



QUANTUM NIFTY 50 ETF FUND OF FUND

(An Open Ended Fund of Fund Scheme Investing in Units of Quantum Nifty 50 ETF)

(Continue Offer of units at NAV Based Prices)

Key Information Memorandum Cum Application Form

This key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Documents (SID) and Statement of Additional Information (SAI) available free of cost at any of the Investor Service Centres or distributors or from the website www.QuantumAMC.com.

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This KIM is dated October 31, 2023.

MUTUAL FUND

Quantum Mutual Fund.
6th Floor, Hoechst House,
Nariman Point, Mumbai - 400 021

TRUSTEE

Quantum Trustee Company Private Ltd.
6th Floor, Hoechst House,
Nariman Point, Mumbai - 400 021
CIN: U67190MH2005PTC156119



SPONSOR

Quantum Advisors Private Ltd.
6th Floor, Hoechst House,
Nariman Point, Mumbai - 400 021
CIN: U65990MH1990PTC055279

INVESTMENT MANAGER

Quantum Asset Management
Company Private Ltd.
6th Floor, Hoechst House,
Nariman Point, Mumbai - 400 021
CIN: U65990MH2005PTC156152

Product Labeling

| Name of the Scheme & Primary Benchmark | This product is suitable for investors who are seeking* | Risk-o-meter of Scheme | Risk-o-meter of Tier I Benchmark |
|--|--|---|---|
| Quantum Nifty 50 ETF Fund of Fund (An Open ended Fund of Fund Scheme investing in units of Quantum Nifty 50 ETF) Tier I Benchmark: Nifty 50 TRI | <ul style="list-style-type: none">Long term capital appreciationInvestments in units of Quantum Nifty 50 ETF – Exchange Traded Fund |  Investors understand that their principal will be at Very High Risk |  |

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

| Investment Objective | <p>The investment objective of the Scheme is to provide capital appreciation by investing in units of Quantum Nifty 50 ETF - Replicating / Tracking Nifty 50 Index.</p> <p>There is no assurance or guarantee that the investment objective of the Scheme will be achieved.</p> | | | | | | | | | | | | | | | |
|--|---|----------------------|-------------------------------------|--|--------------|---------|---------|-----------------|-------------------------------|-----|------|-----------|--|----|----|------------------------|
| Category Of Scheme | Fund of Fund Domestic | | | | | | | | | | | | | | | |
| Scheme Code | QTMM/O/O/FOD/22/02/0011 | | | | | | | | | | | | | | | |
| Asset Allocation Pattern of the scheme | <p>The asset allocation under the Scheme, under normal circumstances, will be as follows:</p> <table><tr><th rowspan="2">Types of Instruments</th><th colspan="2">Normal allocation (% of Net Assets)</th><th>Risk Profile</th></tr><tr><th>Minimum</th><th>Maximum</th><th>High/Medium/Low</th></tr><tr><td>Units of Quantum Nifty 50 ETF</td><td>95%</td><td>100%</td><td>Very High</td></tr><tr><td>Government Securities & Treasury Bill Maturity upto 91 days, Tri Party Repo and Liquid Schemes of Mutual Funds</td><td>0%</td><td>5%</td><td>Low to Moderately High</td></tr></table> <p>Pending deployment of funds of the Scheme in terms of the investment objective of the Scheme, the AMC may invest the funds of the Scheme in short term deposits of scheduled commercial banks period not exceeding 91 days in accordance with the SEBI Guidelines.</p> <p>The Scheme will not Invest in Unrated Debt Instruments, Credit Default Swaps, Secularized Debt / Structured Obligations, Credit Enhanced Debt, Repo of Corporate Debt Securities, REITs, InvITs, Debt Instruments having special features, derivatives, ADRs / GDRs, Foreign Securities, stock lending or engaged in the short selling.</p> <p>The cumulative gross exposure through investments in the units of underlying scheme i.e. Quantum Nifty 50 ETF and Government Securities & Treasury Bill Maturity upto 91 days, Tri Party Repo and Liquid Schemes of Mutual Funds should not exceed 100% of the net assets of the scheme.</p> | Types of Instruments | Normal allocation (% of Net Assets) | | Risk Profile | Minimum | Maximum | High/Medium/Low | Units of Quantum Nifty 50 ETF | 95% | 100% | Very High | Government Securities & Treasury Bill Maturity upto 91 days, Tri Party Repo and Liquid Schemes of Mutual Funds | 0% | 5% | Low to Moderately High |
| Types of Instruments | Normal allocation (% of Net Assets) | | Risk Profile | | | | | | | | | | | | | |
| | Minimum | Maximum | High/Medium/Low | | | | | | | | | | | | | |
| Units of Quantum Nifty 50 ETF | 95% | 100% | Very High | | | | | | | | | | | | | |
| Government Securities & Treasury Bill Maturity upto 91 days, Tri Party Repo and Liquid Schemes of Mutual Funds | 0% | 5% | Low to Moderately High | | | | | | | | | | | | | |
| Investment Strategy | <p>The AMC uses ‘passive’ approach to try and achieve the Scheme’s investment objective. The Scheme would invest in the units of Quantum Nifty 50 ETF. The AMC does not make any judgments about the investment merit of a Quantum Nifty 50 ETF nor will it attempt to apply any economic, financial or market analysis. Passive approach eliminates active management risks in regard to over / underperformance vis-à-vis the benchmark.</p> <p>The Scheme will buy / sell units from the underlying scheme i.e. Quantum Nifty 50 ETF in Creation of Unit Size or through the secondary market through stock exchange route to achieve the investment objectives. The Scheme would endeavor to stay invested in the underlying scheme practically to the maximum extent possible at all times. However, the Scheme would also maintain some funds for meeting expenses and redemption purposes, in order to meet the liquidity requirements of the Scheme as prescribed in the Asset Allocation.</p> <p>The AMC shall endeavor that the returns of Quantum Nifty 50 ETF Fund of Fund will replicate the returns generated by the underlying ETF subject to tracking error and expense of the Scheme. The AMC shall endeavor to keep tracking error as low as possible following the passive approach of the scheme.</p> | | | | | | | | | | | | | | | |
| Risk Profile of the Scheme | <p>Investments in Mutual Fund Units involves investment risks such as trading volumes, settlement risk, liquidity risk, default risk including the possible loss of principal.</p> <p>The scheme specific risk are as follow:</p> <ul style="list-style-type: none">• The Scheme will invest in the units of Quantum Nifty 50 ETF (Q Nifty), a mutual fund scheme Replicating / Tracking Nifty 50 Index - in the form of an Exchange Traded Fund. The Scheme’s performance may depend upon the performance of Q Nifty. Any change in the investment policy or the fundamental attributes of Q Nifty could affect the performance of the Scheme. All risks associated with underlying scheme, including performance of their underlying stocks of Nifty 50 Index, asset class risk, passive investment risk, indirect taxation risk, etc., will therefore be applicable in this Scheme. Investors who intend to invest in the Scheme are required to and deemed to have understood the risk factors of the underlying scheme.• The Scheme’s NAV will react to the stock market movements, and movements in the NAV and tracking error of Quantum Nifty 50 ETF. The investor could lose money over short periods due to fluctuation in the Scheme’s NAV in response to factors such as economic and political developments, changes in interest rates and perceived trends in stock prices market movements, and over longer periods during market downturns.• Investors will bear the recurring expenses of the Scheme in addition to the expenses of Quantum Nifty 50 ETF that means investors’ are bearing expense ratio of two schemes. Thus, the Scheme returns may be lower than the returns investors may obtain by directly investing in the Quantum Nifty 50 ETF.• The Scheme’s performance may be impacted by exit loads that may be charged at the time of redemption from the Underlying Scheme.• The Portfolio disclosure of the Scheme will be limited to providing the particulars of the Quantum Nifty 50 ETF where the Scheme has invested and will not include the investments made by Quantum Nifty 50 ETF. <p>Please read the Scheme Information Document for Standard Risk Factors, Risk Factor – Quantum Nifty 50 ETF, Risk Associated with Investing in Tri-Party Repo through CCIL, Risk Associated with holding Government Securities & Treasury Bill, Risk Associated with Investing in Liquid Schemes.</p> | | | | | | | | | | | | | | | |

Risk Management Strategies

Risk is an important part of the investment functions. Effective Risk Management is critical to Fund Management for achieving financial goals. Investments made by the Scheme shall be made in accordance with Investment Objective of the Scheme and provisions of SEBI (Mutual Funds) Regulations.

The Fund has identified following Risk and designed Risk Management Strategies, which is the part of the Investment Process to manage such risks.

| Type of Risks | Risk Management Strategies |
|---|--|
| Risk of deviating away from the investment objective of tracking Nifty 50 Total Index prices through investments in units of Quantum Nifty 50 ETF | <p>The approach adapted to managing the Scheme would be a passive investment approach. The Scheme endeavors to stay near fully invested at all times.</p> <p>Brokerage paid for buying units on the exchange may also lead to increase in deviation. To mitigate the same, the Scheme will analyze from time-to-time different ways of taking exposure to units of Quantum Nifty 50 ETF from the perspective of risk and return and decide the same in the best interest of investors. For e.g.: Investing directly with the fund by cash creation / redemption in creation size whenever possible will help to avoid payment of double brokerage charges.</p> <p>However, the bid ask spreads in the underlying scheme units and time lag for availability of fund for deployment may lead to small deviations.</p> |
| Price Risk | <p>Buying / selling on the exchange: On account of the usual bid-ask spread, the Scheme may pay / receive premium / discount while purchasing / selling the underlying scheme units on the exchange.</p> <p>The fair value of the units of the Quantum Nifty 50 ETF would be calculated on a real time basis and orders would be placed near the real time fair value to avoid overpaying while purchases or receiving less while selling other than a reasonable bid ask spread.</p> <p>Buying / selling directly through the Quantum Nifty 50 ETF</p> <p>In case fund may opt to transact directly with the AMC for subscription/ redemption in creation size, there is risk involved of difference between actual transaction cost (Real time NAV) and End of Day applicable NAV given to investor. This will lead positively / negatively to the NAV of the fund and Tracking Error.</p> |
| Liquidity Risk | <p>It is found that sufficient liquidity is available in the market in the units of Quantum Nifty 50 ETF.</p> <p>In case of liquidity issues, the fund can opt for the alternative way of buying / selling units of the underlying scheme in the stock exchange. The underlying scheme units can then be bought / sold in order to deploy funds or to raise cash for redemptions.</p> |

Plans and Options

The Scheme offers two plans:

- (i) Direct Plan
- (ii) Regular Plan

Each Plan offers Growth Option.

Investor should indicate the Direct / Regular Plan for which the subscription is made by indicating the choice in the application form. In case of valid application received without indicating any choice of plan then the application will be processed for plan as under:

| Scenario | Broker Code mentioned by the investor | Plan mentioned by the investor | Default Plan to be captured |
|----------|---------------------------------------|--------------------------------|-----------------------------|
| 1 | Not mentioned | Not mentioned | Direct Plan |
| 2 | Not mentioned | Direct | Direct Plan |
| 3 | Not mentioned | Regular | Direct Plan |
| 4 | Mentioned | Direct | Direct Plan |
| 5 | Direct | Not mentioned | Direct Plan |
| 6 | Direct | Regular | Direct Plan |
| 7 | Mentioned | Regular | Regular Plan |
| 8 | Mentioned | Not mentioned | Regular Plan |

In cases of wrong/invalid/incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application.

Risk-o-Meter & Other Disclosures

The Risk-o-meter shall have following six levels of risk:

- i. Low Risk
- ii. Low to Moderate Risk
- iii. Moderate Risk
- iv. Moderately High Risk
- v. High Risk and
- vi. Very High Risk

The evaluation of risk levels of a scheme shall be done in accordance with pursuant to para-No. 17.4 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023.

Any change in risk-o-meter shall be communicated by way of Notice cum Addendum and by way of an e-mail or SMS to unitholders. The risk-o-meter shall be evaluated on a monthly basis and the risk-o-meter alongwith portfolio disclosure shall be disclosed on the AMC website as well as AMFI website within 10 days from the close of each month.

The AMC shall disclose the risk level of schemes as on March 31 of every year, along with number of times the risk level has changed over the year, on its website and AMFI website.

The Scheme Summary Document of the Scheme will be uploaded and available on the websites of the AMC, AMFI and Stock Exchanges.

To enhance investor awareness and information dissemination to investors, SEBI prescribes various additional disclosures to be made by Mutual Funds from time to time on its website/on the website of AMFI, stock exchanges, etc.

These disclosures include Scheme Summary Documents, Investor charter (which details the services provided to Investors, Rights of Investors, various activities of Mutual Funds with timelines, DOs and DON'Ts for Investors, Grievance Redressal Mechanism, etc.) Investors may refer to the same.

Applicable NAV

The cut-off times for determining Applicable NAV's for subscription, redemptions and switches to be made at the Investor Service Centres/ Official Points of Acceptance from time to time are as per the details given below:

Subscription/Purchase including SWITCH-INS:

- In respect of valid application received upto 3.00 p.m. on a Business Day at the official point(s) of acceptance and funds for the entire amount of subscription/ purchase (including switch in) as per the application are credited to the bank account of the Scheme and are available for utilization before the cut-off time (3.00 p.m.), the closing NAV of the day shall be applicable;
- In respect of valid application received after 3.00 p.m. on a Business Day at the official point(s) of acceptance and funds for the entire amount of subscription / purchase (including switch in) as per the application are credited to the bank account of the Scheme on the same day or before the cut-off time of the next business day i.e. funds are available for utilization before the cut-off time (3.00 p.m.) of the next Business Day, the closing NAV of the next Business Day shall be applicable;
- However, irrespective of the time of receipt of application at the official point(s) of acceptance, where the funds for the entire amount of subscription/ purchase (including switch in) as per the application are credited to the bank account of the Scheme on or before the cut-off time of the subsequent Business day i.e. the funds are available for utilisation before the cut-off time of the subsequent Business day, the closing NAV of the such subsequent Business Day shall be applicable. It may be noted that in case of Systematic Investment Plan (SIP) and Systematic Transfer Plan (STP) the units will be allotted based on the funds available for utilization by the respective schemes / target schemes irrespective of the installment date of the SIP or STP.

REDEMPTIONS INCLUDING SWITCH-OUTS:

- In respect of valid applications received up to 3 p.m. on a Business Day - the closing NAV of the day of receipt of application shall be applicable.
- In respect of valid applications received after 3 p.m. on a Business Day - the closing NAV of the next Business Day shall be applicable.

Minimum Application Amount/ Number of Units (under each plan / option)

| Purchase/Switch Ins | Additional Purchase | Repurchase /Redemption/Switch Outs |
|---|--|--|
| ₹500/- and in multiples of Re. 1/- thereafter | ₹500/- and in multiples of Re. 1/- thereafter / 50 Units | ₹500/- and multiples of Re. 1 thereafter or account balance whichever is less / 50 units |

Systematic Investment Plan (SIP) & Systematic Transfer Plan (STP):

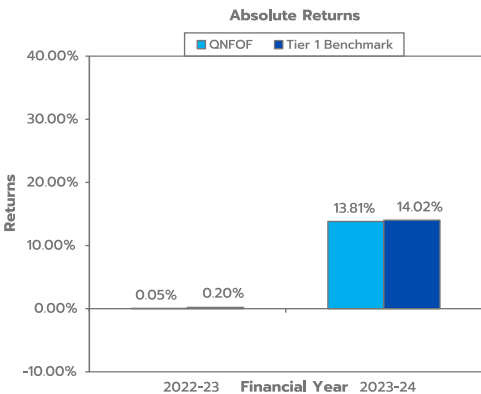
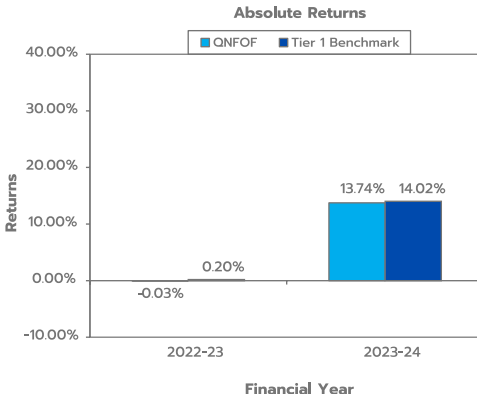
- Daily – ₹100 and multiple of Re. 1 thereafter
- Weekly / Fortnightly / Monthly / Quarterly – ₹500 and in multiples of Re. 1 thereafter

Systematic Withdrawal Plan (SWP):

Weekly / Fortnightly / Monthly / Quarterly – ₹500 and in multiples of Re. 1 thereafter

Dispatch of Repurchase (Redemption) Request

The redemption or repurchase will be paid after 1 day of payout schedule of the underlying scheme. The scheme being Domestic Fund of Fund scheme, the Scheme will receive the redemption payment within 3 working days from the underlying scheme. According, the redemption or repurchase proceed shall be credited to the registered bank account within 4 working days in case of electronic payment and in case of physical payout within 6 working days from the date of valid redemption or repurchase request in accordance with AMFI Letter No. AMFI/ 35P/ MEM-COR/ 74 / 2022-23 dated January 16, 2023 - timelines for redemption of units stipulated in SEBI Master circular dated May 19, 2023 – List of exceptional situations and additional timelines for redemption payment.

| | | | |
|---|---|------------------|---|
| Benchmark Tier 1 Index | Nifty 50 - Total Return Index. | | |
| Income Distribution Policy | Not Applicable, as the Scheme does not have Income Distribution Cum Capital Withdrawal Option | | |
| Name of the Fund Manager & Tenure of Managing the Scheme | Mr. Hitendra Parekh: Managing since August 05, 2022 (1 year 1 month) | | |
| Name of the Trustee Company | Quantum Trustee Company Private Limited | | |
| Performance of the Scheme (as on September 29, 2023) | Quantum Nifty 50 ETF Fund of Fund - Direct Plan - Growth Option | | |
| | Period | Scheme Returns % | Tier – I Benchmark Returns % (NIFTY 50 TRI) |
| | September 30, 2022 to September 29, 2023 (1 year) | 15.80% | 16.11% |
| | Since Inception* | 11.92% | 12.24% |
| | Past performance may or may not be sustained in the future. | | |
| | *Annualized Date of Inception August 05, 2022. | | |
| | Quantum Nifty 50 ETF Fund of Fund - Regular Plan – Growth Option | | |
| | Period | Scheme Returns % | Tier – I Benchmark Returns % (NIFTY 50 TRI) |
| | September 30, 2022 to September 29, 2023 (1 year) | 15.66% | 16.11% |
| | Since Inception* | 11.78% | 12.24% |
| Past performance may or may not be sustained in the future. | | | |
| *Annualized Date of Inception August 05, 2022. | | | |
| Absolute Returns from August 05, 2022 to September 30, 2023. Quantum Nifty 50 ETF Fund of Fund - Direct Plan - Growth Option | | | |
|  | | | |
| Date of Inception: August 05, 2022 | | | |
| Past performance may or may not be sustained in future | | | |
| Absolute Returns from August 05, 2022 to September 30, 2023. Quantum Nifty 50 ETF Fund of Fund - Regular Plan - Growth Option | | | |
|  | | | |
| Date of Inception: August 05, 2022 | | | |
| Past performance may or may not be sustained in future | | | |
| Scheme Portfolio Holdings as on September 30, 2023 | (i) Top 10 Holdings by Issuer: | | |
| | Issuer | % to NAV | |
| | Quantum Nifty 50 ETF | 100.04% | |
| | TRI-Party Repo, cash & cash equivalents | -0.04% | |
| | Grand Total | 100.00% | |
| (ii) Fund Allocation Toward Various Sectors: | | | |
| Sector Allocation | % to NAV | | |
| Units of Quantum Mutual Fund Schemes | 100.04% | | |
| (iii) To obtain scheme's latest monthly portfolio please refer the following link | | | |
| https://www.quantumamc.com/portfolio/Combined/-1/1/0/0 | | | |
| Portfolio Turnover Ratio as on September 29, 2023 | Not Applicable | | |

| Expenses of the Scheme | (i) Load Structure | Type of Load | Load chargeable (as % of NAV) |
|---|-------------------------|--|--|
| | | Entry | Not Applicable Pursuant to para-No. 10.4.1 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023, there will be no entry load charged to the schemes of the Mutual Fund. |
| | | Exit Load | NIL |
| | (ii) Recurring expenses | <p>The AMC has estimated upto 1% of the total expense ratio will be charged to the Scheme as permitted under Regulation 52 of SEBI (Mutual Funds) Regulations, 1996. . For actual current expense being charged, the investor should refer to the website of the Mutual Fund viz. www.QuantumAMC.com. The Fund would update the current expense ratio of the scheme on the website at least three working days prior to the effective date of the change. Investor can refer www.quantumamc.com/total-expense-ratio for total expense ratio details. Additionally, the Fund will disclose the Total Expense Ratio (TER) of the Scheme on daily basis on the website of AMFI (www.amfiindia.com).</p> <p>As per Regulation 52 (6) (a)(i), the total expense ratio of the scheme including weighted average of the total expense ratio of the underlying schemes shall not exceed 1.00 per cent of the daily net assets of the Scheme. Provided that the total expense ratio to be charged over and above the weighted average of the total expense ratio of the underlying scheme shall not exceed two times the weighted average of the total expense ratio levied by the underlying scheme(s), subject to the overall ceiling of 1.00 percent as stated above.</p> <p>Goods and Services Tax and Statutory Levies, if any on Investment Advisory fees will be charged to scheme within the overall limit of expense as permitted under the SEBI Regulations.</p> <p>No additional expenses which is allowed to charge in terms of SEBI Regulations 52 (6A), (b) & (c) shall be charged to the Scheme.</p> <p>Actual Management Fees and Other Expenses incurred from 1st April 2023 to 30th September 2023.</p> <p>Direct Plan – ₹54,327 (0.06%) Regular Plan – ₹7,943 (0.18%)</p> | |
| Transaction Charges | | Pursuant to para-No. 10.5.1 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023, the AMC is allowed to deduct transaction charges of ₹100 for existing investors and ₹150 for a first time investor per subscription of ₹10,000 /- and above for the transaction / application received through distributors. The transaction charges shall be deducted by the AMC from the subscription amount received from the investor and paid to the distributor and the balance will be invested in the Scheme. Investors are requested to note that no transaction charges shall be deducted from the investment amount for transactions / applications received from the distributor (i.e. in Regular Plan) and full subscription amount will be invested in the Scheme. | |
| Waiver of Load for Direct Applications | | Not Applicable Pursuant to para-No. 10.4 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023, no entry load shall be charged for all mutual fund schemes. Therefore, the procedure for waiver of load for direct applications is no longer applicable. | |
| Tax treatment for the Investors (Unitholders) | | Investors are advised to refer to the details in the Scheme Information Document & Statement of Additional Information and also independently refer to his/her/their tax advisor. | |
| Daily Net Asset Value (NAV) Publication | | <p>NAV shall be calculated and disclosed on every Business Day. AMC shall update the NAV under separate head on the website of the Fund (www.QuantumAMC.com) and on the website of Association of Mutual Funds in India www.amfiindia.com by 10.00 a.m. of the next business day.</p> <p>Investors may obtain latest NAV through SMS by a specific request to the AMC.</p> | |
| For Investor Grievances please contact | | <p>Registrars & Transfer Agent: KFin Technologies Limited Unit: Quantum Mutual Fund, Selenium Tower B, Plot number 31 & 32, Financial District, Nanakramguda Serilingampally Mandal, Hyderabad - 500032, Phone: +91 – 040-23312454</p> | <p>Investor may contact the AMC for any Queries / Clarifications / Complaints to Mr. Chandrasen Manjrekar – Vice President Customer Relations at Email – CustomerCare@QuantumAMC.com, Telephone number – 1800 209 3863 / 1800 22 3863 (Toll Free).</p> <p>Ms. Rina Nathani – Investor Relation Officer (IRO) can be contacted at Quantum Asset Management Company Private Limited, 6th Floor, Hoechst House, Nariman Point, Mumbai – 400021, Telephone Number 022-61447800, Email IRO@Quantumamc.com</p> <p>For any grievances with respect to transactions through Stock Exchange Platform for Mutual Funds, the investors should approach either the stockbroker or the investor grievance cell of the respective stock exchange.</p> <p>The AMC has Grievance Policy which is available on AMC website https://www.quantumamc.com/policy</p> |

Unitholders' Information

Account Statement/Allotment Confirmation:

On acceptance of the application for subscription, an allotment confirmation specifying the number of units allotted by way of email and/or SMS or issue units in the dematerialized form as soon as possible but not later than 5 Business Days from the date of receipt of transaction request. The allotment confirmation will be sent to the applicant's registered e-mail address and/or mobile number. Investors / unit holders are therefore requested to provide their email id and mobile number in the application form at the time of subscription.

Thereafter, a Consolidated Account Statement for each calendar month shall be sent by mail / email on or before 15th of the succeeding month to the unit holders by the Depository for unit holders having Mutual Fund investments and holding demat account and by the AMC / Registrar for unit holders having Mutual Fund investments but do not have demat account for each calendar month in whose folios transactions have taken place during the month. The CAS shall contain details relating to all the transactions carried out by the investor / unit holder across all schemes of all mutual funds or transactions in demat account during the month and holding at the end of the month. Such transactions in mutual funds shall include purchase, redemption, switch, IDCW payout, IDCW reinvestment, systematic investment plan, systematic withdrawal plan, systematic transfer plan transactions. The CAS shall also include the total purchase value / cost of investment in each scheme.

The CAS issued for the half year (September / March) shall include:

- The amount of actual gross commission paid to the distributors (in absolute terms) during the half year period against the concerned investors /unit holders total investments in each scheme.
- Average Total Expense Ratio of the scheme (in percentage terms) for the half-year period for each Scheme's applicable plan (regular or direct or both) where the concerned investor / unit holder has actually invested in.

The half-yearly CAS shall not be issued to those investors who do not have any holdings in Schemes and where no commission against their investment has been paid to distributors, during the concerned half-year period.

For the purpose of sending the CAS, common investors / unitholders with the same holding pattern of the folio across mutual funds / depository shall be identified by their Permanent Account Number (PAN). In case of multiple holdings, it shall be identified on the basis of PAN of first holder and pattern of holding.

The CAS shall not be received by the Unit holders for the folio(s) not updated with PAN details. The unit holders are therefore requested to ensure that the folio(s) are updated with their PAN.

In the event of the folio is having more than one registered / joint holders, the CAS shall be sent to the first named unit holder and not to other registered / joint holders.

The CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September/ March), shall be sent by mail/e-mail as per the timeline specified by the SEBI from time to time i.e. on or before 21st day of succeeding month by the AMC / Registrar to all such investors / unit holders which do not have demat account and in whose folio no transactions has taken place during the period.

The CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September / March), shall be sent by mail/ email as per the timeline specified by the SEBI from time to time i.e. on or before 21st day of succeeding month by the Depositories to all such investors / unit holders which has demat account with nil balance and no transaction in securities or in folio has taken place during the period.

The investor may request for a physical Account Statement by writing / calling to the AMC / Investor Service Center / Registrar & Transfer Agent. The AMC and Mutual Fund shall provide the physical Account Statement to the investor within 5 Business Days from the receipt of such request without any charges.

Annual Report:

The AMC / Mutual Fund shall be sent the Scheme wise annual report or an abridged summary thereof within four months from the date of closure of the relevant accounting year i.e. March 31 each year to all investors / unit holders as per the following mode:

- (i) by e-mail to the investors / Unit holders whose e-mail address is available with the AMC / Fund.
- (ii) in physical form to the investors / Unit holders whose email address is not registered with the AMC / Fund and/or those Unit holders who have opted / requested for the same.

The physical copies of the scheme wise annual report or abridged summary shall be made available to the investors / unit holders at the registered office of the AMC. A link of the scheme annual report or abridged summary thereof shall be displayed prominently on the website of the Fund and shall also be displayed on the website of Association of Mutual Funds in India.

The AMC / Mutual Fund shall publish an advertisement every year disclosing the hosting of the scheme wise annual report on their respective website and on the website of AMFI and the modes such as SMS, telephone, email or written request (letter), etc. through which unitholders can submit a request for a physical or electronic copy of the scheme wise annual report or abridged summary thereof. Such advertisement shall be published in the all India edition of at least two daily newspapers, one each in English and Hindi.

Monthly/Half Yearly Portfolio Disclosures:

The Fund will disclose portfolio (along with ISIN) in user friendly & downloadable spreadsheet format, as on the last day of the month / half year for the scheme on its website www.QuantumAMC.com & on the website of AMFI www.amfiindia.com within 10 days from the close of each month / half year.

In case of unitholders whose email addresses are registered, the Fund will send via email both the monthly and half yearly statement of scheme portfolio within 10 days from the close of each month / half year respectively. The Fund will publish an advertisement every half-year in the all India edition of at least two daily newspapers, one each in English & Hindi, disclosing the hosting of the half yearly statement of the scheme's portfolio on the AMC's website www.QuantumAMC.com & on the website of AMFI www.amfiindia.com. The Fund will provide physical copy of the statement of scheme portfolio without any cost, on specific request received from a unitholders.

Half Yearly Results:

The Fund shall within one month from the close of each half year, (i.e. 31st March and on 30th September), host a soft copy of its unaudited financial results on its website (www.QuantumAMC.com). Further, the Fund shall publish an advertisement disclosing the hosting of such unaudited half yearly financial results on their website, in at least one national English daily newspaper having nationwide circulation and in newspaper having wide circulation published in the language of the region where the Head Office of the Mutual Fund is situated.

Email ID and Mobile Number for communication:

Primary holders should provide their own email address and mobile number for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent transactions.

Dated: October 31, 2023

Comparison Chart

| Name of the Scheme | Asset Allocation Pattern | | | | Primary Investment Pattern | Differentiation | AUM ₹in Crore (As on Sep 30, 2023) | No. of Folios (As on Sep 30, 2023) | |
|-------------------------------------|---|--|--------------------------------------|--------------------------------------|---|---|---|------------------------------------|--------|
| Quantum Long Term Equity Value Fund | Type of Instruments | | Normal allocation (% of Net Assets) | Minimum allocation (% of Net Assets) | Maximum allocation (% of Net Assets) | To invest in shares of companies included in BSE-200 Index. | An Open-ended Equity Scheme following a value investment strategy | 924.37 | 24,015 |
| | Listed Equity & Equity Related Securities of Companies | | 95% to 99% | 65% | 99% | | | | |
| | Unlisted Equity & Equity Related Securities of Companies | | 0% to 3% | 0% | 5% | | | | |
| | Money Market Instruments | | 1% to 5% | 1% | 35% | | | | |
| | Liquid Schemes of Mutual Funds | | 0% to 5% | 0% | 5% | | | | |
| Quantum Liquid Fund | Type of Instruments | | Normal Allocation (% of Net Assets) | | To invest in Debt & Money Market Instruments. | An Open-ended Liquid Scheme. A relatively low interest rate risk and relatively low credit Risk. | 596.14 | 5,818 | |
| | Money Market Instruments and other short term debt instruments having maximum re-pricing tenor of not more than 91 days in maturity | | 100% | | | | | | |
| Quantum Gold Fund ETF | Type of instruments | | Normal Allocation (% of Net Assets) | | To invest in Physical Gold. | An Open Ended Scheme Replicating / Tracking Gold | 160.74 | 26,036 | |
| | | | Minimum Allocation (% of Net Assets) | Maximum Allocation (% of Net Assets) | | | | | |
| | Physical Gold | | 95% | 100% | | | | | |
| | Money Market Instruments | | 0% | 5% | | | | | |
| Quantum Nifty 50 ETF | Type of instruments | | Normal Allocation (% of Net Assets) | | To invest in stocks of companies comprising Nifty 50 Index. | An open ended Scheme Replicating / Tracking Nifty 50 Index | 49.71 | 1,411 | |
| | | | Minimum Allocation (% of Net Assets) | Maximum Allocation (% of Net Assets) | | | | | |
| | Securities covered by the Nifty 50 Index | | 95% | 100% | | | | | |
| | Money Market Instruments | | 0% | 5% | | | | | |
| Quantum Tax Saving Fund | Type of instruments | | Normal Allocation (% of Net Assets) | | To invest in shares of companies included in BSE-200 Index. | An Open-ended Equity Linked Saving Scheme with a statutory lock in of 3 years and tax benefit. | 140.32 | 17,070 | |
| | | | Minimum Allocation (% of Net Assets) | Maximum Allocation (% of Net Assets) | | | | | |
| | Equity and Equity-related Securities | | 80% | 100% | | | | | |
| | Debt and Money Market Instruments | | 0% | 20% | | | | | |
| Quantum Equity Fund of Funds | Type of instruments | | Normal Allocation (% of Net Assets) | | To invest in a portfolio of open-ended diversified equity schemes of mutual funds registered with SEBI. | An Open Ended Fund of Funds Scheme investing in Open Ended Diversified Equity Schemes of Mutual Funds | 101.63 | 5,574 | |
| | | | Minimum Allocation (% of Net Assets) | Maximum Allocation (% of Net Assets) | | | | | |
| | Open-ended diversified equity schemes of mutual funds registered with SEBI | | 95% | 100% | | | | | |
| | Money Market Instruments and Liquid Schemes of Mutual Funds | | 0% | 5% | | | | | |
| Quantum Gold Savings Fund | Type of instruments | | Normal Allocation (% of Net Assets) | | To invest in the units of Quantum Gold Fund ETF. | An Open ended Fund of Fund Scheme investing in Quantum Gold Fund. | 87.42 | 6,801 | |
| | | | Minimum Allocation (% of Net Assets) | Maximum Allocation (% of Net Assets) | | | | | |
| | Units of Quantum Gold Fund ETF | | 95% | 100% | | | | | |
| | Money Market instruments, Short-term Corporate debt securities, Tri-Party Repo and units of Debt and Liquid Schemes of Mutual Funds | | 0% | 10% | | | | | |
| Quantum Multi Asset Fund of Funds | Type of instruments | | Normal Allocation (% of Net Assets) | | To invest in the units of Equity, Debt / Money Markets and Gold schemes of Quantum Mutual Fund. | An Open-ended Fund of Funds Scheme investing in schemes of Quantum Mutual Fund. | 54.10 | 2,858 | |
| | | | Minimum Allocation (% of Net Assets) | Maximum Allocation (% of Net Assets) | | | | | |
| | Units of Equity Schemes | | 25% | 65% | | | | | |
| | Units of Debt / Money Market Schemes | | 25% | 65% | | | | | |
| | Units of Gold Scheme | | 10% | 20% | | | | | |
| | Money Market instruments, Short-term Corporate debt Securities, Tri-Party Repo, Repo / Reverse Repo in Government Securities and treasury bills only. | | 0% | 5% | | | | | |
| Quantum Dynamic Bond Fund | Type of instruments | | Normal Allocation (% of Net Assets) | | To generate income and capital appreciation through active management of portfolio consisting of short term and long term debt and money market instrument. | An Open-ended Dynamic Debt Scheme Investing Across Duration. A relatively high interest rate risk and relatively low credit risk. | 91.29 | 1,526 | |
| | | | Minimum Allocation (% of Net Assets) | Maximum Allocation (% of Net Assets) | | | | | |
| | Government Bond / Bill | | 25% | 100% | | | | | |
| | PSU Bond | | 0% | 50% | | | | | |
| | Certificate of Deposits/ Commercial Paper/ Short Term Debt Instrument | | 0% | 75% | | | | | |
| | Tri-Party Repo / Repos | | 0% | 100% | | | | | |
| Quantum India ESG Equity Fund | Type of instruments | | Normal Allocation (% of Net Assets) | | Invests in shares of companies that meet Quantum's Environment, Social and Governance (ESG) criteria. | An Open ended equity scheme investing in companies following Environment, Social and Governance (ESG) theme. | 73.31 | 7,717 | |
| | | | Minimum Allocation (% of Net Assets) | Maximum Allocation (% of Net Assets) | | | | | |
| | Equity & Equity Related Instruments of Companies following ESG Criteria | | 80% | 100% | | | | | |
| | Money Market Instruments & Liquid Schemes of Mutual Funds | | 0% | 20% | | | | | |
| Quantum Nifty 50 ETF Fund of Fund | Type of instruments | | Normal Allocation (% of Net Assets) | | To invest in the units of Quantum Nifty 50 ETF | An open ended fund of fund scheme investing in units of Quantum Nifty 50 ETF | 21.88 | 5,752 | |
| | | | Minimum Allocation (% of Net Assets) | Maximum Allocation (% of Net Assets) | | | | | |
| | Units of Quantum Nifty 50 ETF | | 95% | 100% | | | | | |
| | Money Market Instrument | | 0% | 5% | | | | | |

Dated: October 31, 2023

INSTRUCTIONS FOR FILLING UP THE APPLICATION FORM

1. Scheme features and investment details

QUANTUM NIFTY 50 ETF FUND OF FUND

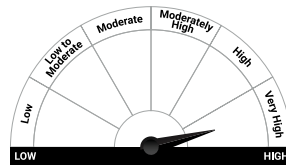
(An Open Ended Fund of Fund Scheme Investing in units of Quantum Nifty 50 ETF)

This product is suitable for investors who are seeking*

- Long term capital appreciation
- Investments in units of Quantum Nifty 50 ETF – Exchange Traded Fund

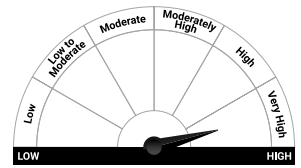
Tier I Benchmark: Nifty 50 TRI

RISK-O-METER OF SCHEME



Investors understand that their principal will be at Very High Risk

RISK-O-METER OF BENCHMARK



*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

INSTRUCTIONS FOR FILLING UP THE APPLICATION FORM Continued...

| | |
|---|--|
| 2. General Instructions | <p>a) Please read the Key Information Memorandum, Scheme Information Document (SID) of the respective scheme(s) and Statement of Additional Information (SAI) containing the terms of offer carefully before investing.</p> <p>b) Please Tick (✓) in the appropriate box (☐), where boxes have been provided.</p> <p>c) Application Form should be filled legibly in ENGLISH in BLOCK letters using Black or Dark Blue ink. Incomplete application forms are liable to be rejected. Please refer to the checklist at the end of the application form to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and / or rejection of your application.</p> <p>d) Please strike out any section that is not applicable. Correction/Cancellation on any of the information should be countersigned by the investor.</p> <p>e) All applicants are deemed to have accepted the terms subject to which the offer is being made and bind themselves to the terms upon signing the Application Form and tendering the payment.</p> <p>f) In case of applications under Power of Attorney or by a Limited Company or by a Corporate Body or Eligible Institution or a Registered Society or a Trust or a Fund the original Power of Attorney or the certified copy thereof duly notarised and the relevant resolution or authority to make the application, as the case may be including authority granted in favour of the officials signing the application and their specimen signature etc., or duly certified copy thereof alongwith a certified copy of the Memorandum and Articles of Association and/or bye-laws and/or trust deed and/or partnership deed and certificate of registration must be lodged at the office of the Registrar, quoting the serial number of application simultaneously with the submission of the Application Form, failing which the application is liable to be rejected.</p> <p>g) All communications and payments will be made to the sole/first applicant.</p> <p>h) While processing the redemption/switch out request in case Quantum AMC/Registrar come across a signature mismatch, then Quantum AMC/Registrar reserves the right to process the redemption/switch only on the basis of supporting documents confirming the identity of the investors. List of such documents would be notified by Quantum AMC from time to time.</p> <p>i) Investors who wish to make Systematic Investment Plan (SIP) will need to complete and submit the Application Form along with the SIP Application Form.</p> <p>j) Quantum Mutual Fund does not levy any transaction charges to pay to the distributors.</p> <p>k) Direct Plan: An investor who has made the investment directly from the Mutual Fund or through RIA (mentioned RIA code) needs to select the Plan as 'Direct'.</p> <p>Regular Plan: Any investment made through a distributor by mentioning valid distributor details, need to select the Plan as 'Regular'. The AMC will be paying commission to the distributor for such transactions.</p> <p>Investors are requested to note that, under the Income Distribution cum Capital Withdrawal Option/ Facility, the amounts can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains.</p> |
| 3. Existing Unitholder Information | <p>An existing investor should mention the correct Folio Number & go directly to Section (Scheme Details). Investors should note that there will be no change to the existing Investor Profile Details mentioned in the Existing Folio.</p> |
| 4. A) Permanent Account Number (PAN) | <p>Permanent Account Number (PAN) issued by the Income Tax Authorities has been made mandatory for all the applications received in the Scheme, irrespective of the amount of transaction (except MICRO Investments). Accordingly it is mandatory for investor's to provide their PAN along with self-attested copy of PAN Card while making an application for Purchase of Units. In case of joint holding, PAN details of all holders should be submitted. In case the application is on behalf of minor /PoA holder, PAN details of the Guardian/ PoA holder must be submitted. PAN is not mandatory in the case of applicant resident in the State of Sikkim, Central Government, State Government entities and the officials appointed by the courts e.g. Official liquidator, Court receiver etc (under the category of Government); however sufficient documentary evidence shall have to be submitted for verifying that they are residents of the State of Sikkim. Applications without the aforesaid details are liable to be rejected without any reference to the investors. The PAN Exempt KYC Registration Number (PEKRN) needs to be provided in case of PAN exempt cases.</p> |
| B) Know Your Customer (KYC) | <p>According to SEBI Guidelines under 'The Prevention of Money Laundering Act, 2002, Mutual Funds are required to follow enhanced Know Your Customer (KYC) norms. Accordingly, it will be mandatory for all categories of investors to be KYC Compliant, irrespective of the amount of investment. Categories include:</p> <p>i. Individual investors.</p> <p>ii. Non-individual investors such as Companies, Body Corporates, Association of Persons, Banks, Financial Institutions, Funds, Trusts, Societies, Hindu Undivided Family (HUF), Partnership Firms, Foreign Institutional Investors, Limited Liability Partnerships etc.</p> <p>iii. Non-Resident Investors (NRIs), including Persons of Indian Origin (PIOs).</p> <p>The above category of investors for the purpose of KYC compliance shall include their constituted Power of Attorney (POA) holder, in case of investment through a POA and each of the applicants, in case of application in joint names and also the guardian in case of a minor. Investors investing through Micro Investments investors residing in the state of Sikkim or UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India or in case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc. are exempt from the mandatory requirement of PAN proof submission, however they are required to mandatorily submit KYC Acknowledgment copy while making an investment in Quantum Mutual Fund. Please refer to our website www.QuantumAMC.com and AMFI website www.amfiindia.com and SEBI registered intermediaries for KYC compliance where the completed forms and the relevant documents are to be submitted. Applications for subscriptions without a valid KYC Compliance for the relevant category of investors may be rejected.</p> |

In case during first time purchase the investor's KYC verification/ Compliance is under process, subsequent purchase shall not be permitted till the investor is KYC Compliant.

In the event of non compliance of KYC requirements, Quantum AMC reserves the right to freeze the folio of the investor(s) for any kind of transactions or affect mandatory redemption of unit holdings of the investors at the Applicable NAV, subject to payment of exit load, if any. Investors, who have obtained MIN allotment letter by submitting the PAN copy, are deemed to be KYC compliant. Investors should note that on completion of KYC Compliance address details of the investor in the Mutual Fund records will be replaced by the details as given in KYC Application Form by the investor to the KRA. Any change in this details like change of Name / Address /Status, etc. should be given by Investor directly to KRA in the prescribed Change request form quoting PAN number. Quantum AMC reserves the right to call for any additional information from the investors/ applicant/ reject applications/subsequent application in order to fulfill the requirements of PMLA norms prescribed by SEBI/PMLA Regulations from time to time.

Quantum AMC further reserves the right to source data/ documents/ information/ specimen signature from third party/ KRA and that Quantum AMC has the right to use the same/ specimen signature for validation to process any future transactions that are submitted by the investor.

5. Employee Unique Identification Number (EUIIN)

Investor investing through Intermediary shall mandatorily mention the EUIIN on the application form, irrespective whether he/she has been advised by Sales person/ Employee/ Relationship manager of the Intermediary or not. However, in case of any exception cases, where there is no interaction by Sales person / Employee / Relationship manager of the intermediary with respect to the investment / transaction, the EUIIN box may be left blank. If left blank, However, in case of any exceptional cases where there is no interaction by the employee/sales person/ relationship manager of the distributor/sub broker with respect to the transaction, investors are required to provide a duly signed declaration to this effect, as given in the Form.

It is mandatory to obtain EUIIN for every Sales person / Employee / Relationship manager of the Intermediary for dealing (irrespective whether transactions are in the nature of execution or advisory) in mutual fund products. EUIIN will assist in tackling the problem of mis-selling even if the employee/relationship manager/ sales person leaves the employment of the ARN holder / sub broker.

6. Applicant Information

- a. Name should be given in full without any abbreviations. Preferably write exactly as it appears in your Bank Account & KYC records or as it appears in the incorporation document or demat account as the case may be.
- b. Name, Date of birth of the Minor, Name of Parent/Guardian and relationship with minor is mandatory for investment on behalf of a Minor applicant, proof of date of birth and relationship proof is mandatory.
- c. Name of the Contact Person, email and Telephone no. should be mentioned in case of investments by Company, Body Corporate, Trust, Society, FII and other non-individual applicants.
- d. The signature should be in English or in any of the Indian languages. Thumb Impressions must be attested by a magistrate or a notary public or a special executive magistrate under his/her official seal. Application by minor should be signed by the guardian. In case of H. U. F., the Karta should sign on behalf of the H. U. F.
- e. The designated Investor Service Center/ Official Point of Acceptance will affix time stamp/manual stamp and return the acknowledgement slip from the application form, to acknowledge receipt of the application. No separate receipt will be issued for the application money.
- f. Please fill in all the fields to prevent rejection of your Application Form. Please refer to the checklist provided at the end of the Application Form to ensure that the necessary details and attachments are made available. The application complete in all respects along with the cheque/ fund transfer instructions must be submitted to the nearest designated Investor Service Center. Official Points of Acceptance Applications which are incomplete, invalid in any respect or not accompanied by cheque or fund transfer instructions for the amount payable are liable to be rejected.
- g. Investors must write the Application Form number / Folio number on the reverse of the cheques accompanying the Application Form.
- h. Name and address must be given in full (P.O. Box Address alone is not sufficient). In case of NRIs/FIIs 'Overseas Address' should also be provided failing which application may be rejected. In addition, Indian address should be stated for correspondence.
- i. Differently abled here refers to people with Blindness, Low vision, Leprosy-cured, Hearing impairment, Loco motor disability, Mental retardation, Mental illness etc. as defined under the Persons with Disabilities Act, 1995, which ensures Participation and Equality of the People with Disabilities. This is as per the definition laid down by the Department of Empowerment of Persons with Disabilities, Government of India. http://disabilityaffairs.gov.in/upload/uploadfiles/files/PWD_Act.pdf.
- j. Some additional details are required for validating and identifying for certain transactions / Communications. Hence please fill annual income, mobile number and email id in case of all applicants.
- k. Please provide email ID & Mobile Number, this will help us send investment / product related communication and resolve any queries more promptly. This is compulsory for all electronic/telecommunication facilities available now and to be introduced in future. Please declare if the Email Id and Mobile Number of the respective applicants belongs to Self / Spouse / Dependent Children / Dependent siblings / Dependent Parents / Guardian
- l. In the event the application has more than one investor and the mode of holding is not specified in the application form, the default option for holding would be considered to be "anyone or survivor". However, in all such cases, communications, proceeds of all income distribution/redemption will be paid to the first named holder.

| | |
|--|--|
| | <p>m. The minor shall be the first and the sole holder in an account. There shall not be any joint accounts with minor as the first or joint holder.</p> <p>n. Date of birth of the minor along with photocopy of supporting documents as enumerated below shall be mandatory while opening the account on behalf of minor:</p> <ol style="list-style-type: none"> 1. Birth certificate of the minor, or 2. School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., or 3. Passport of the minor, or 4. Any other suitable proof evidencing the date of birth of the minor. <p>o. Guardian in the folio on behalf of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian.</p> <p>p. If guardian is a legal guardian then a court order duly notarized copy is to be attached along with the application.</p> |
| 7. Power Of Attorney | Applicants intending to apply for units through a Power of Attorney (POA) must ensure that the issuer of the POA and the holder of the POA must attach proof of KYC Compliance to be provided at the time of investment. |
| 8. Online / Telephonic PIN | An online PIN is generated at the time of the online transaction for a new investment from our website / mobile application which is sent to the email id furnished while investing. It is used for making online transactions from our website like Insta-Redemption, Multiple Bank Account Registration, Nomination, Q-Mitra, and Folio Consolidation. You can also map your offline folio online using a PIN. It can be also generated online through our website, in case you are unable to recollect. There is a "TPIN" that can be used to know the folio details in the IVR. |
| 9. Electronic/ Telecommunication Facility | Electronic communication will be sent to folios where email id is available. Newsletters, addenda and other general information will be sent to you via email only. Physical copies of the same will not be sent. As per SEBI guidelines, Annual Report will be forwarded to the registered email id i.e. registered with the AMC. In case unitholder desires to receive physical copy of the same you need to tick on the box accordingly. |
| 10. Bank Account Details | <p>SEBI Regulations have made it mandatory for investors to mention the Bank Name & address of branch and Bank Account Number in their Investment application form in order to protect the interest of investors from fraudulent encashment of cheques. For registering multiple bank accounts please fill separate Form for Registering/ Adding/cancellation of Multiple Bank Accounts. Individuals / HUF can register upto 5 bank accounts and Non Individuals upto 10 bank accounts.</p> <p>Please attach either a Cancelled Cheque with first applicant name and account number pre-printed on the face of the cheque or a Bank Statement/ Certified bank passbook with current entries not older than 3 months or a Bank Letter/Certificate duly signed by Bank Branch Manager/Authorized Personnel. If photocopy of any document is submitted, the copy should be certified by the Bank Manager or self attested copy along with Original documents for verification.</p> <p>Additionally the AMC may call for any additional documents, wherever required on case to case basis."</p> <p>In case the investor is a minor, the bank account details for redemption purpose should be of the minor i.e. the minor should be an account holder in the bank account.</p> <p>PAYMENT OF REDEMPTION /INCOME DISTRIBUTION:</p> <p>In case of receipt of redemption request with new bank account there will be a cooling period of 10 calendar days for validation of new Bank mandate and dispatch of redemption proceeds shall be completed within 10 business days. In case the bank change request received along with the redemption request is invalid, then the change of bank will not be processed and redemption/income distribution proceeds, if any, will be processed as per the last registered Bank account in the folio.</p> <p>In the case of NRIs, payments shall be:</p> <ol style="list-style-type: none"> (i) Credited only to NRE account of the NRI investor where the payment for purchase of Units repurchased/ redeemed was made out of funds held in NRE account or (ii) Credited, at the NRI investor's option, to his/her NRO account, where the payment for the purchase of the Units repurchased /redeemed was made out of funds held in NRO account or (iii) Remitted abroad or at the NRI investor's option, credited to his/ its NRE/FCNR/NRO account, where the Units were purchased on repatriation basis and the payment for the purchase of Units repurchased / redeemed was made by inward remittance through normal banking channels or out of funds held in NRE/FCNR account. <p>Investors are requested to provide the following details along with the mandatory requirement of bank account details (bank, branch address, and account type and account no.) in the application form for electronic fund transfer (EFT) of income distribution / redemption amount to the unit holders bank account. Quantum AMC will automatically extend this facility to all unit holders in case the bank account as communicated by the unit holder is with any of the bank providing EFT facility.</p> <p>The 11 digit IFSC (Indian Financial System Code) is to be mandatorily given.</p> <p>Based on the above information AMC will enable secure transfer of redemption and income distribution via the various electronic modes of transfers (RTGS /NEFT / Direct Credit mode that are available in the banking system).</p> |

This facility of EFT is safe and fast and eliminates the potential risk of loss of instruments in transit through physical mode. The Mutual Fund, however, reserves the right to issue a cheque / demand draft to unit holders where this facility is not available. "If the remittance is delayed or not affected for reasons of incomplete or incorrect information, Quantum AMC cannot be held responsible". For validation of IFSC, investor shall attach the cancelled cheque/ copy of cheque. If these documents are not provided the fund will not be responsible for consequent delay in receipt of payment. Fund is also not responsible for bankers delay.

Systematic Investment Plan (SIP) - SIP facility is available only in respect of income distribution payments and not in the case of Redemption of Units. Based on the bank particulars, investors will receive a direct credit of the amount due to them in their notified account with intimation to the unit holder.

11. Mode Of Payment

1. Quantum Mutual Fund shall not accept applications for subscriptions with third party payment instruments.
2. Investors may make payment by single Cheque/ DD payable locally in the city where the application form is submitted at Quantum AMC/ K-Fin Technologies Collection Centers or electronic mode such as RTGS/NEFT directly to Mutual Fund Collection account.
3. The cheque should be drawn on any bank which is situated at and is a member/sub member of the bankers clearing house. Cheque drawn on the bank not participating in the clearing house will not be accepted.
4. Payment through Stock invest, outstation cheques and cash will not be accepted.
Bank charges for outstation demand drafts will be borne by the AMC and will be limited to the bank charges stipulated by the State Bank of India subject to a maximum limit of ₹50,000 per instrument. The AMC will not bear the bank charges for outstation demand drafts in case of investments in liquid fund and such charges will have to be borne by the investor. Outstation Demand Draft has been defined as a demand draft issued by a bank where there is no ISC/Points of Acceptance available for Investors.
5. The cheque should be drawn in favor of "Quantum Mutual Fund A/c PAN (number)" or Quantum_(Scheme name) A/c PAN (number)" and crossed 'Account Payee Only'.
6. Returned cheques will not be presented again for collection and the accompanying application will be rejected.
7. Single cheque for investments in multiple schemes and multiple cheques for investments in Single Scheme will not be accepted.
8. If the income distribution amount under Payout of IDCW Facility/ Option in QLF and QDBF is less than or equal to ₹500/- and ₹100/-respectively the same will be reinvested.
9. In case of demand drafts, unit holder should attach necessary supporting documents as required by the fund, like Bank certificate in original, self attested Bank Pass Book or statement to prove that the funds are debited from a Bank account held by the first Unit holder only else the fund reserves the right to reject the application or call for additional details.
10. **If paid by RTGS, Bank Account-to-Account Transfer, NEFT, ECS etc.:** Investors should attach to the purchase application form, an acknowledged copy of the instruction to the bank also stating the account number debited. The account number mentioned on the transfer instruction copy should be a registered bank account or the first named applicant/investor should be one of the account holders to the bank account. debited for such electronic transfer of funds.
11. **On Repatriation Basis:** In the case of NRIs including PIOs, payment may be made either by inward remittance through normal banking channels or out of funds held in his Non - Resident (External) Rupee Account (NRE) Foreign Currency (Non- Resident) Account (FCNR). In case Indian rupee drafts are purchased abroad or from Foreign Currency Accounts or Non-resident Rupee Accounts an account debit certificate from the Bank issuing the draft confirming the debit shall also be enclosed. FIIs shall pay their subscription either by inward remittance through normal banking channels or out of funds held in Foreign Currency Account or Non- Resident Rupee Account maintained by the FII with a designated branch of an authorized dealer in accordance with the relevant exchange management regulations.

On non-repatriation basis: NRIs or person of Indian origin residing abroad investing on a non-repatriable basis may do so by issuing cheques/demand drafts drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Official Points of Acceptance are location.
12. A. Payment through electronic mode RTGS/NEFT/IMPS:
 - i. For purchase through RTGS / NEFT / IMPS, the investor needs to first complete the fund transfer formalities with the Bank.

Please note the Quantum Mutual Fund account details for the RTGS / NEFT / IMPS:(Other Bank Investors)

| | Existing Investors | New Investors |
|--|---------------------|---------------------|
| Bank Name | HDFC Bank | HDFC Bank |
| Bank A/c Type | Current A/C | Current A/C |
| Beneficiary Account Number (QUANTUM and Folio no./PAN Details) | QUANTUM1234567 | QUANTUMABCDE1234F |
| Beneficiary Name | QUANTUM MUTUAL FUND | QUANTUM MUTUAL FUND |
| Branch Address | Sandoz Branch | Sandoz Branch |
| IFSC Code | HDFC0000240 | HDFC0000240 |

- ii. After completing the fund transfer through RTGS / NEFT / IMPS mode, the investor needs to mention the payment reference number under the section 'Payment Details' in the main application form.

- iii. Enclose the fund transfer proof alongwith the application form.

12 B. Payment through Direct Credit (DC):

- i. For purchase through DC, the investor needs to first complete the fund transfer formalities with the Bank. Please note the Quantum Mutual Fund account details for **Direct Credit Account Details**:

| Bank Name | Bank A/c Type | Account Number | Account Name | Branch Address |
|---------------|---------------|----------------|-----------------------------|--------------------------|
| HDFC BANK LTD | Current A/C | 00602090002325 | Quantum Mutual Fund EPI A/C | Fort, Manekji Wadia BLDG |

- ii. After completing the fund transfer through Direct Credit mode, the investor needs to mention the payment reference number under the section 'Payment Details' in the main application form.
- iii. Enclose the fund transfer proof along with the application form.
- iv. After completing the fund transfer through IMPS mode, the investor needs to mention the payment reference number under the section 'Payment Details' in the main application form.
12. Please register additional banks through which you want to invest by filling and submitting Multiple Bank Mandate along with supporting documents

CHECKLIST (Please submit the following documents with your application (where applicable). For Investors other than Individuals please also submit declaration of Beneficial Ownership (as applicable) as per format available on www.QuantumAMC.com All documents should be original/true copies certified by a Director/Trustee /Company Secretary /Authorised signatory / Notary Public.)

| Documents | Individual | Minor | NRI | Investment through POA | Sole Proprietorship | HUF | Companies / LLP | Societies | Partnership Firms | Trusts | FII's* | PIO | FPI# |
|---|------------|-------|-----|------------------------|---------------------|-----|-----------------|-----------|-------------------|--------|--------|-----|------|
| Self Certified PAN Card copy | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| KYC Compliance acknowledgement | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| Declaration under FATCA | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| Resolution/ Authorization to invest | | | | | | | ✓ | ✓ | ✓ | ✓ | ✓ | | ✓ |
| "List of authorized signatories with specimen signatures" | | | | ✓ | | | ✓ | ✓ | ✓ | ✓ | ✓ | | ✓ |
| Memorandum & Articles of Association | | | | | | | ✓ | | | | | | |
| Trust Deed | | | | | | | | | | ✓ | | | |
| Declaration of Ultimate Beneficial Ownership | | | | | | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | | ✓ |
| Bye-laws | | | | | | | | ✓ | | | | | |
| Partnership Deed | | | | | | | | | ✓ | | | | |
| Certificate of Registration | | | | | | | | | | | ✓ | | ✓ |
| Notarized POA | | | | ✓ | | | | | | | | | |
| Proof of Date of Birth | | ✓ | | | | | | | | | | | |
| Proof of Relationship | | ✓ | | | | | | | | | | | |
| PIO card | | | | | | | | | | | | ✓ | |
| Foreign Inward Remittance Certificate | | | | | | | | | | | | ✓ | |
| NPO Declaration | | | | | | | | ✓ | | ✓ | | | |

*For FII's copy of SEBI registration certificate should be provided. #Certificate of registration granted by designated depository participants on behalf of SEBI.



COMMON APPLICATION FORM

(Continuous Offer of units at Applicable NAV)

6th Floor, Hoechst House, Nariman Point, Mumbai - 400 021 www.QuantumAMC.com

Application No: QMFP

1. INTERMEDIARY INFORMATION

| Name & ARN Code | Sub - Broker Code | EUIN | RIA Code | E-Code / RM Code |
|-----------------|-------------------|------|----------|------------------|
| | | | | |

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. (All sections to be filled in English and in BLOCK LETTERS). Fields marked with (*) are mandatory.

2. EXISTING UNIT HOLDER INFORMATION (Please note that Applicant details & mode of holding will be as per existing Folio Number) (Refer Instruction No. 3)

Folio No. Name of First Applicant

| 3. | *PAN/PEKRN (Refer Instruction no. 4A) please attach certified PAN copy | CKYC Details (KIN Number) |
|----------------------------------|--|---------------------------|
| 1 st Applicant /Minor | | |
| 2 nd Applicant | | |
| 3 rd Applicant | | |
| Guardian/POA | | |

4. *APPLICANT INFORMATION (Refer Instruction No. 6) (TO BE FILLED IN BLOCK LETTERS)

Mode of Holding ☐ Single ☐ Joint ☐ Any one or survivor(s) (Default option in case of more than one applicant)

Name of Sole/ 1st Applicant ☐ Mr. ☐ Ms. ☐ M/s. ☐ Other Please Specify

Gender ☐ Male ☐ Female ☐ Transgender ☐ Other Please Specify

Date of Birth/Date of Incorporate Proof of DOB (Incase of Minor) ☐ Birth Certificate ☐ School leaving Certificate ☐ Passport ☐ Other

Guardian/Authorised Person - (In case of Minor)/Authorised Person (In case of non individual applicant)

Relationship with Minor ☐ Father ☐ Mother ☐ Legal Guardian

Note: If Guardian is a Legal Guardian, please submit duly notarised copy of court order along with application.

If the sole/first applicant is differently abled; then please tick the preferred mode of communication: ☐ Email & SMS ☐ Voice ☐ Both

Relationship Proof (With specified Guardian) ☐ Birth Certificate ☐ Passport ☐ Other

LEI code valid up to

Legal Entity Identifier Number is Mandatory for transaction value of INR 50 crore and above for non-Individual investors. Refer instruction no. 16)

Address: Mailing Address of Sole/First Applicant (P.O Box alone may not be sufficient) This address will be replaced with the address as per your KYC records on validation of your KYC data. Overseas Investor must provide Indian Address

ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

Quantum Mutual Fund - 6th Floor, Hoechst House, Nariman Point, Mumbai - 400 021. www.QuantumAMC.com

Application No: QMFP

Please scan this code, and fill in your details. Our representative will get in touch with you.



Date Received from: Mr./Ms./M/s

An application for purchase units of

along with cheque / DD as detailed overleaf. Cheques / Drafts are subject to realisation.

Collection Center's Stamp & Receipt Date and Time

Please note: All purchases are subject to realization of cheques (please refer Scheme Information Document)

Overseas Address (mandatory for NRI/PI applicant). Applications from investors residing in USA or Canada shall not be accepted Address for correspondence (for NRI Applicants) ☐ Indian ☐ Overseas

Note: The address provided by you above will be replicated with the address as per KYC record

Gender ☐ Male ☐ Female ☐ Transgender

| | | |
|---|--------------|--|
| Name of the 3rd Applicant | Mr./Ms./M/s. | |
|---|--------------|--|

Gender ☐ Male ☐ Female ☐ Transgender

5. *KNOW YOUR CUSTOMER (KYC) DETAILS (Mandatory. Please ✓ / Specify. The application is liable to get rejected if details not filled.)

☐ Resident Individual ☐ Flls ☐ NRI-NRO ☐ HUF ☐ Society ☐ Company ☐ Body Corporate ☐ Club/Society ☐ PIO
☐ Minor ☐ Government Body ☐ Trust ☐ NRI-NRE ☐ Bank & FI ☐ Proprietorship Firm ☐ Partnership Firm ☐ QFI
☐ Provident Fund ☐ NRI minor with gaurdian ☐ Others

..... ✂

Application No: QMFP

Quantum Mutual Fund - 6th Floor, Hoechst House, Nariman Point, Mumbai - 400 021. www.QuantumAMC.com

Separate cheque / demand draft must be issued for each investment, drawn in favour of respective scheme name. Please write appropriate scheme name as well as the Plan / Option /Sub Option.

| Sr. No. | Scheme Name | Plan | Option | Amount Invested (₹) | Payment Details | |
|---------|-------------|---|---|---------------------|--|---------------|
| | | | | | Cheque/DDNo./UTR No. (Incase of NEFT/RTGS) | Bank & Branch |
| 1. | | <input type="checkbox"/> Direct <input type="checkbox"/> Regular | <input type="checkbox"/> Growth <input type="checkbox"/> IDCW (Payout) <input type="checkbox"/> IDCW (Reinvestment) #IDCW frequency _____ | | DD/MM/YYYY | |
| 2. | | <input type="checkbox"/> Direct <input type="checkbox"/> Regular | <input type="checkbox"/> Growth <input type="checkbox"/> IDCW (Payout) <input type="checkbox"/> IDCW (Reinvestment) #IDCW frequency _____ | | DD/MM/YYYY | |
| 3. | | <input type="checkbox"/> Direct <input type="checkbox"/> Regular | <input type="checkbox"/> Growth <input type="checkbox"/> IDCW (Payout) <input type="checkbox"/> IDCW (Reinvestment) #IDCW frequency _____ | | DD/MM/YYYY | |

Gross Annual Income Range (in ₹) (Mandatory)

| | | | | | | | | | |
|---------------------------------|--|---|---|---|---|---|---|---|---|
| 1st Applicant | <input type="checkbox"/> Below 1 lac <input type="checkbox"/> 1-5 lacs <input type="checkbox"/> 5-10 lacs <input type="checkbox"/> 10-25 lac <input type="checkbox"/> >25lacs - 1 crore <input type="checkbox"/> > 1 crore OR Net worth (Mandatory for Non-Individuals) ₹ _____ as on <table><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> (not older than 1 year) | D | D | M | M | Y | Y | Y | Y |
| D | D | M | M | Y | Y | Y | Y | | |
| 2nd Applicant | <input type="checkbox"/> Below 1 lac <input type="checkbox"/> 1-5 lacs <input type="checkbox"/> 5-10 lacs <input type="checkbox"/> 10-25 lac <input type="checkbox"/> >25lacs - 1 crore <input type="checkbox"/> > 1 crore OR Net worth (Mandatory for Non-Individuals) ₹ _____ as on <table><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> (not older than 1 year) | D | D | M | M | Y | Y | Y | Y |
| D | D | M | M | Y | Y | Y | Y | | |
| 3rd Applicant | <input type="checkbox"/> Below 1 lac <input type="checkbox"/> 1-5 lacs <input type="checkbox"/> 5-10 lacs <input type="checkbox"/> 10-25 lac <input type="checkbox"/> >25lacs - 1 crore <input type="checkbox"/> > 1 crore OR Net worth (Mandatory for Non-Individuals) ₹ _____ as on <table><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> (not older than 1 year) | D | D | M | M | Y | Y | Y | Y |
| D | D | M | M | Y | Y | Y | Y | | |
| Guardian / POA | <input type="checkbox"/> Below 1 lac <input type="checkbox"/> 1-5 lacs <input type="checkbox"/> 5-10 lacs <input type="checkbox"/> 10-25 lac <input type="checkbox"/> >25lacs - 1 crore <input type="checkbox"/> > 1 crore OR Net worth (Mandatory for Non-Individuals) ₹ _____ as on <table><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> (not older than 1 year) | D | D | M | M | Y | Y | Y | Y |
| D | D | M | M | Y | Y | Y | Y | | |

| For Individuals Investors (Please ✓) | 1st Applicant | 2nd Applicant | 3rd Applicant | Guardian/POA |
|---|---------------------------------|---------------------------------|---------------------------------|--------------------------|
| I am Politically Exposed Person | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| I am Related to Politically Exposed | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Not Applicable | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

For Non-Individual Investors (Please ✓)Is the company a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company: ☐ Yes ☐ No (if No, Mandatory to provide the UBO declaration)☐ Yes ☐ No **Foreign Exchange/Money Charger Services** ☐ Yes ☐ No **Gaming/Gambling/Lottery/Casino Services** ☐ Yes ☐ No **Money Lending/Pawning****6. POWER OF ATTORNEY (POA) (Refer Instruction Nos. 2 (f) & 7)**POA Name Mr./Ms.

| |
|--|
| |
|--|

If investment is being made by a Constitutional Attorney, please submit notarised copy of POA

7. *BANK ACCOUNT DETAILS (Refer Instruction No. 10)A/c Type [please ✓] ☐ SB ☐ Current ☐ NRO ☐ NRE ☐ FCNRBank Name

| |
|--|
| |
|--|

 IFSC

| |
|--|
| |
|--|

 MICR Code

| |
|--|
| |
|--|

Account No

| |
|--|
| |
|--|

 Branch

| |
|--|
| |
|--|

 City

| |
|--|
| |
|--|

 Pin Code

| |
|--|
| |
|--|

*Mandatory - Please attach either a Cancelled Cheque with first applicant name and account number pre-printed on the face of the cheque or a Bank statement/certified bank passbook with current entries not older than 3 months or a bank letter/Certificate duly signed by Bank Branch Manager/ Authorized Personnel.

8. *INVESTMENT DETAILS/ Payment Details (Please ✓) Choice of Scheme/Option/Facility (Refer Instruction No. 1, 2)

Separate cheque / demand draft must be issued for each investment, drawn in favour of respective scheme name. Please write appropriate scheme name as well as the Plan / Option /Sub Option.

| Sr. No. | Scheme Name | Plan | Option | Amount Invested (₹) | Cheque/DDNo./ UTR No. (Incise of NEFT/RTGS) | Bank Branch, Account Type & Account Number |
|---------|-------------|---|---|---------------------|---|--|
| 1. | | <input type="checkbox"/> Direct <input type="checkbox"/> Regular | <input type="checkbox"/> Growth <input type="checkbox"/> IDCW (Payout) <input type="checkbox"/> IDCW (Reinvestment) #IDCW frequency _____ | | DD/MM/YYYY | |
| 2. | | <input type="checkbox"/> Direct <input type="checkbox"/> Regular | <input type="checkbox"/> Growth <input type="checkbox"/> IDCW (Payout) <input type="checkbox"/> IDCW (Reinvestment) #IDCW frequency _____ | | DD/MM/YYYY | |
| 3. | | <input type="checkbox"/> Direct <input type="checkbox"/> Regular | <input type="checkbox"/> Growth <input type="checkbox"/> IDCW (Payout) <input type="checkbox"/> IDCW (Reinvestment) #IDCW frequency _____ | | DD/MM/YYYY | |

*Applicable only Quantum Liquid Fund and Quantum Dynamic Bond Fund.

Applicable to minor (incise payment done other than the minor account)

Payment/ bank account holder name _____ relationship with minor ☐ Father ☐ Mother ☐ Legal Guardian

Note: (1) Relationship proof with minor required (2) If payment done by Legal Guardian, please submit duly notarized copy of court order along with application.

9. FATCA and CRS DETAILS For Individuals (Mandatory) (Non-Individuals are required to submit separate FATCA & CRS information (for non-individuals/Legal entity) and UBO Declaration Form available at www.QuantumAMC.com)

| | Sole/First Applicant/Guardian | | | 2nd Applicant | | | <input type="checkbox"/> 3rd Applicant / <input type="checkbox"/> POA | | |
|--------------------------|-------------------------------|---------------------|--|----------------------|---------------------|--|---|---------------------|--|
| Place & Country of Birth | | | | | | | | | |
| | Country [#] | Tax Payer Ref ID No | Identification Type (TIN or other, please specify) | Country [#] | Tax Payer Ref ID No | Identification Type (TIN or other, please specify) | Country [#] | Tax Payer Ref ID No | Identification Type (TIN or other, please specify) |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |

[#]Please indicate all countries, other than India, in which you are a resident for tax purpose, associated Taxpayer Identification Number & Its Identification type e.g: TIN etc

10. *NOMINATION DETAILS (Refer instruction no. 12)

| | 1 st Nominee | 2 nd Nominee | 3 rd Nominee |
|--|---|---|---|
| Name (as in PAN card/KYC records) | | | |
| PAN | | | |
| Date of Birth | | | |
| Relationship with Investor | | | |
| Address | | | |
| Guardian Name (in case Nominee is a Minor) | | | |
| Guardian's relationship with minor | <input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Legal Guardian | <input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Legal Guardian | <input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Legal Guardian |
| Type of Guardian | <input type="checkbox"/> Natural Guardian <input type="checkbox"/> Court Appointed guardian | <input type="checkbox"/> Natural Guardian <input type="checkbox"/> Court Appointed guardian | <input type="checkbox"/> Natural Guardian <input type="checkbox"/> Court Appointed guardian |
| Allocation % (Total to be 100%) | | | |

OR I do not wish to Nominate

☐ I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in nonappointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

11. DEMAT ACCOUNT DETAILS

(Please ✓) (Please refer Instruction no. 13)

☐ NSDL ☐ CDSL

I would like to be allotted units in DEMAT mode. ☐ Yes ☐ No (Please ✓) (Non - ticking of this box would result in allotment of units in physical form).

Please ensure that the name of the investor in the application form matches with the account held with the depository participant.

| | | | |
|------|---|-------------------------------------|--|
| NSDL | <input type="checkbox"/> I <input type="checkbox"/> N | BENEFICIARY Account No. (NSDL Only) | |
| CDSL | | | |

Enclose: ☐ Client Master List ☐ Transaction/Holding Statement ☐ DIS Copy

12. SOURCE OF INFORMATION

How did you come to know about Quantum Mutual Fund? ☐ Advertisement ☐ Friend/Relative ☐ Sales Team ☐ IFA/Intermediary

Name & ARN Code of Intermediary _____ Others _____

13. PHYSICAL COPY OF ANNUAL REPORT

☐ Opt In to receive the physical copy of Annual Report

14 NPO DECLARATION (Mandatory for Trust and Society)

☐ Yes, We are falling under "Non-Profit Organization" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).

If yes, please quote Registration No. of Darpan portal of Niti Aayog

Or ☐ No, If not, please register immediately and confirm with the above information. We are aware that we may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable.

Signature(s)

Date

Place _____

| | | |
|--|--------------------------------------|--------------------------------------|
| Sole/1st Applicant/Guardian/Authorised Signatory | 2nd Applicant / Authorised Signatory | 3rd Applicant / Authorised Signatory |
|--|--------------------------------------|--------------------------------------|



APPLICATION FORM FOR REGISTRATION OF SYSTEMATIC INVESTMENT PLAN (SIP)

| Distributor ARN | Sub Distributor ARN | Internal sub Code/Sol ID | Employee Code | EUIN | Serial No./Date, Time & Stamp |
|-----------------|---------------------|--------------------------|---------------|------|-------------------------------|
| | | | | | |

"I/We, have invested in the scheme(s) of Quantum Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all schemes of Quantum Mutual Fund, to the above mentioned SEBI Registered Investment Adviser."

EUIN Declaration

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. (All sections to be filled in English and in BLOCK LETTERS). Fields marked with (*) are mandatory.

1. INVESTOR AND INVESTMENT DETAILS Please ✓ wherever applicable.

Sole/First Investor Name (as appearing in ID proof)
PAN No. Folio No. (For Existing Investor)

2. INVESTMENT DETAILS/ Payment Details (Please ✓) Choice of Scheme/Option/Facility

Separate cheque / demand draft must be issued for each investment, drawn in favour of respective scheme name. Please write appropriate scheme name as well as the Plan / Option / Sub Option.

| Sr. No. | Scheme Name | Plan | Option | Amount Invested (₹) | Frequency Details (Select any one frequency) |
|---------|-------------|---|---|---------------------|--|
| 1. | | <input type="checkbox"/> Direct <input type="checkbox"/> Regular | <input type="checkbox"/> Growth <input type="checkbox"/> IDCW (Payout) <input type="checkbox"/> IDCW (Reinvestment) #IDCW frequency _____ | | <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="radio"/> 5th, 21st OR <input type="radio"/> 7th & 25th <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="radio"/> 5th / <input type="radio"/> 7th / <input type="radio"/> 15th <input type="radio"/> 21st / <input type="radio"/> 25th / <input type="radio"/> 28th |
| 2. | | <input type="checkbox"/> Direct <input type="checkbox"/> Regular | <input type="checkbox"/> Growth <input type="checkbox"/> IDCW (Payout) <input type="checkbox"/> IDCW (Reinvestment) #IDCW frequency _____ | | <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="radio"/> 5th, 21st OR <input type="radio"/> 7th & 25th <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="radio"/> 5th / <input type="radio"/> 7th / <input type="radio"/> 15th <input type="radio"/> 21st / <input type="radio"/> 25th / <input type="radio"/> 28th |
| 3. | | <input type="checkbox"/> Direct <input type="checkbox"/> Regular | <input type="checkbox"/> Growth <input type="checkbox"/> IDCW (Payout) <input type="checkbox"/> IDCW (Reinvestment) #IDCW frequency _____ | | <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="radio"/> 5th, 21st OR <input type="radio"/> 7th & 25th <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="radio"/> 5th / <input type="radio"/> 7th / <input type="radio"/> 15th <input type="radio"/> 21st / <input type="radio"/> 25th / <input type="radio"/> 28th |

3. Payment Details

| Sr. No. | Scheme Name | Cheque Amount (₹) | Cheque / DDNo. | Bank Name | Account Number |
|---------|-------------|-------------------|----------------|-----------|----------------|
| 1. | | | | | |
| 2. | | | | | |
| 3. | | | | | |

4. SIP PERIOD

From To OR No. of installments _____

5. DECLARATION

I/We hereby, declare that the particulars given above are correct and express my willingness to make payments referred above through participation in National Automated Clearing House (NACH)/Auto Debit. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information I/We would not hold Quantum Mutual Fund/Quantum Asset Management Company Pvt. Ltd responsible. I/We will also inform Quantum Mutual Fund about any changes in my bank account. I/We have read and agreed to the terms and conditions mentioned overleaf. This is to inform that I/We have registered for Auto Debit Facility and that my payment towards my investment in Quantum Mutual Fund shall be made from my/our bank account registered with Quantum Mutual Fund. I/We authorize Quantum Mutual Fund/Quantum Asset Management Company Pvt Ltd/representative of Quantum Asset Management Company Pvt Ltd carrying this Form to debit my bank account as per instructions given above.

| | | |
|---------------------------------|----------------------------------|---------------------------------|
| First Account Holders Signature | Second Account Holders Signature | Third Account Holders Signature |
|---------------------------------|----------------------------------|---------------------------------|

ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

Application No: QMFP

Quantum Mutual Fund - 6th Floor, Hoechst House, Nariman Point, Mumbai - 400 021. www.QuantumAMC.com

Date Received from: Mr./Ms./M/s _____

An SIP application for purchase of units in _____ along with cheque / DD as detailed overleaf. Cheques / Drafts are subject to realisation.

| | |
|---|--|
| Collection Center's Stamp & Receipt Date and Time | |
|---|--|

Please note: All purchases are subject to realization of cheques (please refer Scheme Information Document)



SYSTEMATIC TRANSACTION FORM

TERMS & CONDITIONS

Systematic Transfer Plan (SIP)

This mandate registration form will be submitted through National Automated Clearing House (NACH).

- This SIP facility is offered to investors having bank accounts in select banks mentioned in the link <http://www.npci.org.in/>. The banks in the list may be modified/updated/changed/removed at any time in future entirely at the discretion of National Payments Corporation of India without assigning any reasons or prior notice. Standing instructions for investors in such Banks will be discontinued. We will inform you on such discontinuation.
- Investor/Unitholder(s) should submit original Cancelled Cheque (or a copy) along with mandate form with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted.
- The Unitholder(s) cheque/bank account details are subject to third party verification.
- SIP is offered on daily, weekly, fortnight, monthly and quarterly frequency.
- Investor/ Unit holders can opt to invest on any day in daily frequency, 7, 15, 21, 28 dates in weekly frequency, 5 & 21 OR 7 & 25 fortnight frequency and 5, 7, 15, 21, 25, 28 in monthly or quarterly frequency of each month/first month of the quarter.
- In case the frequency is not specified in the application/enrolment form, it will be deemed as an application for monthly frequency and will be processed accordingly. In case the SIP date is not specified or in case of ambiguity, the SIP transaction will be processed as of 15th of every month/first month of every quarter.
- In case the end date is not specified, the SIP will be registered for **30 years**.
- Minimum installments for daily will be 132 days and weekly 25, fortnight 13, monthly 6 and quarterly is 4 instalments.
- The units will be allotted to the investor at applicable NAV of the respective business day on which the investment is sought to be made as per the applicable cut-off timing subject to the funds available for utilization.
- The request for enrollment of SIP in the prescribed form should be received at any official point of acceptance / Investor service center at least 21 Calendar Days in advance before the execution / commencement date.
- The request for discontinuation of SIP in the prescribed form should be received at any official point of acceptance /Investor Service Center at least 10 calendar Days in advance before the execution / commencement date.
- In case of investments under SIP, if 3 consecutives for daily to monthly frequency and 2 consecutives for quarterly frequency payment instructions provided by the investor/unitholder are dishonored for either insufficiency of funds or as a result of a stop payment instruction issued by the investor/unitholder or any other reason as intimated by the bank, SIP will be discontinued.
- Quantum Mutual Fund will not be liable for any transaction failures due to rejection by the investors.
- bank/branch. The investor agrees to abide by the terms and conditions of NACH facility of NPCI.
- Investor will not hold Quantum Mutual Fund and its service providers responsible if the transaction is delayed or not effected by the Investor's Bank or if debited in advance or after the specific date due to various reasons or for any bank charges debited by his banker in his account towards NACH Registration/Cancellation/Rejections.
- Quantum Mutual Fund reserves the right to reverse allotments in case the Auto debit is rejected by the bank for any reason whatsoever.
- The facility will be automatically terminated upon receipt of intimation of death of the Unit holder.
- Investors are required to ensure adequate funds in their bank account on opted date. Quantum Mutual Fund will endeavor to debit the investor bank account on opted date, however if there is any delay all such transactions are debited subsequently.
- Quantum Mutual Fund/Sponsor Bank/NPCI are not liable for the bank charges, if any debited from investor's bank account by the destination bank, on account of payment through NACH.
- If any chosen day falls on a non-business day, the next business day will be considered as the transaction date.
- In case of investments in the name of a minor, no new transactions / standing instructions / SIP / STP / SWP or cancellation of such requests will be allowed by the guardian from the date of minor attaining majority till instruction from the major is received by the AMC/Mutual Fund along with the prescribed documents for change of account status from minor to major.

Additional for MICRO Systematic Investment Plans (MICRO SIPs)

As per SEBI guidelines, Lumpsum and SIP investments in mutual funds upto Rs. 50,000 per year, per investor, per mutual fund shall be exempted from the requirement of PAN subject to other operational guidelines. Any investment, lumpsum or through Systematic Investment Plans (SIPs) by investors, where aggregate of investments/installments in a rolling 12-month period, does not exceed Rs. 50,000/- per investor will be treated as Micro investments for the above purpose. Micro investments will be accepted subject to PAN exemption in KYC process. This exemption will be applicable ONLY to investments by individuals (not NRI & PIOs). Minors and Sole proprietary firms, HUFs and other categories will not be eligible for Micro Investments. The exemption is applicable to joint holders also. In case under MICRO SIP, the first SIP Instalment is processed (as the cheque may be banked), and the application is found to be defective, the Micro SIP registration will cease for future instalments. No refund to be made for the units already allotted. However, redemptions will be allowed based on the submission of normal prescribed Redemption Transaction Slip. Investors may submit any one of the PHOTO IDENTIFICATION documents (please refer paragraph titled PAN under the General instructions) along with KYC form and proof of address (self-attested). No separate address proof is required if Photo ID with address mentioned on it is submitted. The photo identification documents have to be current and valid and also to be either self-attested. All the applicants including second and third applicant (if any) is mandated to submit any of the above documents.

Systematic Investment Plan for Corporate Employees: In this case, the concerned employer is required to forward to the AMC the Scheme application cum SIP registration mandate form of the corporate employee who desires to invest in the Scheme. The application amount for the corporate employees would also be forwarded by the employer on specific request from the concerned employee. The concerned employee has to authorize the employer to deduct the application amount from his salary and remit the same to the Fund at regular intervals to ensure receipt of funds by the AMC on or before the next due date.

| Installment options available under SIP | | Daily | Weekly | Fortnightly | Monthly | Quarterly |
|--|--------------------------|--|--|--|--|--|
| Quantum Long Term Equity Value Fund/Quantum India ESG Equity Fund/Quantum Small Cap Fund/Quantum Equity Fund of Fund/Quantum Gold Savings Fund/Quantum Multi Asset Fund/Quantum Dynamic Bond Fund Quantum Nifty 50 ETF | Minimum SIP amount | ₹100/- and in multiples of ₹1/- thereafter | ₹500/- and in multiples of ₹1/- thereafter | ₹500/- and in multiples of ₹1/- thereafter | ₹500/- and in multiples of ₹1/- thereafter | ₹500/- and in multiples of ₹1/- thereafter |
| | Minimum SIP Installments | 132 | 25 | 13 | 6 | 4 |
| Quantum Tax Saving Fund | Minimum SIP amount | ₹500/- and in multiples of ₹500/- thereafter | ₹500/- and in multiples of ₹500/- thereafter | ₹500/- and in multiples of ₹500/- thereafter | ₹500/- and in multiples of ₹500/- thereafter | ₹500/- and in multiples of ₹500/- thereafter |
| | Minimum SIP Installments | 132 | 25 | 13 | 6 | 4 |
| Quantum Liquid Fund | Minimum SIP amount | - | | | ₹500/- and in multiples of ₹1/- thereafter | ₹500/- and in multiples of ₹1/- thereafter |
| | Minimum SIP Installments | - | | | 6 | 4 |



ACKNOWLEDGEMENT SLIP (To be continued)

Quantum Mutual Fund - 6th Floor, Hoechst House, Nariman Point, Mumbai - 400 021. www.QuantumAMC.com

Application No: **QMFP**



| INVESTMENT DETAILS/ Payment Details (Please ✓) Choice of Scheme/Option/Facility | | | | | |
|--|-------------|-------------------|----------------|-----------|----------------|
| Separate cheque / demand draft must be issued for each investment, drawn in favour of respective scheme name. Please write appropriate scheme name as well as the Plan / Option /Sub Option. | | | | | |
| Sr. No. | Scheme Name | Cheque Amount (₹) | Cheque / DDNo. | Bank Name | Account Number |
| 1. | | | | | |
| 2. | | | | | |
| 3. | | | | | |

ONE TIME MANDATE FORM

| | | | | | | | | | | | | | | | | | | | | | | | |
|---------|-------------------------------------|--|-------------------|--|--|--|--|--|--|--|--|------------------------------------|--------------|-------------------|---|---|---|---|---|---|--|--|--|
| Tick | <input checked="" type="checkbox"/> | UMRN | | | | | | | | | | | D | D | M | M | Y | Y | Y | Y | | | |
| Create: | <input type="checkbox"/> | Sponsor Bank Code | (Office use only) | | | | | | | | | | Utility Code | (Office use only) | | | | | | | | | |
| Modify: | <input type="checkbox"/> | I/We hereby authorize <u>QUANTUM MUTUAL FUND</u> to debit (Tick ✓) | | | | | | | | | | SB/ CA/ CC/ SB-NRE / SB-NRO/ Other | | | | | | | | | | | |
| Cancel: | <input type="checkbox"/> | From Bank A/C Number: | | | | | | | | | | | | | | | | | | | | | |

With _____ (Name of Destination Bank with Branch) _____ IFSC Code: _____ MICR Code: _____

an amount of Rupees _____ (in words)

| | |
|---|--|
| ₹ | |
|---|--|

FREQUENCY: ☐ Mthly ☐ Qtly ☐ H-yrly ☐ Yrly ☒ As & when presented DEBIT TYPE ☐ Fixed Amount ☒ Maximum Amount

| | | | |
|-----------|--|-----------|--|
| Folio No. | | Phone No. | |
|-----------|--|-----------|--|

| | | | |
|---------|------------------------------------|----------|--|
| Schemes | ALL SCHEMES OF QUANTUM MUTUAL FUND | Email ID | |
|---------|------------------------------------|----------|--|

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.

PERIOD From

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

 1 Signature of 1st Account Holder 2 Signature of 2nd Account Holder 3 Signature of 3rd Account Holder

| | | | | |
|----|--|----------------------------------|----------------------------------|----------------------------------|
| To | <div style="border: 1px solid black; display: inline-block; padding: 2px;"> D D M M Y Y Y Y </div> | Name as in bank records ----- | Name as in bank records ----- | Name as in bank records ----- |
|----|--|----------------------------------|----------------------------------|----------------------------------|

This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit my account, based on the instruction as agreed and signed by me. I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the user entity / corporate or the bank where I have authorized the debit.

ADDITIONAL TRANSACTION AND SERVICE REQUEST SLIP

| Folio No. | | Scheme | | Option/Facility |
|-------------------------|--|--------------------|--|-----------------|
| | | | | |
| First Unit Holder Name | | Advisor / RIA Name | | |
| Second Unit Holder Name | | Advisor / RIA Code | | |
| Third Unit Holder Name | | Sub Advisor Code | | |
| Mode of Holding | | EUIN No. | | |
| Status | | E-Code / RM Code | | |

☐ ADDITIONAL PURCHASE REQUEST
 ☐ REDEMPTION REQUEST

Investment Amount (Rs.) _____ I/We would like to redeem from the above mentioned Scheme/Option ☐ All Units OR No. Of Units _____

Cheque No. _____ OR Amount (Rs.) (in figure) _____ Amount/units (in words) _____

Dated DDMMYYYY ☐ Redemption Proceeds should not be Credited to my Default Bank A/C but be Credited to A/C No.:

Drawn on Bank _____ with _____ Bank which is already registered

Branch & City _____ with Quantum Mutual Fund.

SWITCH REQUEST

I/We would like to switch ☐ All Units OR ☐ No. Of Units _____ OR Amount (Rs.) (in figure) _____

Amount / Units (in words) _____ from the above mentioned Scheme

to Scheme Option

☐ Change Mobile No. Old Mobile No.: _____ New Mobile No.: _____

This Mobile No. belongs to (Mandatory Please ✓): ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian

☐ Change Email ID Old Email No.: New Email No.:

This Email ID belongs to (Mandatory Please ✓): ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian

I/We have read and understood the contents of the Statement of Additional Information/Scheme Information Document/Key Information Memorandum of the Scheme and Addenda issued till date. I/We have neither received nor been induced by any rebate or gifts, directly in making this transaction. I/We hereby apply to the Trustee of Quantum Mutual Fund for allotment of Unit(s) of the scheme(s) of Quantum Mutual Fund and agree to abide by the terms, conditions, rules and regulations of the relevant Scheme(s) **(To be signed by all Unit Holders if mode of holding is Joint)**. "In case if there is any change in your KYC information please update the same by using the prescribed 'KYC Change Request Form' and submit the same at the Point of Service of any KYC Registration Agency".

Signature(s) _____ Date

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

 Place _____

| | | |
|---|--------------------------------------|--------------------------------------|
| Sole / 1st Applicant / Authorised Signatory | 2nd Applicant / Authorised Signatory | 3rd Applicant / Authorised Signatory |
|---|--------------------------------------|--------------------------------------|

ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

Application No: QMFP

Quantum Mutual Fund - 6th Floor, Hoechst House, Nariman Point, Mumbai - 400 021. www.QuantumAMC.com

Date

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

 Received from: Mr./Ms./M/s _____

[illegible]

| | |
|---|--|
| Collection Center's Stamp & Receipt Date and Time | |
|---|--|

This image shows a single sheet of white paper with horizontal ruling lines. The lines are evenly spaced and run across the width of the page. There are no margins, text, or other markings on the paper.



SYSTEMATIC TRANSFER PLAN (STP) AND SYSTEMATIC WITHDRAWAL PLAN (SWP)

| Distributor ARN | Sub Distributor ARN | Internal sub Code/Sol ID | Employee Code | EUIN | Serial No./Date, Time & Stamp |
|-----------------|---------------------|--------------------------|---------------|------|-------------------------------|
| | | | | | |

"I/We, have invested in the scheme(s) of Quantum Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all schemes of Quantum Mutual Fund, to the above mentioned SEBI Registered Investment Adviser."

☐ EUIN Declaration

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. (All sections to be filled in English and in BLOCK LETTERS). Fields marked with (*) are mandatory.

1. INVESTOR AND INVESTMENT DETAILS (Please ✓ wherever applicable)

Sole/First Investor Name (as appearing in ID proof)

PAN No.

Folio No. (For Existing Investor)

2. SYSTEMATIC TRANSFER PLAN (STP)

Source Scheme: _____ Plan: _____ Option: _____

3. Target Scheme (Please ✓) Choice of Scheme/Option/Facility

Separate cheque / demand draft must be issued for each investment, drawn in favour of respective scheme name. Please write appropriate scheme name as well as the Plan / Option / Sub Option.

| Sr. No. | Target Scheme | Plan | Option | STP Amount (₹) | Frequency Details (Select any one frequency) |
|---------|---------------|---|---|----------------|--|
| 1. | | <input type="checkbox"/> Direct <input type="checkbox"/> Regular | <input type="checkbox"/> Growth <input type="checkbox"/> IDCW (Payout) <input type="checkbox"/> IDCW (Reinvestment) #IDCW frequency _____ | | <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> 5th, 21st OR <input type="checkbox"/> 7th & 25th <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> 5th / <input type="checkbox"/> 7th / <input type="checkbox"/> 15th <input type="checkbox"/> 21st / <input type="checkbox"/> 25th / <input type="checkbox"/> 28th |
| 2. | | <input type="checkbox"/> Direct <input type="checkbox"/> Regular | <input type="checkbox"/> Growth <input type="checkbox"/> IDCW (Payout) <input type="checkbox"/> IDCW (Reinvestment) #IDCW frequency _____ | | <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> 5th, 21st OR <input type="checkbox"/> 7th & 25th <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> 5th / <input type="checkbox"/> 7th / <input type="checkbox"/> 15th <input type="checkbox"/> 21st / <input type="checkbox"/> 25th / <input type="checkbox"/> 28th |
| 3. | | <input type="checkbox"/> Direct <input type="checkbox"/> Regular | <input type="checkbox"/> Growth <input type="checkbox"/> IDCW (Payout) <input type="checkbox"/> IDCW (Reinvestment) #IDCW frequency _____ | | <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> 5th, 21st OR <input type="checkbox"/> 7th & 25th <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> 5th / <input type="checkbox"/> 7th / <input type="checkbox"/> 15th <input type="checkbox"/> 21st / <input type="checkbox"/> 25th / <input type="checkbox"/> 28th |

*Applicable only Quantum Liquid Fund and Quantum Dynamic Bond Fund.

4. STP PERIOD

From To OR No. of installments _____

5. SYSTEMATIC WITHDRAWAL PLAN (SWP)

Source Scheme: _____ Plan: _____ Option: _____

| Frequency Details (Please ✓) | <input type="checkbox"/> Weekly | <input type="checkbox"/> Fortnightly | <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly | SWP Period |
|------------------------------|------------------------------------|--|--|---|
| Each Amount (₹): _____ | 7th, 15th, 21st, 28th of a week | <input type="radio"/> 5th, 21st OR <input type="radio"/> 7th & 25th | <input type="radio"/> 5th / <input type="radio"/> 7th / <input type="radio"/> 15th <input type="radio"/> 21st / <input type="radio"/> 25th / <input type="radio"/> 28th | From MMY To MMY OR No. installment _____ |

*Applicable only Quantum Liquid Fund and Quantum Dynamic Bond Fund.

6. DECLARATION

I/We have read and understood the contents of the Scheme Information Document(s)/Key Information Memorandum(s) & Statement of Additional Information(s) of the Scheme(s) and agree to abide by the terms, conditions, rules and regulations of the Scheme(s) as on the date of this transaction. I/We hereby declare that I am/we are not US Person(s).

| | | |
|--|---|--|
| First Account Holders Signature (As per bank records) | Second Account Holders Signature (As per bank records) | Third Account Holders Signature (As per bank records) |
|--|---|--|

ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

Application No: QMFP

Quantum Mutual Fund - 6th Floor, Hoechst House, Nariman Point, Mumbai - 400 021. www.QuantumAMC.com

Date Received from: Mr./Ms./M/s _____

An application for STP/SWP under the Folio No.

| | |
|---|--|
| Collection Center's Stamp & Receipt Date and Time | |
|---|--|



SYSTEMATIC TRANSACTION FORM

TERMS & CONDITIONS

Systematic Transfer Plan (STP)

This facility allows Unitholders to transfer specified fixed sums on periodic basis from one scheme to other schemes launched by the Mutual Fund from time to time by giving a single instruction. A Unitholder may effect a Systematic Transfer Plan (STP) by redeeming units of the transfer-out (transferor) Scheme at the prevailing NAV, subject to Exit Load, if any and investing the same amount in the transfer-in (transferee) scheme at prevailing NAV of the transfer-in Scheme. To seamlessly facilitate STP between two Schemes of the Mutual Fund, the Unitholder must comply with the redemption requirements of the transferor scheme and subscription requirements of the transferee scheme.

STP facility is offered subject to following terms & conditions:

- STP facility is offered with daily - all business days, weekly - 7, 15, 21, 28, fortnightly - 5 & 21 or 7 & 25 monthly and quarterly - 5, 7, 15, 21, 25, 28 of the month under monthly/1st month of each quarter under quarterly frequency.
- In case the frequency is not specified, it will be considered as application for monthly frequency and will be processed accordingly. In case the STP date is not specified or in case of ambiguity, the STP transaction will be processed for fortnightly 5&21 and on 15th of month/quarter. In case the end date is not specified, the Fund would continue the STP till it receives termination notice from the Unitholder.
- Minimum balance in the source (transferor) Scheme should be Rs.5,000/- at the time of enrolment for STP.
- Minimum amount for each transfer: Rs.100/- for daily, Rs 500 for weekly, fortnight, monthly and quarterly frequency. Any STP over and above the minimum amounts mentioned above shall be in multiples of Re.1 thereafter.
- Minimum term/duration: For daily 132, Weekly 25, fortnight 13, Monthly 6 and quarterly 4 Minimum Instalments.
- In case the Unitholder purchases additional units in the transferor scheme, the STP facility would be extended to such additional units also.
- Units marked under lien or pledge in the source Scheme will not be eligible for STP.
- The Unitholder who has opted for STP under a specific scheme can also redeem or switch his units to any other eligible scheme provided he has sufficient balance in his account on the date of such a request.
- In case the unit balance in the transferor scheme is lesser than amount specified by the Unitholders for STP, the AMC will transfer remaining unit balance to transferee scheme.
- The facility will be automatically terminated if the units under the transferor scheme are pledged or upon receipt of intimation of death of the Unitholder.
- The application for start of STP should be submitted to Official Point(s) of Acceptance at least 10 business days before the date of commencement/start date of STP. Unitholder may change the amount/frequency by giving written notice to any of the Official Point(s) of Acceptance at least 10 business days prior to next transfer/STP execution date.
- Unitholder can discontinue STP facility at any time by sending a written notice to any of the Official Point(s) of Acceptance, at least 10 business days prior to next transfer/STP execution date.
- If STP date is a Non-Business Day, then the next Business Day shall be the STP date and the same will be considered for the purpose of determining the applicability of NAV.
- STP will be terminated automatically in case of a Zero balance in the respective Scheme-Folio on the run-date or expiry of the enrolment period whichever is earlier.

| Installment options available under STP | | Daily | Weekly | Fortnightly | Monthly | Quarterly |
|---|--------------------------|--|--|--|--|--|
| Quantum Long Term Equity Value Fund/Quantum India ESG Equity Fund/Quantum Small Cap Fund/Quantum Equity Fund of Fund/Quantum Gold Savings Fund/Quantum Multi Asset Fund/ Quantum Dynamic Bond Fund / Quantum Nifty 50 ETF | Minimum STP amount | ₹100/- & in multiples of ₹1/- thereafter | ₹500/- & in multiples of ₹1/- thereafter | ₹500/- & in multiples of ₹1/- thereafter | ₹500/- & in multiples of ₹1/- thereafter | ₹500/- & in multiples of ₹1/- thereafter |
| | Minimum STP Installments | 132 | 25 | 13 | 6 | 4 |
| Quantum Tax Saving Fund | Minimum STP amount | ₹500/- & in multiples of ₹500/- thereafter | ₹500/- & in multiples of ₹500/- thereafter | ₹500/- & in multiples of ₹500/- thereafter | ₹500/- & in multiples of ₹500/- thereafter | ₹500/- & in multiples of ₹500/- thereafter |
| | Minimum STP Installments | 132 | 25 | 13 | 6 | 4 |
| Quantum Liquid Fund | Minimum STP amount | ₹100/- & in multiples of ₹1/- thereafter | ₹500/- & in multiples of ₹1/- thereafter | ₹500/- & in multiples of ₹1/- thereafter | ₹500/- & in multiples of ₹1/- thereafter | ₹500/- & in multiples of ₹1/- thereafter |
| | Minimum STP Installments | 132 | 25 | 13 | 6 | 4 |

Systematic Withdrawal Plan (SWP)

This facility allows Unitholders to withdraw a fixed amount (subject to deduction of tax at source, if applicable) on monthly basis by redemption of units in the Unitholders' account. Withdrawal will be both from the unit capital and appreciation (if any) on the invested capital. The Scheme offers SWP facility subject to following terms & conditions:

- Unitholder can withdraw the amount on weekly- 7, 15, 21, 28, fortnight - 5 & 21 OR 7 & 25 and monthly/ quarterly - 5, 7, 15, 21, 25, 28. In case of selection date is a non-Business Day, the transaction would be effected on the next Business Day.
- Minimum balance in the Scheme should be Rs.5,000/- at the time of enrolment for SWP.
- Minimum amount for each withdrawal should be Rs.500/- and in multiples of Re.1/- for all frequencies.
- Minimum installments weekly 25, fortnight 13, monthly 6 and quarterly 4.
- In case the Unitholder purchases additional Units in the Scheme under the same folio, the SWP facility would be extended to such units also.
- The facility will be automatically terminated if the units in the Scheme are pledged or upon receipt of intimation of death of the Unitholder.
- The redemption under SWP will be subject to applicable Exit load if any.
- The application for start of SWP should be submitted to Official Point(s) of Acceptance at least 10 business days before the date of commencement/start date of SWP.
- Unitholder may change the amount/frequency by giving written notice to any of the Official Point(s) of Acceptance at least 10 business days prior to next SWP execution date.
- Unitholder can discontinue SWP facility by sending a written notice to any of the Official Point(s) of Acceptance, at least 10 business days prior to next SWP execution date.
- SWP will be terminated automatically in case of a Zero balance in the respective Scheme-Folio on the run-date or expiry of the enrolment period whichever is earlier.
- In case the unit balance in the scheme is lesser than amount specified by the Unitholders for SWP, the AMC will redeem remaining unit balance in the scheme.

| Installment options available under SWP | | Weekly | Fortnightly | Monthly | Quarterly |
|---|--------------------------|--|--|--|--|
| Quantum Long Term Equity Value Fund/Quantum India ESG Equity Fund/Quantum Small Cap Fund/Quantum Equity Fund of Fund/Quantum Gold Savings Fund/Quantum Multi Asset Fund/ Quantum Dynamic Bond Fund/Quantum Nifty 50 ETF | Minimum SWP amount | ₹500/- & in multiples of ₹1/- thereafter | ₹500/- & in multiples of ₹1/- thereafter | ₹500/- & in multiples of ₹1/- thereafter | ₹500/- & in multiples of ₹1/- thereafter |
| | Minimum SWP Installments | 25 | 13 | 6 | 4 |
| Quantum Tax Saving Fund | Minimum SWP amount | ₹500/- & in multiples of ₹500/- thereafter | ₹500/- & in multiples of ₹500/- thereafter | ₹500/- & in multiples of ₹500/- thereafter | ₹500/- & in multiples of ₹500/- thereafter |
| | Minimum SWP Installments | 25 | 13 | 6 | 4 |
| Quantum Liquid Fund | Minimum SWP amount | ₹500/- & in multiples of ₹1/- thereafter | ₹500/- & in multiples of ₹1/- thereafter | ₹500/- & in multiples of ₹1/- thereafter | ₹500/- & in multiples of ₹1/- thereafter |
| | Minimum SWP Installments | 25 | 13 | 6 | 4 |

Note: Minimum Balance to Start the STP/SWP is ₹5000/-



FATCA CRS FORM

(for non Individual/legal entity)

6th Floor, Hoechst House, Nariman Point, Mumbai - 400 021
www.QuantumAMC.com

Applicant/Investor details:

| | | | | | | | | | | | |
|------------------------------|--|--------------------------|---|---|---|---|---|---|---|---|---|
| Name of the Entity | | | | | | | | | | | |
| Type of address given at KRA | <input type="checkbox"/> Residential or Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office | | | | | | | | | | |
| PAN | | Date of Incorporation | <table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> | D | D | M | M | Y | Y | Y | Y |
| D | D | M | M | Y | Y | Y | Y | | | | |
| City of incorporation | | Country of incorporation | | | | | | | | | |

1. Is "Entity" a tax resident of any country other than India

Please ✓ the applicable tax resident declaration

☐ Yes ☐ No (If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

| Country | Tax Identification Number % | Identification Type (TIN or Other, please specify) |
|---------|-----------------------------|--|
| | | |
| | | |
| | | |

*In case Tax Identification Number is not available, kindly provide its functional equivalent .

In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here

| |
|--|
| |
|--|

Please refer to para 3(vii) Exemption code for U.S. persons under Part D of FATCA instructions & Definitions

FATCA & CRS DECLARATION (Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

| Sr No. | Information | Additional Information to be provided |
|--------|---|--|
| 1. | We are a financial institution [including an FFI] [Refer instructions a] | <input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, please provide the following information:</i> GIIN: _____ (Global Intermediary Identification Number) If GIIN not available [tick any one]: <input type="checkbox"/> Applied for on <input type="checkbox"/> Not required to apply (please describe) _____ <input type="checkbox"/> Not obtained |
| 2. | We are a listed company [whose shares are regularly traded on a recognized stock exchange] | <input type="checkbox"/> Yes <input type="checkbox"/> No <i>If Yes, specify the name of any one Stock Exchange where it is traded regularly:</i> 1. BSE/NSE/Other _____ (please specify) |
| 3. | We are 'Related Entity' of a listed company [Refer instructions b] | <input type="checkbox"/> Yes <input type="checkbox"/> No <i>If Yes, specify the name of the listed company</i> _____ Specify the name of any one Stock Exchange where it is traded regularly: 1. BSE/NSE/Other _____ (please specify) |
| 4. | We are an Active NFFE [Refer instructions c & d] Note: Details of Controlling Persons will for FATCA purpose not be considered | <input type="checkbox"/> Yes <input type="checkbox"/> No <i>If Yes, specify the nature of business</i> Please specify the category of Active NFFE _____ _____ (Mention code – refer instructions) |
| 5. | We are an Passive NFFE [Refer instructions f and g] Note: Details of Controlling Persons will be FATCA purpose considered for | <input type="checkbox"/> Yes <input type="checkbox"/> No <i>If Yes, please provide:</i> 1. Nature of business _____ 2. For all Controlling Persons who are tax residents (including US citizens and green card holders) of countries other than India, please provide the necessary details including Taxpayer Identification Number (TIN) in the UBO form. |

#IF PASSIVE NFFE, PLEASE PROVIDE BELOW ADDITIONAL DETAILS FOR EACH OF CONTROLLING PERSON. (Please attach additional sheets if necessary)

Name and PAN / Any other identification Number
(PAN, Aadhar, Passport, Election ID, Govt ID, Driving Licence, NREGA Job Card, Others)
City of Birth - Country of Birth

Occupation Type - Service, Business, Others
Nationality
Father's Name - Mandatory if PAN is not applicable.

DOB - Date of Birth
Gender - Male, Female, Other

| | | | | | | | | | | | |
|------------------|----------------------|-----------------|----------------------|--------|--------------------------|----------------------|--------------------------|----------------------|--------------------------|----------------------|----------------------|
| 1. Name | <input type="text"/> | Occupation Type | <input type="text"/> | DOB | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| PAN | <input type="text"/> | Nationality | <input type="text"/> | Gender | <input type="checkbox"/> | Male | <input type="checkbox"/> | Female | <input type="checkbox"/> | Others | |
| City of Birth | <input type="text"/> | Father's Name | <input type="text"/> | | | | | | | | |
| Country of Birth | <input type="text"/> | | | | | | | | | | |

| | | | | | | | | | | | |
|------------------|----------------------|-----------------|----------------------|--------|--------------------------|----------------------|--------------------------|----------------------|--------------------------|----------------------|----------------------|
| 1. Name | <input type="text"/> | Occupation Type | <input type="text"/> | DOB | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| PAN | <input type="text"/> | Nationality | <input type="text"/> | Gender | <input type="checkbox"/> | Male | <input type="checkbox"/> | Female | <input type="checkbox"/> | Others | |
| City of Birth | <input type="text"/> | Father's Name | <input type="text"/> | | | | | | | | |
| Country of Birth | <input type="text"/> | | | | | | | | | | |

| | | | | | | | | | | | |
|------------------|----------------------|-----------------|----------------------|--------|--------------------------|----------------------|--------------------------|----------------------|--------------------------|----------------------|----------------------|
| 1. Name | <input type="text"/> | Occupation Type | <input type="text"/> | DOB | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| PAN | <input type="text"/> | Nationality | <input type="text"/> | Gender | <input type="checkbox"/> | Male | <input type="checkbox"/> | Female | <input type="checkbox"/> | Others | |
| City of Birth | <input type="text"/> | Father's Name | <input type="text"/> | | | | | | | | |
| Country of Birth | <input type="text"/> | | | | | | | | | | |

*Additional details to be filled by controlling persons with tax residency / permanent residency / citizenship / Green Card in any country other than India:

*To include US, where controlling person is a US citizen or green card holder

*In case Tax Identification Number is not available, kindly provide functional equivalent

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. If any controlling person of the entity is a US citizen or resident or green card holder, please include United States in the foreign country information field along with the US Tax Identification Number. \$It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

I/We hereby acknowledge and confirm that the information provided hereinabove is/are true and correct to the best of my knowledge and belief. I/We further agree and acknowledge that in the event, the above information and/or any part of it is/are found to be false/untrue/misleading, I/We will be liable for the consequences arising therefrom. I/We hereby authorize you to disclose, share, remit in any form/manner/mode the above information and/or any part of it including the changes/updates that may be provided by me/us to Mutual Fund, its Sponsor/s, Trustees, Asset Management Company, its employees, SEBI registered intermediaries for single updation/submission, any Indian or foreign statutory, regulatory, judicial, quasi-judicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc without any intimation/advice to me/us. I/We further agree to promptly intimate you in writing regarding any change/modification to the above information and/or provide additional/further information as and when required by you.

Signature with relevant seal:

| | | |
|----------------------|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> |
|----------------------|----------------------|----------------------|

Date:

Place: _____

Instructions

- a. Foreign Financial Institution [FFI] – Means any non-US financial institutions that is a – (1) Depository institution – accepts deposits in the ordinary course of banking or similar business; (2) Custodian institution – as a substantial portion of its business, hold financial assets for the accounts of others; (3) Investment entity – conducts a business or operates for or on behalf of a customer for any of the activities like trading in money market instruments, foreign exchange, foreign currency, etc. or individual or collective portfolio management or investing, administering or managing funds, money or financial assets on behalf of other persons; or an entity managed by this type of entity; or (4) Insurance company – entity issuing insurance products i.e. life insurance; (5) Holding or Treasury company – entity that is part of an expanded affiliate group that includes a depository, custodian, specified insurance company or investment entity
- b. Related Entity – an entity is a “Related Entity” of another entity if one controls the other, or the two entities are under common control (where control means direct or indirect ownership of more than 50% of the vote or value in an entity)
- c. Non-financial foreign entity [NFFE] – Non-US entity that is not a financial institution [including a territory NFFE]. Following NFFEs are excluded from FATCA reporting – (a) Publicly traded corporation / listed companies; (b) Related Entity of a listed company; and (c) Active NFFE
- d. Active NFFE – Any one of the following –

| Code | Sub-category |
|------|--|
| 1. | Less than 50 percent of the NFFE's gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50 percent of the assets held by the NFFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income |
| 2. | The NFFE is organized in a U.S. Territory and all of the owners of the payee are bona fide residents of that U.S. Territory |
| 3. | The NFFE is a government (other than the U.S. government), a political subdivision of such government (which, for the avoidance of doubt, includes a state, province, county, or municipality), or a public body performing a function of such government or a political subdivision thereof, a government of a U.S. Territory, an international organization, a non-U.S. central bank of issue, or an Entity wholly owned by one or more of the foregoing |
| 4. | Substantially all of the activities of the NFFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for NFFE status if the entity functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes |
| 5. | The NFFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFFE |
| 6. | The NFFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution |
| 7. | The NFFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution |
| 8. | Any NFFE is a 'non for profit' organization which meets all of the following requirements: It is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; It is exempt from income tax in its jurisdiction of residence; It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; The applicable laws of the NFFE's jurisdiction of residence or the NFFE's formation documents do not permit any income or assets of the NFFE to be distributed to, or applied for the benefit of, a private person or noncharitable Entity other than pursuant to the conduct of the NFFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFFE has purchased; and The applicable laws of the NFFE's jurisdiction of residence or the NFFE's formation documents require that, upon the NFFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFFE's jurisdiction of residence or any political subdivision thereof. |

- e. Expanded affiliated group – One or more chains of members connected through ownership (50% or more, by vote or value) by a common parent entity if the common parent entity owns stock or other equity interests meeting the requirements in at least one of the other members
- f. Passive NFFE – means any NFFE that is not (i) an Active NFFE, or (ii) a withholding foreign partnership or withholding foreign trust pursuant to relevant U.S. Treasury Regulations. Passive Income – portion of gross income that consists of Income Distribution interest, rents and royalties (other than rents and royalties derived in the active conduct of a trade or business conducted, at least in part, by employees of the NFFE), income equivalent to interest / amount received from pool of insurance contracts, annuities, excess of gains over losses from the sale or exchange of property / from transactions (including futures, forwards or similar transactions) in any commodities but not including (i) any commodity hedging transaction, determined by treating the entity as a controlled foreign corporation or (ii) active business gains or losses from the sale of commodities but only if substantially all the foreign entity's commodities are property, excess of foreign currency gains over losses, net income from notional principal contracts, amounts received under cash value insurance contracts, amounts earned by insurance company in connection with its reserves for insurance and annuity contracts
- h. Controlling Persons – means the natural persons who exercise control over an entity. In the case of a trust, such term means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust, and in the case of a legal arrangement other than a trust, such term means persons in equivalent or similar positions. The term “Controlling Persons” shall be interpreted in a manner consistent with the Financial Action Task Force Recommendations.



DECLARATION FORM OF ULTIMATE BENEFICIAL OWNERSHIP [UBO] / CONTROLLING PERSONS

(Mandatory for Non-individual Investors)

I: Investor details:

| | |
|---------------|--|
| Investor Name | |
| PAN* | |

* If PAN is not available, specify Folio No. (s)

II: Category

☐ Our company is a Listed Company on a recognized stock exchange in India / Subsidiary of a or Controlled by a Listed Company [If this category is selected, no need to provide UBO details].

Name of the Stock Exchange where it is listed#. _____

Security ISIN# _____

Name of the Listed Company (applicable if the investor is subsidiary/associate): _____

#mandatory in case of Listed company or subsidiary of the Listed Company

☐ Unlisted Company ☐ Partnership Firm / LLP ☐ Unincorporated association / body of individuals

☐ Public Charitable Trust ☐ Private Trust ☐ Religious Trust ☐ Trust created by a Will.

☐ Others [please specify] _____

UBO / Controlling Person(s) details.

Does your company/entity have any individual person(s) who holds direct / indirect controlling ownership above the prescribed threshold limit? ☐ Yes ☐ No

If 'YES' - We hereby declare that the following individual person holds directly / indirectly controlling ownership in our entity above the prescribed threshold limit. Details of such individual(s) are given below.

If 'NO' - declare that no individual person (directly / indirectly) holds controlling ownership in our entity above the prescribed threshold limit. Details of the individual who holds the position of Senior Managing Official (SMO) are provided below.

| | UBO-1 / Senior Managing Official (SMO) | UBO-2 | UBO-3 |
|---|---|---|---|
| Name of the UBO / SMO#. | | | |
| UBO / SMO PAN#. For Foreign National, TIN to be provided] | | | |
| % of beneficial interest#. | >10% controlling interest. <input type="checkbox"/> >15% controlling interest. <input type="checkbox"/> >25% controlling interest. <input type="checkbox"/> NA. (for SMO) <input type="checkbox"/> | >10% controlling interest. <input type="checkbox"/> >15% controlling interest. <input type="checkbox"/> >25% controlling interest. <input type="checkbox"/> NA. (for SMO) <input type="checkbox"/> | >10% controlling interest. <input type="checkbox"/> >15% controlling interest. <input type="checkbox"/> >25% controlling interest. <input type="checkbox"/> NA. (for SMO) <input type="checkbox"/> |
| UBO / SMO Country of Tax Residency#. | | | |

| | | | |
|---|---|---|---|
| UBO / SMO Taxpayer Identification Number / Equivalent ID Number#. | | | |
| UBO / SMO Identity Type | | | |
| UBO / SMO Place & Country of Birth# | Place of Birth Country of Birth | Place of Birth Country of Birth | Place of Birth Country of Birth |
| UBO / SMO Nationality | | | |
| UBO / SMO Date of Birth [dd-mmm-yyyy] # | | | |
| UBO / SMO PEP# | Yes – PEP. <input type="checkbox"/> Yes – Related to PEP. <input type="checkbox"/> N – Not a PEP. <input type="checkbox"/> | Yes – PEP. <input type="checkbox"/> Yes – Related to PEP. <input type="checkbox"/> N – Not a PEP. <input type="checkbox"/> | Yes – PEP. <input type="checkbox"/> Yes – Related to PEP. <input type="checkbox"/> N – Not a PEP. <input type="checkbox"/> |
| UBO / SMO Address [include City, Pincode, State, Country] | Address: City: Pincode: State: Country: | Address: City: Pincode: State: Country: | Address: City: Pincode: State: Country: |
| UBO / SMO Address Type | Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office <input type="checkbox"/> | Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office <input type="checkbox"/> | Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office <input type="checkbox"/> |
| UBO / SMO Email | | | |
| UBO / SMO Mobile | | | |
| UBO / SMO Gender | Male <input type="checkbox"/> Female <input type="checkbox"/> Others <input type="checkbox"/> | Male <input type="checkbox"/> Female <input type="checkbox"/> Others <input type="checkbox"/> | Male <input type="checkbox"/> Female <input type="checkbox"/> Others <input type="checkbox"/> |
| UBO / SMO Father's Name | | | |
| UBO / SMO Occupation | Public Service <input type="checkbox"/> Private Service <input type="checkbox"/> Business <input type="checkbox"/> Others <input type="checkbox"/> | Public Service <input type="checkbox"/> Private Service <input type="checkbox"/> Business <input type="checkbox"/> Others <input type="checkbox"/> | Public Service <input type="checkbox"/> Private Service <input type="checkbox"/> Business <input type="checkbox"/> Others <input type="checkbox"/> |
| SMO Designation# | | | |

| | | | |
|-------------------------|---|--|--|
| UBO / SMO KYC Complied? | <input type="checkbox"/> Yes / <input type="checkbox"/> No. If 'Yes,' please attach the KYC acknowledgement. If 'No,' complete the KYC and confirm the status | <input type="checkbox"/> Yes / <input type="checkbox"/> No. If 'Yes,' please attach the KYC acknowledgement. If No, complete the KYC and confirm the status. | <input type="checkbox"/> Yes / <input type="checkbox"/> No. If 'Yes,' please attach the KYC acknowledgement. If No, complete the KYC and confirm the status. |
|-------------------------|---|--|--|

Mandatory column.

Note: If the given columns are not sufficient, required information in the given format can be enclosed as additional sheet(s) duly signed by Authorized Signatory.

* Participating Mutual Fund(s) / RTA may call for additional information/documentation wherever required or if the given information is not clear / incomplete / correct and you may provide the same as and when solicited.

Declaration

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false, untrue, misleading, or misrepresenting, I/We am/are aware that I/We may be liable for it including any penalty levied by the statutory/legal/regulatory authority. I/We hereby confirm the above beneficial interest after perusing all applicable shareholding pattern and MF/RTA/other registered intermediaries can make reliance on the same. I/We hereby authorize you [RTA/Fund/AMC/Other participating entities] to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries /or any regulated intermediaries registered with SEBI / RBI / IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your / Fund's end or by domestic or overseas regulators/ tax authorities.

Signature with relevant seal:

Authorized Signatory

Name:

Designation:

Authorized Signatory

Name:

Designation:

Authorized Signatory

Name:

Designation:

Place: _____

Date: __/ __/ ____

Instructions on Controlling Persons / Ultimate Beneficial Owner

As per PMLA guidelines and relevant SEBI circulars issued from time to time, non-individuals and trusts are required to provide details of controlling persons [CP] / ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such CPs/ UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted and includes a person who exercises ultimate effective control over a legal person or arrangement.

A. For Investors other than individuals or trusts:

(i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:

- more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company.
- more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership.
- more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

(ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.

(iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

B. For Investors which is a trust:

The identity of the settler of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

C. Exemption in case of listed companies / foreign investors

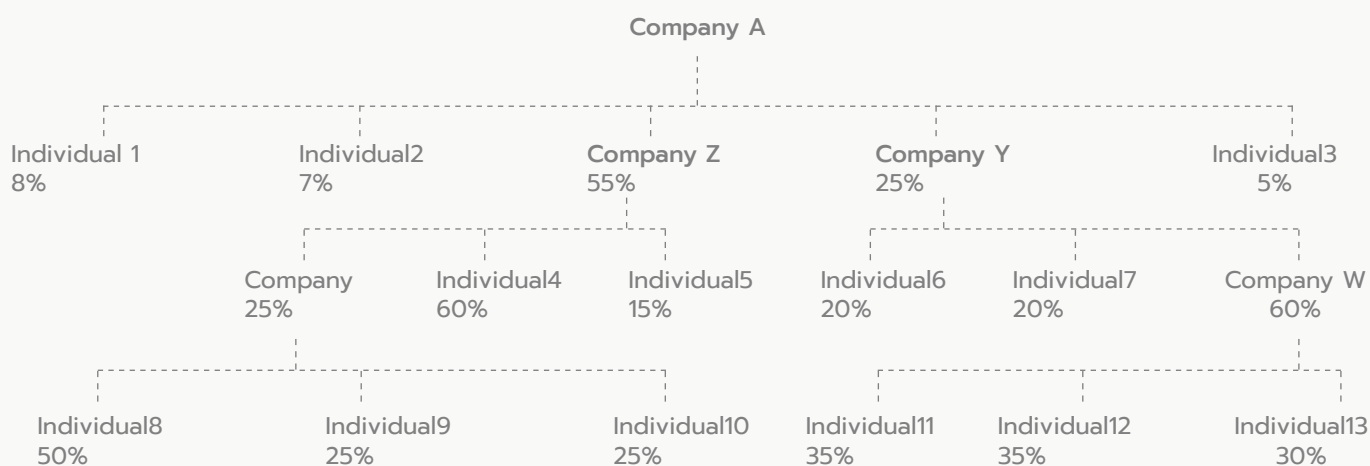
The client or the owner of the controlling interest is a company listed on a stock exchange or is a majority-owned subsidiary of such a company, there is no need for identification and verification of the identity of any shareholder or beneficial owner of such companies and hence exempted from UBO declaration provided other requisite information is provided. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012 and other circulars issued from time to time, for the purpose of identification of beneficial ownership of the client.

D. KYC requirements

Beneficial Owner(s) / Senior Managing Official (SMO) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the UBO(s) / SMO(s).

Sample Illustrations for ascertaining beneficial ownership:

Illustration No. 1 – Company A



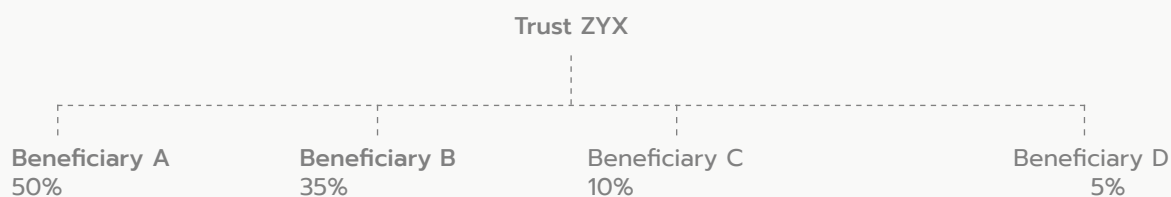
For Applicant A, Individual 4 is considered as UBO as it holds effective ownership of 33% in Company A. Hence details of Individual 4 must be provided with KYC proof, Shareholding pattern of Company A, Z & Y to be provided along with details of persons of Company Y who are senior managing officials and those exercising control.

Illustration No. 2 – Partner ABC



For Partnership Firm ABC, Partners 1, 2 and 5 are considered as UBO as each of them holds $\geq 15\%$ of capital. KYC proof of these partners needs to be submitted including shareholding

Illustration No. 3 – Trustee ZYX



For Trust ZYX, Beneficiaries A, B and C are considered as UBO as they are entitled to get benefitted for $>10\%$ of funds used. KYC proof for these beneficiaries needs to be submitted. Additionally, if they have nominated any person or group of persons as Settlor of Trust / Protector of Trust, relevant information to be provided along with the proof indicated.

INVESTOR SERVICE CENTERS/ OFFICIAL POINT OF ACCEPTANCE

Quantum Asset Management Company Pvt. Ltd. Mumbai: 6th Floor, Hoechst House, Nariman Point, Mumbai - 400 021

Ahmedabad: BSQUARE Office Solutions, 6th Floor Shree Krishna Centre, Nr. Mithakhali Six Roads, Navrangpura, Ahmedabad - 380 009

K-Fin Technologies Collection Centers

| SL No | Branch Name | Address | | | |
|-------|----------------|--|----|-------------|--|
| 1 | Agartala | KFin Technologies Ltd. OLS RMS CHOWMUHANI, MANTRI BARI ROAD 1ST FLOOR NEAR Jana Sevak Saloon Building TRAFFIC POINT Agartala, Tripura West, Pin-799001 | 44 | Cuttack | KFin Technologies Ltd. SHOP NO-45,2ND FLOOR, NETAJI SUBAS BOSE ARCADE BIG BAZAR BUILDING, ADJACENT TO RELIANCE TRENDS DARGHA BAZAR Cuttack - 753001 |
| 2 | Agra | KFin Technologies Ltd. House No. 17/2/4, 2nd Floor Deepak Wasan Plaza, Behind Hotel Holiday INN, Sanjay Place, Agra -282002 (U.P) | 45 | Darbhanga | KFin Technologies Ltd. "H No-185, Ward No-13, National Statistical office Campus, Kathalbari, Bhandar Chowk, Darbhanga, Bihar - 846004 |
| 3 | Ahmedabad | KFin Technologies Ltd. Office No. 401, 4th Floor ABC-I, Off. C.G. Road Ahmedabad-380 009 | 46 | Davangere | KFin Technologies Ltd. D.No 162/6, 1st Floor, 3rd Main, P J Extension Davangere taluk, Davangere Mandal Davangere : 577002 |
| 4 | Ajmer | KFin Technologies Ltd. 302, 3rd Floor, Ajmer Auto Building Opposite City Power House, Jaipur Road Ajmer - 305 001 | 47 | Dehradun | KFin Technologies Ltd. Shop No-809/799, Street No-2 A Rajendra Nagar Near Sheesha Lounge, Kaulagarh Road Dehradun - 248 001 |
| 5 | Akola | KFin Technologies Ltd. Shop No 25, Ground Floor Yamuna tarang complex, Murtizapur Road N.H. No - 6, Opp Radhakrishna, Akola 444001, Maharashtra | 48 | Deoria | KFin Technologies Ltd. K. K. Plaza, Above Apurwa Sweets Civil Lines Road Deoria- 274001 |
| 6 | Aligarh | KFin Technologies Ltd. 1st Floor Sevti Complex Near Jain Temple, Samad Road Aligarh - 202001, Uttar Pradesh | 49 | Dhanbad | KFin Technologies Ltd. 208, New Market, 2nd Floor, Katras Road, Bank More, Dhanbad - 826001 |
| 7 | Allahabad | KFin Technologies Ltd. Meena Bazar,2nd Floor, 10 S.P. Marg Civil Lines,Subhash Chauraha, Prayagraj Allahabad - 211001 | 50 | Dhule | KFin Technologies Ltd. Ground Floor Ideal Laundry, Lane No 4 Khol Galli, Near Muthoot Finance Opp Bhavasar General Store Dhule-424001 |
| 8 | Alwar | KFin Technologies Ltd. 137, Jai Complex Road No - 2 Alwar-301001 | 51 | Durgapur | KFin Technologies Ltd. MWAV-16 Bengal Ambuja 2ndFloor, City Centre, Dist: Burdwan Durgapur- 713216 |
| 9 | Amaravathi | KFin Technologies Ltd. Shop No. 21, 2nd Floor Gulshan Tower, Near Panchsheel Talkies, Jaistambh Square, Amaravathi - 444601 | 52 | Eluru | KFin Technologies Ltd. DNO-23A-7-72/73K K S PLAZA MUNUKUTLA VARI STREET, OPP ANDHRA HOSPITALS,R R PETA ELURU-534 002 |
| 10 | Ambala | KFin Technologies Ltd. 6349,2nd Floor, Nicholson Road, Adjacent Kos Hospital, Ambala Cant, Ambala - 133001 | 53 | Erode | KFin Technologies Ltd. No 38/1 Ground Floor,Sathy Road, (VCTV Main Road), Sorna Krishna Complex Erode - 638 003 |
| 11 | Amritsar | KFin Technologies Ltd. SCO 5, 2nd Floor, District Shopping Complex, Ranjit Avenue, City-Amritsar, Punjab, Pin Code - 143001 | 54 | Faridabad | KFin Technologies Ltd. A-2B, 3rd Floor, Nehru Ground, Neelam Bata Road, Nit, Peer Ki Mazar, Faridabad - 121 001 |
| 12 | Anand | KFin Technologies Ltd. B-42 Vaibhav Commercial Center Nr Tvs Down Town Show Room, Grid Char Rasta, Anand - 388 001 | 55 | Ferozpur | KFin Technologies Ltd. The Mall Road, Chawla Bulding, 1st Floor, Opp. Centrail Jail, Near Hanuman Mandir Ferozpur City -152002 |
| 13 | Ananthapur | KFin Technologies Ltd. #13/4, Vishnupriya Complex, Beside SBI Bank, Near Tower Clock Anantapur - 515 001 | 56 | Gandhidham | KFin Technologies Ltd. Shop # 12, Shree Ambica Arcade, Plot # 300 Ward 12 Opp. CG High School, Near HDFC Bank, Gandhidham - 370201 |
| 14 | Asansol | KFin Technologies Ltd. 1st Floor, 112/N, G. T. ROAD BHANGA PACHIL, Paschim Bardhaman Asansol - 713303, West Bengal | 57 | Gandhinagar | KFin Technologies Ltd. 123, First Floor Megh Malhar Complex Opp. Vijay Petrol Pump Sector - 11 Gandhinagar - 382011 |
| 15 | Aurangabad | KFin Technologies Ltd. Shop no B 38,Motiwalla Trade Center Nirala Bazar Aurangabad-431001 | 58 | Gaya | KFin Technologies Ltd. Property No 711045129, Ground Floor, Hotel Skylark, Swaraipuri Road, Gaya-823001, Bihar |
| 16 | Azamgarh | KFin Technologies Ltd. Shop no 18 Gr. Floor Nagarpalika, Infront of Tresery office Azamgarh-276 001 | 59 | Ghaziabad | KFin Technologies Ltd. FF - 31, Konark Building Rajnagar Ghaziabad - 201003 |
| 17 | Balasore | KFin Technologies Ltd. 1-B. 1st Floor, Kalinga Hotel Lane Baleshwar, Baleshwar Sadar Balasore - 756001, Orissa | 60 | Ghazipur | KFin Technologies Ltd. House No. 148/19,Mahua Bagh Raini Katra Ghazipur-233 001 |
| 18 | Bangalore | KFin Technologies Ltd. OLD NO : 35, NEW NO-59, 1st Floor KAMALA NIVAS, PUTTANNA ROAD Basavanagudi Bangalore - 560 004 | 61 | Gonda | KFin Technologies Ltd. House No. 782, Shiv Sadan, ITI Road Near Raghukul Vidya Peeth, Civil Lines Gonda-271 001 |
| 19 | Bankura | KFin Technologies Ltd. Plot nos- 80/1/ANATUNCHATI MAHALLA 3rd floor, Ward No 24 Opp to PC Chandra, Bankura - 722101 | 62 | Gorakhpur | KFin Technologies Ltd. Shop No. 8-9, 4th floor Cross Mall Gorakpur - 273 001 |
| 20 | Bareilly | KFin Technologies Ltd. 1ST FLOOR REAR SIDE A -SQUARE BUILDING 54-CIVIL LINES, Ayub Khan Chauraha BAREILLY - 243 001 | 63 | Gulbarga | KFin Technologies Ltd. H NO 2-231,KRISHNA COMPLEX 2ND FLOOR Opp. Municipal corporation Office, Jagat Station Main Road, Kalaburagi Gulbarga - 585105 |
| 21 | Baroda | KFin Technologies Ltd. 1st Floor 125 Kanha Capital, Opp. Express Hotel, R C Dutt Road, Alkapuri Vadodara - 390007. | 64 | Guntur | KFin Technologies Ltd. 2nd Shatter, 1st Floor Hno. 6-14-48, 14/2 Lane,Arundal Pet Guntur -522002 |
| 22 | Begusarai | KFin Technologies Ltd. SRI RAM MARKET, KALI ASTHAN CHOWK, MATIHANI ROAD, BEGUSARAI, BIHAR - 85101 | 65 | Gurgaon | KFin Technologies Ltd. 2nd Floor, Vipul Agora M. G. Road Gurgaon - 122001 |
| 23 | Belgaum | KFin Technologies Ltd. Premises No 101, CTS NO 1893, Shree Guru Darshani Tower Anandwadi, Hindwadi, Belgaum 590011 | 66 | Guwahati | KFin Technologies Ltd. Ganapati Enclave, 4th Floor Opposite Bora service, Ullubari Guwahati - 781007 |
| 24 | Bellary | KFin Technologies Ltd. GROUND FLOOR,3RD OFFICE, NEAR WOMENS COLLEGE ROAD BESIDE AMRUTH DIAGNOSTIC SHANTHI ARCHADE Bellary 583103 | 67 | Gwalior | KFin Technologies Ltd. City Centre Near Axis Bank Gwalior - 474 011 |
| 25 | Berhampur (Or) | KFin Technologies Ltd. Opp -Divya Nandan Kalyan Mandap 3rd Lane Dharam Nagar, Near Lohiya Motor Berhampur-760001 | 68 | Haldwani | KFin Technologies Ltd. Shop No. 5, KMN Shopping Complex Haldwani - 263139 |
| 26 | Bhagalpur | KFin Technologies Ltd. 2nd Floor, Chandralok Complex, Near Ghantaghar, Radha Rani Sinha Road, Bhagalpur - 812001 | 69 | Haridwar | KFin Technologies Ltd. Shop No. - 17, Bhatia Complex Near Jamuna Palace Haridwar-249 410 |
| 27 | Bhagalpur | KFin Technologies Ltd. 123 Nexus business Hub,Near Gangotri Hotel B/s Rajeshwari Petroleum, Makampur Road Bharuch - 392 001 | 70 | Hassan | KFin Technologies Ltd. HEMADRI ARCADE, 2ND MAIN ROAD SALGAME ROAD NEAR BRAHMINS BOYS HOSTEL Hassan - 573201 |
| 28 | Bhatinda | KFin Technologies Ltd. 2nd Floor, MCB -Z-3-01043 GONIANA RODA, OPPORITE NIPPON INDIA MF GT ROAD, NEAR HANUMAN CHOWK, BHATINDA -151001, Punjab | 71 | Hissar | KFin Technologies Ltd. Shop No. 20, Ground Floor, R D City Centre, Railway Road, Hissar - 125001 |
| 29 | Bhavnagar | KFin Technologies Ltd. 303, STERLING POINT, WAGHAWADI ROAD, BHAVNAGAR - 364001 | 72 | Hoshiarpur | KFin Technologies Ltd. The Mall Complex Unit # SF-6,2nd Floor, Opposite Kapila Hospital, Sutheri Road, City- Hoshiarpur, Punjab, Pin Code - 146001 |
| 30 | Bhilai | KFin Technologies Ltd. Office No.2, 1st Floor Plot No. 9/6, Nehru Nagar [East] Bhilai,Durg BHILAI - 490020, Chhattisgarh | 73 | Hubli | KFin Technologies Ltd. R R MAHALAXMI MANSION, ABOVE INDUSIND Bank, 2ND FLOOR, DESAI CROSS, PINTO ROAD, Hubballi 580029 |
| 31 | Bhilwara | KFin Technologies Ltd. Office No. 14 B, Prem Bhawan, Pur Road, Gandhi Nagar, Near CanaraBank, Bhilwara - 311001 (Rajasthan) | 74 | Hyderabad | KFin Technologies Ltd. No.303, Vamsee Estates Opp: Bigbazaar, Ameerpet Hyderabad - 500 016 |
| 32 | Bhopal | KFin Technologies Ltd. SF-13 Gurukripa Plaza, Plot No. 48A Opposite City Hospital, zone-2,M P Nagar Bhopal-462011 | 75 | Indore | KFin Technologies Ltd. 101,Diamond Trade centre 3-4 Diamond Colony, New Palasia, Above khurana Bakery Indore - 452001 |
| 33 | Bhubaneswar | KFin Technologies Ltd. A/181 Back Side Of Shivam Honda Show Room Saheed Nagar Bhubaneswar-751007 | 76 | Jabalpur | KFin Technologies Ltd. 2nd Floor, 290/1 (615-New) Near Bhavartal Garden Jabalpur - 482001 |
| 34 | Bikaner | KFin Technologies Ltd. H.No. 10, Himtasar House, Museum circle, Civil line, Bikaner, Rajasthan - 334001 | 77 | Jaipur | KFin Technologies Ltd. Office no 101, 1st Floor, Okay Plus Tower, Next to Kalyan Jewellers, Government Hostel Circle, Ajmer Road, Jaipur - 302 001 |
| 35 | Bilaspur | KFin Technologies Ltd. ANANDAM PLAZA, Shop.No.306 3rd Floor, Vyapar Vihar Main Road Bilaspur-495001 | 78 | Jalandhar | KFin Technologies Ltd. Office No 7, 3rd Floor City Square building, E-H197 Civil Lines Jalandhar - 144 001 |
| 36 | Bokaro | KFin Technologies Ltd. CITY CENTRE, PLOT NO. HE- 7,SECTOR-IV BOKARO STEEL CITY Bokaro Steel City - 827 004 | 79 | Jalgaon | KFin Technologies Ltd. 3rd floor,269 JEEZ Plaza Baliram Peth near Kishore Agencies Jalgaon - 425 001 |
| 37 | Burdwan | KFin Technologies Ltd. Saluja Complex; 846, Laxmipur, G T Road, Burdwan; PS: BURDWAN & DIST: BURDWAN-EAST PIN: 713101 | 80 | Jalpaiguri | KFin Technologies Ltd. D.B.C. Raod, Near Rupasree Cinema Hall, Opp to Nirala Hotel, Beside Kalamandir, Po & Dist Jalpaiguri Jalpaiguri - 735101 |
| 38 | Calicut | KFin Technologies Ltd. Second Floor, Manimuriyil Centre Bank Road, Kasaba Village Calicut - 673 001 | 81 | Jammu | KFin Technologies Ltd. 1D/D Extension 2 Valmiki Chowk, Gandhi Nagar Jammu - 180004 |
| 39 | Chandigarh | KFin Technologies Ltd. First floor, SCO 2469-70 Sector 22-C Chandigarh - 160022 | 82 | Jamnagar | KFin Technologies Ltd. Office No 131, 1st Floor Madalhv Piazza Opp SBI Bank, Nr. LAL Bungalow Jamnagar - 361001 |
| 40 | Chennai | KFin Technologies Ltd. 9th Floor, Capital Towers 180, Kodambakkam High Road Nungambakkam Chennai - 600034 | 83 | Jamshedpur | KFin Technologies Ltd. Madhukunj, 3rd Floor Q Road, Sakchi, Bistupur Jamshedpur - 831 001 |
| 41 | Chinsura | KFin Technologies Ltd. 96, DOCTORS LANE PO:CHINSURAH, DT: HOOGHLY Chinsura - 712101 | 84 | Jhansi | KFin Technologies Ltd. 1st Floor, Puja Tower, Near 48 Chambers ELITE Crossing Jhansi - 284 001 |
| 42 | Cochin | KFin Technologies Ltd. Door No:61/2784 Second floor Sreelakshmi Tower Chittoor Road, Ravipuram Ernakulam-Kerala-682015 | 85 | Jodhpur | KFin Technologies Ltd. Shop No. 6, GROUND FLOOR, GANG TOWER OPPOSITE ARORA MOTER SERVICE CENTRE, NEAR BOMBAY MOTER Circle, Jodhpur - 342 003 |
| 43 | Coimbatore | KFin Technologies Ltd. 3rd Floor,1057 Jaya Enclave, Avانشi Road Coimbatore- 641018 | 86 | Junagadh | KFin Technologies Ltd. Shop No. 201, 2nd Floor, V-ARCADE Complex Near vanzari chowk,M.G. Road Junagadh - 362001 |
| | | | 87 | Kannur | KFin Technologies Ltd. 2ND FLOOR, GLOBAL VILLAGE, BANK ROAD, KANNUR - 670001, Kerala |
| | | | 88 | Kanpur | KFin Technologies Ltd. 151/46,Ground Floor, Opp: Muir Mills, Civil Lines, Kanpur - 208001 |
| | | | 89 | Karimnagar | KFin Technologies Ltd. 2nd Shutter, H.No. 7-2-607 Sri Matha Complex Mankammathota Karimnagar-505001 |
| | | | 90 | Karnal | KFin Technologies Ltd. 3 Randhir Colony Near Doctor J.C.Bathla Hospital Karnal-132001 |

| | | |
|-----|-------------|---|
| 91 | Karur | KFin Technologies Ltd. No 88/11, BB plaza NRMP street, K S Mess Back side Karur - 639002 |
| 92 | Kharagpur | KFin Technologies Ltd. Holding No 254/220, SBI BUILDING Malancha Road, Ward No.16, PO: Kharagpur, PS: Kharagpur, Dist: Paschim Medinipur Kharagpur-721301 |
| 93 | Kolhapur | KFin Technologies Ltd. 605/1/4 E Ward, Near Sultane Chambers, Shahupuri 2nd Lane, Lakshmi Niwas Kolhapur 416001 |
| 94 | Kolkata | KFin Technologies Ltd. 2/1 Russel Street 4th Floor, Kankaria Centre Kolkata-700071, West Bengal, India |
| 95 | Kollam | KFin Technologies Ltd. SREE VIGNESWARA BHAVAN SHASTRI JUNCTION KOLLAM - 691001 |
| 96 | Kota | KFin Technologies Ltd. D-8, SHRI RAM COMPLEX OPPOSITE MULTI PURPOSE SCHOOL, GUMANPURA, Kota - 324007 |
| 97 | Kottayam | KFin Technologies Ltd. 1st Floor Csiascension Square Railway Station Road Collectorate P O Kottayam - 686 002 |
| 98 | Kurnool | KFin Technologies Ltd. Shop No:47, 2nd Floor S komda Shopping mall Kurnool-518 001 |
| 99 | Lucknow | KFin Technologies Ltd. 1st Floor,A. A. Complex 5 Park Road, Hazratganj, Thaper House Lucknow - 226001 |
| 100 | Ludhiana | KFin Technologies Ltd. Second floor, SCO 122, Above Hdfc Mutual fund, Feroze Gandhi Market Ludhiana - 141001 |
| 101 | Madurai | KFin Technologies Ltd. G-16/17,AR Plaza, 1st floor, North Veli Street, Madurai - 625001 |
| 102 | Malda | KFin Technologies Ltd. RAM KRISHNA PALLY, GROUND FLOOR ENGLISH BAZAR MALDA - 732101 |
| 103 | Mangalore | KFin Technologies Ltd. SHOP NO - 305 MARIAN PARADISE PLAZA 3RD FLOOR, BUNTS HOSTEL ROAD, MANGALORE - 575003 DAKSHINA KANNADA KARNATAKA |
| 104 | Margao | KFin Technologies Ltd. SHOP NO 21, OSIA MALL, 1ST FLOOR NEAR KTC BUS STAND, SGDPA MARKET COMPLEX Margao-Goa -403601 |
| 105 | Mathura | KFin Technologies Ltd. Shop No. 9, Ground Floor Vihari Lal Plaza, Opposite Brijwasi Centrum Near New Bus Stand Mathura - 281001 |
| 106 | Meerut | KFin Technologies Ltd. Shop No- 111, First Floor, Shivam Plaza Near Canara Bank,Opposite Eves Petrol Pump Meerut - 250 001 |
| 107 | Mehsana | KFin Technologies Ltd. FF-21, Someshwar Shopping Mall, Modhera Char Rasta, Mehsana-384002 |
| 108 | Mirzapur | KFin Technologies Ltd. Triveni Campus, Near SBI Life Ratanganj Mirzapur-231001 |
| 109 | Moga | KFin Technologies Ltd. 1st Floor,Dutt Road, Mandir Wali Gali Civil Lines, Barat Garh Moga-142001 |
| 110 | Moradabad | KFin Technologies Ltd. Chadha Complex, G. M. D. Road Near Tadi Khana, Chowk Moradabad - 244 001 |
| 111 | Morena | KFin Technologies Ltd. House No. HIG 959, Near Court Front of Dr. Lal Lab, Old Housing Board Colony Morena -476 001 |
| 112 | Mumbai | KFin Technologies Ltd. 6/8 Ground Floor, Crosselly House Near BSE (Bombay Stock Exchange) Next Union Bank, Fort Mumbai - 400001 |
| 113 | Muzaffarpur | KFin Technologies Ltd. First Floor Saroj Complex Diwam Road, Near Kalyani Chowk Muzaffarpur-842001 |
| 114 | Mysore | KFin Technologies Ltd. NO 2924, 2ND FLOOR, 1ST MAIN, 5TH CROSS SARASWATHI PURAM Mysore - 570 009 |
| 115 | Nadiad | KFin Technologies Ltd. 311-3rd Floor City Center Near Paras Circle Nadiad - 387001 |
| 116 | Nagercoil | KFin Technologies Ltd. HNO 45, 1st Floor East Car Street, Nagarcoil - 629001 |
| 117 | Nagpur | KFin Technologies Ltd. Block No. B / 1 & 2, Shree Apratment Plot No. 2, Khare Town Mata Mandir Road, Dharampeth Nagpur - 440 010 |
| 118 | Nanded | KFin Technologies Ltd. Shop No. 4, First Floor, Opp.Bank Of India Santkrupa Market, Gurudwara Road Nanded-43601 |
| 119 | Nasik | KFin Technologies Ltd. S-9, Second Floor, Suyojit Sankul, Sharanpur Road, Nasik, Maharashtra - 422002 |
| 120 | Navsari | KFin Technologies Ltd. 103, 1ST FLOOR LANDMARK MALL NEAR SAYAJI LIBRARY, Navsari - 396 445 |
| 121 | New Delhi | KFin Technologies Ltd. 305 New Delhi House 27 Barakhamba Road New Delhi-110 001 |
| 122 | Noida | KFin Technologies Ltd. F-21,2nd Floor,Near Kalyan Jewelers Sector-18 Noida - 201301(U.P) |
| 123 | Palghat | KFin Technologies Ltd. No.20 & 21, Metro Complex, Head Post Office Road, Sultanpet, Palghat - 678001 |
| 124 | Panipat | KFin Technologies Ltd. Shop No. 20, 1st Floor BMK Market, Behind HIVE Hotel, G.T. Road Panipat - 132103, Haryana |
| 125 | Panjim | KFin Technologies Ltd. H. No: T-9, T-10, Affran plaza, 3rd Floor,Near Don Bosco High School, Panjim Goa, 403001 |
| 126 | Pathankot | KFin Technologies Ltd. 2nd Floor, Sahni Arcade Complex Adj.Indra colony Gate Railway Road, Pathankot - 145001 |
| 127 | Patiala | KFin Technologies Ltd. B- 17/423 Opp Modi College, Lower Mall Patiala 147001 |
| 128 | Patna | KFin Technologies Ltd. Flat No- 102, 2BHK Maa Bhawani Shardalay, Exhibition Road, Patna-800001 |
| 129 | Pondicherry | KFin Technologies Ltd. No -122(10b), Muthumariamman koil street, Pondicherry - 605 001 |
| 130 | Pune | KFin Technologies Ltd. Office no 207-210, 2nd Floor Kamla Arcade, Jangli Maharaj Road Opposite Balgandharva, Shivaji Nagar Pune - 411005 |
| 131 | Raipur | KFin Technologies Ltd. Office No.5-13 Second Floor, Raheja Tower Fafadih Chowk, Jail Road Raipur - 492 001 |
| 132 | Rajahmundry | KFin Technologies Ltd. No. 46-23-10/A, Tirumala Arcade, 2nd floor, Ganuga Veedhi,Danavaipeeta, Rajahmundry, East Godavari Dist,AP-533103 |
| 133 | Rajkot | KFin Technologies Ltd. 302 Metro Plaza Near Moti Tanki Chowk Rajkot - 360 001 |
| 134 | Ranchi | KFin Technologies Ltd. Room no 103, 1st Floor, Commerce Tower Beside Mahabir Towers Main Road Ranchi - 834 001 |
| 135 | Renukoot | KFin Technologies Ltd. C/o Mallick Medical Store Bangali Katra Main Road, Renukoot Dist. Sonbhadra (U.P.)-231 217 |
| 136 | Rewa | KFin Technologies Ltd. Shop No. 2, Shree Sai Annol Complex, Ground Floor, Opp Teerth Memorial Hospital Rewa-486 001 |
| 137 | Rohtak | KFin Technologies Ltd. Office No:- 61, First Floor, Ashoka Plaza, Delhi Road, Rohtak 124001 |
| 138 | Roorkee | KFin Technologies Ltd. Near Shri Dwarikadish, Dharm Shala, Ramnagar, Roorkee - 247 667 |
| 139 | Rourkela | KFin Technologies Ltd. 2nd Floor, Main Road UDIT NAGAR Rourkela - 769 012 SUNDARGARH |

| | | |
|-----|------------------------|--|
| 140 | Sagar | KFin Technologies Ltd. II floor,Above shiva kanch mandir 5 civil lines Sagar-470002 |
| 141 | Salem | KFin Technologies Ltd. No.6 NS Complex Omalur Main Road Salem-636009 |
| 142 | Sambalpur | KFin Technologies Ltd. SAHEJ PLAZA; First Floor; Shop No. 219 Golebazar Sambalpur-768001 |
| 143 | Satna | KFin Technologies Ltd. 1st Floor Gopal Complex Near Bus Stand Rewa Road Satna (MP) -485 001 |
| 144 | Shillong | KFin Technologies Ltd. Annex Mani Bhawan Lower Thana Road,Near R K M Lp School Shillong - 793 001 |
| 145 | Shimla | KFin Technologies Ltd. 1st Floor, Hills View Complex Near Tara Hall Shimla - 171 001 |
| 146 | Shimoga | KFin Technologies Ltd. JAYARAMA NILAYA, 2ND CORSS, MISSION COMPOUND, SHIMOGA 577201 |
| 147 | Shivpuri | KFin Technologies Ltd. Near Hotel Vanasthali, In Front of Sawarkar Park, B. Road, Shivpuri Shivpuri-473 551 |
| 148 | Sikar | KFin Technologies Ltd. 1st Floor, Super Towers, Near Taparya Bagichi Behind Ram Mandir, Station Road Sikar-332001 |
| 149 | Silchar | KFin Technologies Ltd. 1st Floor, Chowchakra Complex, N N Dutta Road, Premlata Silchar - 788001 |
| 150 | Siliguri | KFin Technologies Ltd. 2nd Floor, Nanak Complex Sevoke Road, Siliguri - 734001 |
| 151 | Sitapur | KFin Technologies Ltd. 12/12, Surya Complex Station Road Sitapur - 261001 |
| 152 | Solan | KFin Technologies Ltd. Disha Complex, 1st Floor Above Axis Bank, Rajgarh Road Solan-173 212 |
| 153 | Solapur | KFin Technologies Ltd. Shop No 106. Krishna complex 477 Dakshin Kasaba, Datta Chowk Solapur-413 007 |
| 154 | Sonepat | KFin Technologies Ltd. Shop no. 205 PP Tower Opp income tax office,Subhash chowk Sonepat-131001 |
| 155 | Sri Ganganagar | KFin Technologies Ltd. Shop No. 5, Opposite Bihani Petrol Pump NH - 15, near Baba Ramdev Mandir Sri Ganga Nagar - 335001 |
| 156 | Sultanpur | KFin Technologies Ltd. 1st Floor, Ramashanker Market Civil Line Sultanpur-228 001 |
| 157 | Surat | KFin Technologies Ltd. Ground Floor, Empire State building, Near Udhna Darwaja, Ring Road, Surat-395002 |
| 158 | Tirunelveli | KFin Technologies Ltd. Jeney Building, 55/18, S N Road, 2nd Floor Near Arvind Eye Hospital Tirunelveli - 627 001 |
| 159 | Tirupathi | KFin Technologies Ltd. Shop No:18-1-421/f1 CITY Center, K.T.Road, Airtel Backside office Tirupati - 517501 |
| 160 | Tiruvalla | KFin Technologies Ltd. 2nd Floor, Erinjery Complex, Opp Axis Bank, Near Kotak Securites, Ramanchira Tiruvalla - 689107 |
| 161 | Trichur | KFin Technologies Ltd. 4TH FLOOR, CROWN TOWER, SHAKTHAN NAGAR, OPP. HEAD POST OFFICE THRISSUR - 680001 |
| 162 | Trichy | KFin Technologies Ltd. No 23C/1 E V R road, Near Vekkaliannan Kalyana Mandapam, Puthur Trichy - 620 017 |
| 163 | Trivandrum | KFin Technologies Ltd. 3rdFloor, No- 3B TC-82/3417, CAPITOL CENTER, OPP SECRETARIAT, MG ROAD, Trivandrum - 695 001 |
| 164 | Tuticorin | KFin Technologies Ltd. No 48/A-34, Mani nagar Mangal Mall Palayamkottai Road Tuticorin - 628003 |
| 165 | Udaipur | KFin Technologies Ltd. Shop No. 202, 2nd Floor business centre 1C Madhuvan, Opp G P O Chetak Circle Udaipur-315001 |
| 166 | Ujjain | KFin Technologies Ltd. Heritage Shop No. 227,87 Vishwavidhyalaya Marg Station Road,Near ICICI bank Above Vishal Megha Mart Ujjain-456001 |
| 167 | Valsad | KFin Technologies Ltd. 406 Dreamland Arcade Opp Jade Blue, Tithal Road Valsad - 396001 |
| 168 | Vapi | KFin Technologies Ltd. A-8, Second Floor, Solitaire Business Center, Opp DCB Bank, GIDC Char rasta, Silvassa Road, Vapi - 396191 |
| 169 | Varanasi | KFin Technologies Ltd. D.64 / 52, G - 4 Arihant Complex , Second Floor Madhopur, Shivpurva Sgra,Near Petrol Pump Varanasi - 221 010 |
| 170 | Vellore | KFin Technologies Ltd. No 2/19, 1st floor,Vellore city centre, Anna salai,Vellore 632001 |
| 171 | Vijayawada | KFin Technologies Ltd. Hno 26-23, 1st Floor Sundarammastreet, GandhiNagar Vijayawada - 520 003 |
| 172 | Visakhapatnam | KFin Technologies Ltd. 48-10-40, GROUND FLOOR, SURYA RATNA ARCADE, SRINAGAR, OPP ROADTO LALITHA JEWELLER SHOWROOM BESIDE TAJ HOTEL LADGE, ISAKHAPATNAM - 530016 |
| 173 | Warangal | KFin Technologies Ltd. Shop No22, Ground Floor Warangal City Center,15-1-237 Mulugu Road Junction Warangal - 506002 |
| 174 | Yamuna Nagar | KFin Technologies Ltd. B-V, 185/A, 2nd Floor, Jagadri Road, Near DAV Girls College, (UCO Bank Building) Pyara Chowk Yamuna Nagar-135 001 |
| 175 | Vashi | KFin Technologies Ltd. Vashi Plaza,Shop no. 324,C Wing 1st Floor,Sector 17, Vashi Mumbai - 400705 |
| 176 | Vile Parle | KFin Technologies Ltd. Shop No.1 Ground Floor, Dipti Jyothi Co-operative Housing Society, Near MTNL office P M Road, Vile Parle (East), Mumbai - 400057 |
| 177 | Borivali | KFin Technologies Ltd. Gomati Smuti, Ground Floor, Jambli Gully, Near Railway Station, Borivali (West), Mumbai - 400 092 |
| 178 | Thane | KFin Technologies Ltd. Room No. 302 3rd Floor, Ganga Prasad Near RBL Bank Ltd,Ram Maruti Cross Road, Naupada Thane West Mumbai - 400602 |
| 179 | Hyderabad (Gachibowli) | KFin Technologies Ltd. Selenium, Plot No: 31 & 32, Tower B, Survey No.115/22, 115/24, 115/25 Financial District, Gachibowli, Nanakramguda, Serilingampally Mandal Hyderabad - 500032 |
| 180 | Srikakulam | KFin Technologies Ltd. D No: 158, Shop No#3, Kaki Street, Opp Tulasi Das Hospital, CB Road, "Srikakulam - 532001 Andhra Pradesh" |
| 181 | Ghatkopar | KFin Technologies Limited 11/ Platinum Mall, Jawahar Road, Ghatkopar (East) Mumbai - 400 077 |
| 182 | Satara | KFin Technologies Limited G7, 465, A Govind Park Sadar Bazaar, Satara - 415001 |
| 183 | Ahmednagar | KFin Technologies Limited Shop no 2, Plot no 17, S.No 322 Near Ganesh Colony Savedi Ahmednagar - 414001 |
| 184 | Nellore | KFin Technologies Limited 24-6-326/1 Ibaco Building 4th Floor, Grand Truck Road Beside Hotel Minerva, Saraswathi Nagar Dargamitta, Nellore - 524003 |
| 185 | Kalyan | KFin Technologies Limited Seasons Business Centre 104 / 1st Floor, Shivaji Chowk Opposite KDMC (Kalyan Dombivli Mahanagar Corporation) Kalyan - 421301 |

To Invest with Us: Come online for a complete paperless experience OR
Visit your nearest KFin Technologies Limited. OR
For any further details required please call 1800-22-3863 / 1800-209-3863 or visit www.QuantumAMC.com

Call our Toll Free number for a Application Pick-up