



**Investment Manager: Quantum Asset Management Company Private Limited**  
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ADDENDUM NO. 2/ 2014

### **NOTICE CUM ADDENDUM**

**NOTICE IS HEREBY GIVEN THAT the Board of Directors of Quantum Trustee Company Private Limited Trustee to Quantum Mutual Fund has approved the following changes as may be applicable in the Scheme Information Documents (SID) and Key Information Memorandum (KIM) of the Scheme(s) and Statement of Additional Information (SAI) of Quantum Mutual Fund with effect from April 1, 2014:**

#### **INTRODUCTION OF TRANSACTIONS THROUGH EMAIL AND FAX – EMAIL FACILITY AND COMMON TERMS AND CONDITIONS APPLICABLE TO THE TRANSACTIONS THROUGH ELECTRONIC MODE – EMAIL/WEB/FAX/FAX – EMAIL**

The Mutual Fund / AMC / Trustee Company / Registrar or any other agents or representatives of the Mutual Fund / AMC / Trustee Company / Registrar ("Receiver") may accept instructions / transaction requests sent through Fax, Website, Unstructured Supplementary Services Data (USSD), Short Messaging Services (SMS), Electronic Mail (Email), Telephone / Interactive Voice Response System (IVRS), Mobile or any other electronic mode "Electronic Facility" by an investor ("Sender") as may be permitted and notified by the AMC from time to time and subject to the Sender fulfilling applicable terms and conditions as may be stipulated / prescribed by the AMC from time to time.

The sending and acceptance by the Receiver of the instructions / transactions through Electronic Facility will be solely at the risk of the Sender. The Receiver along with its Directors, Employees, Agents and representatives shall not be liable and / or responsible for any loss or damage caused to the Sender directly or indirectly out of or in connection with the said use of the Electronic Facility including but not limited to where such instructions / transactions sent / purported to be sent are not processed / received by the Receiver, non- availability or failure of performance, loss or corruption or hacking, loss or damage to property / asset (including profit, good will and opportunity loss), work stoppage, computer / server failure or malfunctioning or interruption or deletion, defect, delay in operation or transmission, computer virus, communication line failure, unauthorized access or use of the Electronic Facility or any act of god or any other reason whatsoever.

The Sender agrees and acknowledges that Electronic Facility is not a secure means of giving instructions / transactions and is fully aware of the risks involved including but not limited to such instructions / transactions requests being inaccurate, imperfect, ineffective, illegible, having a lack of quality, clarity, garbled, altered, distorted, not timely etc.

The Sender agrees and acknowledges that the instructions / transactions requests to the Receiver to act through Electronic Facility is for the Sender's convenience and the Receiver is not always obliged or bound to act on the same.

The Sender authorizes the Receiver to accept and act on the instructions / transactions through Electronic Facility that the Receiver believes in good faith to be given by the Sender as if it is duly signed and authorized by the Sender. The Receiver at its discretion may treat such instructions / transactions as final for all record purpose. In case there is any discrepancy between the particulars mentioned in the instructions / transactions through Electronic Facility and the original documents that may be received thereafter / sent voluntarily by the Sender, the Receiver shall not be liable for any consequence arising therefrom.

The Sender accepts that instructions / transactions through Electronic Facility shall be time stamped (wherever required, either electronically or otherwise) on receipt by the Receiver in accordance with SEBI (Mutual Funds) Regulations, 1996.

The Sender shall indemnify the Mutual Fund / AMC / Trustee Company along with its directors, agents, employees and representatives ("Indemnified Parties") at all times and from time to time from and against all actions, claims, demands, liabilities, damages, costs, charges and expenses of whatever nature whether actual or contingent, directly or indirectly incurred, suffered, sustained or paid by the Indemnified Parties whatsoever arising from and / or in connection with or in any way relating to the Indemnified Parties in good faith accepting and acting on the instructions / transactions of the Sender through the Electronic Facility.

#### **(a) ELECTRONIC MAIL (EMAIL) TRANSACTIONS:**

The Receiver will accept requests for Financial and Non-Financial Transactions like Subscriptions/ Redemption / Repurchase / Switch-in / Switch-out of units and Updation of personal data / information as prescribed on the website of the AMC / Fund from time to time through Electronic Mail Facility ("Email Facility") subject to the Sender fulfilling the terms and conditions as prescribed by the AMC from time to time. The following are the terms and conditions of the Email Facility:

- (a) The Email Facility will be available to existing registered Identified Investors as prescribed by the AMC from time to time and individual investors and joint holders where the mode of holding is 'Anyone' or 'Either or Survivor' and who is KYC compliant. The new investor who wishes to avail this Facility shall first be required to subscribe / purchase units through the offline / web or such other mode of transactions as may be prescribed by the AMC from time to time.
- (b) An Email transaction request shall be accepted only through the email id which is registered with the Fund / AMC under the particular folio.
- (c) An Email transaction request shall be accepted only under the folio where contact number of investor is registered with the Fund / AMC.
- (d) The Sender is required to send the Financial transaction request on email to the email id **Transact@QuantumAMC.com** and the Non- Financial transaction request on email to the email id **Customercare@QuantumAMC.com**. Transaction requests sent to any other Email id will not be accepted. The Sender will receive an automated / system generated acknowledgment of receipt of Email transactions request but this shall not be deemed to be an acceptance of transaction request by the AMC / Receiver. The AMC / Receiver shall make a confirmation / verification call to the Sender on registered Mobile number / Telephone / Contact number through recorded line to confirm / verify the transaction request. If for any reason whatsoever confirmation / verification is not completed then the Financial transaction request will not be processed and the request shall stand rejected by the Receiver. After the necessary confirmation / verification of the Financial transaction request with the Sender, the AMC / Receiver shall send an acknowledgement email to the Sender prima facie for acceptance or non acceptance of the Financial transaction request and such acknowledgement email from the AMC shall be considered as valid acceptance or non acceptance of the Financial transaction request and the transaction shall then be processed afterwards subject further to validity of request.
- (e) For the purpose of determining the applicable NAV in accordance with SEBI (Mutual Funds) Regulations, 1996, the system generated date and time of the Email received by the Server of the AMC / Registrar will be considered.
- (f) The Sender agrees and acknowledges and is aware that there may be delay in delivery / difference in the date and time of the email received at the server of the AMC / Receiver and the date and time of the server through which investor has sent the email and also the AMC / Receiver server may not receive / reject the email sent by the Sender at all.
- (g) The Sender is required to transfer funds before sending an email or to register and specify a designated bank mandate for subscription / purchase of units through the Email Facility.
- (h) To consider cut off timing and availability of funds for utilization for determining the applicable NAV the provisions of the respective Scheme Information Documents of the Scheme will be considered.

#### **(b) WEB TRANSACTIONS:**

The Receiver will accept requests for Financial and Non-Financial Transactions like Subscriptions / Redemption / Repurchase / Switch-in / Switch-out of units and updation of personal data / information as prescribed on the website of the AMC / Fund from time to time through the AMC's Website ("Web Facility") subject to the Sender fulfilling the terms and conditions as prescribed by the AMC from time to time. The following are the terms and conditions of the Web Facility:

- (a) This Facility will be available to new individual investor and existing registered individual investors and joint holders where the mode of holding is 'Anyone' or 'Either or Survivor' and who is KYC compliant.
- (b) For the purpose of determining the applicable NAV in accordance with SEBI (Mutual Funds) Regulations, 1996, the system generated date and time on transactions at server of AMC / Registrar will be considered.
- (c) The Sender can transfer funds before or during transactions for subscription / purchase of units done through the AMC's website.
- (d) To consider cut off timing and availability of funds for utilization for determining the applicable NAV the provisions of the respective Scheme Information Documents of the Scheme will be considered.

#### **(c) FAX TRANSACTIONS:**

The Receiver will accept requests for Financial and Non-Financial Transactions like Subscriptions / Redemption / Repurchase / Switch-in / Switch-out of units and updation of personal data / information as prescribed on the website of the AMC / Fund from time to time through the Fax ("Fax Facility") subject to the Sender fulfilling the terms and conditions as prescribed by the AMC from time to time. The following are the terms and conditions of the Fax Facility:

- (a) This Facility will be available to all existing individual registered investors and joint holders where the mode of holding is 'Anyone' or 'Either or Survivor' and non individual investors which are KYC compliant.
- (b) The Sender will need to send Fax on Fax No. 1800223864.
- (c) The Fax will be time stamped under the time stamping machine and the applicable NAV will be allotted in accordance with SEBI (Mutual Funds) Regulations, 1996.
- (d) The Sender is required to transfer funds before sending Fax for subscription / purchase of units.
- (e) To consider cut off timing and availability of funds for utilization for determining the applicable NAV the provisions of the respective Scheme Information Documents of the Scheme will be considered.

#### **(d) FAX TRANSACTIONS THROUGH ELECTRONIC MAIL (FAX- EMAIL) TRANSACTIONS:**

The Receiver will accept requests for Financial and Non-Financial Transactions like Subscriptions / Redemption / Repurchase / Switch-in / Switch-out of units and updation of personal data / information as prescribed on the website of the AMC / Fund from time to time through the Fax Transaction through Email Facility ("Fax – Email Facility") subject to the Sender fulfilling the terms and conditions as prescribed by the AMC from time to time. The following are the terms and conditions of the Fax-Email Facility:

- (a) This Facility will be available to all existing individual registered investors and joint holders where the mode of holding is 'Anyone' or 'Either or Survivor' and non individual investors which are KYC compliant.
- (b) The Sender need to send Fax on Fax No. 1800223864.
- (c) This Fax will be converted into image by the system and will be transmitted to email **Transact@QuantumAMC.com** as an attachment.
- (d) For the purpose of determining the applicable NAV in accordance with SEBI (Mutual Funds) Regulations, 1996, the system generated date and time on the transmitted email received at server of the AMC will be considered.
- (e) The Sender agrees and acknowledges and is aware that there may be delay in delivery / difference in the date and time of the transmitted email received at the server of the AMC / Receiver and the date and time of the Fax which Sender has sent and also the AMC / Receiver server may not receive / reject Fax sent by the Sender at all.
- (f) The Sender is required to transfer funds before sending Fax for subscription / purchase of units.
- (g) To consider cut off timing and availability of funds for utilization for determining the applicable NAV the provisions of the respective Scheme Information Documents of the Scheme will be considered.

The AMC reserves the right to modify the terms and conditions, limit the type / numbers of transactions / transaction type, limit/ restrict the amounts per transaction / transaction type and / or to discontinue to accept instructions / transactions through the Electronic Facility. On availing the Electronic Facility, the Sender will unequivocally be bound by the terms and conditions of the Electronic Facility.

**For Quantum Asset Management Company Private Limited  
(Investment Manager – Quantum Mutual Fund)**

**Place: Mumbai  
Date: March 27, 2014**

**Sd/-  
Jimmy A. Patel  
Chief Executive Officer**

**Mutual fund investments are subject to market risks read all scheme related documents carefully.** Please visit – www.QuantumMF.com to read scheme specific risk factors. Investors in the scheme are not being offered a guaranteed or assured rate of return and there can be no assurance that the scheme objective will be achieved and the NAV of the scheme may go up and down depending upon the factors and forces affecting securities market. Investment in mutual fund units involves investment risk such as trading volumes, settlement risk, liquidity risk, default risk including possible loss of capital. Past performance of the sponsor / AMC / Mutual Fund does not indicate the future performance of the Scheme. **Statutory Details:** Quantum Mutual Fund has been constituted as a Trust under the Indian Trusts Act, 1882. **Sponsor:** Quantum Advisors Private Limited. (liability limited to Rs. 1,00,000/-) **Trustee:** Quantum Trustee Company Private Limited **Investment Manager:** Quantum Asset Management Company Private Limited. The Sponsor, Trustee and Investment Manager are incorporated under the Companies Act, 1956.