INVESTOR COMPLAINTS REPORT

Redressal of Complaints received against Mutual Funds (MFs) during 2011-2012

Name of Mutual Fund : Quantum Mutual Fund

Total Number of Folios as on March 31, 2012 : 23,466

			Action on (a) and (b)									
Compliant Code	Type of Complaint#	(a) No. of complaints pending at the beginning of the year	(b) No. of Complaints received during the year	Resolved				Non Actionable*	Pending			
				Within 30 days	30-60 days	60-180 days	Beyond 180 days		0-3 months	3-6 month	6-9 months	9-12 month
IA	Non receipt of Dividend on Units	-	-	-	-	-	-	-	-	-	-	-
I B	Interest on delayed payment of dividend	-	-	-	-	-	-	-	-	-	-	-
IC	Non receipt of Redemption Proceeds	-	1	1	-	-	-	-	-	-	-	-
ID	Interest on delayed payment of Redemption	-	-	-	-	-	-	-	-	-	-	-
II A	Non receipt of Statement of Account/Unit Certificate	-	2	2	-	-	-	-	-	-	-	-
II B	Discrepancy in Statement of Account	-	-	-	-	-	-	-	-	-	-	-
II C	Data corrections in Investor details	-	33	33	-	-	-	-	-	-	-	-
II D	Non receipt of Annual Report/Abridged Summary	-	-	-	-	-	-	-	-	-	-	-
III A	Wrong Switch between Schemes	-	-	-	-	-	-	-	-	-	-	-

				Action on (a) and (b)										
Compliant Code	Type of Complaint#	(a) No. of complaints pending at the beginning of the year	(b) No. of Complaints received during the year	Resolved				Non Actionable*	Pending					
				Within 30 days	30-60 days	60-180 days	Beyond 180 days		0-3 months	3-6 month	6-9 months	9-12 month s		
III B	Unauthorized switch between Schemes	-	-	-	-	-	-	-	-	-	-	-		
III C	Deviation from Scheme attributes	-	-	-	-	-	-	-	-	-	-	-		
III D	Wrong or excess charges/load	-	-	-	-	-	-	-	-	-	-	-		
III E	Non Updation of changes viz. address, PAN, bank details, nomination, etc	-	-	-	-	-	-	-	-	-	-	-		
IV	Others*	-	14	14	-	-	-	-	-	-	-	-		
Total		-	50	50	-	-	-	-	-	-	-	-		

[#] Including against its authorized persons/ distributors/ employees. etc.

^{*}Non actionable means the complaint that are incomplete / outside the scope of the mutual fund