemicals                | 3,141    | 60.05                               | 1.11%           |
| 43. Bosch Limited                                     | Auto Ancillaries         | 342      | 57.98                               | 1.07%           |
| 44. The Indian Hotels Company Limited                 | Leisure Services         | 27,667   | 54.41                               | 1.00%           |
| 45. Thermax Limited                                   | Industrial Capital Goods | 3,629    | 50.02                               | 0.92%           |
| <b>b. Unlisted</b>                                    |                          |          |                                     |                 |
|                                                       |                          |          | <b>NIL</b>                          | <b>NIL</b>      |
| <b>Total of all Equity</b>                            |                          |          | <b>5,130.00</b>                     | <b>94.75%</b>   |
| <b>MONEY MARKET INSTRUMENTS</b>                       |                          |          |                                     |                 |
| <b>a. TREPS*</b>                                      |                          |          | <b>315.28</b>                       | <b>5.82%</b>    |
| <b>Net Receivable/(payable)</b>                       |                          |          | <b>-31.61</b>                       | <b>-0.57%</b>   |
| <b>Grand Total</b>                                    |                          |          | <b>5,413.67</b>                     | <b>100.00%</b>  |

\* Cash & Cash Equivalents



## GIPS Compliance

- A) Quantum Asset Management Company Pvt. Ltd. claims compliance with the Global Investment Performance Standards (GIPS®). GIPS® is a registered trademark of CFA Institute. CFA Institute does not endorse or promote this organization, nor does it warrant the accuracy or quality of the content contained herein.
- B) Indian Rupee (INR) is the currency used to express performance and other statistics.



## PERFORMANCE OF THE FUNDS MANAGED BY CHIRAG MEHTA

### QUANTUM MULTI ASSET FUND OF FUNDS

Mr. Chirag Mehta Co-managing along with Mr. Nilesh Shetty since July 11, 2012.

| Period                                              | Oct 30, 2020 to<br>Oct 29, 2021 (1 year) |                          | Oct 31, 2018 to<br>Oct 29, 2021 (3 years) |                          | Oct 28, 2016 to<br>Oct 29, 2021 (5 years) |                          |
|-----------------------------------------------------|------------------------------------------|--------------------------|-------------------------------------------|--------------------------|-------------------------------------------|--------------------------|
|                                                     | Scheme<br>Return (%)                     | Benchmark<br>Return# (%) | Scheme<br>Return (%)                      | Benchmark<br>Return# (%) | Scheme<br>Return (%)                      | Benchmark<br>Return# (%) |
| Quantum Multi Asset Fund of Funds<br>- Direct Plan  | 14.08%                                   | 19.55%                   | 10.13%                                    | 14.54%                   | 8.73%                                     | 11.92%                   |
| Quantum Multi Asset Fund of Funds<br>- Regular Plan | 13.66%                                   | 19.55%                   | 9.83%                                     | 14.54%                   | NA                                        | NA                       |

**Past performance may or may not be sustained in the future.** Load is not taken into consideration in Scheme Return Calculation.

#Benchmark has been changed from Crisil Composite Bond Fund Index (40%) + S&P BSE SENSEX Total Return Index (40%) + Domestic price of gold (20%) to CRISIL Composite Bond Fund Index (20%) + S&P BSE Total Return Index (40%) + CRISIL Liquid Index (25%) + Domestic Price of Gold (15%) with effective from April 01, 2021. It is a customized index and it is rebalanced daily.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 5 schemes of the Quantum Mutual Fund. Mr. Nilesh Shetty manages 2 schemes of the Quantum Mutual Fund.

### QUANTUM EQUITY FUND OF FUNDS

Mr. Chirag Mehta is managing the fund since November 01, 2013.

| Period                                                         | Oct 30, 2020 to<br>Oct 29, 2021 (1 year) |                                    | Oct 31, 2018 to<br>Oct 29, 2021 (3 years) |                                    | Oct 28, 2016 to<br>Oct 29, 2021 (5 years) |                                    |
|----------------------------------------------------------------|------------------------------------------|------------------------------------|-------------------------------------------|------------------------------------|-------------------------------------------|------------------------------------|
|                                                                | Scheme<br>Return (%)                     | S&P BSE<br>200 TRI<br>Returns# (%) | Scheme<br>Return (%)                      | S&P BSE<br>200 TRI<br>Returns# (%) | Scheme<br>Return (%)                      | S&P BSE<br>200 TRI<br>Returns# (%) |
| Quantum Equity Fund of Funds - Direct Plan<br>- Growth Option  | 54.07%                                   | 57.54%                             | 19.02%                                    | 21.33%                             | 14.10%                                    | 16.69%                             |
| Quantum Equity Fund of Funds - Regular Plan<br>- Growth Option | 53.69%                                   | 57.54%                             | 18.76%                                    | 21.33%                             | NA                                        | NA                                 |

**Past performance may or may not be sustained in the future.** Load is not taken into consideration in Scheme Return Calculation.

#Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 5 schemes of the Quantum Mutual Fund.

## QUANTUM GOLD FUND

Mr. Chirag Mehta is managing the scheme since May 01, 2009 and Ms. Ghazal Jain Co-managing the scheme since June 2, 2020.

| Period            | Oct 30, 2020 to Oct 29, 2021 (1 year) |                                     | Oct 31, 2018 to Oct 29, 2021 (3 years) |                                     | Oct 28, 2016 to Oct 29, 2021 (5 years) |                                     |
|-------------------|---------------------------------------|-------------------------------------|----------------------------------------|-------------------------------------|----------------------------------------|-------------------------------------|
|                   | Scheme Return (%)                     | Domestic Price of Gold Returns# (%) | Scheme Return (%)                      | Domestic Price of Gold Returns# (%) | Scheme Return (%)                      | Domestic Price of Gold Returns# (%) |
| Quantum Gold Fund | -5.61%                                | -4.92%                              | 13.37%                                 | 14.41%                              | 8.43%                                  | 9.46%                               |

Past performance may or may not be sustained in the future.

#Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Mr. Chirag Mehta manages 5 Schemes and Ms. Ghazal Jain manages 2 Schemes of the Quantum Mutual Fund

The Scheme being Exchange Traded Fund has one plan to invest through stock exchange and having a single expense structure.

## QUANTUM GOLD SAVINGS FUND

Mr. Chirag Mehta managing the scheme since May 19, 2011 and Ms. Ghazal Jain Co-managing the scheme since June 2, 2020.

| Period                                   | Oct 30, 2020 to Oct 29, 2021 (1 year) |                                     | Oct 31, 2018 to Oct 29, 2021 (3 years) |                                     | Oct 28, 2016 to Oct 29, 2021 (5 years) |                                     |
|------------------------------------------|---------------------------------------|-------------------------------------|----------------------------------------|-------------------------------------|----------------------------------------|-------------------------------------|
|                                          | Scheme Return (%)                     | Domestic Price of Gold Returns# (%) | Scheme Return (%)                      | Domestic Price of Gold Returns# (%) | Scheme Return (%)                      | Domestic Price of Gold Returns# (%) |
| Quantum Gold Savings Fund - Direct Plan  | -6.48%                                | -4.92%                              | 13.47%                                 | 14.41%                              | 8.11%                                  | 9.46%                               |
| Quantum Gold Savings Fund - Regular Plan | -6.57%                                | -4.92%                              | 13.34%                                 | 14.41%                              | NA                                     | NA                                  |

Past performance may or may not be sustained in the future.

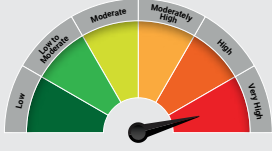
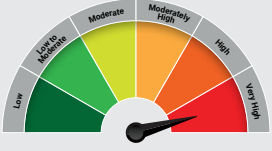
#Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 5 Schemes and Ms. Ghazal Jain manages 2 Schemes of the Quantum Mutual Fund.

## Product Labeling

| Name of the Scheme & Primary Benchmark                                                                                                                                                        | This product is suitable for investors who are seeking*                                                                                                                                     | Risk-o-meter of Scheme                                                                                                                                          | Risk-o-meter of Benchmark                                                             |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| Quantum India ESG Equity Fund<br><br>(An Open ended equity scheme investing in companies following Environment, Social and Governance (ESG) theme)<br><br>Primary Benchmark: NIFTY100 ESG TRI | <ul style="list-style-type: none"> <li>Long term capital appreciation</li> <li>Invests in shares of companies that meet Quantum's Environment, Social, Governance (ESG) criteria</li> </ul> |  <p>Investors understand that their principal will be at Very High Risk</p> |  |

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

The Risk Level of the Scheme in scheme Risk O Meter is basis it's portfolio as on October 31, 2021.

The Risk Level of the Benchmark Index in the Risk O Meter is basis it's constituents as on October 31, 2021.

^^ Note:

Since the scheme has not completed 3 years data will not be available.

# QUANTUM LIQUID FUND

An Open Ended Liquid Scheme

## Investment Objective

To provide optimal returns with low to moderate levels of risk and high liquidity through judicious investments in money market and debt instruments.

## ★ Scheme Features



### Fund Manager

**Mr. Pankaj Pathak**

Work experience: 12 years. He has been managing this fund since March 01, 2017



### Category of Scheme

Liquid Fund



### Inception Date (Date of Allotment)

April 07, 2006



### Declaration of Net Asset Value (NAV)

Every Business Day



### Entry / Sales Load

Not Applicable



### Total Expense Ratio (As on month end)

TER specified are the actual expenses charged (i.e. effective rate) as at the end of the month.

#### Direct Plan : Total TER = 0.16%

{Base TER 0.14% (Inclusive of 0.09% Management Fees & 0.05% Other Expenses) + 0.02% GST (18% GST on 0.09% Management Fees)}

#### Regular Plan – Total TER = 0.26%

{Base TER 0.24% (Inclusive of 0.09% Management Fees & 0.05% Other Expenses & 0.10% Distributor Commission) + 0.02% GST (18% GST on 0.09% Management Fees)}



### Benchmark Index

Crisil Liquid Fund Index



### Minimum Application Amount (Under each Option)

Growth Option: ₹ 5,000/- and in multiples of ₹ 1/- thereafter.  
Monthly Income Distribution cum Capital Withdrawal (IDCW) Option: ₹ 10,000/- and in multiples of ₹ 1/- thereafter.  
Daily Income Distribution cum Capital Withdrawal Reinvestment Option: ₹ 1,00,000/- and in multiples of ₹ 1/- thereafter. Additional Investment: ₹ 500/- and in multiples of ₹ 1/- thereafter /50 units (For all options)

# QUANTUM LIQUID FUND

An Open Ended Liquid Scheme



## Weighted Average Maturity as on October 31, 2021

| Weighted Average Maturity | Days |
|---------------------------|------|
| At the end of the month   | 39   |
| Average during the month  | 40   |
| Modified Duration         | 37   |
| Macaulay's Duration       | 37   |



## Brokerages & Commissions Details

|                                                     |            |
|-----------------------------------------------------|------------|
| Brokerages on Investments<br>for October 2021       | ₹5,000.00  |
| Distributor commissions<br>paid during October 2021 | ₹16,887.47 |
| Portfolio yield                                     | 3.14%      |



## Scheme Features



### Investment Options

Growth, Daily Reinvestment of Income Distribution Cum Capital Withdrawal (IDCW) Option & Monthly Income Distribution cum Capital Withdrawal (IDCW) Option – Two facilities (a) Payout of Income Distribution Cum Capital Withdrawal (IDCW) Facility; and (b) Reinvestment of Income Distribution Cum Capital Withdrawal (IDCW) Facility



### Redemption Proceeds

Processed through RTGS/NEFT mode on T+1 basis from the date of transaction where the investor's Bank details are available.

Processed through cheque on T+1 basis from the date of transaction where the required Bank details of investor are not available



### Exit Load

For complete details on Exit Load please refer page no. 38

| NAV<br>(as on October 31, 2021) | Direct Plan<br>(₹/Unit) | Regular Plan<br>(₹/Unit) |
|---------------------------------|-------------------------|--------------------------|
| Daily IDCW Option               | 10.0136                 | 10.0010                  |
| Monthly IDCW Option             | 10.0122                 | 10.0091                  |
| Growth Option                   | 28.3025                 | 28.2080                  |

## AUM ₹(In Crores)

(as on October 31, 2021)

| Average AUM* | Absolute AUM |
|--------------|--------------|
| 572.11       | 574.21       |

\*Cumulative Daily AUM / No of days in the month

The mentioned Tax Rates shall be increased by applicable surcharge, If any, Health and Education Cess @ 4% where ever as applicable. Equity oriented schemes will also attract Securities Transaction Tax (STT) @ 0.001% at the time of redemption and switch to other schemes.

Stamp Duty applicable on Investors subscription unit transactions including Switch in as per the rate mentioned in the Amendments to Indian Stamp Act, 1899

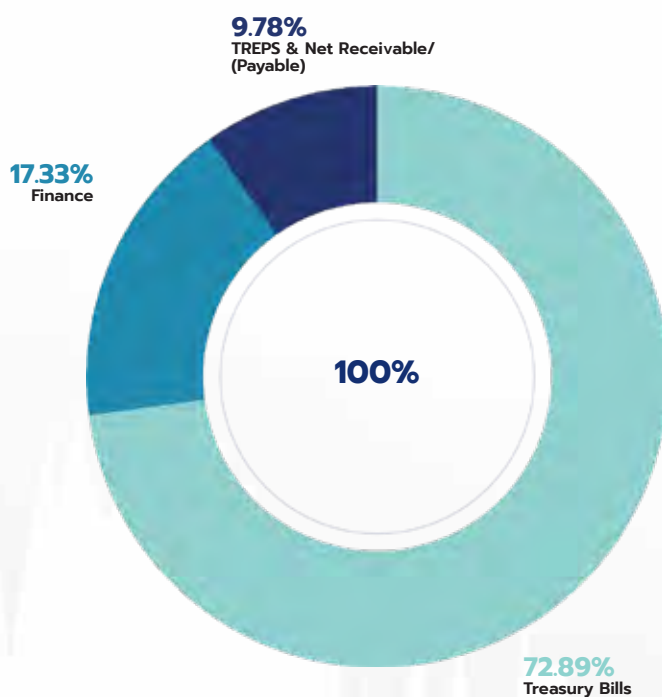
**TRANSACTION CHARGES:** No Transaction Charges shall be deducted from the investment amount for applications received in the Regular Plan.

| Income Distribution History - Monthly IDCW |                           | Gross IDCW per unit (Rs.) |
|--------------------------------------------|---------------------------|---------------------------|
| Record Date                                | Direct Plan               | Regular Plan              |
|                                            | Individual/Non Individual | Individual/Non Individual |
| 25-Aug-21                                  | 0.02649555                | 0.02566925                |
| 27-Sep-21                                  | 0.02882511                | 0.02791509                |
| 25-Oct-21                                  | 0.02425945                | 0.02334594                |

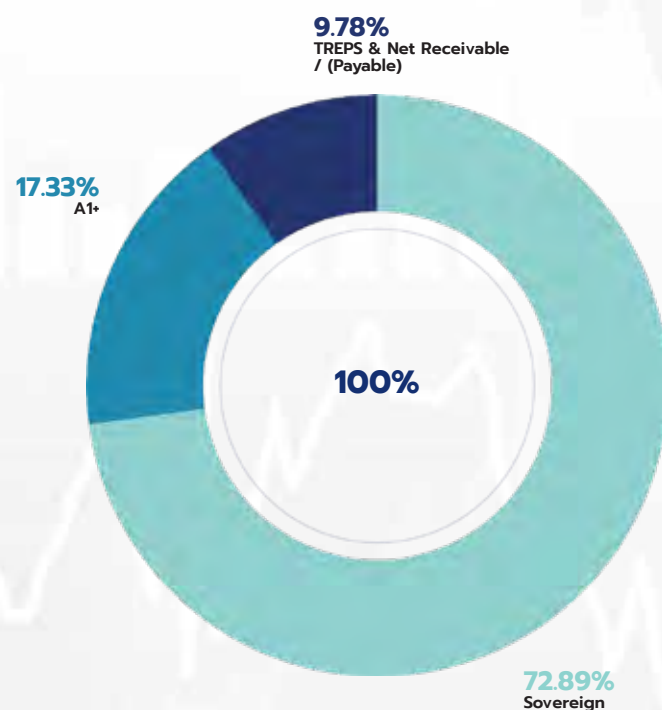
\*Investors are paid IDCW post deduction of TDS on Gross IDCW as applicable as per Finance Act 2020

## Asset Allocation & Rating Profile (% of Net Assets) as on October 31, 2021

|                                    |                |
|------------------------------------|----------------|
| Treasury Bills                     | 72.89%         |
| Finance                            | 17.33%         |
| TREPS & Net Receivable / (Payable) | 9.78%          |
| <b>Total</b>                       | <b>100.00%</b> |



|                                    |                |
|------------------------------------|----------------|
| Sovereign                          | 72.89%         |
| A1+                                | 17.33%         |
| TREPS & Net Receivable / (Payable) | 9.78%          |
| <b>Table</b>                       | <b>100.00%</b> |



The Scheme is managed by **Mr. Pankaj Pathak**.

**Mr. Pankaj Pathak** is the Fund Manager managing the scheme since **March 01, 2017**.

For other Schemes Managed by Mr. Pankaj Pathak please see page no. 39

## Performance of the Scheme

### Direct Plan

#### Quantum Liquid Fund - Direct Plan - Growth Option

|                                           |                    |                                      |                                        | Current Value ₹10,000 Invested at the beginning of a given period |                                |                                  |
|-------------------------------------------|--------------------|--------------------------------------|----------------------------------------|-------------------------------------------------------------------|--------------------------------|----------------------------------|
|                                           |                    | Benchmark                            | Additional Benchmark                   |                                                                   | Benchmark                      | Additional Benchmark             |
| Period                                    | Scheme Returns (%) | Crisil Liquid Fund Index Returns (%) | Crisil 1 year T-bill Index Returns (%) | Scheme (₹)                                                        | Crisil Liquid Fund Index (Rs.) | Crisil 1 year T-bill Index (Rs.) |
| Since Inception (07th Apr 2006)           | 6.91%              | 7.02%                                | 6.22%                                  | 28,303                                                            | 28,771                         | 25,621                           |
| Oct 31, 2011 to Oct 31, 2021 (10 years)** | 6.78%              | 7.24%                                | 6.85%                                  | 19,284                                                            | 20,131                         | 19,403                           |
| Oct 31, 2014 to Oct 31, 2021 (7 years)**  | 5.86%              | 6.53%                                | 6.58%                                  | 14,907                                                            | 15,577                         | 15,622                           |
| Oct 31, 2016 to Oct 31, 2021 (5 years)**  | 5.20%              | 5.95%                                | 6.01%                                  | 12,884                                                            | 13,351                         | 13,390                           |
| Oct 31, 2018 to Oct 31, 2021 (3 years)**  | 4.51%              | 5.24%                                | 6.01%                                  | 11,417                                                            | 11,658                         | 11,915                           |
| Oct 31, 2020 to Oct 31, 2021 (1 year)**   | 3.13%              | 3.55%                                | 3.51%                                  | 10,313                                                            | 10,355                         | 10,351                           |
| Sept 30, 2021 to Oct 31, 2021 (1 month)*  | 3.16%              | 3.35%                                | 1.43%                                  | 10,027                                                            | 10,028                         | 10,012                           |
| Oct 16, 2021 to Oct 31, 2021 (15 days)*   | 2.78%              | 2.86%                                | 0.18%                                  | 10,011                                                            | 10,012                         | 10,001                           |
| Oct 24, 2021 to Oct 31, 2021 (7 days)*    | 2.82%              | 2.85%                                | -0.59%                                 | 10,005                                                            | 10,005                         | 9,999                            |

Past performance may or may not be sustained in the future.

Different Plans shall have a different expense structure.

Refer to the section "GIPS Compliance" on Page no. 39 for GIPS related disclosure.

\*Simple Annualized.

\*\*Returns for 1 year and above period are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Returns are net of total expenses.

## Performance of the Scheme

### Regular Plan

#### Quantum Liquid Fund - Regular Plan - Growth Option

|                                          |                    |                                      |                                        | Current Value ₹10,000 Invested at the beginning of a given period |                                |                                  |
|------------------------------------------|--------------------|--------------------------------------|----------------------------------------|-------------------------------------------------------------------|--------------------------------|----------------------------------|
|                                          |                    | Benchmark                            | Additional Benchmark                   |                                                                   | Benchmark                      | Additional Benchmark             |
| Period                                   | Scheme Returns (%) | Crisil Liquid Fund Index Returns (%) | Crisil 1 year T-bill Index Returns (%) | Scheme (₹)                                                        | Crisil Liquid Fund Index (Rs.) | Crisil 1 year T-bill Index (Rs.) |
| Since Inception (01st Apr 2017)          | 5.03%              | 5.87%                                | 5.97%                                  | 12,526                                                            | 12,992                         | 13,050                           |
| Oct 31, 2018 to Oct 31, 2021 (3 years)** | 4.42%              | 5.24%                                | 6.01%                                  | 11,388                                                            | 11,658                         | 11,915                           |
| Oct 31, 2020 to Oct 31, 2021 (1 year)**  | 3.03%              | 3.55%                                | 3.51%                                  | 10,303                                                            | 10,355                         | 10,351                           |
| Sept 30, 2021 to Oct 31, 2021 (1 month)* | 3.05%              | 3.35%                                | 1.43%                                  | 10,026                                                            | 10,028                         | 10,012                           |
| Oct 16, 2021 to Oct 31, 2021 (15 days)*  | 2.68%              | 2.86%                                | 0.18%                                  | 10,011                                                            | 10,012                         | 10,001                           |
| Oct 24, 2021 to Oct 31, 2021 (7 days)*   | 2.70%              | 2.85%                                | -0.59%                                 | 10,005                                                            | 10,005                         | 9,999                            |

Past performance may or may not be sustained in the future.

Different Plans shall have a different expense structure.

Refer to the section "GIPS Compliance" on Page no. 39 for GIPS related disclosure.

\*Simple Annualized.

\*\*Returns for 1 year and above period are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Returns are net of total expenses.

## Exit Load:

| Investor Exit Upon Subscription | % of Exit Load |
|---------------------------------|----------------|
| Day 1                           | 0.0070%        |
| Day 2                           | 0.0065%        |
| Day 3                           | 0.0060%        |
| Day 4                           | 0.0055%        |
| Day 5                           | 0.0050%        |
| Day 6                           | 0.0045%        |
| Day 7 Onwards                   | NIL            |

Note: Redemption / Switched Outs will be done on First in First out (FIFO) basis.

The above mentioned Exit Load shall be equally applicable to Systematic Withdrawal Plan (SWP), Systematic Transfer Plan (STP) and Switches including existing installment of existing SIPs/ STPs / SWPs falling due after the effective date.

However, no exit load will be charged for switching between option / plan within the scheme.



## Portfolio as on October 31, 2021 QUANTUM LIQUID FUND

| Name of Instrument                                    | Rating    | Residual Maturity (in days) | Market Value (₹ in Lakhs) | % to Net Assets | Yield to Maturity |
|-------------------------------------------------------|-----------|-----------------------------|---------------------------|-----------------|-------------------|
| <b>DEBT INSTRUMENTS</b>                               |           |                             |                           |                 |                   |
| <b>A. Listed /Awaiting listing on Stock Exchanges</b> |           | NIL                         | NIL                       | NIL             | NIL               |
| <b>B. Privately Placed/Unlisted</b>                   |           | NIL                         | NIL                       | NIL             | NIL               |
| <b>C. Securitized Debt Instruments</b>                |           | NIL                         | NIL                       | NIL             | NIL               |
| <b>Total of Debt Instruments</b>                      |           |                             | <b>0.00</b>               | <b>0.00%</b>    |                   |
| <b>MONEY MARKET INSTRUMENTS</b>                       |           |                             |                           |                 |                   |
| <b>A. Treasury Bills (T-Bill)</b>                     |           |                             |                           |                 |                   |
| 1. 182 Days Tbill (MD 12/11/2021)                     | Sovereign | 12                          | 7,991.70                  | 13.92%          | 3.45%             |
| 2. 91 Days Tbill (MD 20/01/2022)                      | Sovereign | 81                          | 6,946.11                  | 12.10%          | 3.54%             |
| 3. 182 Days Tbill (MD 25/11/2021)                     | Sovereign | 25                          | 6,485.29                  | 11.29%          | 3.45%             |
| 4. 182 Days Tbill (MD 09/12/2021)                     | Sovereign | 39                          | 4,982.26                  | 8.68%           | 3.42%             |
| 5. 182 Days Tbill (MD 16/12/2021)                     | Sovereign | 46                          | 4,978.81                  | 8.67%           | 3.45%             |
| 6. 91 Days Tbill (MD 02/12/2021)                      | Sovereign | 32                          | 3,988.14                  | 6.95%           | 3.50%             |
| 7. 364 Days Tbill (MD 09/12/2021)                     | Sovereign | 39                          | 2,491.13                  | 4.34%           | 3.42%             |
| 8. 182 Days Tbill (MD 23/12/2021)                     | Sovereign | 53                          | 1,492.66                  | 2.60%           | 3.45%             |
| 9. 91 Days Tbill (MD 23/12/2021)                      | Sovereign | 53                          | 1,492.66                  | 2.60%           | 3.45%             |
| 10. 91 Days Tbill (MD 09/12/2021)                     | Sovereign | 39                          | 996.43                    | 1.74%           | 3.45%             |
| <b>Total of T-Bill</b>                                |           |                             | <b>41,845.19</b>          | <b>72.89%</b>   |                   |
| <b>B. Commercial Papers (CP)</b>                      |           |                             |                           |                 |                   |
| 1. Small Ind Dev Bank of India CP (MD 28/12/2021)     | CARE A1+  | 58                          | 4,972.40                  | 8.66%           | 3.56%             |
| 2. National Bank For Agri & Rural CP (MD 22/11/2021)  | ICRA A1+  | 22                          | 2,494.99                  | 4.35%           | 3.49%             |
| 3. National Bank For Agri & Rural CP (MD 25/01/2022)  | ICRA A1+  | 86                          | 2,478.50                  | 4.32%           | 3.73%             |
| <b>Total of CPs</b>                                   |           |                             | <b>9,945.89</b>           | <b>17.33%</b>   |                   |
| <b>C. TREPS*</b>                                      |           |                             | 5,619.42                  | 9.79%           | 3.31%             |
| <b>Total of Money Market Instruments</b>              |           |                             | <b>57,410.50</b>          | <b>100.01%</b>  |                   |
| <b>Net Receivable/(payable)</b>                       |           |                             | <b>10.11</b>              | <b>-0.01%</b>   |                   |
| <b>Grand Total</b>                                    |           |                             | <b>57,420.61</b>          | <b>100.00%</b>  |                   |

\* Cash & Cash Equivalents



## GIPS Compliance

- A) Quantum Asset Management Company Pvt. Ltd. claims compliance with the Global Investment Performance Standards (GIPS®). GIPS® is a registered trademark of CFA Institute. CFA Institute does not endorse or promote this organization, nor does it warrant the accuracy or quality of the content contained herein.
- B) Indian Rupee (INR) is the currency used to express performance and other statistics.
- C) As per the SEBI Guidelines, in case of liquid fund, returns for the period of 7 days, 15 days and 1 month are to be provided using simple annualized method of calculating return. The SEBI requirements to provide such returns using simple annualized method of calculating returns are different from the GIPS requirements, which does not allow annualization of returns for periods less than one year



## PERFORMANCE OF THE FUNDS MANAGED BY PANKAJ PATHAK

### QUANTUM DYNAMIC BOND FUND

Mr. Pankaj Pathak is the Fund Manager managing the scheme since March 01, 2017.

| Period                                                   | Oct 29, 2020 to Oct 29, 2021 (1 year) |                                               | Oct 31, 2018 to Oct 29, 2021 (3 years) |                                               | Oct 28, 2016 to Oct 29, 2021 (5 years) |                                               |
|----------------------------------------------------------|---------------------------------------|-----------------------------------------------|----------------------------------------|-----------------------------------------------|----------------------------------------|-----------------------------------------------|
|                                                          | Scheme Return (%)                     | Crisil Composite Bond Fund Index Returns# (%) | Scheme Return (%)                      | Crisil Composite Bond Fund Index Returns# (%) | Scheme Return (%)                      | Crisil Composite Bond Fund Index Returns# (%) |
| Quantum Dynamic Bond Fund - Direct Plan - Growth Option  | 3.75%                                 | 3.87%                                         | 8.49%                                  | 9.74%                                         | 7.26%                                  | 7.58%                                         |
| Quantum Dynamic Bond Fund - Regular Plan - Growth Option | 3.63%                                 | 3.87%                                         | 8.36%                                  | 9.74%                                         | NA                                     | NA                                            |

Past performance may or may not be sustained in the future.

#Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR)

Different Plans shall have different expense structure.

Mr. Pankaj Pathak manages 2 schemes of the Quantum Mutual Fund.



## Product Labeling

| Name of the Scheme & Primary Benchmark                                                                     | This product is suitable for investors who are seeking*                                                                              | Risk-o-meter of Scheme                                                                                                                                    | Risk-o-meter of Benchmark                                                             |
|------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| Quantum Liquid Fund<br>(An Open Ended Liquid Scheme)<br><br>Primary Benchmark:<br>Crisil Liquid Fund Index | <ul style="list-style-type: none"> <li>Income over the short term</li> <li>Investments in debt / money market instruments</li> </ul> |  <p>Investors understand that their principal will be at Low Risk</p> |  |

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

The Risk Level of the Scheme in scheme Risk O Meter is basis it's portfolio as on October 31, 2021.

The Risk Level of the Benchmark Index in the Risk O Meter is basis it's constituents as on October 31, 2021.

| Tax on Capital Gains# | Resident Individuals & HUF | FII's / Overseas Financial Organisations | Partnership Firm    | Non Resident Indians                                                                       | Indian Companies    | Foreign Companies                                                                          |
|-----------------------|----------------------------|------------------------------------------|---------------------|--------------------------------------------------------------------------------------------|---------------------|--------------------------------------------------------------------------------------------|
| Long Term             | 20% with Indexation        | 10% without Indexation                   | 20% with Indexation | 10% without Indexation (on transfer of long term capital assets being unlisted securities) | 20% with Indexation | 10% without Indexation (on transfer of long term capital assets being unlisted securities) |
| Short Term            | Maximum 30%                | 30%                                      | 30%                 | Maximum 30%                                                                                | 30%                 | 40%                                                                                        |

#The mentioned Tax Rates shall be increased by applicable surcharge, If any, Health and Education Cess @ 4% where ever as applicable.

Equity oriented schemes will also attract Securities Transaction Tax (STT) @ 0.001% at the time of redemption and switch to other schemes.

Stamp Duty applicable on Investors subscription unit transactions including Switch in as per the rate mentioned in the Amendments to Indian Stamp Act, 1899

**TRANSACTION CHARGES:** No Transaction Charges shall be deducted from the investment amount for applications received in the Regular Plan.

# QUANTUM DYNAMIC BOND FUND

An Open Ended Dynamic Debt Scheme  
Investing Across Duration

## Investment Objective

To generate income and capital appreciation through active management of portfolio consisting of short term, long term debt and money market instruments.

## ★ Scheme Features



### Fund Manager

**Mr. Pankaj Pathak**

Work experience: 12 years. He has been managing this fund since March 01, 2017



### Category of Scheme

Dynamic Bond Fund



### Inception Date (Date of Allotment)

May 19, 2015



### Declaration of Net Asset Value (NAV)

Every Business Day



### Entry / Sales Load

Not Applicable



### Total Expense Ratio (As on month end)

TER specified are the actual expenses charged (i.e. effective rate) as at the end of the month.

#### Direct Plan - Total TER = 0.60%

{Base TER 0.53% (Inclusive of 0.37% Management Fees & 0.16% Other Expenses) + 0.07% GST (18% GST on 0.37% Management Fees)}

#### Regular Plan – Total TER = 0.72%

{Base TER 0.65% (Inclusive of 0.37% Management Fees & 0.16% Other Expenses & 0.12% Distributor Commission) + 0.07% GST (18% GST on 0.37% Management Fees)}



### Benchmark Index

CRISIL Composite Bond Fund Index



### Minimum Application Amount (Under each Option)

Purchase: ₹500/- and in multiples of ₹1/- thereafter.  
Additional Purchase: ₹500/- and in multiples of ₹1/- thereafter/ 50 units

# QUANTUM DYNAMIC BOND FUND

An Open Ended Dynamic Debt Scheme  
Investing Across Duration

## ★ Scheme Features



### Investment Options

Growth Option, Monthly Payout of Income Distribution Cum Capital Withdrawal (IDCW) Option and Monthly Reinvestment of Income Distribution Cum Capital Withdrawal (IDCW) Option



### Redemption Proceeds

Processed through RTGS/NEFT mode on T+1 basis from the date of transaction where the investor's Bank details are available.

Processed through cheque on T+1 basis from the date of transaction where the required Bank details of investor are not available.



### Exit Load

Nil

| NAV<br>(as on October 29, 2021) | Direct Plan<br>(₹/Unit) | Regular Plan<br>(₹/Unit) |
|---------------------------------|-------------------------|--------------------------|
| Monthly IDCW Option             | 10.2336                 | 10.3168                  |
| Growth Option                   | 16.7974                 | 16.7112                  |

| AUM ₹(In Crores)<br>(as on October 31, 2021) |                       |
|----------------------------------------------|-----------------------|
| Average AUM*<br>85.54                        | Absolute AUM<br>87.13 |

\*Cumulative Daily AUM / No of days in the month



### Weighted Average Maturity as on October 31, 2021

| Weighted Average Maturity | (In years) |
|---------------------------|------------|
| At the end of the month   | 4.24       |
| Modified Duration         | 3.48       |
| Macaulay's Duration       | 3.54       |



### Brokerages & Commissions Details

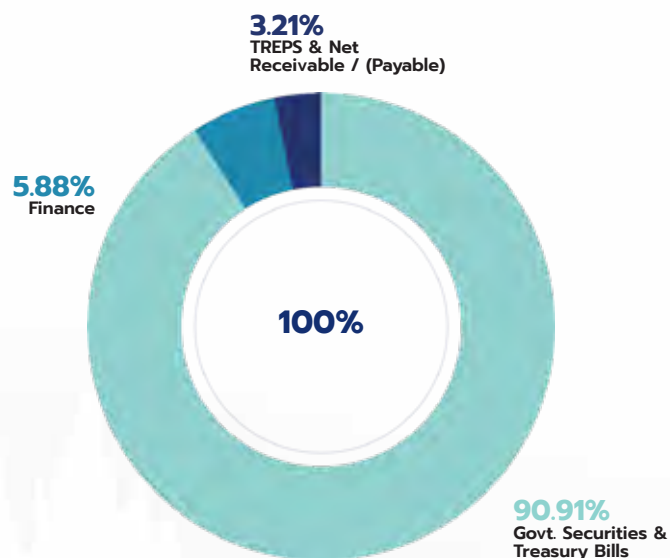
|                                                  |           |
|--------------------------------------------------|-----------|
| Brokerages on Investments for October 2021       | NIL       |
| Distributor commissions paid during October 2021 | ₹2,588.40 |
| Portfolio yield                                  | 5.31%     |

| Income Distribution History - Monthly IDCW |                           | Gross IDCW per unit (Rs.) |
|--------------------------------------------|---------------------------|---------------------------|
| Record Date                                | Direct Plan               | Regular Plan              |
|                                            | Individual/Non Individual | Individual/Non Individual |
| 25-Aug-21                                  | 0.03397956                | 0.03381353                |
| 27-Sep-21                                  | 0.06695095                | 0.06654710                |
| 25-Oct-21                                  | 0.02798167                | 0.02725128                |

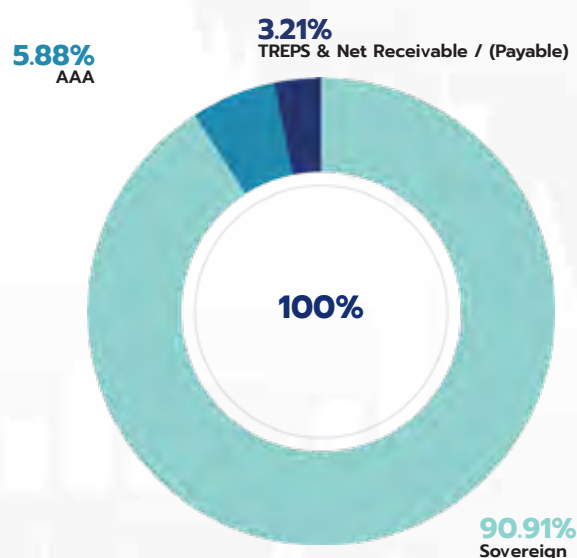
\*Investors are paid IDCW post deduction of TDS on Gross IDCW as applicable as per Finance Act 2020

## Asset Allocation & Rating Profile (% of Net Assets) as on October 31, 2021

|                                    |                |
|------------------------------------|----------------|
| Govt. Securities & Treasury Bills  | 90.91%         |
| Finance                            | 5.88%          |
| TREPS & Net Receivable / (Payable) | 3.21%          |
| <b>Total</b>                       | <b>100.00%</b> |



|                                    |                |
|------------------------------------|----------------|
| Sovereign                          | 90.91%         |
| AAA                                | 5.88%          |
| TREPS & Net Receivable / (Payable) | 3.21%          |
| <b>Total</b>                       | <b>100.00%</b> |



## Quantum Dynamic Bond Fund Performance as on October 29, 2021

The Scheme is managed by **Mr. Pankaj Pathak**.

**Mr. Pankaj Pathak** is the Fund Manager managing the scheme since **March 01, 2017**

For other Schemes Managed by Mr. Pankaj Pathak please see page no. 44



## Performance of the Scheme

Direct Plan

### Quantum Dynamic Bond Fund - Direct Plan - Growth Option

|                                        |                    |                                              |                                       | Current Value ₹10,000 Invested at the beginning of a given period |                                              |                                       |
|----------------------------------------|--------------------|----------------------------------------------|---------------------------------------|-------------------------------------------------------------------|----------------------------------------------|---------------------------------------|
|                                        |                    | Benchmark                                    | Additional Benchmark                  |                                                                   | Benchmark                                    | Additional Benchmark                  |
| Period                                 | Scheme Returns (%) | CRISIL Composite Bond Fund Index Returns (%) | CRISIL 10 Year Gilt Index Returns (%) | Scheme (₹)                                                        | CRISIL Composite Bond Fund Index Returns (₹) | CRISIL 10 Year Gilt Index Returns (₹) |
| Since Inception (19th May 2015)        | 8.37%              | 8.40%                                        | 6.98%                                 | 16,797                                                            | 16,827                                       | 15,454                                |
| Oct 28, 2016 to Oct 29, 2021 (5 years) | 7.26%              | 7.58%                                        | 5.85%                                 | 14,200                                                            | 14,414                                       | 13,291                                |
| Oct 31, 2018 to Oct 29, 2021 (3 years) | 8.49%              | 9.74%                                        | 8.30%                                 | 12,766                                                            | 13,212                                       | 12,698                                |
| Oct 29, 2020 to Oct 29, 2021 (1 year)  | 3.75%              | 3.87%                                        | 1.65%                                 | 10,375                                                            | 10,387                                       | 10,165                                |

Past performance may or may not be sustained in the future.

Different Plans shall have a different expense structure.

Refer to the section "GIPS Compliance" on Page no. 44 for GIPS related disclosure.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).



## Performance of the Scheme

Regular Plan

### Quantum Dynamic Bond Fund - Regular Plan - Growth Option

|                                        |                    |                                              |                                       | Current Value ₹10,000 Invested at the beginning of a given period |                                              |                                       |
|----------------------------------------|--------------------|----------------------------------------------|---------------------------------------|-------------------------------------------------------------------|----------------------------------------------|---------------------------------------|
|                                        |                    | Benchmark                                    | Additional Benchmark                  |                                                                   | Benchmark                                    | Additional Benchmark                  |
| Period                                 | Scheme Returns (%) | CRISIL Composite Bond Fund Index Returns (%) | CRISIL 10 Year Gilt Index Returns (%) | Scheme (₹)                                                        | CRISIL Composite Bond Fund Index Returns (₹) | CRISIL 10 Year Gilt Index Returns (₹) |
| Since Inception (01st Apr 2017)        | 6.98%              | 7.69%                                        | 5.58%                                 | 13,627                                                            | 14,045                                       | 12,828                                |
| Oct 31, 2018 to Oct 29, 2021 (3 years) | 8.36%              | 9.74%                                        | 8.30%                                 | 12,720                                                            | 13,212                                       | 12,698                                |
| Oct 29, 2020 to Oct 29, 2021 (1 year)  | 3.63%              | 3.87%                                        | 1.65%                                 | 10,363                                                            | 10,387                                       | 10,165                                |

Past performance may or may not be sustained in the future.

Different Plans shall have a different expense structure.

Refer to the section "GIPS Compliance" on Page no. 44 for GIPS related disclosure.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).



## Portfolio as on October 31, 2021 QUANTUM DYNAMIC BOND FUND

| Name of Instrument                                    | Rating    | Market Value<br>₹ in Lakhs | % to Net<br>Asset | Yield to<br>Maturity |
|-------------------------------------------------------|-----------|----------------------------|-------------------|----------------------|
| <b>DEBT INSTRUMENTS</b>                               |           |                            |                   |                      |
| <b>A) Listed /Awaiting listing on Stock Exchanges</b> |           |                            |                   |                      |
| <b>i. Bonds</b>                                       |           |                            |                   |                      |
| 1. 6.4% NABARD Sr 20K NCD (MD 31/07/2023)             | ICRA AAA  | 511.99                     | 5.88%             | 4.92%                |
| <b>Total of Bonds</b>                                 |           | <b>511.99</b>              | <b>5.88%</b>      |                      |
| <b>ii. Government Securities</b>                      |           |                            |                   |                      |
| 1. 5.22% GOI (MD 15/06/2025)                          | Sovereign | 2,980.89                   | 34.21%            | 5.41%                |
| 2. 7.35% GOI (MD 22/06/2024)                          | Sovereign | 2,109.95                   | 24.22%            | 5.10%                |
| 3. 6.1% GOI (MD 12/07/2031)                           | Sovereign | 1,469.09                   | 16.86%            | 6.39%                |
| 4. 5.63% GOI (MD 12/04/2026)                          | Sovereign | 994.91                     | 11.42%            | 5.76%                |
| 5. 6.84% GOI (MD 19/12/2022)                          | Sovereign | 209.19                     | 2.40%             | 4.24%                |
| 6. 7.17% GOI (MD 08/01/2028)                          | Sovereign | 104.89                     | 1.20%             | 6.20%                |
| 7. 7.37% GOI (MD 16/04/2023)                          | Sovereign | 51.92                      | 0.60%             | 4.61%                |
| <b>Total of Government Securities</b>                 |           | <b>7,920.84</b>            | <b>90.91%</b>     |                      |
| <b>B. Privately Placed/Unlisted</b>                   |           | NIL                        | NIL               | NIL                  |
| <b>C. Securitized Debt Instruments</b>                |           | NIL                        | NIL               | NIL                  |
| <b>Total of Debt Instruments</b>                      |           | <b>8,432.83</b>            | <b>96.79%</b>     |                      |
| <b>MONEY MARKET INSTRUMENTS</b>                       |           |                            |                   |                      |
| <b>a. TREPS</b>                                       |           | <b>107.67</b>              | <b>1.24%</b>      | <b>3.31%</b>         |
| <b>Net Receivable/(payable)</b>                       |           | <b>172.75</b>              | <b>1.97%</b>      |                      |
| <b>Grand Total</b>                                    |           | <b>8,713.25</b>            | <b>100.00%</b>    |                      |

\*Cash & Cash Equivalents



**Quantum**  
MUTUAL FUND  
FOR THOUGHTFUL INVESTORS

## GIPS Compliance

A) Quantum Asset Management Company Pvt. Ltd. claims compliance with the Global Investment Performance Standards (GIPS®). GIPS® is a registered trademark of CFA Institute. CFA Institute does not endorse or promote this organization, nor does it warrant the accuracy or quality of the content contained herein.

B) Indian Rupee (INR) is the currency used to express performance and other statistics.

## PERFORMANCE OF THE FUNDS MANAGED BY PANKAJ PATHAK

### QUANTUM LIQUID FUND

Mr. Pankaj Pathak is the Fund Manager managing the scheme since March 01, 2017.

| Period                                             | Oct 31, 2020 to Oct 31, 2021 (1 year) |                                       | Oct 31, 2018 to Oct 31, 2021 (3 years) |                                       | Oct 31, 2016 to Oct 31, 2021 (5 years) |                                       |
|----------------------------------------------------|---------------------------------------|---------------------------------------|----------------------------------------|---------------------------------------|----------------------------------------|---------------------------------------|
|                                                    | Scheme Return (%)                     | Crisil Liquid Fund Index Returns# (%) | Scheme Return (%)                      | Crisil Liquid Fund Index Returns# (%) | Scheme Return (%)                      | Crisil Liquid Fund Index Returns# (%) |
| Quantum Liquid Fund - Direct Plan - Growth Option  | 3.13%                                 | 3.55%                                 | 4.51%                                  | 5.24%                                 | 5.20%                                  | 5.95%                                 |
| Quantum Liquid Fund - Regular Plan - Growth Option | 3.03%                                 | 3.55%                                 | 4.42%                                  | 5.24%                                 | NA                                     | NA                                    |

Past performance may or may not be sustained in the future.

#Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR)

Different Plans shall have different expense structure.

Mr. Pankaj Pathak manages 2 schemes of the Quantum Mutual Fund.

## Product Labeling

| Name of the Scheme & Primary Benchmark                                                                                                                   | This product is suitable for investors who are seeking*                                                                                                                                            | Risk-o-meter of Scheme                                                                                                                                         | Risk-o-meter of Benchmark                                                             |
|----------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| Quantum Dynamic Bond Fund<br>(An Open Ended Dynamic Debt Scheme Investing Across Duration)<br><br>Primary Benchmark:<br>Crisil Composite Bond Fund Index | <ul style="list-style-type: none"> <li>Regular income over short to medium term and capital appreciation</li> <li>Investment in Debt / Money Market Instruments / Government Securities</li> </ul> |  <p>Investors understand that their principal will be at Moderate Risk</p> |  |

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

The Risk Level of the Scheme in scheme Risk O Meter is basis it's portfolio as on October 31, 2021.

The Risk Level of the Benchmark Index in the Risk O Meter is basis it's constituents as on October 31, 2021.

| Tax on Capital Gains# | Resident Individuals & HUF | FII's / Overseas Financial Organisations | Partnership Firm    | Non Resident Indians                                                                       | Indian Companies    | Foreign Companies                                                                          |
|-----------------------|----------------------------|------------------------------------------|---------------------|--------------------------------------------------------------------------------------------|---------------------|--------------------------------------------------------------------------------------------|
| Long Term             | 20% with Indexation        | 10% without Indexation                   | 20% with Indexation | 10% without Indexation (on transfer of long term capital assets being unlisted securities) | 20% with Indexation | 10% without Indexation (on transfer of long term capital assets being unlisted securities) |
| Short Term            | Maximum 30%                | 30%                                      | 30%                 | Maximum 30%                                                                                | 30%                 | 40%                                                                                        |

#The mentioned Tax Rates shall be increased by applicable surcharge, If any, Health and Education Cess @ 4% where ever as applicable. Equity oriented schemes will also attract Securities Transaction Tax (STT) @ 0.001% at the time of redemption and switch to other schemes.

Stamp Duty applicable on Investors subscription unit transactions including Switch in as per the rate mentioned in the Amendments to Indian Stamp Act, 1899

**TRANSACTION CHARGES:** No Transaction Charges shall be deducted from the investment amount for applications received in the Regular Plan.

# QUANTUM GOLD SAVINGS FUND

An Open Ended Fund of Fund Scheme  
Investing in Quantum Gold Fund

## Investment Objective

To provide capital appreciation by predominantly investing in units of Quantum Gold Fund Replicating / Tracking Gold an Exchange Traded Fund. The performance of the Scheme may differ from that of Quantum Gold Fund and the domestic prices of gold due to expenses and certain other factors. There can be no assurance or guarantee that the investment objective of the Scheme will be achieved.

## ★ Scheme Features



### Fund Manager & Associate Fund Manager

**Mr. Chirag Mehta**

Work experience: 19 years. He has been managing this fund since May 19, 2011.

**Ms. Ghazal Jain**

Work experience: 4.7 years. She has been managing this fund since June 2, 2020.



### Category of Scheme

Fund of Fund – Domestic



### Inception Date (Date of Allotment)

May 19, 2011



### Declaration of Net Asset Value (NAV)

Every Business Day



### Entry / Sales Load

Not Applicable



### Total Expense Ratio (As on month end)

TER specified are the actual expenses charged (i.e. effective rate) as at the end of the month

**Direct Plan** - Base TER (Other Expenses) & Total TER = 0.06 %

**Regular Plan** – Total TER = 0.21%  
(Base TER 0.21% (Inclusive 0.06% Other Expenses & 0.15% Distributor Commission))



### Benchmark Index

Domestic Price of Physical Gold



### Minimum Application Amount (Under each Option)

Purchase : ₹500/- and in multiples of ₹1/- thereafter.  
Additional Purchase: ₹500/- and in multiples of ₹1/- thereafter / 50 units



### Investment Options

Growth

# QUANTUM GOLD SAVINGS FUND

An Open Ended Fund of Fund Scheme  
Investing in Quantum Gold Fund

## ★ Scheme Features



### Redemption Proceeds

Processed through RTGS/NEFT mode on T+2 basis from the date of transaction where the investor's Bank details are available.

Processed through cheque on T+2 basis from the date of transaction where the required Bank details of investor are not available.



### Exit Load

Nil

| NAV<br>(as on October 29, 2021) | Direct Plan<br>(₹/Unit) | Regular Plan<br>(₹/Unit) |
|---------------------------------|-------------------------|--------------------------|
| Growth Option                   | 19.1498                 | 19.0474                  |

| AUM ₹(In Crores)<br>(as on October 31, 2021) |                       |
|----------------------------------------------|-----------------------|
| Average AUM*<br>68.77                        | Absolute AUM<br>69.67 |

\*Cumulative Daily AuM / No of days in the month



### Brokerages & Commissions Details

Brokerages on Investments for October 2021 ₹13,879.21

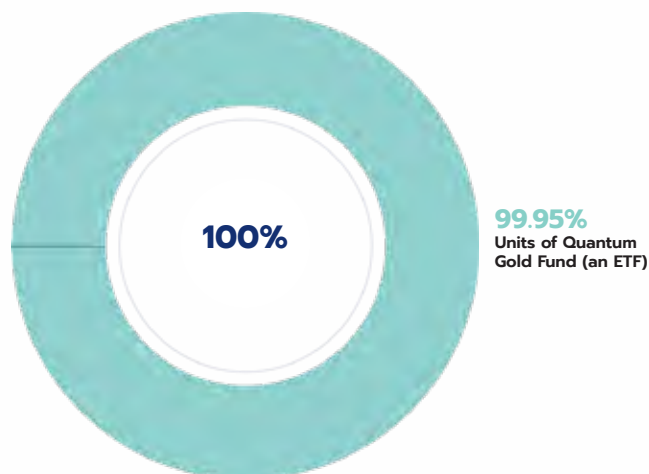
Distributor commissions for October 2021 ₹18,273.92

Portfolio Turnover Ratio (Last one year) 12.00%

## Asset Allocation (% of Net Assets) as on October 31, 2021

|                                     |                |
|-------------------------------------|----------------|
| Units of Quantum Gold Fund (an ETF) | 99.95%         |
| TREPS & Net Receivable / (Payable)  | 0.05%          |
| <b>Total</b>                        | <b>100.00%</b> |

**0.05%**  
TREPS &  
Net Receivable /  
(Payable)



## Quantum Gold Savings Fund Performance as on October 29, 2021

The Scheme is managed by **Chirag Mehta and Ghazal Jain**

**Mr. Chirag Mehta** is the Fund Manager managing the scheme since **May 19, 2011** and **Ms. Ghazal Jain** is the Associate Fund Manager managing the scheme since **June 2, 2020**.

For other Schemes Managed by Mr. Chirag Mehta and Ms. Ghazal Jain please see page no. 49 & 50

## Performance of the Scheme

Direct Plan

### Quantum Gold Savings Fund - Direct Plan

|                                         |                    | Benchmark                          |                                       | Current Value ₹10,000 Invested at the beginning of a given period |                                    |                               |
|-----------------------------------------|--------------------|------------------------------------|---------------------------------------|-------------------------------------------------------------------|------------------------------------|-------------------------------|
|                                         |                    | Additional Benchmark               |                                       |                                                                   |                                    |                               |
| Period                                  | Scheme Returns (%) | Domestic Price of Gold Returns (%) | CRISIL 10 Year Gilt Index Returns (%) | Scheme (₹)                                                        | Domestic Price of Gold Returns (₹) | CRISIL 10 Year Gilt Index (₹) |
| Since Inception (19th May 2011)         | 6.41%              | 7.69%                              | 7.09%                                 | 19,150                                                            | 21,693                             | 20,466                        |
| Oct 31, 2011 to Oct 29, 2021 (10 years) | 4.56%              | 5.66%                              | 7.41%                                 | 15,616                                                            | 17,350                             | 20,434                        |
| Oct 31, 2014 to Oct 29, 2021 (7 years)  | 7.67%              | 9.10%                              | 7.48%                                 | 16,776                                                            | 18,403                             | 16,565                        |
| Oct 28, 2016 to Oct 29, 2021 (5 years)  | 8.11%              | 9.46%                              | 5.85%                                 | 14,774                                                            | 15,723                             | 13,291                        |
| Oct 31, 2018 to Oct 29, 2021 (3 years)  | 13.47%             | 14.41%                             | 8.30%                                 | 14,607                                                            | 14,970                             | 12,698                        |
| Oct 30, 2020 to Oct 29, 2021 (1 year)   | -6.48%             | -4.92%                             | 1.66%                                 | 9,354                                                             | 9,510                              | 10,165                        |

**Past performance may or may not be sustained in the future.**

Different Plans shall have a different expense structure.

Refer to the section "GIPS Compliance" on Page no. 48 for GIPS related disclosure.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

## Quantum Gold Savings Fund - Regular Plan

|                                        |                    |                                    |                                       | Value of investment of Rs. 10,000@ |                                    |                               |
|----------------------------------------|--------------------|------------------------------------|---------------------------------------|------------------------------------|------------------------------------|-------------------------------|
|                                        |                    | Benchmark                          | Additional Benchmark                  |                                    | Benchmark                          | Additional Benchmark          |
| Period                                 | Scheme Returns (%) | Domestic Price of Gold Returns (%) | CRISIL 10 Year Gilt Index Returns (%) | Scheme (₹)                         | Domestic Price of Gold Returns (₹) | CRISIL 10 Year Gilt Index (₹) |
| Since Inception (01st Apr 2017)        | 10.26%             | 11.54%                             | 5.58%                                 | 15,649                             | 16,496                             | 12,828                        |
| Oct 31, 2018 to Oct 29, 2021 (3 years) | 13.34%             | 14.41%                             | 8.30%                                 | 14,556                             | 14,970                             | 12,698                        |
| Oct 30, 2020 to Oct 29, 2021 (1 year)  | -6.57%             | -4.92%                             | 1.66%                                 | 9,345                              | 9,510                              | 10,165                        |

Past performance may or may not be sustained in the future.

Different Plans shall have a different expense structure.

Refer to the section "GIPS Compliance" please see below for GIPS related disclosure.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

|                     | Total Amount Invested (₹ '000) | Mkt Value as on Oct 29, 21 (₹ '000) | Domestic Price of Gold (₹ '000) | CRISIL 10 Year Gilt Index (₹ '000) | Scheme Returns (XIRR*) (%) | Domestic Price of Gold (XIRR*)# (%) | CRISIL 10 Year Gilt Index (XIRR*)® (%) |
|---------------------|--------------------------------|-------------------------------------|---------------------------------|------------------------------------|----------------------------|-------------------------------------|----------------------------------------|
| SIP Since Inception | 1,250.00                       | 1,795.48                            | 1,909.87                        | 1,812.06                           | 6.77%                      | 7.90%                               | 6.94%                                  |
| 10 Years SIP        | 1,200.00                       | 1,708.52                            | 1,812.29                        | 1,711.28                           | 6.89%                      | 8.02%                               | 6.92%                                  |
| 7 Years SIP         | 840.00                         | 1,173.32                            | 1,215.67                        | 1,056.38                           | 9.43%                      | 10.43%                              | 6.47%                                  |
| 5 Years SIP         | 600.00                         | 783.60                              | 804.56                          | 698.21                             | 10.68%                     | 11.75%                              | 6.04%                                  |
| 3 Years SIP         | 360.00                         | 413.10                              | 418.65                          | 389.83                             | 9.24%                      | 10.16%                              | 5.29%                                  |
| 1 Year SIP          | 120.00                         | 119.90                              | 120.38                          | 120.62                             | -0.16%                     | 0.61%                               | 0.99%                                  |

Past performance may or may not be sustained in the future. The above SIP performance is for Quantum Gold Savings Fund - Direct plan - Growth option. Return on SIP and Benchmark are annualized and compounded investment return for cash flows resulting out of uniform and regular monthly subscriptions as on 5th day of every month (in case 5th is a non-Business Day, then the next Business Day) and have been worked out using the Excel spreadsheet function known as XIRR. XIRR calculates the internal rate of return for series of cash flow. Assuming ₹10,000 invested every month on 5th day of every month (in case 5th is a non-Business Day, then the next Business Day), the 1 year, 3 years, 5 years, 7 years, 10 years and since inception returns from SIP are annualized and compounded investment return computed on the assumption that SIP installments were received across the time periods from the start date of SIP from the end of the relevant period viz. 1 year, 3 years, 5 years, 7 years, 10 years and since Inception. \*XIRR - XIRR calculates the internal rate of return to measure and compare the profitability of series of investments.

Returns are net of total expenses.

#Benchmark Returns.

| Name of Instrument                         | Quantity | Market/ Fair Value (₹ in Lakhs) | % to Net       |
|--------------------------------------------|----------|---------------------------------|----------------|
| <b>A. EXCHANGE TRADED FUND UNITS</b>       |          |                                 |                |
| 1. Quantum Gold Fund (an ETF)              | 3,37,554 | 6,963.74                        | 99.95%         |
| <b>Total of Exchange Traded Fund Units</b> |          | <b>6,963.74</b>                 | <b>99.95%</b>  |
| <b>B. MONEY MARKET INSTRUMENTS</b>         |          |                                 |                |
| <b>a. TREPS*</b>                           |          | <b>18.48</b>                    | <b>0.27%</b>   |
| <b>Net Receivable/(payable)</b>            |          | <b>-15.07</b>                   | <b>-0.22%</b>  |
| <b>Grand Total</b>                         |          | <b>6,967.15</b>                 | <b>100.00%</b> |

\* Cash & Cash Equivalents

A) Quantum Asset Management Company Pvt. Ltd. claims compliance with the Global Investment Performance Standards (GIPS®). GIPS® is a registered trademark of CFA Institute. CFA Institute does not endorse or promote this organization, nor does it warrant the accuracy or quality of the content contained herein.

B) Indian Rupee (INR) is the currency used to express performance and other statistics.



## QUANTUM MULTI ASSET FUND OF FUNDS

Mr. Chirag Mehta Co-managing along with Mr. Nilesh Shetty since July 11, 2012.

| Period                                              | Oct 30, 2020 to<br>Oct 29, 2021 (1 year) |                                      | Oct 31, 2018 to<br>Oct 29, 2021 (3 years) |                                      | Oct 28, 2016 to<br>Oct 29, 2021 (5 years) |                                      |
|-----------------------------------------------------|------------------------------------------|--------------------------------------|-------------------------------------------|--------------------------------------|-------------------------------------------|--------------------------------------|
|                                                     | Scheme<br>Return (%)                     | Benchmark<br>Return <sup>#</sup> (%) | Scheme<br>Return (%)                      | Benchmark<br>Return <sup>#</sup> (%) | Scheme<br>Return (%)                      | Benchmark<br>Return <sup>#</sup> (%) |
| Quantum Multi Asset Fund of Funds<br>- Direct Plan  | 14.08%                                   | 19.55%                               | 10.13%                                    | 14.54%                               | 8.73%                                     | 11.92%                               |
| Quantum Multi Asset Fund of Funds<br>- Regular Plan | 13.66%                                   | 19.55%                               | 9.83%                                     | 14.54%                               | NA                                        | NA                                   |

**Past performance may or may not be sustained in the future.** Load is not taken into consideration in Scheme Return Calculation.

<sup>#</sup>Benchmark has been changed from Crisil Composite Bond Fund Index (40%) + S&P BSE SENSEX Total Return Index (40%) + Domestic price of gold (20%) to CRISIL Composite Bond Fund Index (20%) + S&P BSE Total Return Index (40%) + CRISIL Liquid Index (25%) + Domestic Price of Gold (15%) with effective from April 01, 2021.

It is a customized index and it is rebalanced daily.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 5 schemes of the Quantum Mutual Fund. Mr. Nilesh Shetty manages 2 schemes of the Quantum Mutual Fund.

## QUANTUM INDIA ESG EQUITY FUND

Mr. Chirag Mehta Co-managing along with Ms. Sneha Joshi since July 12, 2019.

| Period                                           | Oct 30, 2020 to<br>Oct 29, 2021 (1 year) |                                                  | Oct 31, 2018 to<br>Oct 29, 2021 (3 years) |                                                  | Oct 28, 2016 to<br>Oct 29, 2021 (5 years) |                                                  |
|--------------------------------------------------|------------------------------------------|--------------------------------------------------|-------------------------------------------|--------------------------------------------------|-------------------------------------------|--------------------------------------------------|
|                                                  | Scheme<br>Return (%)                     | NIFTY 100<br>ESG TRI<br>Returns <sup>#</sup> (%) | Scheme<br>Return (%)                      | NIFTY 100<br>ESG TRI<br>Returns <sup>#</sup> (%) | Scheme<br>Return (%)                      | NIFTY 100<br>ESG TRI<br>Returns <sup>#</sup> (%) |
| Quantum India ESG Equity Funds<br>- Direct Plan  | 52.34%                                   | 56.68%                                           | NA                                        | NA                                               | NA                                        | NA                                               |
| Quantum India ESG Equity Funds<br>- Regular Plan | 51.19%                                   | 56.68%                                           | NA                                        | NA                                               | NA                                        | NA                                               |

**Past performance may or may not be sustained in the future.**

Different Plans shall have a different expense structure.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Mr. Chirag Mehta manages 5 Schemes and Ms. Sneha Joshi manages 1 scheme of the Quantum Mutual Fund.

<sup>#</sup>Benchmark Return

## QUANTUM EQUITY FUND OF FUNDS

Mr. Chirag Mehta is managing the fund since November 01, 2013.

| Period                                                         | Oct 30, 2020 to<br>Oct 29, 2021 (1 year) |                                                | Oct 31, 2018 to<br>Oct 29, 2021 (3 years) |                                                | Oct 28, 2016 to<br>Oct 29, 2021 (5 years) |                                                |
|----------------------------------------------------------------|------------------------------------------|------------------------------------------------|-------------------------------------------|------------------------------------------------|-------------------------------------------|------------------------------------------------|
|                                                                | Scheme<br>Return (%)                     | S&P BSE<br>200 TRI<br>Returns <sup>#</sup> (%) | Scheme<br>Return (%)                      | S&P BSE<br>200 TRI<br>Returns <sup>#</sup> (%) | Scheme<br>Return (%)                      | S&P BSE<br>200 TRI<br>Returns <sup>#</sup> (%) |
| Quantum Equity Fund of Funds<br>- Direct Plan - Growth Option  | 54.07%                                   | 57.54%                                         | 19.02%                                    | 21.33%                                         | 14.10%                                    | 16.69%                                         |
| Quantum Equity Fund of Funds<br>- Regular Plan - Growth Option | 53.69%                                   | 57.54%                                         | 18.76%                                    | 21.33%                                         | NA                                        | NA                                             |

**Past performance may or may not be sustained in the future.** Load is not taken into consideration in Scheme Return Calculation.

<sup>#</sup>Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 5 schemes of the Quantum Mutual Fund.



## QUANTUM GOLD FUND

Mr. Chirag Mehta is managing the scheme since May 01, 2009 and Ms. Ghazal Jain Co-managing the scheme since June 2, 2020.

| Period            | Oct 30, 2020 to Oct 29, 2021 (1 year) |                                     | Oct 31, 2018 to Oct 29, 2021 (3 years) |                                     | Oct 28, 2016 to Oct 29, 2021 (5 years) |                                     |
|-------------------|---------------------------------------|-------------------------------------|----------------------------------------|-------------------------------------|----------------------------------------|-------------------------------------|
|                   | Scheme Return (%)                     | Domestic Price of Gold Returns# (%) | Scheme Return (%)                      | Domestic Price of Gold Returns# (%) | Scheme Return (%)                      | Domestic Price of Gold Returns# (%) |
| Quantum Gold Fund | -5.61%                                | -4.92%                              | 13.37%                                 | 14.41%                              | 8.43%                                  | 9.46%                               |

Past performance may or may not be sustained in the future.

#Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Mr. Chirag Mehta manages 5 Schemes and Ms. Ghazal Jain manages 2 Schemes of the Quantum Mutual Fund

The Scheme being Exchange Traded Fund has one plan to invest through stock exchange and having a single expense structure.

## Product Labeling

| Name of the Scheme & Primary Benchmark                                                                                                                  | This product is suitable for investors who are seeking*                                                                                                                                         | Risk-o-meter of Scheme                                                                                                                                               | Risk-o-meter of Benchmark                                                            |
|---------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|
| Quantum Gold Savings Fund<br><br>(An Open Ended Fund of Fund Scheme Investing in Quantum Gold Fund)<br><br>Primary Benchmark:<br>Domestic Price of Gold | <ul style="list-style-type: none"> <li>Long term returns</li> <li>Investments in units of Quantum Gold Fund – Exchange Traded Fund whose underlying investments are in physical gold</li> </ul> |  <p>Investors understand that their principal will be at Moderately High Risk</p> |  |

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

The Risk Level of the Scheme in scheme Risk O Meter is basis it's portfolio as on October 31, 2021.

The Risk Level of the Benchmark Index in the Risk O Meter is basis it's constituents as on October 31, 2021.

| Tax on Capital Gains# | Resident Individuals & HUF | FII's / Overseas Financial Organisations | Partnership Firm    | Non Resident Indians                                                                       | Indian Companies    | Foreign Companies                                                                          |
|-----------------------|----------------------------|------------------------------------------|---------------------|--------------------------------------------------------------------------------------------|---------------------|--------------------------------------------------------------------------------------------|
| Long Term             | 20% with Indexation        | 10% without Indexation                   | 20% with Indexation | 20% without Indexation (on transfer of long term capital assets being unlisted securities) | 20% with Indexation | 20% without Indexation (on transfer of long term capital assets being unlisted securities) |
| Short Term            | Maximum 30%                | 30%                                      | 30%                 | Maximum 30%                                                                                | 30%                 | 40%                                                                                        |

#The mentioned Tax Rates shall be increased by applicable surcharge, If any, Health and Education Cess @ 4% where ever as applicable. Equity oriented schemes will also attract Securities Transaction Tax (STT) @ 0.001% at the time of redemption and switch to other schemes.

Stamp Duty applicable on Investors subscription unit transactions including Switch in as per the rate mentioned in the Amendments to Indian Stamp Act, 1899

**TRANSACTION CHARGES:** No Transaction Charges shall be deducted from the investment amount for applications received in the Regular Plan.

\***Portfolio Turnover Ratio** is the percentage of a funds assets that have changed over the course of a year

# QUANTUM MULTI ASSET FUND OF FUNDS

An Open Ended Fund of Funds Scheme  
Investing in schemes of Quantum  
Mutual Fund

## Investment Objective

The investment objective of the Scheme is to generate modest capital appreciation while trying to reduce risk (by diversifying risks across asset classes) from a combined portfolio of equity, debt / money markets and Gold schemes of Quantum Mutual Fund. The Scheme may invest in the units of debt / money market schemes of other mutual funds to gain exposure to debt as an asset class to manage any investment and regulatory constraints that arise/ that prevent the Scheme from increasing investments in the schemes of Quantum Mutual Fund. There can be no assurance that the investment objective of the Scheme will be realized.

## ★ Scheme Features



### Fund Manager

**Mr. Chirag Mehta**  
Work experience: 19 years

**Mr. Nilesh Shetty**  
Work experience: 17.11 years.  
Both have been managing this fund since July 11, 2012



### Category of Scheme

Fund of Funds – Domestic



### Inception Date (Date of Allotment)

July 11, 2012



### Declaration of Net Asset Value (NAV)

Every Business Day



### Entry / Sales Load

Not Applicable



### Total Expense Ratio (As on month end)

TER specified are the actual expenses charged (i.e. effective rate) as at the end of the month

**Direct Plan - Base TER (Other Expenses) & Total TER = 0.10 %**

**Regular Plan – Total TER = 0.47%**  
(Base TER 0.47% (Inclusive 0.10% Other Expenses & 0.37% Distributor Commission)



### Benchmark Index

CRISIL Composite Bond Fund Index (20%) + S&P BSE Total Return Index (40%) + CRISIL Liquid Index (25%) + Domestic Price of Gold (15%)<sup>#</sup>



### Minimum Application Amount (Under each Option)

Purchase: ₹500/- and in multiples of ₹1/- thereafter.  
Additional Purchase: ₹500/- and in multiples of ₹1/- thereafter/ 50 units



### Investment Options

Growth

**Note:** <sup>#</sup>Benchmark has been changed from Crisil Composite Bond Fund Index (40%) + S&P BSE SENSEX Total Return Index (40%) + Domestic price of gold (20%) to CRISIL Composite Bond Fund Index (20%) + S&P BSE Total Return Index (40%) + CRISIL Liquid Index (25%) + Domestic Price of Gold (15%) with effective from April 01, 2021

# QUANTUM MULTI ASSET FUND OF FUNDS

An Open Ended Fund of Funds Scheme  
Investing in schemes of Quantum  
Mutual Fund

## ★ Scheme Features



### Redemption Proceeds

Processed through RTGS/NEFT mode on T+3 basis from the date of transaction where the investor's Bank details are available. Processed through cheque on T+3 basis from the date of transaction where the required Bank details of investor are not available.



### Exit Load

Repurchase/ Redemption/ Switch Out -

- a) On or before 90 days from the date of allotment 1.00%.
- b) After 90 days from the date of allotment Nil

| NAV<br>(as on October 29, 2021) | Direct Plan<br>(₹/Unit) | Regular Plan<br>(₹/Unit) |
|---------------------------------|-------------------------|--------------------------|
| Growth Option                   | 23.6089                 | 23.3819                  |

| AUM ₹(In Crores)<br>(as on October 31, 2021) |              |
|----------------------------------------------|--------------|
| Average AUM*                                 | Absolute AUM |
| 44.67                                        | 45.11        |

\*Cumulative Daily AUM / No of days in the month

## Key Statistics

^^Standard Deviation 7.77%

^^Beta 0.86

^^Sharpe Ratio 0.84

## Brokerages & Commissions Details

Brokerages on Investments for October 2021 ₹4,284.14

Distributor commissions for October 2021 ₹8,227.19



The Scheme is co-managed by **Chirag Mehta and Nilesh Shetty**.

Mr. Chirag Mehta and Mr. Nilesh Shetty are the Fund Managers managing the scheme since **July 11, 2012**

For other Schemes Managed by Mr. Chirag Mehta and Mr. Nilesh Shetty please see page no. 55 & 56



## Performance of the Scheme

## Direct Plan

## Quantum Multi Asset Fund of Funds - Direct Plan

| Period                                 | Scheme Returns (%) | Benchmark Returns (%) <sup>#</sup> | S&P BSE Sensex TRI Returns (%) | Current Value ₹10,000 Invested at the beginning of a given period |                                    |                        |
|----------------------------------------|--------------------|------------------------------------|--------------------------------|-------------------------------------------------------------------|------------------------------------|------------------------|
|                                        |                    |                                    |                                | Scheme (₹)                                                        | Benchmark Returns (₹) <sup>#</sup> | S&P BSE Sensex TRI (₹) |
| Since Inception (11th Jul 2012)        | 9.67%              | 10.96%                             | 15.55%                         | 23,609                                                            | 26,329                             | 38,393                 |
| Oct 31, 2014 to Oct 29, 2021 (7 years) | 8.59%              | 10.35%                             | 12.80%                         | 17,800                                                            | 19,920                             | 23,235                 |
| Oct 28, 2016 to Oct 29, 2021 (5 years) | 8.73%              | 11.92%                             | 17.60%                         | 15,207                                                            | 17,570                             | 22,514                 |
| Oct 31, 2018 to Oct 29, 2021 (3 years) | 10.13%             | 14.54%                             | 21.26%                         | 13,355                                                            | 15,022                             | 17,821                 |
| Oct 30, 2020 to Oct 29, 2021 (1 year)  | 14.08%             | 19.55%                             | 51.40%                         | 11,404                                                            | 11,949                             | 15,123                 |

Past performance may or may not be sustained in the future. Load is not taken into consideration in scheme returns calculation.

Different Plans shall have a different expense structure.

Refer to the section "GIPS Compliance" on Page no. 54 for GIPS related disclosure.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

<sup>#</sup>Benchmark has been changed from Crisil Composite Bond Fund Index (40%) + S&P BSE SENSEX Total Return Index (40%) + Domestic price of gold (20%) to CRISIL Composite Bond Fund Index (20%) + S&P BSE Total Return Index (40%) + CRISIL Liquid Index (25%) + Domestic Price of Gold (15%) with effective from April 01, 2021. It is a customized index and it is rebalanced daily.



## Performance of the Scheme

## Regular Plan

## Quantum Multi Asset Fund of Funds - Regular Plan

| Period                                 | Scheme Returns (%) | Benchmark Returns (%) <sup>#</sup> | S&P BSE Sensex TRI Returns (%) | Current Value ₹10,000 Invested at the beginning of a given period |                                    |                        |
|----------------------------------------|--------------------|------------------------------------|--------------------------------|-------------------------------------------------------------------|------------------------------------|------------------------|
|                                        |                    |                                    |                                | Scheme (₹)                                                        | Benchmark Returns (₹) <sup>#</sup> | S&P BSE Sensex TRI (₹) |
| Since Inception (01st Apr 2017)        | 8.56%              | 12.33%                             | 17.79%                         | 14,569                                                            | 17,042                             | 21,177                 |
| Oct 31, 2018 to Oct 29, 2021 (3 years) | 9.83%              | 14.54%                             | 21.26%                         | 13,245                                                            | 15,022                             | 17,821                 |
| Oct 30, 2020 to Oct 29, 2021 (1 year)  | 13.66%             | 19.55%                             | 51.40%                         | 11,362                                                            | 11,949                             | 15,123                 |

Past performance may or may not be sustained in the future. Load is not taken into consideration in scheme returns calculation.

Different Plans shall have a different expense structure.

Refer to the section "GIPS Compliance" on Page no. 54 for GIPS related disclosure.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

<sup>#</sup>Benchmark has been changed from Crisil Composite Bond Fund Index (40%) + S&P BSE SENSEX Total Return Index (40%) + Domestic price of gold (20%) to CRISIL Composite Bond Fund Index (20%) + S&P BSE Total Return Index (40%) + CRISIL Liquid Index (25%) + Domestic Price of Gold (15%) with effective from April 01, 2021. It is a customized index and it is rebalanced daily.

## SIP Performance as on October 29, 2021

|                     | Total Amount Invested (₹'000) | Mkt Value as on Oct 29, 2021 (₹'000) | Customised Benchmark <sup>5</sup> Returns (₹'000) | S&P BSE Sensex TRI (₹'000) | Scheme Returns (XIRR*) (%) | Customised Benchmark <sup>5</sup> Returns (XIRR*) (%) | S&P BSE Sensex TRI (XIRR*) (%) |
|---------------------|-------------------------------|--------------------------------------|---------------------------------------------------|----------------------------|----------------------------|-------------------------------------------------------|--------------------------------|
| SIP Since Inception | 1,110.00                      | 1,742.82                             | 1,929.80                                          | 2,436.55                   | 9.50%                      | 11.60%                                                | 16.38%                         |
| 7 Years SIP         | 840.00                        | 1,173.98                             | 1,296.51                                          | 1,570.60                   | 9.44%                      | 12.23%                                                | 17.63%                         |
| 5 Years SIP         | 600.00                        | 767.84                               | 840.10                                            | 1,001.85                   | 9.86%                      | 13.51%                                                | 20.74%                         |
| 3 Years SIP         | 360.00                        | 427.29                               | 452.47                                            | 534.33                     | 11.57%                     | 15.58%                                                | 27.69%                         |
| 1 Year SIP          | 120.00                        | 126.45                               | 129.59                                            | 141.74                     | 10.44%                     | 15.64%                                                | 36.45%                         |

**Past performance may or may not be sustained in the future.** The above SIP performance is for Quantum Multi Asset Fund of Funds - Direct plan - Growth option. Load is not taken into consideration using applicable NAV on the SIP day (5th of every month). Return on SIP and Benchmark are annualized and compounded investment return for cash flows resulting out of uniform and regular monthly subscriptions as on 5th day of every month (in case 5th is a non-Business Day, then the next Business Day) and have been worked out using the Excel spreadsheet function known as XIRR. XIRR calculates the internal rate of return for series of cash flow. Assuming ₹ 10,000 invested every month on 5th day of every month (in case 5th is a non-Business Day, then the next Business Day), the 1 year, 3 years, 5 years, 7 years and since inception returns from SIP are annualized and compounded investment return computed on the assumption that SIP installments were received across the time periods from the start date of SIP from the end of the relevant period viz. 1 year, 3 years, 5 years, 7 years and since Inception.

Returns are net of total expenses

<sup>5</sup>Benchmark has been changed from Crisil Composite Bond Fund Index (40%) + S&P BSE SENSEX Total Return Index (40%) + Domestic price of gold (20%) to CRISIL Composite Bond Fund Index (20%) + S&P BSE Total Return Index (40%) + CRISIL Liquid Index (25%) + Domestic Price of Gold (15%) with effective from April 01, 2021.

It is a customized index and it is rebalanced daily.

\*XIRR - XIRR calculates the internal rate of return to measure and compare the profitability of series of investments.

## Portfolio as on October 31, 2021 QUANTUM MULTI ASSET FUND OF FUNDS

| Name of Instrument                                                 | Quantity  | Market/ Fair Value (₹ in Lakhs) | % to Net Assets |
|--------------------------------------------------------------------|-----------|---------------------------------|-----------------|
| <b>A. MUTUAL FUND UNITS</b>                                        |           |                                 |                 |
| 1. Quantum Liquid Fund - Direct Plan Growth Option                 | 65,77,088 | 1,861.48                        | 41.26%          |
| 2. Quantum Dynamic Bond Fund - Direct Plan Growth Option           | 37,86,649 | 636.06                          | 14.10%          |
| 3. Quantum Long Term Equity Value Fund - Direct Plan Growth Option | 6,59,102  | 518.52                          | 11.49%          |
| 4. Quantum India ESG Equity Fund-Direct Plan-Growth Option         | 7,82,991  | 134.99                          | 2.99%           |
| <b>Total of Mutual Fund Units</b>                                  |           | <b>3,151.05</b>                 | <b>69.84%</b>   |
| <b>B. EXCHANGE TRADED FUND UNITS</b>                               |           |                                 |                 |
| 1. Quantum Gold Fund (an ETF)                                      | 32,432    | 669.07                          | 14.83%          |
| 2. Quantum Nifty ETF                                               | 28,198    | 521.23                          | 11.55%          |
| <b>Total of Exchange Traded Fund Units</b>                         |           | <b>1,190.30</b>                 | <b>26.38%</b>   |
| <b>Total (A + B)</b>                                               |           | <b>4,341.35</b>                 | <b>96.22%</b>   |
| <b>MONEY MARKET INSTRUMENTS</b>                                    |           |                                 |                 |
| <b>a. TREPS*</b>                                                   |           | <b>169.79</b>                   | <b>3.76%</b>    |
| <b>Net Receivable/(payable)</b>                                    |           | <b>0.29</b>                     | <b>0.02%</b>    |
| <b>Grand Total</b>                                                 |           | <b>4,511.43</b>                 | <b>100.00%</b>  |

\* Cash & Cash Equivalents

## GIPS Compliance

A) Quantum Asset Management Company Pvt. Ltd. claims compliance with the Global Investment Performance Standards (GIPS®). GIPS® is a registered trademark of CFA Institute. CFA Institute does not endorse or promote this organization, nor does it warrant the accuracy or quality of the content contained herein

B) Indian Rupee (INR) is the currency used to express performance and other statistics.



## QUANTUM GOLD FUND

Mr. Chirag Mehta is managing the scheme since May 01, 2009 and Ms. Ghazal Jain Co-managing the scheme since June 2, 2020.

| Period            | Oct 30, 2020 to<br>Oct 29, 2021 (1 year) |                                                       | Oct 31, 2018 to<br>Oct 29, 2021 (3 years) |                                                       | Oct 28, 2016 to<br>Oct 29, 2021 (5 years) |                                                       |
|-------------------|------------------------------------------|-------------------------------------------------------|-------------------------------------------|-------------------------------------------------------|-------------------------------------------|-------------------------------------------------------|
|                   | Scheme<br>Return (%)                     | Domestic<br>Price of Gold<br>Returns <sup>#</sup> (%) | Scheme<br>Return (%)                      | Domestic<br>Price of Gold<br>Returns <sup>#</sup> (%) | Scheme<br>Return (%)                      | Domestic<br>Price of Gold<br>Returns <sup>#</sup> (%) |
| Quantum Gold Fund | -5.61%                                   | -4.92%                                                | 13.37%                                    | 14.41%                                                | 8.43%                                     | 9.46%                                                 |

Past performance may or may not be sustained in the future.

<sup>#</sup>Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Mr. Chirag Mehta manages 5 Schemes and Ms. Ghazal Jain manages 2 Schemes of the Quantum Mutual Fund

The Scheme being Exchange Traded Fund has one plan to invest through stock exchange and having a single expense structure.

## QUANTUM GOLD SAVINGS FUND

Mr. Chirag Mehta managing the scheme since May 19, 2011 and Ms. Ghazal Jain Co-managing the scheme since June 2, 2020.

| Period                                   | Oct 30, 2020 to<br>Oct 29, 2021 (1 year) |                                                       | Oct 31, 2018 to<br>Oct 29, 2021 (3 years) |                                                       | Oct 28, 2016 to<br>Oct 29, 2021 (5 years) |                                                       |
|------------------------------------------|------------------------------------------|-------------------------------------------------------|-------------------------------------------|-------------------------------------------------------|-------------------------------------------|-------------------------------------------------------|
|                                          | Scheme<br>Return (%)                     | Domestic<br>Price of Gold<br>Returns <sup>#</sup> (%) | Scheme<br>Return (%)                      | Domestic<br>Price of Gold<br>Returns <sup>#</sup> (%) | Scheme<br>Return (%)                      | Domestic<br>Price of Gold<br>Returns <sup>#</sup> (%) |
| Quantum Gold Savings Fund - Direct Plan  | -6.48%                                   | -4.92%                                                | 13.47%                                    | 14.41%                                                | 8.11%                                     | 9.46%                                                 |
| Quantum Gold Savings Fund - Regular Plan | -6.57%                                   | -4.92%                                                | 13.34%                                    | 14.41%                                                | NA                                        | NA                                                    |

Past performance may or may not be sustained in the future.

<sup>#</sup>Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 5 Schemes and Ms. Ghazal Jain manages 2 Schemes of the Quantum Mutual Fund.

## QUANTUM EQUITY FUND OF FUNDS

Mr. Chirag Mehta is managing the fund since November 01, 2013.

| Period                                                         | Oct 30, 2020 to<br>Oct 29, 2021 (1 year) |                                                | Oct 31, 2018 to<br>Oct 29, 2021 (3 years) |                                                | Oct 28, 2016 to<br>Oct 29, 2021 (5 years) |                                                |
|----------------------------------------------------------------|------------------------------------------|------------------------------------------------|-------------------------------------------|------------------------------------------------|-------------------------------------------|------------------------------------------------|
|                                                                | Scheme<br>Return (%)                     | S&P BSE<br>200 TRI<br>Returns <sup>#</sup> (%) | Scheme<br>Return (%)                      | S&P BSE<br>200 TRI<br>Returns <sup>#</sup> (%) | Scheme<br>Return (%)                      | S&P BSE<br>200 TRI<br>Returns <sup>#</sup> (%) |
| Quantum Equity Fund of Funds - Direct Plan<br>- Growth Option  | 54.07%                                   | 57.54%                                         | 19.02%                                    | 21.33%                                         | 14.10%                                    | 16.69%                                         |
| Quantum Equity Fund of Funds - Regular Plan<br>- Growth Option | 53.69%                                   | 57.54%                                         | 18.76%                                    | 21.33%                                         | NA                                        | NA                                             |

Past performance may or may not be sustained in the future. Load is not taken into consideration in Scheme Return Calculation.

<sup>#</sup>Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 5 schemes of the Quantum Mutual Fund.

## QUANTUM INDIA ESG EQUITY FUND

Mr. Chirag Mehta Co-managing along with Ms. Sneha Joshi since July 12, 2019.

| Period                                           | Oct 30, 2020 to<br>Oct 29, 2021 (1 year) |                                      | Oct 31, 2018 to<br>Oct 29, 2021 (3 years) |                                      | Oct 28, 2016 to<br>Oct 29, 2021 (5 years) |                                      |
|--------------------------------------------------|------------------------------------------|--------------------------------------|-------------------------------------------|--------------------------------------|-------------------------------------------|--------------------------------------|
|                                                  | Scheme<br>Return (%)                     | NIFTY 100<br>ESG TRI<br>Returns# (%) | Scheme<br>Return (%)                      | NIFTY 100<br>ESG TRI<br>Returns# (%) | Scheme<br>Return (%)                      | NIFTY 100<br>ESG TRI<br>Returns# (%) |
| Quantum India ESG Equity Funds<br>- Direct Plan  | 52.34%                                   | 56.68%                               | NA                                        | NA                                   | NA                                        | NA                                   |
| Quantum India ESG Equity Funds<br>- Regular Plan | 51.19%                                   | 56.68%                               | NA                                        | NA                                   | NA                                        | NA                                   |

Past performance may or may not be sustained in the future.

Different Plans shall have a different expense structure.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Mr. Chirag Mehta manages 5 Schemes and Ms. Sneha Joshi 1 Schemes of the Quantum Mutual Fund.

#Benchmark Return



## PERFORMANCE OF THE FUNDS MANAGED BY NILESH SHETTY

### QUANTUM LONG TERM EQUITY VALUE FUND

Mr. Nilesh Shetty managing the scheme since March 28, 2011 and Mr. Sorbh Gupta managing the scheme since December 1, 2020.

| Period                                                                | Oct 30, 2020 to<br>Oct 29, 2021 (1 year) |                                    | Oct 31, 2018 to<br>Oct 29, 2021 (3 years) |                                    | Oct 28, 2016 to<br>Oct 29, 2021 (5 years) |                                    |
|-----------------------------------------------------------------------|------------------------------------------|------------------------------------|-------------------------------------------|------------------------------------|-------------------------------------------|------------------------------------|
|                                                                       | Scheme<br>Return (%)                     | S&P BSE<br>200 TRI<br>Returns# (%) | Scheme<br>Return (%)                      | S&P BSE<br>200 TRI<br>Returns# (%) | Scheme<br>Return (%)                      | S&P BSE<br>200 TRI<br>Returns# (%) |
| Quantum Long Term Equity Value Fund -<br>Direct Plan - Growth Option  | 54.44%                                   | 57.54%                             | 14.29%                                    | 21.33%                             | 11.33%                                    | 16.69%                             |
| Quantum Long Term Equity Value Fund -<br>Regular Plan - Growth Option | 53.65%                                   | 57.54%                             | 13.77%                                    | 21.33%                             | NA                                        | NA                                 |

Past performance may or may not be sustained in the future. Load is not taken into consideration in Scheme Return Calculation.

#Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Sorbh Gupta and Mr. Nilesh Shetty manage 2 schemes of Quantum Mutual Fund



## Product Labeling

| Name of the Scheme &<br>Primary Benchmark                                                                                                                                                                                                                                                                       | This product is suitable for<br>investors who are seeking*                                                                                                                                                                                                   | Risk-o-meter of Scheme                                                                                                                                                | Risk-o-meter of Benchmark                                                             |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| Quantum Multi Asset Fund of<br>Funds<br><br>(An Open Ended Fund of Funds<br>Scheme Investing in schemes<br>of Quantum Mutual Fund)<br><br>Primary Benchmark:<br>CRISIL Composite Bond Fund<br>Index (20%) + S&P BSE Total<br>Return Index (40%) + CRISIL<br>Liquid Index(25%) + Domestic<br>Price of Gold (15%) | <ul style="list-style-type: none"> <li>Long term capital appreciation and current income</li> <li>Investments in portfolio of schemes of Quantum Mutual Fund whose underlying investments are in equity, debt / money market instruments and gold</li> </ul> |  <p>Investors understand that their principal will be at Moderately High Risk</p> |  |

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

The Risk Level of the Scheme in scheme Risk O Meter is basis it's portfolio as on October 31, 2021.

The Risk Level of the Benchmark Index in the Risk O Meter is basis it's constituents as on October 31, 2021.

| Tax on Capital Gains <sup>#</sup> | Resident Individuals & HUF | FII's / Overseas Financial Organisations | Partnership Firm    | Non Resident Indians                                                                       | Indian Companies    | Foreign Companies                                                                          |
|-----------------------------------|----------------------------|------------------------------------------|---------------------|--------------------------------------------------------------------------------------------|---------------------|--------------------------------------------------------------------------------------------|
| Long Term                         | 20% with Indexation        | 10% without Indexation                   | 20% with Indexation | 10% without Indexation (on transfer of long term capital assets being unlisted securities) | 20% with Indexation | 10% without Indexation (on transfer of long term capital assets being unlisted securities) |
| Short Term                        | Maximum 30%                | 30%                                      | 30%                 | Maximum 30%                                                                                | 30%                 | 40%                                                                                        |

<sup>#</sup>The mentioned Tax Rates shall be increased by applicable surcharge, If any, Health and Education Cess @ 4% where ever as applicable.

Equity oriented schemes will also attract Securities Transaction Tax (STT) @ 0.001% at the time of redemption and switch to other schemes.

Stamp Duty applicable on Investors subscription unit transactions including Switch in as per the rate mentioned in the Amendments to Indian Stamp Act, 1899

**TRANSACTION CHARGES:** No Transaction Charges shall be deducted from the investment amount for applications received in the regular plan

**^^ Note:**

Risk Free Rate assumed to be 3.42% (FBIL Overnight MIBOR for 29th October 2021) for calculating Sharpe Ratio.

Standard Deviation, Sharpe Ratio & Beta are calculated on Annualised basis using 3 years history of monthly returns.

**Definitions**

**Standard deviation** measures historical volatility. A high standard deviation suggests high volatility, while lower standard deviation would refer to more stability.

**Beta** is the tendency of a fund's returns to respond to market swings. A beta of 1 indicates that the fund price will move with the market. A beta of less than 1 means that these security will be less volatile than the market. A beta of greater than 1 indicates that the security's price will be more volatile than the market.

**Sharpe Ratio** is used to characterise how well the return of an asset compensates the investor for the risk taken. The greater a portfolio's Sharpe ratio, the better its risk-adjusted performance has been.

# QUANTUM GOLD FUND

An Open Ended Scheme Replicating/  
Tracking Gold

## Investment Objective

To generate returns that are in line with the performance of gold and gold related instruments subject to tracking errors. However, investment in gold related instruments will be made if and when SEBI permits mutual funds to invest, in gold related instruments. The Scheme is designed to provide returns that before expenses, closely correspond to the returns provided by gold.

## ★ Scheme Features



### Fund Manager

#### Mr. Chirag Mehta

Work experience: 19 years. He has been managing this fund since May 01, 2009

#### Ms. Ghazal Jain

Work experience: 4.7 years. She has been managing this fund since June 2, 2020



### Category of Scheme

Exchange Traded Fund



### Inception Date (Date of Allotment)

February 22, 2008



### Declaration of Net Asset Value (NAV)

Every Business Day



### Entry / Sales Load

Not Applicable



### Total Expense Ratio (As on month end)

TER specified are the actual expenses charged (i.e. effective rate) as at the end of the month.

#### Total TER = 0.78%

{Base TER 0.72% (Inclusive of 0.36% Management Fees & 0.36% Other Expenses) + 0.06% GST (18% GST on 0.36% Management Fees)}



### Benchmark Index

Domestic Price of Physical Gold



### Minimum Application Amount (Under each Option)

**Directly with Fund:** The investors can create / redeem in exchange of Portfolio Deposit and Cash Component in creation unit size at NAV based Price. On the Exchange: Approx equal to price of 1/2 gram of Gold quoted on the NSE. On NSE, the units can be purchased / sold in minimum lot of 1 units and in multiples therefore.



### Investment Options

Growth

# QUANTUM GOLD FUND

An Open Ended Scheme Replicating/  
Tracking Gold

## Key Statistics

Tracking Error 0.118%

## Brokerages & Commissions Details

Brokerages on Investments for October 2021 NIL

Distributor commissions for October 2021 NIL

Portfolio Turnover Ratio (Last one year) 3.61%

## ★ Scheme Features



### Redemption Proceeds

Processed through RTGS/NEFT mode on T+3 basis from the date of transaction where the investor's Bank details are available.

Processed through cheque on T+3 basis from the date of transaction where the required Bank details of investor are not available.



### Exit Load

Nil

(retail investor can exit the scheme only through secondary market)

NAV (₹/Unit)  
(as on October 29, 2021)

Growth Option 2061.7323

### AUM ₹(In Crores)

(as on October 31, 2021)

Average AUM\*  
134.67

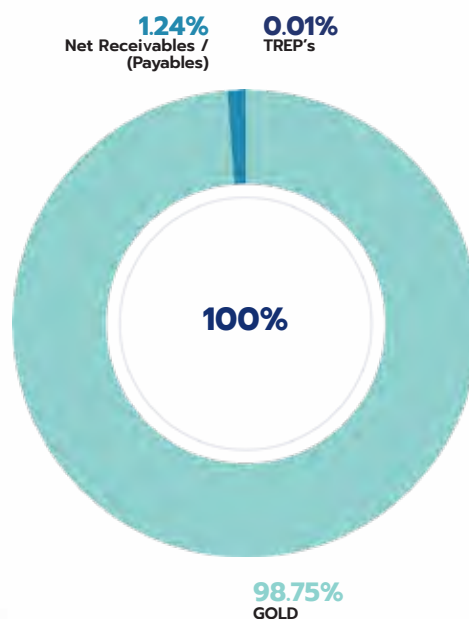
Absolute AUM  
136.54

\*Cumulative Daily AUM / No of days in the month

| Gold                                                | No. of Bars |
|-----------------------------------------------------|-------------|
| GOLD .995 Purity 1KG BAR at Mumbai Location         | 256         |
| GOLD .999 Purity 100 Gram BAR at Mumbai Location    | 10          |
| GOLD .995 Purity 1KG BAR at Ahmedabad Location      | 14          |
| GOLD .999 Purity 100 Gram BAR at Ahmedabad Location | 110         |

## Asset Allocation (% of Net Assets) as on October 31, 2021

|                            |                |
|----------------------------|----------------|
| GOLD                       | 98.75%         |
| Net Receivables/(Payables) | 1.24%          |
| TREPS                      | 0.01%          |
| <b>Total</b>               | <b>100.00%</b> |



## Quantum Gold Fund Performance as on October 29, 2021

The Scheme is managed by **Chirag Mehta and Ghazal Jain**.

Mr. **Chirag Mehta** is the Fund Manager managing the scheme since **May 1, 2009** and Ms. **Ghazal Jain** is the Associate Fund Manager managing the scheme since **June 2, 2020**

For other Schemes Managed by Mr. Chirag Mehta and Ms. Ghazal Jain please see page no. 61 & 62

## Performance of the Scheme

### Quantum Gold Fund

|                                         |                    |                                    |                                       | Current Value ₹10,000 Invested at the beginning of a given period |                            |                               |
|-----------------------------------------|--------------------|------------------------------------|---------------------------------------|-------------------------------------------------------------------|----------------------------|-------------------------------|
|                                         |                    | Benchmark                          | Additional Benchmark                  |                                                                   | Benchmark                  | Additional Benchmark          |
| Period                                  | Scheme Returns (%) | Domestic Price of Gold Returns (%) | CRISIL 10 Year Gilt Index Returns (%) | Scheme (₹)                                                        | Domestic Price of Gold (₹) | CRISIL 10 Year Gilt Index (₹) |
| Since Inception (22nd Feb 2008)         | 9.53%              | 10.37%                             | 6.61%                                 | 34,775                                                            | 38,641                     | 24,029                        |
| Oct 31, 2011 to Oct 29, 2021 (10 years) | 4.63%              | 5.66%                              | 7.41%                                 | 15,719                                                            | 17,350                     | 20,434                        |
| Oct 31, 2014 to Oct 29, 2021 (7 years)  | 8.03%              | 9.10%                              | 7.48%                                 | 17,174                                                            | 18,403                     | 16,565                        |
| Oct 28, 2016 to Oct 29, 2021 (5 years)  | 8.43%              | 9.46%                              | 5.85%                                 | 14,992                                                            | 15,723                     | 13,291                        |
| Oct 31, 2018 to Oct 29, 2021 (3 years)  | 13.37%             | 14.41%                             | 8.30%                                 | 14,567                                                            | 14,970                     | 12,698                        |
| Oct 30, 2020 to Oct 29, 2021 (1 year)   | -5.61%             | -4.92%                             | 1.66%                                 | 9,441                                                             | 9,510                      | 10,165                        |

Past performance may or may not be sustained in the future.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

The Scheme being Exchange Traded Fund has one plan to invest through stock exchange and having a single expense structure.

Refer to the section "GIPS Compliance" on Page no. 61 for GIPS related disclosure.



## Portfolio as on October 31, 2021

### QUANTUM GOLD FUND

| Name of Instrument                                     | Quantity | Market/ Fair Value<br>(₹ in Lakhs) | % to Net Assets |
|--------------------------------------------------------|----------|------------------------------------|-----------------|
| <b>Gold</b>                                            |          |                                    |                 |
| 1. GOLD .995 Purity 1KG BAR at Mumbai Location         | 256      | 12,239.49                          | 89.64%          |
| 2. GOLD .995 Purity 1KG BAR at Ahmedabad Location      | 14       | 668.68                             | 4.90%           |
| 3. GOLD .999 Purity 100 Gram BAR at Ahmedabad Location | 110      | 527.34                             | 3.86%           |
| 4. GOLD .999 Purity 100 Gram BAR at Mumbai Location    | 10       | 47.99                              | 0.35%           |
| <b>Total of Gold</b>                                   |          | <b>13,483.50</b>                   | <b>98.75%</b>   |
| <b>MONEY MARKET INSTRUMENTS</b>                        |          |                                    |                 |
| <b>A. TREPS*</b>                                       |          | <b>1.63</b>                        | <b>0.01%</b>    |
| <b>Net Receivable/(payable)</b>                        |          | <b>168.49</b>                      | <b>1.24%</b>    |
| <b>Grand Total</b>                                     |          | <b>13,653.62</b>                   | <b>100.00%</b>  |

\* Cash & Cash Equivalents



## GIPS Compliance

- A) Quantum Asset Management Company Pvt. Ltd. claims compliance with the Global Investment Performance Standards (GIPS®). GIPS® is a registered trademark of CFA Institute. CFA Institute does not endorse or promote this organization, nor does it warrant the accuracy or quality of the content contained herein.
- B) Indian Rupee (INR) is the currency used to express performance and other statistics.



## PERFORMANCE OF THE FUNDS MANAGED BY CHIRAG MEHTA

### QUANTUM MULTI ASSET FUND OF FUNDS

Mr. Chirag Mehta Co-managing along with Mr. Nilesh Shetty since July 11, 2012.

| Period                                              | Oct 30, 2020 to<br>Oct 29, 2021 (1 year) |                      | Oct 31, 2018 to<br>Oct 29, 2021 (3 years) |                      | Oct 28, 2016 to<br>Oct 29, 2021 (5 years) |                      |
|-----------------------------------------------------|------------------------------------------|----------------------|-------------------------------------------|----------------------|-------------------------------------------|----------------------|
|                                                     | Scheme<br>Return (%)                     | Benchmark<br>Return* | Scheme<br>Return (%)                      | Benchmark<br>Return* | Scheme<br>Return (%)                      | Benchmark<br>Return* |
| Quantum Multi Asset Fund of Funds<br>- Direct Plan  | 14.08%                                   | 19.55%               | 10.13%                                    | 14.54%               | 8.73%                                     | 11.92%               |
| Quantum Multi Asset Fund of Funds<br>- Regular Plan | 13.66%                                   | 19.55%               | 9.83%                                     | 14.54%               | NA                                        | NA                   |

**Past performance may or may not be sustained in the future.** Load is not taken into consideration in Scheme Return Calculation.

\*Benchmark has been changed from Crisil Composite Bond Fund Index (40%) + S&P BSE SENSEX Total Return Index (40%) + Domestic price of gold (20%) to CRISIL Composite Bond Fund Index (20%) + S&P BSE Total Return Index (40%) + CRISIL Liquid Index (25%) + Domestic Price of Gold (15%) with effective from April 01, 2021.

It is a customized index and it is rebalanced daily.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 5 schemes of the Quantum Mutual Fund. Mr. Nilesh Shetty manages 2 schemes of the Quantum Mutual Fund.

## QUANTUM INDIA ESG EQUITY FUND

Mr. Chirag Mehta Co-managing along with Ms. Sneha Joshi since July 12, 2019.

| Period                                           | Oct 30, 2020 to<br>Oct 29, 2021 (1 year) |                                      | Oct 31, 2018 to<br>Oct 29, 2021 (3 years) |                                      | Oct 28, 2016 to<br>Oct 29, 2021 (5 years) |                                      |
|--------------------------------------------------|------------------------------------------|--------------------------------------|-------------------------------------------|--------------------------------------|-------------------------------------------|--------------------------------------|
|                                                  | Scheme<br>Return (%)                     | NIFTY 100<br>ESG TRI<br>Returns# (%) | Scheme<br>Return (%)                      | NIFTY 100<br>ESG TRI<br>Returns# (%) | Scheme<br>Return (%)                      | NIFTY 100<br>ESG TRI<br>Returns# (%) |
| Quantum India ESG Equity Funds<br>- Direct Plan  | 52.34%                                   | 56.68%                               | NA                                        | NA                                   | NA                                        | NA                                   |
| Quantum India ESG Equity Funds<br>- Regular Plan | 51.19%                                   | 56.68%                               | NA                                        | NA                                   | NA                                        | NA                                   |

Past performance may or may not be sustained in the future.

Different Plans shall have a different expense structure.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Mr. Chirag Mehta manages 5 Schemes and Ms. Sneha Joshi manages 1 Scheme of the Quantum Mutual Fund.

#Benchmark Return

## QUANTUM EQUITY FUND OF FUNDS

Mr. Chirag Mehta is managing the fund since November 01, 2013.

| Period                                                         | Oct 30, 2020 to<br>Oct 29, 2021 (1 year) |                                    | Oct 31, 2018 to<br>Oct 29, 2021 (3 years) |                                    | Oct 28, 2016 to<br>Oct 29, 2021 (5 years) |                                    |
|----------------------------------------------------------------|------------------------------------------|------------------------------------|-------------------------------------------|------------------------------------|-------------------------------------------|------------------------------------|
|                                                                | Scheme<br>Return (%)                     | S&P BSE<br>200 TRI<br>Returns# (%) | Scheme<br>Return (%)                      | S&P BSE<br>200 TRI<br>Returns# (%) | Scheme<br>Return (%)                      | S&P BSE<br>200 TRI<br>Returns# (%) |
| Quantum Equity Fund of Funds<br>- Direct Plan - Growth Option  | 54.07%                                   | 57.54%                             | 19.02%                                    | 21.33%                             | 14.10%                                    | 16.69%                             |
| Quantum Equity Fund of Funds<br>- Regular Plan - Growth Option | 53.69%                                   | 57.54%                             | 18.76%                                    | 21.33%                             | NA                                        | NA                                 |

Past performance may or may not be sustained in the future. Load is not taken into consideration in Scheme Return Calculation.

#Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 5 schemes of the Quantum Mutual Fund.



## PERFORMANCE OF THE FUNDS MANAGED BY CHIRAG MEHTA & GHAZAL JAIN

### QUANTUM GOLD SAVINGS FUND

Mr. Chirag Mehta managing the scheme since May 19, 2011 and Ms. Ghazal Jain Co-managing the scheme since June 2, 2020.

| Period                                      | Oct 30, 2020 to<br>Oct 29, 2021 (1 year) |                                           | Oct 31, 2018 to<br>Oct 29, 2021 (3 years) |                                           | Oct 28, 2016 to<br>Oct 29, 2021 (5 years) |                                           |
|---------------------------------------------|------------------------------------------|-------------------------------------------|-------------------------------------------|-------------------------------------------|-------------------------------------------|-------------------------------------------|
|                                             | Scheme<br>Return (%)                     | Domestic<br>Price of Gold<br>Returns# (%) | Scheme<br>Return (%)                      | Domestic<br>Price of Gold<br>Returns# (%) | Scheme<br>Return (%)                      | Domestic<br>Price of Gold<br>Returns# (%) |
| Quantum Gold Savings Fund<br>- Direct Plan  | -6.48%                                   | -4.92%                                    | 13.47%                                    | 14.41%                                    | 8.11%                                     | 9.46%                                     |
| Quantum Gold Savings Fund<br>- Regular Plan | -6.57%                                   | -4.92%                                    | 13.34%                                    | 14.41%                                    | NA                                        | NA                                        |

Past performance may or may not be sustained in the future.

#Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 5 Schemes and Ms. Ghazal Jain manages 2 Schemes of the Quantum Mutual Fund.

| Name of the Scheme & Primary Benchmark                                                                                      | This product is suitable for investors who are seeking*                                                   | Risk-o-meter of Scheme                                                                                                                                              | Risk-o-meter of Benchmark                                                           |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|
| Quantum Gold Fund<br>(An Open Ended Scheme Replicating / Tracking Gold)<br><br>Primary Benchmark:<br>Domestic Price of Gold | <ul style="list-style-type: none"> <li>Long term returns</li> <li>Investments in physical gold</li> </ul> |  <p>Investors understand that their principal will be at Moderately High Risk</p> |  |

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

The Risk Level of the Scheme in scheme Risk O Meter is basis it's portfolio as on October 31, 2021.

The Risk Level of the Benchmark Index in the Risk O Meter is basis it's constituents as on October 31, 2021.

| Tax on Capital Gains# | Resident Individuals & HUF | FII's / Overseas Financial Organisations | Partnership Firm    | Non Resident Indians                                                                     | Indian Companies    | Foreign Companies                                                                        |
|-----------------------|----------------------------|------------------------------------------|---------------------|------------------------------------------------------------------------------------------|---------------------|------------------------------------------------------------------------------------------|
| Long Term             | 20% with Indexation        | 10% without Indexation                   | 20% with Indexation | 20% without Indexation (on transfer of long term capital assets being listed securities) | 20% with Indexation | 20% without Indexation (on transfer of long term capital assets being listed securities) |
| Short Term            | Maximum 30%                | 30%                                      | 30%                 | Maximum 30%                                                                              | 30%                 | 40%                                                                                      |

#The mentioned Tax Rates shall be increased by applicable surcharge, If any, Health and Education Cess @ 4% where ever as applicable.

Equity oriented schemes will also attract Securities Transaction Tax (STT) @ 0.001% at the time of redemption and switch to other schemes.

Stamp Duty applicable on Investors subscription unit transactions including Switch in as per the rate mentioned in the Amendments to Indian Stamp Act, 1899

**TRANSACTION CHARGES:** No Transaction Charges shall be deducted from the investment amount for applications received.

TCS @ 0.075% applicable on all creation and redemption transactions till 31/03/2021. Effective 1st April, 2021 TCS rate would be 0.10 %.

^^ Note:

**Tracking Error** is calculated on Annualised basis using 3 years history of daily returns.

**Definitions**

**Tracking Error:** is a measure of how closely a fund follows the index to which it is benchmarked. The more passively the fund is managed, the smaller the tracking error. In the case of Exchange Traded Funds, the lower the Tracking Error, the better

\***Portfolio Turnover** Ratio is the percentage of a funds assets that have changed over the course of a year

# QUANTUM NIFTY ETF

An Open Ended Scheme Replicating /  
Tracking Nifty 50 Index

## Investment Objective

The investment objective of the scheme is to invest in stocks of companies comprising Nifty 50 Index and endeavor to achieve return equivalent to Nifty by "Passive" investment. The Scheme will be managed by replicating the index in the same weightage as in the Nifty 50 Index with the intention of minimizing the performance differences between the scheme and the Nifty 50 Index in capital terms, subject to market liquidity, costs of trading, managing expenses and other factors which may cause tracking error.

## ★ Scheme Features



### Fund Manager

**Mr. Hitendra Parekh**

Work experience: 29.5 years. He has been managing this fund since July 10, 2008



### Category of Scheme

Exchange Traded Fund



### Inception Date (Date of Allotment)

July 10, 2008



### Declaration of Net Asset Value (NAV)

Every Business Day



### Entry / Sales Load

Not Applicable



### Total Expense Ratio (As on month end)

TER specified are the actual expenses charged (i.e. effective rate) as at the end of the month.

**Total TER = 0.094%**

{Base TER 0.0914% (Inclusive of 0.0145% Management Fees & 0.0769% Other Expenses) + 0.0026% GST (18% GST on 0.0145% Management Fees)}



### Benchmark Index

Nifty 50 TRI



### Minimum Application Amount (Under each Option)

**Directly with Fund:** The investors can create / redeem in exchange of Portfolio Deposit and Cash Component in creation unit size at NAV based Price. On the Exchange: At prices which may be close to the NAV of QNIFTY Units. On NSE, the units can be purchased / sold in minimum lot of 1 unit and in multiples thereof. The units of QNIFTY issued under the scheme will be approximately equal to the price of 1/10 (one-tenth) of the Nifty 50 Index.



### Investment Options

Growth

# QUANTUM NIFTY ETF

An Open Ended Scheme Replicating /  
Tracking Nifty 50 Index

## Key Statistics

^^Tracking Error 0.147%

## Brokerages & Commissions Details

Brokerages on Investments for October 2021 ₹4,827.55

Distributor commissions for October 2021 NIL

Portfolio Turnover Ratio (Last one year) 3.72%

## ★ Scheme Features

### ₹ Redemption Proceeds

Processed through RTGS/NEFT mode on T+3 basis from the date of transaction where the investor's Bank details are available.

Processed through cheque on T+3 basis from the date of transaction where the required Bank details of investor are not available.

### Exit Load

NIL

(retail Investor can exit the scheme only through secondary market)

### TAX#

The amount of Long Term Capital Gain in excess of ₹1,00,000/- in a year will be taxable @ 10% Tax on Short Term Capital Gains - 15%

**NAV** (₹/Unit)  
(as on October 29, 2021)

Growth Option 1846.4937

**AUM ₹(In Crores)**  
(as on October 31, 2021)

**Average AUM\***  
12.28

**Absolute AUM**  
12.40

\*Cumulative Daily AuM / No of days in the month

#The mentioned Tax Rates shall be increased by applicable surcharge, if any, Health and Education Cess @ 4% where ever as applicable.

Equity oriented schemes will also attract Securities Transaction Tax (STT) @ 0.001% at the time of redemption and switch to other schemes.

Stamp Duty applicable on Investors subscription unit transactions including Switch in as per the rate mentioned in the Amendments to Indian Stamp Act, 1899

**TRANSACTION CHARGES:** No Transaction Charges shall be deducted from the investment amount for applications received.

The Scheme is managed by  
**Mr. Hitendra Parekh**

**Mr. Hitendra Parekh** is the Fund Manager managing the scheme since July 10, 2008.

## Performance of the Scheme

### Quantum Nifty ETF

|                                                 |                    |                                  |                                | Current Value ₹10,000 Invested at the beginning of a given period |                                 |                        |
|-------------------------------------------------|--------------------|----------------------------------|--------------------------------|-------------------------------------------------------------------|---------------------------------|------------------------|
|                                                 |                    | Benchmark                        | Additional Benchmark           |                                                                   | Benchmark                       | Additional Benchmark   |
| Period                                          | Scheme Returns (%) | Nifty 50 Total Returns Index (%) | S&P BSE Sensex TRI Returns (%) | Scheme (₹)                                                        | NIFTY 50 Total Return Index (₹) | S&P BSE Sensex TRI (₹) |
| Since Inception (10th Jul 2008)                 | 12.77%             | 12.83%                           | 13.04%                         | 49,559                                                            | 49,871                          | 51,137                 |
| October 31, 2011 to October 29, 2021 (10 years) | 13.88%             | 14.13%                           | 14.39%                         | 36,706                                                            | 37,514                          | 38,390                 |
| October 31, 2014 to October 29, 2021 (7 years)  | 12.48%             | 12.73%                           | 12.80%                         | 22,773                                                            | 23,138                          | 23,235                 |
| October 28, 2016 to October 29, 2021 (5 years)  | 16.54%             | 16.81%                           | 17.60%                         | 21,511                                                            | 21,761                          | 22,514                 |
| October 31, 2018 to October 29, 2021 (3 years)  | 20.55%             | 20.82%                           | 21.26%                         | 17,509                                                            | 17,625                          | 17,821                 |
| October 30, 2020 to October 29, 2021 (1 year)   | 53.51%             | 53.72%                           | 51.40%                         | 15,333                                                            | 15,354                          | 15,123                 |

#### Past performance may or may not be sustained in the future.

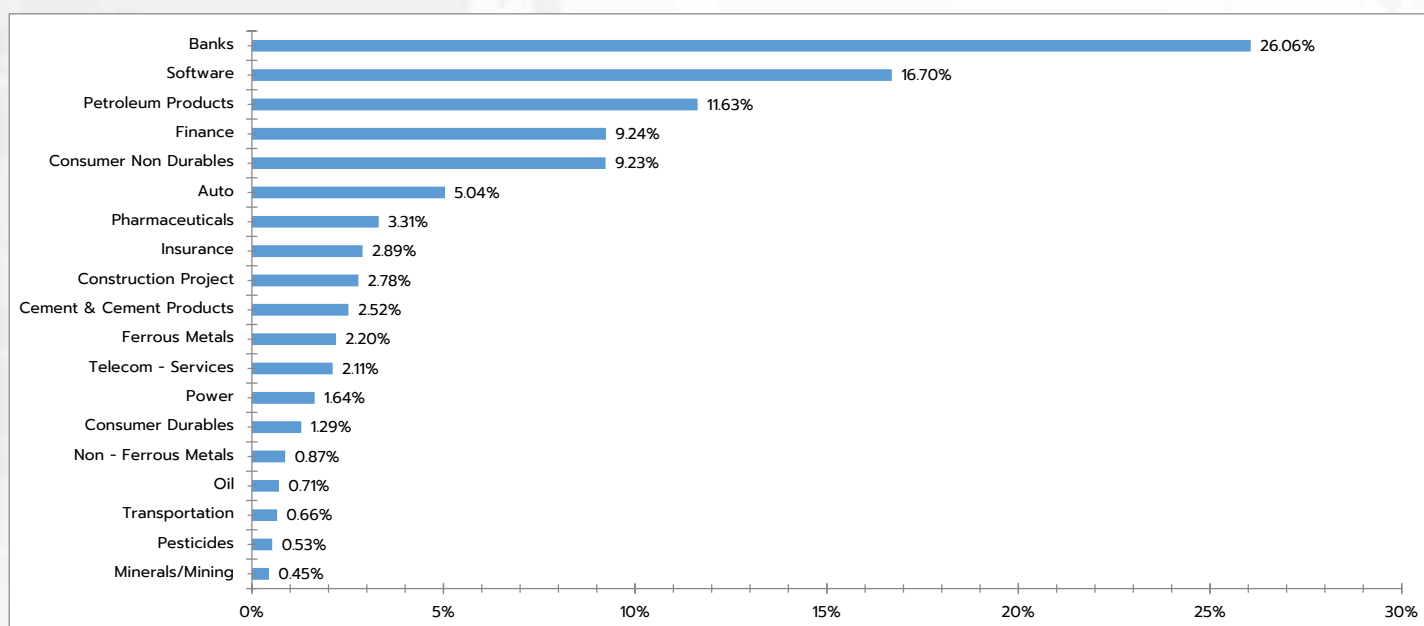
Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

The Scheme being Exchange Traded Fund has one plan to invest through stock exchange and having a single expense structure.

Income Distribution of Rs. 80 was declared on 9 March 2018. Scheme return calculated above is inclusive of Income Distribution amount.

Refer to the section "GIPS Compliance" on Page no. 68 for GIPS related disclosure.

## Industry Allocation (% of Net Assets) as on October 31, 2021





## Portfolio as on October 31, 2021

### Quantum Nifty ETF

| Name of Instrument                                    | Industry +               | Quantity | Market/ Fair Value (₹ in Lakhs) | % to NAV |
|-------------------------------------------------------|--------------------------|----------|---------------------------------|----------|
| <b>EQUITY &amp; EQUITY RELATED</b>                    |                          |          |                                 |          |
| <b>A) Listed /Awaiting listing on Stock Exchanges</b> |                          |          |                                 |          |
| 1. Reliance Industries Limited                        | Petroleum Products       | 5,228    | 132.60                          | 10.69%   |
| 2. HDFC Bank Limited                                  | Banks                    | 7,073    | 111.95                          | 9.03%    |
| 3. Infosys Limited                                    | Software                 | 5,995    | 99.98                           | 8.06%    |
| 4. ICICI Bank Limited                                 | Banks                    | 11,200   | 89.83                           | 7.25%    |
| 5. Housing Development Finance Corporation Limited    | Finance                  | 2,916    | 82.95                           | 6.69%    |
| 6. Tata Consultancy Services Limited                  | Software                 | 1,673    | 56.84                           | 4.58%    |
| 7. Kotak Mahindra Bank Limited                        | Banks                    | 2,370    | 48.14                           | 3.88%    |
| 8. Hindustan Unilever Limited                         | Consumer Non Durables    | 1,442    | 34.51                           | 2.78%    |
| 9. Larsen & Toubro Limited                            | Construction Project     | 1,951    | 34.47                           | 2.78%    |
| 10. Bajaj Finance Limited                             | Finance                  | 428      | 31.67                           | 2.55%    |
| 11. ITC Limited                                       | Consumer Non Durables    | 14,134   | 31.55                           | 2.54%    |
| 12. State Bank of India                               | Banks                    | 6,200    | 31.13                           | 2.51%    |
| 13. Axis Bank Limited                                 | Banks                    | 4,160    | 30.87                           | 2.49%    |
| 14. Bharti Airtel Limited                             | Telecom - Services       | 3,819    | 26.17                           | 2.11%    |
| 15. Asian Paints Limited                              | Consumer Non Durables    | 729      | 22.60                           | 1.82%    |
| 16. HCL Technologies Limited                          | Software                 | 1,753    | 20.05                           | 1.62%    |
| 17. Bajaj Finserv Limited                             | Insurance                | 98       | 17.46                           | 1.41%    |
| 18. Tata Steel Limited                                | Ferrous Metals           | 1,260    | 16.58                           | 1.34%    |
| 19. Titan Company Limited                             | Consumer Durables        | 672      | 16.02                           | 1.29%    |
| 20. Maruti Suzuki India Limited                       | Auto                     | 214      | 16.01                           | 1.29%    |
| 21. Wipro Limited                                     | Software                 | 2,390    | 15.46                           | 1.25%    |
| 22. Tech Mahindra Limited                             | Software                 | 1,002    | 14.81                           | 1.19%    |
| 23. UltraTech Cement Limited                          | Cement & Cement Products | 187      | 14.28                           | 1.15%    |
| 24. Tata Motors Limited                               | Auto                     | 2,896    | 14.01                           | 1.13%    |
| 25. Sun Pharmaceutical Industries Limited             | Pharmaceuticals          | 1,744    | 13.86                           | 1.12%    |
| 26. Mahindra & Mahindra Limited                       | Auto                     | 1,546    | 13.67                           | 1.10%    |
| 27. IndusInd Bank Limited                             | Banks                    | 974      | 11.11                           | 0.90%    |
| 28. Nestle India Limited                              | Consumer Non Durables    | 58       | 11.02                           | 0.89%    |
| 29. Hindalco Industries Limited                       | Non - Ferrous Metals     | 2,350    | 10.81                           | 0.87%    |
| 30. JSW Steel Limited                                 | Ferrous Metals           | 1,593    | 10.66                           | 0.86%    |
| 31. Grasim Industries Limited                         | Cement & Cement Products | 616      | 10.60                           | 0.86%    |
| 32. Divi's Laboratories Limited                       | Pharmaceuticals          | 205      | 10.56                           | 0.85%    |
| 33. HDFC Life Insurance Company Limited               | Insurance                | 1,503    | 10.23                           | 0.82%    |
| 34. Power Grid Corporation of India Limited           | Power                    | 5,502    | 10.18                           | 0.82%    |
| 35. NTPC Limited                                      | Power                    | 7,649    | 10.15                           | 0.82%    |
| 36. Dr. Reddy's Laboratories Limited                  | Pharmaceuticals          | 196      | 9.13                            | 0.74%    |
| 37. Oil & Natural Gas Corporation Limited             | Oil                      | 5,873    | 8.75                            | 0.71%    |
| 38. Adani Ports and Special Economic Zone Limited     | Transportation           | 1,187    | 8.23                            | 0.66%    |
| 39. SBI Life Insurance Company Limited                | Insurance                | 711      | 8.14                            | 0.66%    |
| 40. Tata Consumer Products Limited                    | Consumer Non Durables    | 967      | 7.83                            | 0.63%    |
| 41. Bajaj Auto Limited                                | Auto                     | 209      | 7.75                            | 0.62%    |
| 42. Cipla Limited                                     | Pharmaceuticals          | 817      | 7.39                            | 0.60%    |
| 43. Britannia Industries Limited                      | Consumer Non Durables    | 191      | 7.02                            | 0.57%    |
| 44. UPL Limited                                       | Pesticides               | 884      | 6.54                            | 0.53%    |
| 45. Bharat Petroleum Corporation Limited              | Petroleum Products       | 1,542    | 6.44                            | 0.52%    |
| 46. Shree Cement Limited                              | Cement & Cement Products | 22       | 6.30                            | 0.51%    |
| 47. Eicher Motors Limited                             | Auto                     | 225      | 5.59                            | 0.45%    |
| 48. Coal India Limited                                | Minerals/Mining          | 3,372    | 5.55                            | 0.45%    |
| 49. Hero MotoCorp Limited                             | Auto                     | 208      | 5.53                            | 0.45%    |
| 50. Indian Oil Corporation Limited                    | Petroleum Products       | 4,092    | 5.24                            | 0.42%    |
| 51. Yes Bank Limited**                                | Banks                    | 2,453    | 0.00                            | 0.00%    |

|                                 |                 |                |
|---------------------------------|-----------------|----------------|
| <b>B. Unlisted</b>              | <b>NIL</b>      | <b>NIL</b>     |
| <b>Total of all Equity</b>      | <b>1,238.22</b> | <b>99.86%</b>  |
| <b>MONEY MARKET INSTRUMENTS</b> |                 |                |
| <b>Net Receivable/(payable)</b> | <b>162</b>      | <b>0.14%</b>   |
| <b>Grand Total</b>              | <b>1,239.84</b> | <b>100.00%</b> |

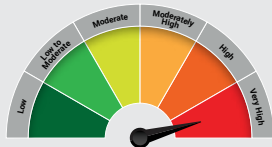
\*\*Ministry of Finance had come out with Reconstruction Plan for Yes Bank in which it was mentioned there shall be a lock-in period of 3 years from the commencement of the scheme to the extent of 75% of equity shares held in Yes Bank as on 13th June 2020. Scheme had 3,269 Yes Bank shares out of which 25% of holding was sold and balance 75% of holding i.e. 2,453 shares are under lock in period for 3 years till 12th March, 2023. As approved by Valuation Committee, same will be valued at Zero price till lock in period. Post completion of 3 years period, any realisation value from the sale of 2,453 shares shall be distributed proportionately to the Unit Holder(s) as per their unit balance appearing in unit holder register/ Benpos as on 13th June 2020.

Portfolio Turnover Ratio is the percentage of a funds assets that have changed over the course of a year.

## GIPS Compliance

- A) Quantum Asset Management Company Pvt. Ltd. claims compliance with the Global Investment Performance Standards (GIPS®). GIPS® is a registered trademark of CFA Institute. CFA Institute does not endorse or promote this organization, nor does it warrant the accuracy or quality of the content contained herein.
- B) Indian Rupee (INR) is the currency used to express performance and other statistics.

## Product Labeling

| Name of the Scheme & Primary Benchmark                                                                                       | This product is suitable for investors who are seeking*                                                                                                                      | Risk-o-meter of Scheme                                                                                                                                          | Risk-o-meter of Benchmark                                                             |
|------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| Quantum Nifty ETF<br><br>(An Open Ended Scheme Replicating / Tracking Nifty 50 Index)<br><br>Primary Benchmark: Nifty 50 TRI | <ul style="list-style-type: none"> <li>Long term capital appreciation</li> <li>Investments in equity and equity related securities of companies in Nifty 50 Index</li> </ul> |  <p>Investors understand that their principal will be at Very High Risk</p> |  |

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.  
The Risk Level of the Scheme in scheme Risk O Meter is basis it's portfolio as on October 31, 2021.  
The Risk Level of the Benchmark Index in the Risk O Meter is basis it's constituents as on October 31, 2021.

^^ **Note:** Tracking Error is calculated on Annualised basis using 3 years history of daily returns.

### Definitions

Tracking Error is a measure of how closely a fund follows the index to which it is benchmarked. The more passively the fund is managed, the smaller the tracking error.  
In the case of Exchange Traded Funds, the lower the Tracking Error, the better.

# COMPARISON CHART

| Name of the Scheme                  |                                                                                                                                                  | Asset Allocation Pattern            |                                   |                                   | Primary Investment pattern                                                                                                                                 | Differentiation                                                                                             | AUM ₹ in Cr<br>(As on Oct 31, 2021) | No. of Folios<br>(As on Oct 31, 2021) |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|-----------------------------------|-----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|-------------------------------------|---------------------------------------|
| Quantum Long Term Equity Value Fund | Type of Instruments                                                                                                                              | Normal Allocation (% of Net Assets) | Min. Allocation (% of Net Assets) | Max. Allocation (% of Net Assets) | To invest in shares of companies included in BSE-200 Index                                                                                                 | An Open-ended Equity Scheme Following a Value Investment Strategy                                           | 921.15                              | 26857                                 |
|                                     | Listed Equity & Equity Related Securities of Companies                                                                                           | 95% to 99%                          | 65%                               | 99%                               |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     | To be listed Equity & Equity Related Securities of Companies                                                                                     | 0% to 3%                            | 0%                                | 5%                                |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     | Money Market Instruments                                                                                                                         | 1% to 5%                            | 1%                                | 35%                               |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     | Liquid Schemes of Mutual Funds                                                                                                                   | 0% to 5%                            | 0%                                | 5%                                |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     |                                                                                                                                                  |                                     |                                   |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
| Quantum Liquid Fund                 | Type of Instruments                                                                                                                              | Normal Allocation (% of Net Assets) |                                   |                                   | To invest in debt & Money Market Instruments                                                                                                               | Open ended Liquid Scheme                                                                                    | 574.21                              | 6374                                  |
|                                     | Money Market Instruments & other short term debt instruments having max. re-opening tenor of not more than 91 days in maturity                   | 100%                                |                                   |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     |                                                                                                                                                  |                                     |                                   |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
| Quantum Gold Fund                   | Type of Instruments                                                                                                                              | Normal Allocation (% of Net Assets) |                                   |                                   | To invest in Physical Gold                                                                                                                                 | An Open Ended Scheme Replicating/ Tracking Gold                                                             | 136.54                              | 7440                                  |
|                                     |                                                                                                                                                  | Min. Allocation (% of Net Assets)   | Max. Allocation (% of Net Assets) |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     | Physical Gold                                                                                                                                    | 95%                                 | 100%                              |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     | Money Market Instrument                                                                                                                          | 0%                                  | 5%                                |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     |                                                                                                                                                  |                                     |                                   |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
| Quantum Nifty ETF                   | Type of Instruments                                                                                                                              | Normal Allocation (% of Net Assets) |                                   |                                   | To invest in stocks of companies comprising Nifty 50 Index                                                                                                 | An open ended Scheme Replicating/ Tracking Nifty 50 Index                                                   | 12.40                               | 433                                   |
|                                     |                                                                                                                                                  | Min. Allocation (% of Net Assets)   | Max. Allocation (% of Net Assets) |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     | Securities covered by the Nifty 50 Index                                                                                                         | 95%                                 | 100%                              |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     | Money Market Instrument                                                                                                                          | 0%                                  | 5%                                |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     |                                                                                                                                                  |                                     |                                   |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
| Quantum Tax Saving Fund             | Type of Instruments                                                                                                                              | Normal Allocation (% of Net Assets) |                                   |                                   | To invest in Shares of Companies included in BSE-200 Index                                                                                                 | An open ended Equity linked saving scheme with a statutory lock in of 3 yr & tax benefit                    | 102.57                              | 10964                                 |
|                                     |                                                                                                                                                  | Min. Allocation (% of Net Assets)   | Max. Allocation (% of Net Assets) |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     | Equity & Equity-related Securities                                                                                                               | 80%                                 | 100%                              |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     | Debt & Money Market Instruments                                                                                                                  | 0%                                  | 20%                               |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     |                                                                                                                                                  |                                     |                                   |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
| Quantum Equity Fund of Funds        | Type of Instruments                                                                                                                              | Normal Allocation (% of Net Assets) |                                   |                                   | To invest in a portfolio of open-ended diversified equity schemes of mutual funds registered with SEBI                                                     | An open ended Fund of Funds scheme investing in Open-ended Diversified Equity Schemes of Mutual Funds       | 78.44                               | 4837                                  |
|                                     |                                                                                                                                                  | Min. Allocation (% of Net Assets)   | Max. Allocation (% of Net Assets) |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     | Open-ended diversified equity schemes of mutual fund registered with SEBI                                                                        | 95%                                 | 100%                              |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     | Money Market Instruments & Liquid Schemes of Mutual Funds                                                                                        | 0%                                  | 5%                                |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     |                                                                                                                                                  |                                     |                                   |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
| Quantum Gold Savings Fund           | Type of Instruments                                                                                                                              | Normal Allocation (% of Net Assets) |                                   |                                   | To invest in a the unit of Quantum Gold Fund ETF                                                                                                           | An open ended Fund of Funds scheme investing in Quantum Gold Fund                                           | 69.67                               | 6124                                  |
|                                     |                                                                                                                                                  | Min. Allocation (% of Net Assets)   | Max. Allocation (% of Net Assets) |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     | Units of Quantum Gold Fund                                                                                                                       | 95%                                 | 100%                              |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     | Money Market Instruments, Short-term Corporate debt securities CBLO and unit of Debt and Liquid Schemes of Mutual Funds                          | 0%                                  | 5%                                |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     |                                                                                                                                                  |                                     |                                   |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
| Quantum Multi Asset Fund of Funds   | Type of Instruments                                                                                                                              | Normal Allocation (% of Net Assets) |                                   |                                   | To invest in a the units of Equity, Debt/Money Markets and Gold Schemes of Quantum Mutual fund                                                             | An open ended Fund of Funds scheme investing in schemes of Quantum Mutual Fund                              | 45.11                               | 2287                                  |
|                                     |                                                                                                                                                  | Min. Allocation (% of Net Assets)   | Max. Allocation (% of Net Assets) |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     | Units of Equity Schemes                                                                                                                          | 25%                                 | 65%                               |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     | Units of Debt/Money Market Schemes                                                                                                               | 25%                                 | 65%                               |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     | Units of Gold Scheme                                                                                                                             | 10%                                 | 20%                               |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     | Money Market instruments, Short term Corporate debt securities, CBLO TRI-Party Repo, Repo/Reverse repo in Govt. Securities & treasury bills only | 0%                                  | 5%                                |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     |                                                                                                                                                  |                                     |                                   |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
| Quantum Dynamic Bond Fund           | Type of Instruments                                                                                                                              | Normal Allocation (% of Net Assets) |                                   |                                   | To generate income and capital appreciation through active management of portfolio consisting of short term and long term debt and money market instrument | An open ended Dynamic Debt Scheme Investing Across Duration                                                 | 87.13                               | 1645                                  |
|                                     |                                                                                                                                                  | Min. Allocation (% of Net Assets)   | Max. Allocation (% of Net Assets) |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     | Government Bond/Bill                                                                                                                             | 25%                                 | 100%                              |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     | PSU Bond                                                                                                                                         | 0%                                  | 50%                               |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     | Certificate of Deposits/Commercial Paper/Short Term Debt Instrument                                                                              | 0%                                  | 75%                               |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     | CBLO/Repos                                                                                                                                       | 0%                                  | 100%                              |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     |                                                                                                                                                  |                                     |                                   |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
| Quantum India ESG Equity Fund       | Type of Instruments                                                                                                                              | Normal Allocation (% of Net Assets) |                                   |                                   | Invests in shares of companies that meet Quantum's Environment, Social and Governance (ESG) criteria                                                       | An open ended equity scheme investing in companies following Environment, Social and Governance (ESG) theme | 54.14                               | 6299                                  |
|                                     |                                                                                                                                                  | Min. Allocation (% of Net Assets)   | Max. Allocation (% of Net Assets) |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     | Equity & Equity Related Instruments of companies following ESG Criteria                                                                          | 80%                                 | 100%                              |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |
|                                     | Money market Instruments & Liquid Schemes of Mutual Funds                                                                                        | 0%                                  | 20%                               |                                   |                                                                                                                                                            |                                                                                                             |                                     |                                       |

# ★ SCHEME FEATURES

Scheme Features and Investment Details

| DETAILS                                                                                                                                                                                                                                | QUANTUM LONG TERM EQUITY VALUE FUND                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | QUANTUM TAX SAVING FUND                                                                                                                                                                                                                                                                                                               | QUANTUM LIQUID FUND                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|-----------------------------------------------------------------------------|---------------|---------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|-----------------------------------------|--------|-------------|-------|---------------|---------|-------------|-------|-----------|--------|-------------|-------|---------|---------------|-------------|---|--------|---------|-------------|---|-----------|---------|--------------|---|-----------|---------------|--------------|
| Type of Scheme                                                                                                                                                                                                                         | An Open Ended Equity Scheme following a Value Investment Strategy                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | An Open Ended Equity Linked Saving Scheme with a Statutory Lock in of 3 years and Tax Benefit                                                                                                                                                                                                                                         | An Open Ended Liquid Scheme                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| This Product is suitable for Investors who are seeking*                                                                                                                                                                                | <ul style="list-style-type: none"><li>Long term capital appreciation</li><li>Invests primarily in equity and equity related securities of companies in S&amp;P BSE 200 index</li></ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | <ul style="list-style-type: none"><li>Long term capital appreciation</li><li>Invests primarily in equity and equity related securities of companies in S&amp;P BSE 200 index and to save tax u/s 80 C of the Income Tax Act. Investments in this product are subject to lock in period of 3 years.</li></ul>                          | <ul style="list-style-type: none"><li>Income over the short term</li><li>Investments in debt / money market instruments.</li></ul>                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Riskometer                                                                                                                                                                                                                             |  <p>Investors understand that their principal will be at Very High Risk</p> <p>The Risk Level of the Scheme in the Riskometer is based on the portfolio of the scheme as on October 31, 2021.</p> <p>For Benchmark Riskometer refer page no. 14</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |  <p>Investors understand that their principal will be at Very High Risk</p> <p>The Risk Level of the Scheme in the Riskometer is based on the portfolio of the scheme as on October 31, 2021.</p> <p>For Benchmark Riskometer refer page no. 20</p> |  <p>Investors understand that their principal will be at Low Risk</p> <p>The Risk Level of the Scheme in the Riskometer is based on the portfolio of the scheme as on October 31, 2021.</p> <p>For Benchmark Riskometer refer page no. 39</p>                                                                                                                                    |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Investment Objectives                                                                                                                                                                                                                  | The investment objective of the Scheme is to achieve long-term capital appreciation by investing primarily in shares of companies that will typically be included in the S&P BSE 200 Index and are in a position to benefit from the anticipated growth and development of the Indian economy and its markets.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | The investment objective of the Scheme is to achieve long-term capital appreciation by investing primarily in shares of companies that will typically be included in the S&P BSE 200 Index and are in a position to benefit from the anticipated growth and development of the Indian economy and its markets.                        | The primary investment objective of the Scheme is to provide optimal returns with low to moderate levels of risk and high liquidity through judicious investments in money market and debt instruments.                                                                                                                                                                                                                                                             |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Fund Manager                                                                                                                                                                                                                           | Mr. Sorbh Gupta (Since December 01, 2020)<br>Mr. Nilesh Shetty (Since March 28, 2011)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Mr. Sorbh Gupta (Since October 1, 2016)                                                                                                                                                                                                                                                                                               | Mr. Pankaj Pathak (Since March 1, 2017)                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Fund Manager Total Experience                                                                                                                                                                                                          | 16.5 yrs. / 18.2 yrs.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 16.5 yrs.                                                                                                                                                                                                                                                                                                                             | 12 yrs                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Inception Date (Date of Allotment)                                                                                                                                                                                                     | March 13, 2006                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | December 23, 2008                                                                                                                                                                                                                                                                                                                     | April 7, 2006                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Entry Load                                                                                                                                                                                                                             | NA* (*In terms of SEBI circular no. SEBI/IMD/CIR No. 4/ 168230/09 dated June 30, 2009 has notified that, w.e.f. August 01, 2009 there will be no entry load charged to the schemes of the Mutual Fund and the upfront commission to distributors will be paid by the investor directly to the distributor, based on his assessment of various factors including the service rendered by the distributor)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Exit Load                                                                                                                                                                                                                              | <table><thead><tr><th>Provisions</th><th>% of Exit Load</th></tr></thead><tbody><tr><td>10% of units if redeemed or switched out during exit load period i.e. 730 days from the allotment Exit Load Period : 730 days from the date of allotment</td><td>NIL</td></tr><tr><td>Remaining 90 % of units in parts or full :<br/>(i) if redeemed or switched out on or before 365 days from the date of allotment<br/>(ii) if redeemed or switched out on or after 365 days but before 730 days from the date of allotment</td><td>2%<br/>1%</td></tr><tr><td>If units redeemed or switched out after 730 days from the date of allotment</td><td>NIL</td></tr></tbody></table>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Provisions                                                                                                                                                                                                                                                                                                                            | % of Exit Load                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 10% of units if redeemed or switched out during exit load period i.e. 730 days from the allotment Exit Load Period : 730 days from the date of allotment | NIL                                   | Remaining 90 % of units in parts or full :<br>(i) if redeemed or switched out on or before 365 days from the date of allotment<br>(ii) if redeemed or switched out on or after 365 days but before 730 days from the date of allotment | 2%<br>1%                    | If units redeemed or switched out after 730 days from the date of allotment | NIL           | NIL           | <table><thead><tr><th>Investor Exit Upon Subscription</th><th>Exit Load as a % of Redemption Proceeds</th></tr></thead><tbody><tr><td>Day 1</td><td>0.0070%</td></tr><tr><td>Day 2</td><td>0.0065%</td></tr><tr><td>Day 3</td><td>0.0060%</td></tr><tr><td>Day 4</td><td>0.0055%</td></tr><tr><td>Day 5</td><td>0.0050%</td></tr><tr><td>Day 6</td><td>0.0045%</td></tr><tr><td>Day 7 Onwards</td><td>NIL</td></tr></tbody></table> | Investor Exit Upon Subscription | Exit Load as a % of Redemption Proceeds | Day 1  | 0.0070%     | Day 2 | 0.0065%       | Day 3   | 0.0060%     | Day 4 | 0.0055%   | Day 5  | 0.0050%     | Day 6 | 0.0045% | Day 7 Onwards | NIL         |   |        |         |             |   |           |         |              |   |           |               |              |
| Provisions                                                                                                                                                                                                                             | % of Exit Load                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| 10% of units if redeemed or switched out during exit load period i.e. 730 days from the allotment Exit Load Period : 730 days from the date of allotment                                                                               | NIL                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Remaining 90 % of units in parts or full :<br>(i) if redeemed or switched out on or before 365 days from the date of allotment<br>(ii) if redeemed or switched out on or after 365 days but before 730 days from the date of allotment | 2%<br>1%                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| If units redeemed or switched out after 730 days from the date of allotment                                                                                                                                                            | NIL                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Investor Exit Upon Subscription                                                                                                                                                                                                        | Exit Load as a % of Redemption Proceeds                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Day 1                                                                                                                                                                                                                                  | 0.0070%                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Day 2                                                                                                                                                                                                                                  | 0.0065%                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Day 3                                                                                                                                                                                                                                  | 0.0060%                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Day 4                                                                                                                                                                                                                                  | 0.0055%                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Day 5                                                                                                                                                                                                                                  | 0.0050%                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Day 6                                                                                                                                                                                                                                  | 0.0045%                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Day 7 Onwards                                                                                                                                                                                                                          | NIL                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Investment Plan                                                                                                                                                                                                                        | Direct Plan / Regular Plan                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Default Plan                                                                                                                                                                                                                           | <p>Investor should indicate the Direct / Regular Plan for which the subscription is made by indicating the choice in the application form. In case of valid application received without indicating any choice of plan then the application will be processed for plan as under:</p> <table><thead><tr><th>Scenario</th><th>Broker Code mentioned by the investor</th><th>Plan mentioned by the investor</th><th>Default Plan to be captured</th></tr></thead><tbody><tr><td>1</td><td>Not mentioned</td><td>Not mentioned</td><td>Direct Plan</td></tr><tr><td>2</td><td>Not mentioned</td><td>Direct</td><td>Direct Plan</td></tr><tr><td>3</td><td>Not mentioned</td><td>Regular</td><td>Direct Plan</td></tr><tr><td>4</td><td>Mentioned</td><td>Direct</td><td>Direct Plan</td></tr><tr><td>5</td><td>Direct</td><td>Not mentioned</td><td>Direct Plan</td></tr><tr><td>6</td><td>Direct</td><td>Regular</td><td>Direct Plan</td></tr><tr><td>7</td><td>Mentioned</td><td>Regular</td><td>Regular Plan</td></tr><tr><td>8</td><td>Mentioned</td><td>Not mentioned</td><td>Regular Plan</td></tr></tbody></table> <p>In cases of wrong/invalid/incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application.</p> |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Scenario                                                                                                                                                 | Broker Code mentioned by the investor | Plan mentioned by the investor                                                                                                                                                                                                         | Default Plan to be captured | 1                                                                           | Not mentioned | Not mentioned | Direct Plan                                                                                                                                                                                                                                                                                                                                                                                                                         | 2                               | Not mentioned                           | Direct | Direct Plan | 3     | Not mentioned | Regular | Direct Plan | 4     | Mentioned | Direct | Direct Plan | 5     | Direct  | Not mentioned | Direct Plan | 6 | Direct | Regular | Direct Plan | 7 | Mentioned | Regular | Regular Plan | 8 | Mentioned | Not mentioned | Regular Plan |
| Scenario                                                                                                                                                                                                                               | Broker Code mentioned by the investor                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Plan mentioned by the investor                                                                                                                                                                                                                                                                                                        | Default Plan to be captured                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
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| 4                                                                                                                                                                                                                                      | Mentioned                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Direct                                                                                                                                                                                                                                                                                                                                | Direct Plan                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| 5                                                                                                                                                                                                                                      | Direct                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Not mentioned                                                                                                                                                                                                                                                                                                                         | Direct Plan                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| 6                                                                                                                                                                                                                                      | Direct                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Regular                                                                                                                                                                                                                                                                                                                               | Direct Plan                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| 7                                                                                                                                                                                                                                      | Mentioned                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Regular                                                                                                                                                                                                                                                                                                                               | Regular Plan                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| 8                                                                                                                                                                                                                                      | Mentioned                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Not mentioned                                                                                                                                                                                                                                                                                                                         | Regular Plan                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Investment Options                                                                                                                                                                                                                     | Growth & Income Distribution cum Capital Withdrawal (IDCW) (Income Distribution cum Capital Withdrawal (IDCW) Option will in turn have two Facilities, Payout of Income Distribution cum Capital Withdrawal (IDCW) Facility and Reinvestment of Income Distribution cum Capital Withdrawal (IDCW) Facility)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Growth & Income Distribution cum Capital Withdrawal (IDCW)                                                                                                                                                                                                                                                                            | Growth, Daily Reinvestment of Income Distribution cum Capital Withdrawal (IDCW) Option & Monthly Income Distribution cum Capital Withdrawal (IDCW) Option – Two facilities (a) Payout of Income Distribution cum Capital Withdrawal (IDCW) Facility; and (b) Reinvestment of Income Distribution cum Capital Withdrawal (IDCW) Facility                                                                                                                             |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Default Option <sup>5</sup>                                                                                                                                                                                                            | Growth Option in case Growth Option or Income Distribution cum Capital Withdrawal (IDCW) Option is not indicated.<br>Reinvestment of Income Distribution cum Withdrawal (IDCW) Facility in case Reinvestment of IDCW or Payout of IDCW is not indicated.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Growth Option in case Growth Option or Income Distribution cum Capital Withdrawal (IDCW) Option is not indicated.<br>Reinvestment of Income Distribution cum Withdrawal (IDCW) Facility in case Reinvestment of IDCW or Payout of IDCW is not indicated.                                                                              | Growth Option in case Monthly Income Distribution cum Capital Withdrawal (IDCW) Option or Daily Reinvestment of Income Distribution cum Capital Withdrawal Option is not indicated<br>Income Distribution cum Capital Withdrawal (IDCW) Transfer facility is available in the Monthly IDCW option, where investors can transfer their Income Distribution amount as and when declared by the fund to any other scheme (excluding ETF schemes at the applicable NAV) |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Minimum Application Amount (Under each option)                                                                                                                                                                                         | ₹ 500/- and in multiples of ₹ 1/- thereafter,<br>Additional Investment would be ₹ 500/- and in multiples of ₹ 1/- thereafter/ 50 units                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | ₹ 500 and in multiples of ₹ 500 thereafter,<br>Additional Investment in all schemes would be ₹ 500 and in multiples of 500 thereafter                                                                                                                                                                                                 | Growth option ₹ 5,000/- and in multiples of ₹ 1/- thereafter,<br>Monthly Dividend Payout option ₹10,000/- and in multiples of ₹ 1/- thereafter, Daily Dividend Re-investment option ₹ 1,00,000/- and in multiples of ₹ 1/- thereafter, Additional Investment - ₹ 500/- and in multiples of ₹1/- thereafter / 50 units                                                                                                                                               |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Lock-in Period                                                                                                                                                                                                                         | NIL                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 3 years from the date of allotment of the respective Units                                                                                                                                                                                                                                                                            | NIL                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Net Asset Value (NAV)                                                                                                                                                                                                                  | Every Business Day                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Every Business Day                                                                                                                                                                                                                                                                                                                    | Every Calendar Day                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Benchmark Index                                                                                                                                                                                                                        | S&P BSE 200 - Total Return Index                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | S&P BSE 200 - Total Return Index                                                                                                                                                                                                                                                                                                      | Crisil Liquid Fund Index                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                          |                                       |                                                                                                                                                                                                                                        |                             |                                                                             |               |               |                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                 |                                         |        |             |       |               |         |             |       |           |        |             |       |         |               |             |   |        |         |             |   |           |         |              |   |           |               |              |

<sup>5</sup> Investors should indicate the Option for which the application is made. In case Investors wish to opt for both the Options, a separate Application form will have to be filled. In case applications are received where option/ sub-option for investment is not selected, the default option/ Sub option will be applicable.

\* Investors should consult their financial advisers if in doubt about whether the product is suitable for them.



| DETAILS                                                                                          | QUANTUM EQUITY FUND OF FUNDS                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | QUANTUM GOLD SAVINGS FUND                                                                                                                                                                                                                                                                                                                                                                                                                         | QUANTUM MULTI ASSET FUND OF FUNDS                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
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| Type of Scheme                                                                                   | An Open Ended Fund of Funds scheme Investing in Open Ended Diversified Equity Schemes of Mutual Funds                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | An Open Ended Fund of Funds Scheme Investing in Quantum Gold Fund                                                                                                                                                                                                                                                                                                                                                                                 | An Open Ended Fund of Funds Scheme Investing in schemes of Quantum Mutual Fund                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| This Product is suitable for Investors who are seeking*                                          | <ul style="list-style-type: none"><li>Long term capital appreciation</li><li>Investments in portfolio of open-ended diversified equity schemes of mutual funds registered with SEBI whose underlying investments are in equity and equity related securities of diversified companies</li></ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | <ul style="list-style-type: none"><li>Long term returns</li><li>Investments in units of Quantum Gold Fund – Exchange Traded Fund whose underlying investments are in physical gold</li></ul>                                                                                                                                                                                                                                                      | <ul style="list-style-type: none"><li>Long term capital appreciation and current income</li><li>Investments in portfolio of schemes of Quantum Mutual Fund whose underlying investments are in equity , debt / money market instruments and gold</li></ul>                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Riskometer                                                                                       | <div><p>Investors understand that their principal will be at Very High Risk</p><p>The Risk Level of the Scheme in the Riskometer is based on the portfolio of the scheme as on October 31, 2021.</p><p>For Benchmark Riskometer refer page no. 26</p></div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | <div><p>Investors understand that their principal will be at Moderately High Risk</p><p>The Risk Level of the Scheme in the Riskometer is based on the portfolio of the scheme as on October 31, 2021.</p><p>For Benchmark Riskometer refer page no. 50</p></div>                                                                                               | <div><p>Investors understand that their principal will be at Moderately High Risk</p><p>The Risk Level of the Scheme in the Riskometer is based on the portfolio of the scheme as on October 31, 2021.</p><p>For Benchmark Riskometer refer page no. 56</p></div>                                                                                                                                                                                                                                                                                                     |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Investment Objectives                                                                            | The Investments objective of the scheme is to generate long-term capital appreciation by investing in a portfolio of open-ended diversified equity scheme of mutual funds registered with SEBI. There can be no assurance of positive returns form following the stated investment strategy.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | The Investments objective of the scheme is to provide capital appreciation by predominantly investing in units of Quantum Gold Fund Replicating / Tracking Gold an Exchange Traded Fund<br>The Performance of the scheme may differ from the of Quantum Gold Fund and the domestic prices of gold due to expenses and certain other factors. There can be no assurance or guarantee that the investment objective of the scheme will be achieved. | The Investments objective of the scheme is to generate modest capital appreciation while trying to reduce risk (by diversifying risks across asset classes) from a combined portfolio of equity, debt / money markets and gold schemes of Quantum Mutual Fund.<br>The Scheme may invest in the units of debt / money market scheme of other mutual funds to gain exposure to debt as an asset class to manage any investment and regulatory constraints that arise / that prevent the Scheme from increasing investment in the scheme of Quantum Mutual Fund.<br>There can be no assurance that the investment objective of the Scheme will be realized. |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Fund Manager                                                                                     | Mr. Chirag Mehta (Since November 1, 2013)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Mr. Chirag Mehta (Since May 19, 2011)<br>Ms. Ghazal Jain (Since June 2, 2020)                                                                                                                                                                                                                                                                                                                                                                     | Mr. Chirag Mehta (Since July 11, 2012)<br>Mr. Nilesh Shetty (Since July 11, 2012)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Fund Manager Total Experience                                                                    | 19 yrs                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | 19 yrs / 4.7 yrs                                                                                                                                                                                                                                                                                                                                                                                                                                  | 19 yrs / 17.11 yrs                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Inception Date (Date of Allotment)                                                               | July 20, 2009                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | May 19, 2011                                                                                                                                                                                                                                                                                                                                                                                                                                      | July 11, 2012                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Entry Load                                                                                       | NA <sup>4</sup> (In terms of SEBI circular no. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009 has notified that, w.e.f. August 01, 2009 there will be no entry load charged to the scheme of the Mutual Fund and the upfront commission to distribution will be paid by the investor directly to the distributor, based on his assessment of various factors including the service rendered by the distributor)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Exit Load                                                                                        | <table><tr><th>Provisions</th><th>% of Exit Load</th></tr><tr><td>100% of units if redeemed or switched out on or before 365 days from the date of allotment</td><td>NIL</td></tr><tr><td>Remaining 90% of units if redeemed or switched out on or before 365 days from the date allotment</td><td>1%</td></tr><tr><td>If redeemed or switched out of units after 365 days from the date of allotment</td><td>NIL</td></tr></table>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Provisions                                                                                                                                                                                                                                                                                                                                                                                                                                        | % of Exit Load                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 100% of units if redeemed or switched out on or before 365 days from the date of allotment | NIL                                   | Remaining 90% of units if redeemed or switched out on or before 365 days from the date allotment | 1%                          | If redeemed or switched out of units after 365 days from the date of allotment | NIL           | NIL for the prospective investment made on or after December 11, 2017. | a. 1.00% if redeemed or switch out on or before 90 days from the date of allotment of units.<br>b. NIL if redeemed or switch out after 90 days from the date of allotment of units. |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Provisions                                                                                       | % of Exit Load                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| 100% of units if redeemed or switched out on or before 365 days from the date of allotment       | NIL                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Remaining 90% of units if redeemed or switched out on or before 365 days from the date allotment | 1%                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| If redeemed or switched out of units after 365 days from the date of allotment                   | NIL                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Investment Plan                                                                                  | Direct Plan / Regular Plan                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Default Plan                                                                                     | <p>Investors should indicate the Direct / Regular Plan for which the subscription is made by indicating the choice in the application form. In case of valid application received without indicating any choice of plan then the application will be processed for plan as under:</p> <table><tr><th>Scenario</th><th>Broker Code mentioned by the investor</th><th>Plan mentioned by the investor</th><th>Default Plan to be captured</th></tr><tr><td>1</td><td>Not mentioned</td><td>Not mentioned</td><td>Direct Plan</td></tr><tr><td>2</td><td>Not mentioned</td><td>Direct</td><td>Direct Plan</td></tr><tr><td>3</td><td>Not mentioned</td><td>Regular</td><td>Direct Plan</td></tr><tr><td>4</td><td>Mentioned</td><td>Direct</td><td>Direct Plan</td></tr><tr><td>5</td><td>Direct</td><td>Not mentioned</td><td>Direct Plan</td></tr><tr><td>6</td><td>Direct</td><td>Regular</td><td>Direct Plan</td></tr><tr><td>7</td><td>Mentioned</td><td>Regular</td><td>Regular Plan</td></tr><tr><td>8</td><td>Mentioned</td><td>Not mentioned</td><td>Regular Plan</td></tr></table> <p>In cases of wrong/incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor / distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application.</p> |                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Scenario                                                                                   | Broker Code mentioned by the investor | Plan mentioned by the investor                                                                   | Default Plan to be captured | 1                                                                              | Not mentioned | Not mentioned                                                          | Direct Plan                                                                                                                                                                         | 2 | Not mentioned | Direct | Direct Plan | 3 | Not mentioned | Regular | Direct Plan | 4 | Mentioned | Direct | Direct Plan | 5 | Direct | Not mentioned | Direct Plan | 6 | Direct | Regular | Direct Plan | 7 | Mentioned | Regular | Regular Plan | 8 | Mentioned | Not mentioned | Regular Plan |
| Scenario                                                                                         | Broker Code mentioned by the investor                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Plan mentioned by the investor                                                                                                                                                                                                                                                                                                                                                                                                                    | Default Plan to be captured                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| 1                                                                                                | Not mentioned                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Not mentioned                                                                                                                                                                                                                                                                                                                                                                                                                                     | Direct Plan                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| 2                                                                                                | Not mentioned                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Direct                                                                                                                                                                                                                                                                                                                                                                                                                                            | Direct Plan                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| 3                                                                                                | Not mentioned                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Regular                                                                                                                                                                                                                                                                                                                                                                                                                                           | Direct Plan                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| 4                                                                                                | Mentioned                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Direct                                                                                                                                                                                                                                                                                                                                                                                                                                            | Direct Plan                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| 5                                                                                                | Direct                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Not mentioned                                                                                                                                                                                                                                                                                                                                                                                                                                     | Direct Plan                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| 6                                                                                                | Direct                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Regular                                                                                                                                                                                                                                                                                                                                                                                                                                           | Direct Plan                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| 7                                                                                                | Mentioned                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Regular                                                                                                                                                                                                                                                                                                                                                                                                                                           | Regular Plan                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| 8                                                                                                | Mentioned                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Not mentioned                                                                                                                                                                                                                                                                                                                                                                                                                                     | Regular Plan                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Investment Options                                                                               | Growth & Income Distribution cum Capital Withdrawal (IDCW) (Income Distribution cum Capital Withdrawal (IDCW) Option will in turn have two Facilities, Payout of Income Distribution cum Capital Withdrawal (IDCW) Facility and Reinvestment of Income Distribution cum Capital Withdrawal (IDCW) Facility)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Growth Option                                                                                                                                                                                                                                                                                                                                                                                                                                     | Growth Option                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Default Option <sup>5</sup>                                                                      | Growth Option in case Growth Option or Income Distribution cum Capital Withdrawal (IDCW) Option is not indicated.<br>Reinvestment of Income Distribution cum Withdrawal (IDCW) Facility in case Reinvestment of IDCW or Payout of IDCW is not indicated.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Minimum Application Amount (Under each option)                                                   | ₹ 500/- and in multiples of ₹1/- therefore, Additional Investment would be ₹ 500/- and in multiples of ₹ 1/- thereafter / 50 units                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | ₹ 500/- and in multiples of ₹1/- therefore, Additional Investment would be ₹ 500/- and in multiples of ₹ 1/- thereafter / 50 units                                                                                                                                                                                                                                                                                                                | ₹ 500/- and in multiples of ₹1/- therefore, Additional Investment would be ₹ 500/- and in multiples of ₹ 1/- thereafter / 50 units                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Lock-in Period                                                                                   | NIL                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | NIL                                                                                                                                                                                                                                                                                                                                                                                                                                               | NIL                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Net Asset Value (NAV)                                                                            | Every Business Day                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Every Business Day                                                                                                                                                                                                                                                                                                                                                                                                                                | Every Business Day                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Benchmark Index                                                                                  | S&P BSE 200 - Total Return Index                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Domestic Price of Gold                                                                                                                                                                                                                                                                                                                                                                                                                            | <sup>#</sup> CRISIL Composite Bond Fund Index (20%) + S&P BSE Total Return Index (40%) + CRISIL Liquid Index(25%) + Domestic Price of Gold (15%)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                            |                                       |                                                                                                  |                             |                                                                                |               |                                                                        |                                                                                                                                                                                     |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |

<sup>5</sup> Investors should indicate the Option for which the application is made. In case Investors wish to opt for both the Options, a separate Application form will have to be filled. In case applications are received where option/ sub-option for investment is not selected, the default option/ Sub option will be applicable. \* Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

<sup>4</sup> Benchmark has been changed from Crisil Composite Bond Fund Index (40%) + S&P BSE SENSEX Total Return Index (40%) + Domestic price of gold (20%) to CRISIL Composite Bond Fund Index (20%) + S&P BSE Total Return Index (40%) + CRISIL Liquid Index (25%) + Domestic Price of Gold (15%) with effective from April 01, 2021



| DETAILS                                                        | QUANTUM DYNAMIC BOND FUND                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | QUANTUM GOLD FUND                                                                                                                                                                                                                                                                                                                                                                                                    | QUANTUM NIFTY ETF                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |    |  |
|----------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|-----------------------------|---|---------------|---------------|-------------|---|---------------|--------|-------------|---|---------------|---------|-------------|---|-----------|--------|-------------|---|--------|---------------|-------------|---|--------|---------|-------------|---|-----------|---------|--------------|---|-----------|---------------|--------------|----|--|
| <b>Type of Scheme</b>                                          | An Open Ended Dynamic Debt Scheme Investing Across Duration                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | An Open Ended Scheme Replicating / Tracking Gold                                                                                                                                                                                                                                                                                                                                                                     | An Open Ended Scheme Replicating / Tracking Nifty 50 Index                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |    |  |
| <b>This Product is suitable for Investors who are seeking*</b> | <ul style="list-style-type: none"> <li>Regular income over short to medium term and capital appreciation</li> <li>Investment in Debt / Money Market Instruments / Government Securities</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | <ul style="list-style-type: none"> <li>Long term returns</li> <li>Investments in physical gold</li> </ul>                                                                                                                                                                                                                                                                                                            | <ul style="list-style-type: none"> <li>Long term capital appreciation</li> <li>Investments in equity and equity related securities of companies in Nifty 50 Index</li> </ul>                                                                                                                                                                                                                                                                                                                                                    |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |    |  |
| <b>Riskometer</b>                                              |  <p>Investors understand that their principal will be at Moderate Risk</p> <p>The Risk Level of the Scheme in the Riskometer is based on the portfolio of the scheme as on October 31, 2021.</p> <p>For Benchmark Riskometer refer page no. 44</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |  <p>Investors understand that their principal will be at Moderately High Risk</p> <p>The Risk Level of the Scheme in the Riskometer is based on the portfolio of the scheme as on October 31, 2021.</p> <p>For Benchmark Riskometer refer page no. 63</p>                                                                          |  <p>Investors understand that their principal will be at Very High Risk</p> <p>The Risk Level of the Scheme in the Riskometer is based on the portfolio of the scheme as on October 31, 2021.</p> <p>For Benchmark Riskometer refer page no. 68</p>                                                                                                                                                                                          |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |    |  |
| <b>Investment Objectives</b>                                   | The investment objective of the scheme is to generate income and capital appreciation through active management of a portfolio consisting of short term and long term debt and money market instruments                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | To generate returns that are in line with the performance of gold and gold related instruments subject to tracking errors. However, investment in gold related instruments will be made if and when SEBI permits mutual funds to invest, in gold related instruments. The Scheme is designed to provide returns that before expenses, closely correspond to the returns provided by gold.                            | The investment objective of the scheme is to invest in stocks of companies comprising Nifty 50 Index and endeavor to achieve return equivalent to Nifty by "Passive" investment. The Scheme will be managed by replicating the index in the same weightage as in the Nifty 50 Index with the intention of minimizing the performance differences between the scheme and the Nifty 50 Index in capital terms, subject to market liquidity, costs of trading, managing expenses and other factors which may cause tracking error. |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |    |  |
| <b>Fund Manager</b>                                            | Mr. Pankaj Pathak (Since March 01, 2017)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Mr. Chirag Mehta (Since May 1, 2009)<br>Ms. Ghazal Jain (Since June 2, 2020)                                                                                                                                                                                                                                                                                                                                         | Mr. Hitendra Parekh (Since July 10, 2008)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |    |  |
| <b>Fund Manager Total Experience</b>                           | 12 yrs                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 19 yrs / 4.7 yrs                                                                                                                                                                                                                                                                                                                                                                                                     | 29.5 yrs.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |    |  |
| <b>Inception Date (Date of Allotment)</b>                      | May 19, 2015                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | February 22, 2008                                                                                                                                                                                                                                                                                                                                                                                                    | July 10, 2008                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |    |  |
| <b>Entry Load</b>                                              | Not Applicable* (*In terms of SEBI circular no. SEBI/IMD/CIR No. 4/ 168230/09 dated June 30, 2009 has notified that, w.e.f. August 01, 2009 there will be no entry load charged to the schemes of the Mutual Fund and the upfront commission to distribution will be paid by the investor directly to the distributor, based on his assessment of various factors including the service rendered by the distributor)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Not Applicable* (*In terms of SEBI circular no. SEBI/IMD/CIR No. 4/ 168230/09 dated June 30, 2009 has notified that, w.e.f. August 01, 2009 there will be no entry load charged to the schemes of the Mutual Fund and the upfront commission to distribution will be paid by the investor directly to the distributor, based on his assessment of various factors including the service rendered by the distributor) |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |    |  |
| <b>Exit Load</b>                                               | NIL                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | NIL                                                                                                                                                                                                                                                                                                                                                                                                                  | NIL                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |    |  |
| <b>Investment Plan</b>                                         | Direct Plan / Regular Plan                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | NIL                                                                                                                                                                                                                                                                                                                                                                                                                  | NIL                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |    |  |
| <b>Default Plan</b>                                            | <p>Investors should indicate the Direct / Regular Plan for which the subscription is made by indicating the choice in the application form. In case of valid application received without indicating any choice of plan then the application will be processed for plan as under:</p> <table border="1"> <thead> <tr> <th>Scenario</th><th>Broker Code mentioned by the investor</th><th>Plan mentioned by the investor</th><th>Default Plan to be captured</th></tr> </thead> <tbody> <tr><td>1</td><td>Not mentioned</td><td>Not mentioned</td><td>Direct Plan</td></tr> <tr><td>2</td><td>Not mentioned</td><td>Direct</td><td>Direct Plan</td></tr> <tr><td>3</td><td>Not mentioned</td><td>Regular</td><td>Direct Plan</td></tr> <tr><td>4</td><td>Mentioned</td><td>Direct</td><td>Direct Plan</td></tr> <tr><td>5</td><td>Direct</td><td>Not mentioned</td><td>Direct Plan</td></tr> <tr><td>6</td><td>Direct</td><td>Regular</td><td>Direct Plan</td></tr> <tr><td>7</td><td>Mentioned</td><td>Regular</td><td>Regular Plan</td></tr> <tr><td>8</td><td>Mentioned</td><td>Not mentioned</td><td>Regular Plan</td></tr> </tbody> </table> <p>In cases of wrong/incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor / distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application.</p> | Scenario                                                                                                                                                                                                                                                                                                                                                                                                             | Broker Code mentioned by the investor                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Plan mentioned by the investor | Default Plan to be captured | 1 | Not mentioned | Not mentioned | Direct Plan | 2 | Not mentioned | Direct | Direct Plan | 3 | Not mentioned | Regular | Direct Plan | 4 | Mentioned | Direct | Direct Plan | 5 | Direct | Not mentioned | Direct Plan | 6 | Direct | Regular | Direct Plan | 7 | Mentioned | Regular | Regular Plan | 8 | Mentioned | Not mentioned | Regular Plan | NA |  |
| Scenario                                                       | Broker Code mentioned by the investor                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Plan mentioned by the investor                                                                                                                                                                                                                                                                                                                                                                                       | Default Plan to be captured                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |    |  |
| 1                                                              | Not mentioned                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Not mentioned                                                                                                                                                                                                                                                                                                                                                                                                        | Direct Plan                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |    |  |
| 2                                                              | Not mentioned                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Direct                                                                                                                                                                                                                                                                                                                                                                                                               | Direct Plan                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |    |  |
| 3                                                              | Not mentioned                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Regular                                                                                                                                                                                                                                                                                                                                                                                                              | Direct Plan                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |    |  |
| 4                                                              | Mentioned                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Direct                                                                                                                                                                                                                                                                                                                                                                                                               | Direct Plan                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |    |  |
| 5                                                              | Direct                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Not mentioned                                                                                                                                                                                                                                                                                                                                                                                                        | Direct Plan                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |    |  |
| 6                                                              | Direct                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Regular                                                                                                                                                                                                                                                                                                                                                                                                              | Direct Plan                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |    |  |
| 7                                                              | Mentioned                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Regular                                                                                                                                                                                                                                                                                                                                                                                                              | Regular Plan                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |    |  |
| 8                                                              | Mentioned                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Not mentioned                                                                                                                                                                                                                                                                                                                                                                                                        | Regular Plan                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |    |  |
| <b>Investment Options</b>                                      | Growth Option, Monthly Payout of Income Distribution Cum Capital Withdrawal (IDCW) Option and Monthly Reinvestment of Income Distribution Cum Capital Withdrawal (IDCW) Option                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | NA                                                                                                                                                                                                                                                                                                                                                                                                                   | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |    |  |
| <b>Default Option<sup>5</sup></b>                              | Income Distribution Cum Capital Withdrawal (IDCW) Transfer facility is available in the Monthly IDCW option, where investors can transfer their Income Distribution amount as and when declared by the fund to any other scheme (excluding ETF schemes at the applicable NAV)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |    |  |
| <b>Minimum Application Amount (Under each option)</b>          | ₹ 500/- and in multiples of ₹1/- therefore, Additional Investment would be ₹ 500/- and in multiples of ₹ 1/- thereafter / 50 units                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | <p><b>Directly with Fund:</b> The Investors can create / redeem in exchange of portfolio Deposit and Cash Component in creation of unit size at NAV based Price.</p> <p><b>On the Exchange:</b> Approx equal to price of ½ gram of Gold quoted on the NSE.</p> <p>On NSE, the units can be purchased / sold in minimum lot of 1 unit and in multiples thereof.</p>                                                   | <p><b>Directly with Fund:</b> The investors can create / redeem in exchange of Portfolio Deposit and Cash Component in creation unit size at NAV Price. -2000 Units and in multiples thereof.</p> <p><b>On the Exchange:</b> At prices which may be close to the NAV of Q Nifty Units. On NSE, the units can be purchased / sold in minimum lot of 1 unit and in multiples thereof. The units of Q Nifty issued under the scheme will be approximately equal to the price of 1/10 (one-tenth) of the Nifty 50 Index.</p>        |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |    |  |
| <b>Lock-in Period</b>                                          | NIL                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | NIL                                                                                                                                                                                                                                                                                                                                                                                                                  | NIL                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |    |  |
| <b>Net Asset Value (NAV)</b>                                   | Every Business Day                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Every Business Day                                                                                                                                                                                                                                                                                                                                                                                                   | Every Business Day                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |    |  |
| <b>Benchmark Index</b>                                         | Crisil Composite Bond Fund Index                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Domestic Price of Physical Gold                                                                                                                                                                                                                                                                                                                                                                                      | Nifty 50 - Total Return Index.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |    |  |

<sup>5</sup> Investors should indicate the Option for which the application is made. In case Investors wish to opt for both the Options, a separate Application form will have to be filled. In case applications are received where option/ sub-option for investment is not selected, the default option/ Sub option will be applicable.

\* Investors should consult their financial advisers if in doubt about whether the product is suitable for them.



| DETAILS                                                 | QUANTUM INDIA ESG EQUITY FUND                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                |                                       |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
|---------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|---------------------------------------|--------------------------------|-----------------------------|---|---------------|---------------|-------------|---|---------------|--------|-------------|---|---------------|---------|-------------|---|-----------|--------|-------------|---|--------|---------------|-------------|---|--------|---------|-------------|---|-----------|---------|--------------|---|-----------|---------------|--------------|
| Type of Scheme                                          | An Open ended equity scheme investing in companies following Environment, Social and Governance (ESG) theme                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                |                                       |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| This Product is suitable for Investors who are seeking* | <ul style="list-style-type: none"><li>• Long term capital appreciation</li><li>• Invests in shares of companies that meet Quantum's Environment, Social, Governance (ESG) criteria.</li></ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                |                                       |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Riskometer                                              | <div></div> <p>Investors understand that their principal will be at Very High Risk<br/>The Risk Level of the Scheme in the Riskometer is based on the portfolio of the scheme as on October 31, 2021.</p> <p>For Benchmark Riskometer refer page no. 33</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                |                                       |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Investment Objectives                                   | The Investment Objective of the scheme is to achieve long-term capital appreciation by investing in share of companies that meet Quantum’s Environment, Social and Governance (ESG) criteria.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                |                                       |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Fund Manager                                            | Mr. Chirag Mehta - Fund Manager (Since July 12, 2019)<br>Ms. Sneha Joshi - Associate Fund Manager (Since July 12, 2019)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                |                                       |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Fund Manager Total Experience                           | Mr. Chirag Mehta - 19 yrs. / Ms. Sneha Joshi - 9 yrs.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                |                                       |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Inception Date (Date of Allotment)                      | July 12, 2019                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                |                                       |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Entry Load                                              | Not Applicable                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                |                                       |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Exit Load                                               | 10% of units if redeemed or switched out on or before 365 days from the date of allotment: NIL; Remaining 90% of units if redeemed or switched out on or before 365 days from the date of allotment: 1%.<br>if redeemed or switched out on or after 365 days from the date of allotment: NIL Note: Redemptions / Switch outs of units will be done on First In First Out (FIFO) basis.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                |                                       |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Investment Plan                                         | Direct Plan / Regular Plan                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                |                                       |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Default Plan                                            | <p>Investor should indicate the Direct / Regular Plan for which the subscription is made by indicating the choice in the application form. In case of valid application received without indicating any choice of plan then the application will be processed for plan as under:</p> <table><thead><tr><th>Scenario</th><th>Broker Code mentioned by the investor</th><th>Plan mentioned by the investor</th><th>Default Plan to be captured</th></tr></thead><tbody><tr><td>1</td><td>Not mentioned</td><td>Not mentioned</td><td>Direct Plan</td></tr><tr><td>2</td><td>Not mentioned</td><td>Direct</td><td>Direct Plan</td></tr><tr><td>3</td><td>Not mentioned</td><td>Regular</td><td>Direct Plan</td></tr><tr><td>4</td><td>Mentioned</td><td>Direct</td><td>Direct Plan</td></tr><tr><td>5</td><td>Direct</td><td>Not mentioned</td><td>Direct Plan</td></tr><tr><td>6</td><td>Direct</td><td>Regular</td><td>Direct Plan</td></tr><tr><td>7</td><td>Mentioned</td><td>Regular</td><td>Regular Plan</td></tr><tr><td>8</td><td>Mentioned</td><td>Not mentioned</td><td>Regular Plan</td></tr></tbody></table> <p>In cases of wrong/invalid/incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application.</p> | Scenario                       | Broker Code mentioned by the investor | Plan mentioned by the investor | Default Plan to be captured | 1 | Not mentioned | Not mentioned | Direct Plan | 2 | Not mentioned | Direct | Direct Plan | 3 | Not mentioned | Regular | Direct Plan | 4 | Mentioned | Direct | Direct Plan | 5 | Direct | Not mentioned | Direct Plan | 6 | Direct | Regular | Direct Plan | 7 | Mentioned | Regular | Regular Plan | 8 | Mentioned | Not mentioned | Regular Plan |
| Scenario                                                | Broker Code mentioned by the investor                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Plan mentioned by the investor | Default Plan to be captured           |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| 1                                                       | Not mentioned                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Not mentioned                  | Direct Plan                           |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| 2                                                       | Not mentioned                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Direct                         | Direct Plan                           |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| 3                                                       | Not mentioned                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Regular                        | Direct Plan                           |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| 4                                                       | Mentioned                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Direct                         | Direct Plan                           |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| 5                                                       | Direct                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Not mentioned                  | Direct Plan                           |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| 6                                                       | Direct                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Regular                        | Direct Plan                           |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| 7                                                       | Mentioned                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Regular                        | Regular Plan                          |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| 8                                                       | Mentioned                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Not mentioned                  | Regular Plan                          |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Investment Options<br>Default Option                    | Growth Option                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                |                                       |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Minimum Application Amount<br>(Under each option)       | ₹ 500/- and in multiples of ₹1/- therefore, Additional Investment would be ₹ 500/- and in multiples of ₹ 1/- thereafter / 50 units                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                |                                       |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Lock-in Period                                          | NIL                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                |                                       |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Net Asset Value (NAV)                                   | Every Business Day                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                |                                       |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |
| Benchmark Index                                         | Nifty 100 ESG Total Return Index                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                |                                       |                                |                             |   |               |               |             |   |               |        |             |   |               |         |             |   |           |        |             |   |        |               |             |   |        |         |             |   |           |         |              |   |           |               |              |



# HOW TO READ FACTSHEET

## Fund Manager

An employee of the asset management company such as a mutual fund or life insurer, who manages investments of the scheme. He is usually part of a larger team of fund managers and research analysts.

## Application Amount for Fresh Subscription

This is the minimum investment amount for a new investor in a mutual fund scheme.

## Minimum Additional Amount

This is the minimum investment amount for an existing investor in a mutual fund scheme.

## Yield to Maturity

The Yield to Maturity or the YTM is the rate of return anticipated on a bond if held until maturity. YTM is expressed as an annual rate. The YTM factors in the bond's current market price, par value, coupon interest rate and time to maturity.

## SIP

SIP or systematic investment plan works on the principle of making periodic investments of a fixed sum. It works similar to a recurring bank deposit. For instance, an investor may opt for an SIP that invests ₹ 500 every 15th of the month in an equity fund for a period of three years.

## NAV

The NAV or the net asset value is the total asset value per unit of the mutual fund after deducting all related and permissible expenses. The NAV is calculated at the end of every business day. It is the value at which the investor enters or exits the mutual fund.

## Benchmark

A group of securities, usually a market index, whose performance is used as a standard or benchmark to measure investment performance of mutual funds, among other investments. Some typical benchmarks include the Nifty, Sensex, BSE200, BSE500, 10-Year Gsec.

## Entry Load

A mutual fund may have a sales charge or load at the time of entry and/or exit to compensate the distributor/agent. Entry load is charged at the time an investor purchases the units of a mutual fund. The entry load is added to the prevailing NAV at the time of investment. For instance, if the NAV is ₹ 100 and the entry load is 1%, the investor will enter the fund at ₹ 101.

## Note

SEBI, vide circular dated June 30, 2009 has abolished entry load and mandated that the upfront commission to distributors will be paid by the investor directly to the distributor, based on his assessment of various factors including the service rendered by the distributor

## Exit Load

Exit load is charged at the time an investor redeems the units of a mutual fund. The exit load is deducted from the prevailing NAV at the time of redemption. For instance, if the NAV is ₹ 100 and the exit load is 1%, the redemption price would be ₹ 99 Per Unit.

## Modified Duration

Modified duration is the price sensitivity and the percentage change in price for a unit change in yield

## Standard Deviation

Standard deviation is a statistical measure of the range of an investment's performance. When a mutual fund has a high standard deviation, it means its range of performance is wide, implying greater volatility.

## Sharpe Ratio

The Sharpe Ratio, named after its founder, the Nobel Laureate William Sharpe, is a measure of risk-adjusted returns. It is calculated using standard deviation and excess return to determine reward per unit of risk.

## Beta

Beta is a measure of an investment's volatility vis-à-vis the market. Beta of less than 1 means that the security will be less volatile than the market. A beta of greater than 1 implies that the security's price will be more volatile than the market.

## AUM

AUM or assets under management refers to the recent / updated cumulative market value of investments managed by a mutual fund or any investment firm.

## Holdings

The holdings or the portfolio is a mutual fund's latest or updated reported statement of investments/securities. These are usually displayed in terms of percentage to net assets or the rupee value or both. The objective is to give investors an idea of where their money is being invested by the fund manager.

## Nature of Scheme

The investment objective and underlying investments determine the nature of the mutual fund scheme. For instance, a mutual fund that aims at generating capital appreciation by investing in stock markets is an equity fund or growth fund. Likewise, a mutual fund that aims at capital preservation by investing in debt markets is a debt fund or income fund. Each of these categories may have sub-categories.

## Rating Profile

Mutual funds invest in securities after evaluating their creditworthiness as disclosed by the ratings. A depiction of the mutual fund in various investments based on their ratings becomes the rating profile of the fund. Typically, this is a feature of debt funds.

# ! STATUTORY DETAILS & RISK FACTORS

## Disclaimer, Statutory Details & Risk Factors:

### ! Mutual fund investments are subject to market risks, read all scheme related documents carefully.

Please visit – [www.Quantummf.com](http://www.Quantummf.com) to read scheme specific risk factors. Investors in the Scheme(s) are not being offered a guaranteed or assured rate of return and there can be no assurance that the schemes objective will be achieved and the NAV of the scheme(s) may go up and down depending upon the factors and forces affecting securities market. Investment in mutual fund units involves investment risk such as trading volumes, settlement risk, liquidity risk, default risk including possible loss of capital. Past performance of the sponsor / AMC / Mutual Fund does not indicate the future performance of the Scheme(s). Statutory Details: Quantum Mutual Fund (the Fund) has been constituted as a Trust under the Indian Trusts Act, 1882. Sponsor : Quantum Advisors Private Limited. (liability of Sponsor limited to ₹1,00,000/-) Trustee : Quantum Trustee Company Private Limited Investment Manager : Quantum Asset Management Company Private Limited. The Sponsor, Trustee and Investment Manager are incorporated under the Companies Act, 1956.

### ! Scheme Specific Risk Factors : The investors of Quantum Equity Fund of Funds, Quantum Gold Savings Fund and Quantum Multi Asset Fund of Funds will bear the recurring expenses, transaction charges and loads if any of the Schemes in addition to the expenses, transaction charges and loads of the underlying Schemes.

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