

No. of Folio's as on March 31, 2011: 13,359

Redressal of Complaints received against Quantum Mutual Funds (MF's) during April'2010-March' 2011

Com	Type of	(a) No of	Action on (a) and (b)										
pliant code	Complaint#	Complaints Pending in the month of April-2010- March-2011	(b) No of complaints received in the month of April-2010- March-2011			olved		Non Actionable*	nable*				
				Within 0-6 days	7-15 days	16-30 days	31+ days		Within 0-6 days	7-15 days	16- 30 days	31+ days	
ΙA	Non receipt of Dividend on Units	-	-	-	-	-	-	-	-	-	-	-	
ΙB	Interest on delayed payment of dividend	-	-	-	-	-	-	-	-	-	-	-	
IC	Non receipt of Redemption Proceeds	-	-	-	-	-	-	-	-	-	-	-	
ID	Interest on delayed payment of Redemption	-	-	-	-	-	-	-	-	-	-	-	
II A	Non receipt of Statement of Account/Unit Certificate	-	35	35	-	-	-	-	-	-	-	-	
IIΒ	Discrepancy in Statement of Account	-	3	3	-	-	-	-	-	-	-	-	



India's 1" Direct to Investor Mutual Fund

II C	Non receipt of Annual Report/Abridged Summary	-	-	-	-	-	-	-	-	-	-	-
III A	Wrong Switch between Schemes	-	-	-	-	-	-	-	-	-	-	-
III B	Unauthorized switch between Schemes	-	-	-	-	-	-	-	-	-	-	-
III C	Deviation from Scheme attributes	-	-	-	-	-	-	-	-	-	-	-
III D	Wrong or excess charges/load	-	-	-	-	-	-	-	-	-	-	-
III E	Non updation of changes viz. address, PAN, bank details, nomination, etc	-	117	117	-	-	-	-	-	-	-	-
IV	Others	-	27	27	-	-	-	-	-	-	-	-
	Total		182	182	-	-	-	-	-	-	-	-

<sup>#</sup> including against its authorized persons/ distributors/ employees. etc.

\*Non actionable means the complaint that are incomplete / outside the scope of the mutual fund