

# QUANTUM INDIA ESG EQUITY FUND

An Open ended equity scheme investing in companies following Environment, Social and Governance (ESG) theme

## Investment Objective

To achieve long-term capital appreciation by investing in share of companies that meet Quantum's Environment, Social and Governance (ESG) criteria.

### The sustainability objectives of the ESG strategy are:

- Achieving positive and above-average ESG profile
- Mitigating ESG risks and harnessing ESG opportunities, and
- Influencing overall positive behavior by investing in companies that promote sustainable products and services.

## ★ Scheme Features



### Fund Manager

**Mr. Chirag Mehta**  
Work experience: 19 years.

**Ms. Sneha Joshi**  
Work experience: 9 years.  
Both have been managing the fund since July 12, 2019.



### Category of Scheme

Thematic Scheme



### Inception Date (Date of Allotment)

July 12, 2019



### Declaration of Net Asset Value (NAV)

Every Business Day



### Entry / Sales Load

Not Applicable



### Total Expense Ratio (As on month end)

**Direct Plan - Total TER = 0.83%**  
{Base TER 0.75% (Inclusive of 0.45% Management Fees & 0.30% Other Expanses) + 0.08% GST (18% GST on 0.45% Management Fees)}

**Regular Plan - Total TER = 2.08%**  
{Base TER 2.00% (Inclusive of 0.45% Management Fees & 0.30% Other Expanses & 1.25% Distributor Commission) + 0.08% GST (18% GST on 0.45% Management Fees)}



### Benchmark Index

Tier I Benchmark - Nifty 100 ESG Total Return Index



### Minimum Application Amount (Under each Option)

Purchase: ₹500/- and in multiples of ₹1/- thereafter.  
Additional Purchase: ₹500/- and in multiples of ₹1/- thereafter.



### Investment Options

Growth

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## Key Statistics

^^Standard Deviation 12.54%

^^Beta 0.82

^^Sharpe Ratio 1.07

Weighted Average ESG Score of the Scheme 69.28

SEBI Registered ESG Rating Provider (ERP)  
Name is Stakeholders Empowerment Services (SES)

## Brokerages & Commissions Details

Brokerages on Investments for September 2023 ₹48,045.23

Distributor commissions paid during September 2023 ₹1,55,768.67

Portfolio Turnover Ratio (Last one year): 17.94%

## ★ Scheme Features



### Redemption Proceeds

Processed through RTGS/NEFT mode on T+2 basis from the date of transaction where the investor's Bank details are available.

Processed through cheque on T+2 basis from the date of transaction where the required Bank details of investor are not available.



### Exit Load

For complete details on Exit Load please refer page no. 4



### Taxation<sup>#</sup>

The amount of Long Term Capital Gain in excess of Rs 1,00,000/- in a year will be taxable @ 10%. Tax on Short Term Capital Gains - 15%.

NAV (as on September 29, 2023)	Direct Plan (₹/Unit)	Regular Plan (₹/Unit)
Growth Option	19.40	18.83

### AUM ₹(In Crores)

(as on September 30, 2023)

Average AUM\*  
73.87

Absolute AUM  
73.32

\*Cumulative Daily AUM / No of days in the month

<sup>#</sup>The mentioned Tax Rates shall be increased by applicable surcharge, If any, Health and Education Cess @ 4% where ever as applicable. Equity oriented schemes will also attract

Securities Transaction Tax (STT) @ 0.001% at the time of redemption and switch to other schemes.

Stamp Duty applicable on Investors subscription unit transactions including Switch in as per the rate mentioned in the Amendments to Indian Stamp Act,1899

**TRANSACTION CHARGES:** No Transaction Charges shall be deducted from the investment amount for applications received in the Regular Plan.

Brokerage and STT have been expensed out of the Scheme.



The Scheme is managed by **Mr. Chirag Mehta and Ms. Sneha Joshi**

**Mr. Chirag Mehta** is the Fund Manager and **Ms. Sneha Joshi** is the Associate Fund Manager managing the scheme since **July 12, 2019**.

For other Schemes Managed by Mr. Chirag Mehta please see page no. 6



Performance of the Scheme

Direct Plan

Quantum India ESG Equity Fund - Direct Plan

Period	Scheme Returns (%)	Tier I - Benchmark <sup>#</sup> Returns (%)	Additional Benchmark Returns (%) <sup>##</sup>	Current Value ₹10,000 Invested at the beginning of a given period		
				Scheme Returns (₹)	Tier I - Benchmark <sup>#</sup> Returns (₹)	Additional Benchmark Returns (₹) <sup>##</sup>
Since Inception (12th Jul 2019)	17.00%	15.40%	14.77%	19,400	18,307	17,887
Sep 30, 2020 to Sep 29, 2023 (3 years)	21.54%	20.22%	21.51%	17,946	17,366	17,933
Sep 30, 2022 to Sep 29, 2023 (1 year)	16.22%	11.47%	16.20%	11,617	11,144	11,615

<sup>#</sup>NIFTY100 ESG TRI, <sup>##</sup>S&P BSE Sensex TRI.

**Past performance may or may not be sustained in the future.** Load is not taken into consideration in Scheme returns calculation.

Different Plans shall have a different expense structure.

Refer to the section "GIPS Compliance" on Page no. 6 for GIPS related disclosure.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR)



Performance of the Scheme

Regular Plan

Quantum India ESG Equity Fund - Regular Plan

Period	Scheme Returns (%)	Tier I - Benchmark <sup>#</sup> Returns (%)	Additional Benchmark Returns (%) <sup>##</sup>	Current Value ₹10,000 Invested at the beginning of a given period		
				Scheme Returns (₹)	Tier I - Benchmark <sup>#</sup> Returns (₹)	Additional Benchmark Returns (₹) <sup>##</sup>
Since Inception (12th Jul 2019)	16.17%	15.40%	14.77%	18,830	18,307	17,887
Sep 30, 2020 to Sep 29, 2023 (3 years)	20.60%	20.22%	21.51%	17,533	17,366	17,933
Sep 30, 2022 to Sep 29, 2023 (1 year)	15.28%	11.47%	16.20%	11,524	11,144	11,615

<sup>#</sup>NIFTY100 ESG TRI, <sup>##</sup>S&P BSE Sensex TRI.

**Past performance may or may not be sustained in the future.** Load is not taken into consideration in Scheme returns calculation.

Different Plans shall have a different expense structure.

Refer to the section "GIPS Compliance" on Page no. 6 for GIPS related disclosure.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR)

Regular Plan was launched on 12th July 2019.

## SIP Performance as on September 29, 2023

	Total Amount Invested (₹'000)	Mkt Value as on Sep 29, 23 (₹'000)	Tier I - Benchmark# Returns (₹'000)	Additional Benchmark Returns (₹'000)##	Returns (XIRR*) (%)	Tier I - Benchmark# Returns (%)	Additional Benchmark Returns (%)##
SIP Since Inception	500	711	686	698	17.18%	15.37%	16.23%
3 Years SIP	360	437	423	437	13.07%	10.81%	13.09%
1 Year SIP	120	132	130	129	20.37%	15.98%	14.01%

#NIFTY100 ESG TRI, ##S&P BSE Sensex TRI.

**Past performance may or may not be sustained in the future.** The above SIP performance is for Quantum India ESG Equity Fund - Direct plan - Growth option. Load is not taken into consideration using applicable NAV on the SIP day (5th of every month). Return on SIP and Benchmark are annualized and compounded investment return for cash flows resulting out of uniform and regular monthly subscriptions as on 5th day of every month (in case 5th is a non-Business Day, then the next Business Day) and have been worked out using the Excel spreadsheet function known as XIRR. XIRR calculates the internal rate of return for series of cash flow. Assuming ₹10,000 invested every month on 5th day of every month (in case 5th is a non-Business Day, then the next Business Day), and since inception returns from SIP are annualized and compounded investment return computed on the assumption that SIP installments were received across the time periods from the start date of SIP.

Returns are net of total expenses

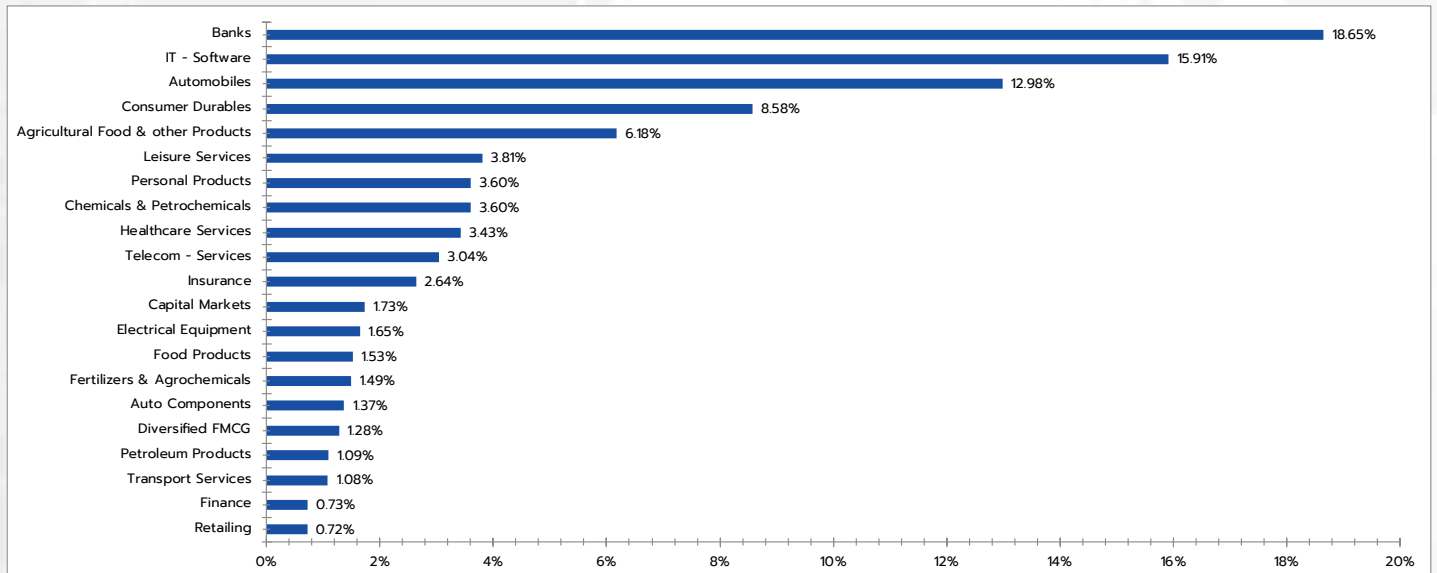
\*XIRR - XIRR calculates the internal rate of return to measure and compare the profitability of series of investments.

## Exit Load:

Provisions	% of Exit Load
10% of units If redeemed or switched out on or before 365 days from the date of allotment	NIL
Remaining 90% of units if redeemed or switched out on or before 365 days from the date of allotment	1%
If redeemed or switched out after 365 days from the date of allotment	NIL

**Note: Redemptions / Switch outs of units will be done on First In First Out (FIFO) basis. The above mentioned load structure shall be equally applicable to the special facilities such as Systematic Withdrawal Plan (SWP) / Systematic Transfer Plan (STP) and Switches etc. However, no load shall be charged for switching between option / plan within the Scheme.**

## Industry Allocation (% of Net Assets) as on September 30, 2023





Portfolio as on September 30, 2023  
**QUANTUM INDIA ESG EQUITY FUND**

Name of Instrument	Industry	Quantity	Market/ Fair Value (₹ in Lakhs)	% to Net Assets	ESG Scores
<b>EQUITY &amp; EQUITY RELATED</b>					
<b>a. Listed / Awaiting listing on Stock Exchanges</b>					
1. HDFC Bank Limited	Banks	34,802	531.18	7.24%	79.80
2. TVS Motor Company Limited	Automobiles	22,376	340.52	4.64%	62.40
3. The Indian Hotels Company Limited	Leisure Services	67,882	279.06	3.81%	75.70
4. ICICI Bank Limited	Banks	26,403	251.33	3.43%	76.60
5. Tata Consultancy Services Limited	IT - Software	6,718	237.05	3.23%	73.80
6. Tata Consumer Products Limited	Agricultural Food & other Products	26,290	230.54	3.14%	66.30
7. Infosys Limited	IT - Software	15,716	225.60	3.08%	82.40
8. Tata Communications Limited	Telecom - Services	11,550	223.23	3.04%	68.40
9. Marico Limited	Agricultural Food & other Products	39,661	222.76	3.04%	63.40
10. Kotak Mahindra Bank Limited	Banks	11,184	194.12	2.65%	82.30
11. Tata Chemicals Limited	Chemicals & Petrochemicals	18,590	191.70	2.61%	71.90
12. Syngene International Limited	Healthcare Services	23,266	187.09	2.55%	76.20
13. Axis Bank Limited	Banks	17,122	177.50	2.42%	81.60
14. Maruti Suzuki India Limited	Automobiles	1,627	172.63	2.35%	68.50
15. Tata Motors Limited	Automobiles	27,057	170.51	2.33%	76.90
16. Wipro Limited	IT - Software	39,705	161.22	2.20%	81.00
17. Persistent Systems Limited	IT - Software	2,664	154.42	2.11%	72.40
18. IndusInd Bank Limited	Banks	10,731	153.33	2.09%	80.40
19. Asian Paints Limited	Consumer Durables	4,602	145.47	1.98%	76.10
20. Havells India Limited	Consumer Durables	10,460	145.28	1.98%	72.70
21. HDFC Life Insurance Company Limited	Insurance	22,449	143.09	1.95%	74.80
22. HCL Technologies Limited	IT - Software	9,881	122.01	1.66%	79.60
23. Thermax Limited	Electrical Equipment	3,887	120.91	1.65%	51.60
24. Tech Mahindra Limited	IT - Software	9,863	120.60	1.64%	81.00
25. Nestle India Limited	Food Products	497	111.86	1.53%	74.20
26. Rallis India Limited	Fertilizers & Agrochemicals	52,076	109.00	1.49%	73.50
27. Titan Company Limited	Consumer Durables	3,387	106.65	1.45%	74.00
28. Bosch Limited	Auto Components	527	100.23	1.37%	70.00
29. Colgate Palmolive (India) Limited	Personal Products	4,834	96.95	1.32%	73.50
30. Voltas Limited	Consumer Durables	11,218	96.84	1.32%	76.20
31. Godrej Consumer Products Limited	Personal Products	9,732	96.50	1.32%	74.80
32. Hindustan Unilever Limited	Diversified FMCG	3,793	93.52	1.28%	72.20
33. Hero MotoCorp Limited	Automobiles	3,008	91.96	1.25%	74.90
34. Crompton Greaves Consumer Electricals Limited	Consumer Durables	26,861	83.23	1.14%	53.60
35. Castrol India Limited	Petroleum Products	57,424	79.56	1.09%	67.80
36. Mahindra Logistics Limited	Transport Services	20,390	79.06	1.08%	69.90
37. Coforge Limited	IT - Software	1,442	73.61	1.00%	54.80
38. Vinati Organics Limited	Chemicals & Petrochemicals	3,931	72.58	0.99%	46.60
39. Mphasis Limited	IT - Software	3,042	72.30	0.99%	75.10
40. Dabur India Limited	Personal Products	12,800	70.60	0.96%	70.90
41. Mahindra & Mahindra Limited	Automobiles	4,453	69.21	0.94%	72.40
42. Computer Age Management Services Limited	Capital Markets	2,700	67.78	0.92%	68.80
43. Dr. Lal Path Labs Limited	Healthcare Services	2,542	64.17	0.88%	67.30
44. The Federal Bank Limited	Banks	40,660	59.85	0.82%	80.20
45. Central Depository Services (India) Limited	Capital Markets	4,386	59.64	0.81%	63.60
46. Eicher Motors Limited	Automobiles	1,690	58.25	0.79%	69.00
47. Bajaj Finance Limited	Finance	687	53.66	0.73%	76.10
48. Info Edge (India) Limited	Retailing	1,273	53.02	0.72%	70.60
49. Kansai Nerolac Paints Limited	Consumer Durables	16,300	51.74	0.71%	71.40
50. ICICI Prudential Life Insurance Company Limited	Insurance	8,979	50.84	0.69%	77.30
51. Bajaj Auto Limited	Automobiles	988	50.03	0.68%	67.70
<b>b. Unlisted</b>			<b>NIL</b>	<b>NIL</b>	
<b>Total of all Equity</b>			<b>6,973.79</b>	<b>95.09%</b>	
<b>MONEY MARKET INSTRUMENTS</b>					
<b>a) TREPS</b>			<b>352.85</b>	<b>4.81%</b>	

Net Receivable/(payable)	5.57	0.10%
Grand Total	7,332.21	100.00%

## GIPS Compliance

A) Quantum Asset Management Company Pvt. Ltd. claims compliance with the Global Investment Performance Standards (GIPS®). GIPS® is a registered trademark of CFA Institute. CFA Institute does not endorse or promote this organization, nor does it warrant the accuracy or quality of the content contained herein.

B) Indian Rupee (INR) is the currency used to express performance and other statistics.

## PERFORMANCE OF THE FUNDS MANAGED BY CHIRAG MEHTA

### QUANTUM MULTI ASSET FUND OF FUNDS

Mr. Chirag Mehta is managing the scheme since July 11, 2012.

Period	September 30, 2022 to September 29, 2023 (1 year)		September 30, 2020 to September 29, 2023 (3 years)		September 28, 2018 to September 29, 2023 (5 years)	
	Scheme Return (%)	Tier I - Benchmark# Returns (%)	Scheme Return (%)	Tier I - Benchmark# Returns (%)	Scheme Return (%)	Tier I - Benchmark# Returns (%)
Quantum Multi Asset Fund of Funds - Direct Plan - Growth Option	11.70%	11.98%	9.74%	11.70%	8.95%	11.02%
Quantum Multi Asset Fund of Funds - Regular Plan - Growth Option	11.29%	11.98%	9.34%	11.70%	8.61%	11.02%

**Past performance may or may not be sustained in the future. Load is not taken into consideration in Scheme Return Calculation.**

#CRISIL Dynamic Bond A-III Index (20%) + CRISIL Liquid Debt A-I Index (25%) + Nifty 50 TRI (40%) + Domestic price of Gold (15%). w.e.f. April 3, 2023, benchmark of the scheme has been changed.

It is a customized index and it is rebalanced daily.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 4 schemes of the Quantum Mutual Fund.

### QUANTUM EQUITY FUND OF FUNDS

Mr. Chirag Mehta is managing the scheme since November 01, 2013.

Period	September 30, 2022 to September 29, 2023 (1 year)		September 30, 2020 to September 29, 2023 (3 years)		September 28, 2018 to September 29, 2023 (5 years)	
	Scheme Return (%)	Tier I - Benchmark# Returns (%)	Scheme Return (%)	Tier I - Benchmark# Returns (%)	Scheme Return (%)	Tier I - Benchmark# Returns (%)
Quantum Equity Fund of Funds - Direct Plan - Growth Option	17.05%	16.12%	20.86%	23.33%	13.19%	14.67%
Quantum Equity Fund of Funds - Regular Plan - Growth Option	16.77%	16.12%	20.57%	23.33%	12.93%	14.67%

**Past performance may or may not be sustained in the future. Load is not taken into consideration in Scheme Return Calculation.**

#S&P BSE 200 TRI.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 4 schemes of the Quantum Mutual Fund.

### QUANTUM GOLD SAVINGS FUND

Mr. Chirag Mehta is managing the scheme since May 19, 2011.

Period	September 30, 2022 to September 29, 2023 (1 year)		September 30, 2020 to September 29, 2023 (3 years)		September 28, 2018 to September 29, 2023 (5 years)	
	Scheme Return (%)	Tier I - Benchmark# Returns (%)	Scheme Return (%)	Tier I - Benchmark# Returns (%)	Scheme Return (%)	Tier I - Benchmark# Returns (%)
Quantum Gold Savings Fund - Direct Plan - Growth Option	14.09%	14.33%	3.63%	4.52%	12.55%	13.57%
Quantum Gold Savings Fund - Regular Plan - Growth Option	13.92%	14.33%	3.49%	4.52%	12.41%	13.57%

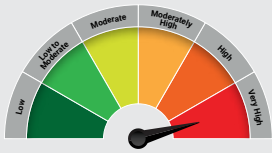
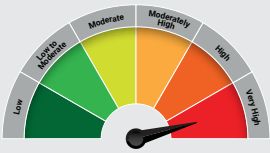
**Past performance may or may not be sustained in the future.**

#Domestic Price of Gold.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 4 Schemes of the Quantum Mutual Fund.

Name of the Scheme & Tier I Benchmark	This product is suitable for investors who are seeking*	Risk-o-meter of Scheme	Risk-o-meter of Tier I Benchmark
<p>Quantum India ESG Equity Fund</p> <p>(An Open ended equity scheme investing in companies following Environment, Social and Governance (ESG) theme)</p> <p>Tier I Benchmark: NIFTY100 ESG TRI</p>	<ul style="list-style-type: none"> <li>Long term capital appreciation</li> <li>Invests in shares of companies that meet Quantum's Environment, Social, Governance (ESG) criteria</li> </ul>	 <p>Investors understand that their principal will be at Very High Risk</p>	

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

**^^ Note:**  
Since the scheme has not completed 3 years data will not be available.