

QUANTUM INDIA ESG EQUITY FUND

An Open ended equity scheme investing in companies following Environment, Social and Governance (ESG) theme

Investment Objective

To achieve long-term capital appreciation by investing in share of companies that meet Quantum's Environment, Social and Governance (ESG) criteria.

★ Scheme Features



Fund Manager

Mr. Chirag Mehta
Work experience: 15 years.

Ms. Sneha Joshi
Work experience: 6 years.
Both have been managing the fund since July 12, 2019.



Category of Scheme

Thematic Scheme



Inception Date (Date of Allotment)

July 12, 2019



Declaration of Net Asset Value (NAV)

Every Business Day



Entry / Sales Load

Not Applicable



Total Expense Ratio (As on month end)

TER specified are the actual expenses charged (i.e. effective rate) as at the end of the month

Direct Plan - Total TER = 0.89%

{Base TER 0.81% (Inclusive of 0.47% Management Fees & 0.34% Other Expenses) + 0.08% GST (18% GST on 0.47% Management Fees)}

Regular Plan - Total TER = 1.64%

{Base TER 1.56% (Inclusive of 0.47% Management Fees & 0.34% Other Expenses & 0.75% Distributor Commission) + 0.08% GST (18% GST on 0.47% Management Fees)}



Benchmark Index

Nifty 100 ESG Total Return Index



Minimum Application Amount (Under each Option)

Purchase: ₹500/- and in multiples of ₹1/- thereafter.
Additional Purchase: ₹500/- and in multiples of ₹1/- thereafter.



Investment Options

Growth

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Key Statistics

^^Standard Deviation NA

^^Beta NA

^^Sharpe Ratio NA

Brokerages & Commissions Details

Brokerages on Investments for November 2020 ₹ 17,556.40

Distributor commissions for November 2020 ₹ 21,384.90

Portfolio Turnover Ratio (Last one year): 18.43%

★ Scheme Features



Redemption Proceeds

Processed through RTGS/NEFT mode on T+3 basis from the date of transaction where the investor's Bank details are available.

Processed through cheque on T+3 basis from the date of transaction where the required Bank details of investor are not available.



Exit Load

For complete details on Exit Load please refer page no. 4



Taxation[#]

The amount of Long Term Capital Gain in excess of Rs 1,00,000/- in a year will be taxable @ 10%. Tax on Short Term Capital Gains - 15%.

NAV (as on November 27, 2020)	Direct Plan (₹/Unit)	Regular Plan (₹/Unit)
Growth Option	12.5700	12.4700

AUM ₹(In Crores)

(as on November 30, 2020)

Average AUM*
23.11

Absolute AUM
24.82

*Cumulative Daily AuM /No of days in the month

[#]The mentioned Tax Rates shall be increased by applicable surcharge, If any, Health and Education Cess @ 4% where ever as applicable. Equity oriented schemes will also attract

Securities Transaction Tax (STT) @ 0.001% at the time of redemption and switch to other schemes.

Stamp Duty applicable on Investors subscription unit transactions including Switch in as per the rate mentioned in the Amendments to Indian Stamp Act,1899

TRANSACTION CHARGES: No Transaction Charges shall be deducted from the investment amount for applications received in the Regular Plan.

The Scheme is managed by **Mr. Chirag Mehta and Ms. Sneha Joshi**

Mr. Chirag Mehta and Ms. Sneha Joshi are Fund Managers effective from **July 12, 2019**.

For other Schemes Managed by Mr. Chirag Mehta please see page no. 6 & 7

Performance of the Scheme

Direct Plan

Quantum India ESG Equity Fund - Direct Plan

				Value of investment of Rs. 10,000@		
		Benchmark	Additional Benchmark		Benchmark	Additional Benchmark
Period	Scheme Returns (%)	NIFTY 100 ESG TRI Returns (%)	S&P BSE Sensex TRI Returns (%)	Scheme (₹)	NIFTY100 ESG TRI Returns (₹)	S&P BSE Sensex TRI Returns (₹)
Since Inception (12th Jul 2019)	17.98%	14.84%	11.25%	12,570	12,110	11,589
Nov 29, 2019 to Nov 27, 2020 (1 year)	18.87%	15.12%	9.54%	11,881	11,508	10,952

Past performance may or may not be sustained in the future. Load is not taken into consideration in Scheme returns calculation.

Different Plans shall have a different expense structure.

Refer to the section "GIPS Compliance" on Page no. 6 for GIPS related disclosure.

The Schemes has been in existence for more than 1 year but has not yet completed 3 and 5 years period.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR)

Performance of the Scheme

Regular Plan

Quantum India ESG Equity Fund - Regular Plan

				Value of investment of Rs. 10,000@		
		Benchmark	Additional Benchmark		Benchmark	Additional Benchmark
Period	Scheme Returns (%)	NIFTY 100 ESG TRI Returns (%)	S&P BSE Sensex TRI Returns (%)	Scheme (₹)	NIFTY100 ESG TRI Returns (₹)	S&P BSE Sensex TRI Returns (₹)
Since Inception (12th Jul 2019)	17.30%	14.84%	11.25%	12,470	12,110	11,589
Nov 29, 2019 to Nov 27, 2020 (1 year)	18.14%	15.12%	9.54%	11,809	11,508	10,952

Past performance may or may not be sustained in the future. Load is not taken into consideration in Scheme returns calculation.

The Schemes has been in existence for more than 1 year but has not yet completed 3 and 5 years period

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SIP Performance as on November 27, 2020

	Total Amount Invested (₹'000)	Mkt Value as on Nov 27, 2020 (₹'000)	Scheme Returns (XIRR*) (%)	NIFTY 100 ESG total return Index (XIRR*) [§] (%)	S&P BSE Sensex TRI Returns (XIRR*) [®] (%)
SIP Since Inception	160.00	200.00	36.93%	33.19%	28.72%
1 Year SIP	120.00	149.50	50.63%	44.80%	40.32%

Past performance may or may not be sustained in the future. The above SIP performance is for Quantum India ESG Equity Fund - Direct plan - Growth option. Load is not taken into consideration using applicable NAV on the SIP day (5th of every month). Return on SIP and Benchmark are annualized and compounded investment return for cash flows resulting out of uniform and regular monthly subscriptions as on 5th day of every month (in case 5th is a non-Business Day, then the next Business Day) and have been worked out using the Excel spreadsheet function known as XIRR. XIRR calculates the internal rate of return for series of cash flow. Assuming ₹10,000 invested every month on 5th day of every month (in case 5th is a non-Business Day, then the next Business Day), and since inception returns from SIP are annualized and compounded investment return computed on the assumption that SIP installments were received across the time periods from the start date of SIP.

Returns are net of total expenses

[§]Benchmark Return

[®]Additional Benchmark Return

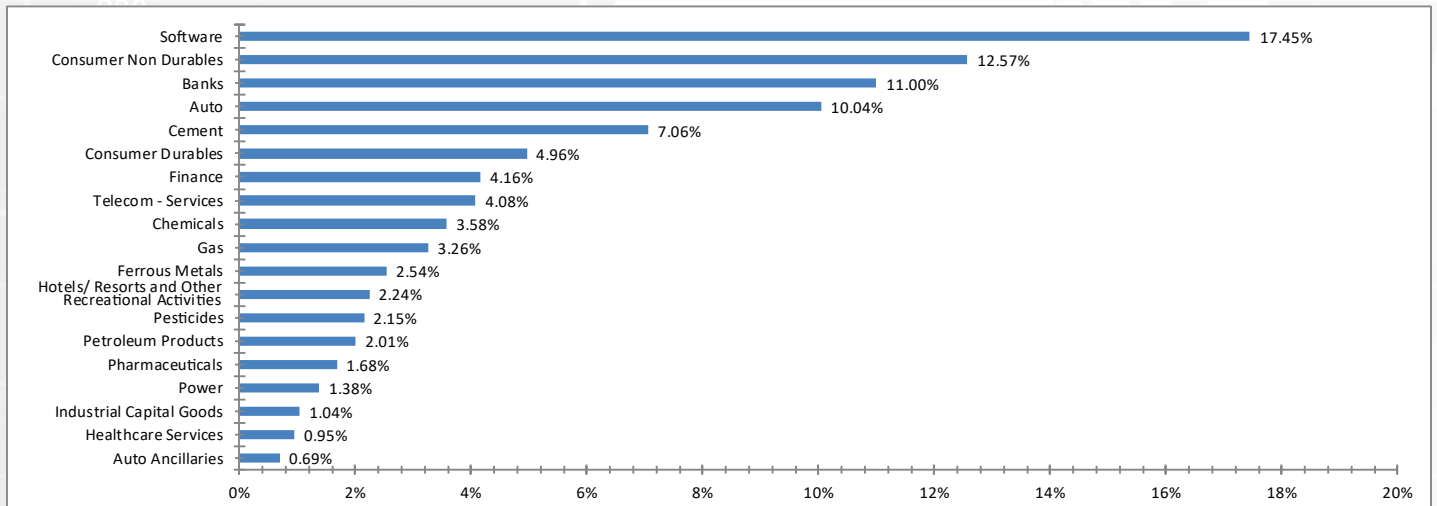
*XIRR - XIRR calculates the internal rate of return to measure and compare the profitability of series of investments.

Exit Load:

Provisions	% of Exit Load
10% of units If redeemed or switched out on or before 365 days from the date of allotment	NIL
Remaining 90% of units if redeemed or switched out on or before 365 days from the date of allotment	1%
If redeemed or switched out on or after 365 days from the date of allotment	NIL

Note: Redemptions / Switch outs of units will be done on First In First Out (FIFO) basis. The above mentioned load structure shall be equally applicable to the special facilities such as Systematic Withdrawal Plan (SWP) / Systematic Transfer Plan (STP) and Switches etc. However, no load shall be charged for switching between option / plan within the Scheme.

Industry Allocation (% of Net Assets) as on November 30, 2020





Portfolio as on November 30, 2020
QUANTUM INDIA ESG EQUITY FUND

Name of Instrument	Industry	Quantity	Market / Fair Value (Rs. in Lakhs)	% to Net Assets
EQUITY & EQUITY RELATED				
a. Listed /Awaiting listing on Stock Exchanges				
1. Infosys Limited	Software	10,275	113.03	4.55%
2. Tata Consultancy Services Limited	Software	3,999	107.16	4.32%
3. HDFC Bank Limited	Banks	7,185	103.53	4.17%
4. Housing Development Finance Corporation Limited	Finance	4,579	103.31	4.16%
5. Wipro Limited	Software	24,522	85.95	3.46%
6. Kotak Mahindra Bank Limited	Banks	4,343	82.83	3.34%
7. Tata Communications Limited	Telecom - Services	7,065	75.00	3.02%
8. Tata Consumer Products Limited	Consumer Non Durables	13,547	72.88	2.94%
9. Marico Limited	Consumer Non Durables	19,029	69.97	2.82%
10. Tata Chemicals Limited	Chemicals	16,215	63.58	2.56%
11. Tata Motors Limited	Auto	35,255	63.58	2.56%
12. Tata Steel Limited	Ferrous Metals	10,908	62.98	2.54%
13. Ambuja Cements Limited	Cement	23,952	62.53	2.52%
14. Tech Mahindra Limited	Software	6,771	59.37	2.39%
15. Hindustan Unilever Limited	Consumer Non Durables	2,744	58.67	2.36%
16. Shree Cement Limited	Cement	238	57.85	2.33%
17. Havells India Limited	Consumer Durables	7,035	56.38	2.27%
18. The Indian Hotels Company Limited	Hotels/ Resorts and Other Recreational Activities	46,931	55.47	2.24%
19. ACC Limited	Cement	3,220	54.90	2.21%
20. TVS Motor Company Limited	Auto	10,831	54.12	2.18%
21. Rallis India Limited	Pesticides	18,895	53.27	2.15%
22. Maruti Suzuki India Limited	Auto	673	47.35	1.91%
23. Hero MotoCorp Limited	Auto	1,452	45.14	1.82%
24. Axis Bank Limited	Banks	7,203	43.33	1.75%
25. Nestle India Limited	Consumer Non Durables	238	42.58	1.72%
26. Syngene International Limited	Pharmaceuticals	7,194	41.58	1.68%
27. Gujarat Gas Limited	Gas	11,617	40.53	1.63%
28. Mahanagar Gas Limited	Gas	3,804	40.47	1.63%
29. Mahindra & Mahindra Limited	Auto	5,388	38.90	1.57%
30. Dabur India Limited	Consumer Non Durables	6,930	34.64	1.40%
31. Power Grid Corporation of India Limited	Power	17,861	34.36	1.38%
32. Castrol India Limited	Petroleum Products	26,789	33.59	1.35%
33. Colgate Palmolive (India) Limited	Consumer Non Durables	2,185	33.07	1.33%
34. IndusInd Bank Limited	Banks	3,504	30.05	1.21%
35. Mphasis Limited	Software	2,080	27.37	1.10%
36. Bharti Airtel Limited	Telecom - Services	5,694	26.38	1.06%
37. HCL Technologies Limited	Software	3,182	26.16	1.05%
38. Thermax Limited	Industrial Capital Goods	2,903	25.94	1.04%
39. Vinati Organics Limited	Chemicals	2,159	25.43	1.02%
40. Voltas Limited	Consumer Durables	3,033	24.46	0.99%
41. Dr. Lal Path Labs Limited	Healthcare Services	1,031	23.62	0.95%
42. Titan Company Limited	Consumer Durables	1,591	21.66	0.87%
43. Crompton Greaves Consumer Electricals Limited	Consumer Durables	6,757	20.63	0.83%
44. Bosch Limited	Auto Ancillaries	133	17.05	0.69%
45. Bharat Petroleum Corporation Limited	Petroleum Products	4,404	16.43	0.66%
46. Info Edge (India) Limited	Software	336	14.47	0.58%
47. ICICI Bank Limited	Banks	2,778	13.15	0.53%
b. Unlisted			NIL	NIL
Total of all Equity			2,304.70	92.84%
MONEY MARKET INSTRUMENTS				
a. TREPS			169.87	6.84%
Net Receivable/(payable)			7.37	0.32%
Grand Total			2,481.94	100.00%

* Cash & Cash Equivalents



GIPS Compliance

- A) Quantum Asset Management Company Pvt. Ltd. claims compliance with the Global Investment Performance Standards (GIPS®). GIPS® is a registered trademark of CFA Institute. CFA Institute does not endorse or promote this organization, nor does it warrant the accuracy or quality of the content contained herein.
- B) Indian Rupee (INR) is the currency used to express performance and other statistics.



PERFORMANCE OF THE FUNDS MANAGED BY CHIRAG MEHTA

QUANTUM MULTI ASSET FUND OF FUNDS*

Mr. Chirag Mehta is effective from July 11, 2012.

Co-managing along with Mr. Nilesh Shetty effective from July 11, 2012

Period	Nov 29, 2019 to Nov 27, 2020 (1 year)		Nov 30, 2017 to Nov 27, 2020 (3 years)		Nov 30, 2015 to Nov 27, 2020 (5 years)	
	Scheme Return (%)	Benchmark Return# (%)	Scheme Return (%)	Benchmark Return# (%)	Scheme Return (%)	Benchmark Return# (%)
Quantum Multi Asset Fund of Funds - Direct Plan	11.83%	15.66%	8.13%	12.64%	9.32%	12.07%
Quantum Multi Asset Fund of Funds - Regular Plan	11.53%	15.66%	7.91%	12.64%	NA	NA

Past performance may or may not be sustained in the future. Load is not taken into consideration in Scheme Return Calculation.

#Indicates CRISIL Composite Bond Fund Index (40%) + S&P BSE SENSEX Total Return Index (40%) + Domestic price of Gold (20%). It is a customized index and it is rebalanced daily. Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 5 schemes of the Quantum Mutual Fund. Mr. Nilesh Shetty manages 2 schemes of the Quantum Mutual Fund.

Regular plan launched on 1st April 2017 but not yet completed 5 years period since its launch.

*With effect from 1st January 2020, the name of "Quantum Multi Asset Fund" has been changed to "Quantum Multi Asset Fund of Funds"

QUANTUM EQUITY FUND OF FUNDS

Mr. Chirag Mehta is Effective from November 01, 2013

Period	Nov 29, 2019 to Nov 27, 2020 (1 year)		Nov 30, 2017 to Nov 27, 2020 (3 years)		Nov 30, 2015 to Nov 27, 2020 (5 years)	
	Scheme Return (%)	S&P BSE 200 TRI Returns# (%)	Scheme Return (%)	S&P BSE 200 TRI Returns# (%)	Scheme Return (%)	S&P BSE 200 TRI Returns# (%)
Quantum Equity Fund of Funds - Direct Plan - Growth Option	7.29%	10.12%	4.29%	7.97%	9.59%	11.69%
Quantum Equity Fund of Funds - Regular Plan - Growth Option	7.03%	10.12%	4.09%	7.97%	NA	NA

Past performance may or may not be sustained in the future. Load is not taken into consideration in Scheme Return Calculation.

#Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 5 schemes of the Quantum Mutual Fund.

Regular plan launched on 1st April 2017 but not yet completed 5 years period since its launch.

QUANTUM GOLD FUND

Mr. Chirag Mehta effective from May 1, 2009. Co-managing with Ms. Ghazal Jain effective from June 2, 2020

Period	Nov 29, 2019 to Nov 27, 2020 (1 year)		Nov 30, 2017 to Nov 27, 2020 (3 years)		Nov 30, 2015 to Nov 27, 2020 (5 years)	
	Scheme Return (%)	Domestic Price of Gold Returns# (%)	Scheme Return (%)	Domestic Price of Gold Returns# (%)	Scheme Return (%)	Domestic Price of Gold Returns# (%)
Quantum Gold Fund	26.64%	27.89%	17.07%	18.24%	12.69%	13.85%

Past performance may or may not be sustained in the future.

#Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Mr. Chirag Mehta manages 5 Schemes and Ms. Ghazal Jain manages 2 Schemes of the Quantum Mutual Fund

The Scheme being Exchange Traded Fund has one plan to invest through stock exchange and having a single expense structure.

QUANTUM GOLD SAVINGS FUND

Mr. Chirag Mehta effective from May 19, 2011. Co-managing with Ms. Ghazal Jain effective from June 2, 2020

Period	Nov 29, 2019 to Nov 27, 2020 (1 year)		Nov 30, 2017 to Nov 27, 2020 (3 years)		Nov 30, 2015 to Nov 27, 2020 (5 years)	
	Scheme Return (%)	Domestic Price of Gold Returns# (%)	Scheme Return (%)	Domestic Price of Gold Returns# (%)	Scheme Return (%)	Domestic Price of Gold Returns# (%)
Quantum Gold Savings Fund - Direct Plan	27.43%	27.89%	17.37%	18.24%	12.85%	13.85%
Quantum Gold Savings Fund - Regular Plan	27.35%	27.89%	17.25%	18.24%	NA	NA

Past performance may or may not be sustained in the future.

#Benchmark returns.

Returns are net of total expenses and are calculated on the basis of Compounded Annualized Growth Rate (CAGR).

Different Plans shall have different expense structure.

Mr. Chirag Mehta manages 5 Schemes and Ms. Ghazal Jain manages 2 Schemes of the Quantum Mutual Fund.

Regular plan launched on 1st April 2017 but not yet completed 5 years period since its launch.

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Product Labeling

Name of the Scheme	This product is suitable for investors who are seeking*	Riskometer
Quantum India ESG Equity Fund (An Open ended equity scheme investing in companies following Environment, Social and Governance (ESG) theme)	<ul style="list-style-type: none"> Long term capital appreciation Invests in shares of companies that meet Quantum's Environment, Social and Governance (ESG) criteria. 	<p>Investors understand that their principal will be at High Risk</p>

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.