



QUANTUM ASSET MANAGEMENT COMPANY PRIVATE LIMITED
Registered Office: 6th Floor, Hoechst House, Nariman Point, Mumbai – 400 021
CIN Number: U65990MH2005PTC156152
compliance@quantumamc.com/ www.quantumamc.com

NOTICE TO THE 16TH ANNUAL GENERAL MEETING

NOTICE is hereby given that the on Sixteenth Annual General Meeting of the Members of Quantum Asset Management Company Private Limited will be held on Friday, July 23, 2021 at 3.00 P.M. by Video Conferencing (“VC”)/ Other Audio Visual Means (“OAVM”) through Zoom Video Conferencing Application in compliance with the relevant provisions of the Companies Act, 2013 and the Rules made thereunder read with General Circular No. 14/2020 dated April 08, 2020, General Circular No. 17/2020 dated April 13, 2020, General Circular 20/2020 dated May 05, 2020 and General Circular 02/2021 dated January 13, 2021 issued by the Ministry of Corporate Affairs.

ORDINARY BUSINESS:

1. To receive, consider and adopt the financial statements of the Company for the financial year ended March 31, 2021, including the audited Balance Sheet as at March 31, 2021, the statement of Profit and Loss for the year ended on that date and the reports of the Board of Directors and Auditors thereon.

SPECIAL BUSINESS:

2. To consider and, if thought fit, to pass with or without modification, the following resolution as an **ORDINARY RESOLUTION:**

“RESOLVED THAT pursuant to the provision of Section 152 other applicable provisions, if any, of the Companies Act, 2013, rules made thereunder and Articles of Association of the Company, Mr. Lloyd Mathias (DIN : 02879668) who has been appointed by the Board of Directors of the Company as an Additional Director with effect from October 14, 2020 and who holds office upto the date of this Annual General Meeting, be and is hereby appointed as a Director of the Company.

FURTHER RESOLVED THAT any one Director or Company Secretary of the Company be and is hereby authorized to file necessary forms with Registrar of Companies in this regard.”



3. To consider and, if thought fit, to pass with or without modification, the following resolution as an **ORDINARY RESOLUTION**:

“RESOLVED THAT pursuant to the provision of Section 152 other applicable provisions, if any, of the Companies Act, 2013, rules made thereunder and Articles of Association of the Company, Mr. Piyush Thakkar (DIN : 09052996) who has been appointed by the Board of Directors of the Company as an Additional Director with effect from February 10, 2021 and who holds office upto the date of this Annual General Meeting, be and is hereby appointed as a Director of the Company.

FURTHER RESOLVED THAT any one Director or Company Secretary of the Company be and is hereby authorized to file necessary forms with Registrar of Companies in this regard.”

By Order of the Board
for Quantum Asset Management Company Private Limited

Brinda Modi
Company Secretary
ACS : 29408

Place: Mumbai
Dated: June 21, 2021

Registered Office
6th Floor, Hoechst House,
Nariman Point,
Mumbai-400021
CIN Number: U65990MH2005PTC156152

Website: www.quantumamc.com
Email: Compliance@QuantumAMC.com



NOTES:

1. In view of the global outbreak of the COVID-19 pandemic, social distancing is a norm to be followed and the Ministry of Corporate Affairs ('MCA') has vide its General Circular No. 2/2021 dated January 13, 2021, General Circular No. 20/2020 dated May 5, 2020, Circular No. 14/2020 dated April 8, 2020 and Circular No. 17/2020 dated April 13, 2020 ('MCA Circulars') permitted the holding of the Annual General Meeting ('AGM' or 'Meeting') through Video Conferencing ('VC') facility or other audio visual means ('OAVM'), for the General Meetings due to be held upto December 31, 2021, without the physical presence of the Members at a common venue. The Board of Directors have decided to adopt the above guideline issued by the Ministry of Corporate Affairs and hence the members can attend and participate in the ensuing 16th Annual General Meeting through VC, which may not require physical presence of members at a common venue. The deemed venue for the meeting shall be the registered office of the Company at 6th Floor, Hoechst House, Nariman Point, Mumbai – 400 021.
2. Pursuant to the provisions of the Companies Act, 2013, a Member entitled to attend and vote at the AGM is entitled to appoint a proxy to attend and vote on his/her behalf and the proxy need not be a member of the Company. Since this AGM is being held pursuant to the Applicable Circulars through VC / OAVM, physical attendance of Members has been dispensed with. Accordingly, the facility for appointment of proxies by the Members will not be available for the AGM and hence the Proxy Form and Attendance Slip are not annexed to this Notice.
3. The Members can join the Annual General Meeting in the VC/OAVM mode 15 minutes before the scheduled time of the commencement of the Meeting by following the procedure mentioned in the Notice.
4. The attendance of the Members attending the Annual General Meeting through VC will be counted for the purpose of reckoning the quorum under Section 103 of the Act.
5. Since the AGM will be held through VC / OAVM, the Route Map is not annexed to this Notice.
6. In line with the Ministry of Corporate Affairs (MCA) Circular No. 17/2020 dated April 13, 2020, the Notice calling the Annual General Meeting has been uploaded on the website of the Company at www.quantumamc.com



7. Procedure for joining the meeting:

1. Go to the Apple store / Google Play store in your device and find “**ZOOM Cloud Meetings**”. (On your Mobile Phone / Tablet / Laptop) and install the application.
2. Post Installation the application :
 - a. Then you will get the following options : “Join a Meeting / Sign Up / Sign In”
 - b. If you already have an Account, please select “Sign In” and provide your email Id and Password.
 - c. If you do not wish to “Sign In” please select “Join a Meeting”

For connecting to the meeting, please do the following:

- a. When you open the application and select on “Join a Meeting” for the first time on your device it will ask you for Meeting ID and Password.
- b. Please enter the Meeting ID: 896 8963 9944
- c. Please enter the Password : 308273

Alternatively, you can select on the Link:

<https://quantumamc.zoom.us/j/89689639944?pwd=QVhxbGNXeWNvRm1OVFEvZHZyOHA1UT09>

- d. It will next ask you to “Join with Video” / “Join without Video”. Please select “Join with Video”.
- e. The Host (Compliance Team of the Company) will allow you to enter the Meeting.
- f. On seeing the Video, to connect with Audio please select “Join Audio – Call via device Audio
- g. After following the above mentioned steps, you will be able to connect to the meeting through VC / OAVM.

In case you face any difficulties in the above steps, please get in touch with us and we shall help you with the same. Email Id: Compliance@quantumamc.com



8. The poll (if any) conducted during the meeting, the members may convey their assent or dissent only at such stage on items considered in the meeting by sending e-mail through e-mail registered with the company. The e-mail shall only be sent to designated e-mail address of the company. Designated e-mail address: Compliance@quantumamc.com
9. The Register of Directors and Key Managerial Personnel and their shareholding, maintained under Section 170 of the Companies Act, 2013, will be available for inspection by the members at the AGM through electronic mode. Members seeking to inspect such documents are requested to send an email at Compliance@QuantumAMC.com
10. The Register of Contracts or Arrangements in which the directors are interested, maintained under Section 189 of the Companies Act, 2013, will be available for inspection by the members at the AGM through electronic mode. Members seeking to inspect such documents are requested to send an email at Compliance@QuantumAMC.com
11. Members are encouraged to join the AGM through personal computers / laptops for better user experience. Also, Members will be required to have stable internet / broadband connection to avoid any disturbance during the meeting. Please note that Members joining the AGM through mobile devices, tablets or through personal computers / laptops connected via mobile hotspot may experience audio / video loss due to fluctuation in their respective networks. It is therefore recommended to use stable Wi-Fi or LAN connection to mitigate the aforesaid glitches.

By Order of the Board
for Quantum Asset Management Company Private Limited

Brinda Modi
Company Secretary
ACS : 29408

Place: Mumbai

Dated: June 21, 2021

Registered Office

6th Floor, Hoechst House,

Nariman Point, Mumbai-400021

CIN Number: U65990MH2005PTC156152

Website: www.quantumamc.com, Email: Compliance@QuantumAMC.com



**Explanatory Statement
(Pursuant to Section 102 of the Companies Act, 2013)**

Item No. 2

The Board of Directors had appointed Mr. Lloyd Mathias as Additional Director of the Company with effect from October 14, 2020. He vacates his office at this Annual General Meeting, pursuant to the provision of Section 161 of the Companies Act, 2013 and Articles of Association of the Company.

Accordingly, pursuant to the applicable provisions of Companies Act 2013, approval of the Members of the Company is required for regularization of Mr. Lloyd Mathias as a Director of the Company.

Brief profile of Mr. Lloyd Mathias is given below:

Mr. Lloyd Mathias is business strategist and advisor to early stage companies in the technology and consumer space. He has held senior leadership roles in Fortune 500 companies across India & Asia-Pacific in consumer goods, telecom and technology.

He was the marketing head of HP's PC business for the Asia region. In previous corporate roles he was President & Chief Marketing Officer of Tata Teleservices; Sales Director for Motorola South East-Asia. He had a 12-year stint with PepsiCo South Asia where he was Executive VP Marketing and Category Director for PepsiCo's beverages.

He has been Chairman of MRUC the publishers of the Indian Readership Survey (IRS); Co-Chairman of the Device Strategy Council of the Worldwide Mobility Development Group, USA.

He is a science graduate from Mumbai's St. Xavier's College; has an MBA from Bombay University and did a Senior Executive Program at the London Business School.

The Board of Directors recommends your approval for appointment of Mr. Lloyd Mathias as a Director of the Company.

Your Directors feel that, considering Mr. Lloyd Mathias 's vast experience it will be in the interest of the Company to appoint Mr. Lloyd Mathias as a Director of the Company and accordingly recommend his appointment.

None of the Directors or Key Managerial Personnel of the Company or any of their relative is interested in the resolution except Mr. Lloyd Mathias.

Item No. 3

The Board of Directors had appointed Mr. Piyush Thakkar as Additional Director of the Company with effect from February 10, 2021. He vacates his office at this Annual General Meeting,



pursuant to the provision of Section 161 of the Companies Act, 2013 and Articles of Association of the Company.

Accordingly, pursuant to the applicable provisions of Companies Act 2013, approval of the Members of the Company is required for regularization of Mr. Piyush Thakkar as a Director of the Company.

Brief profile of Mr. Piyush Thakkar is given below:

Mr. Piyush Thakkar has over 20 years of experience across large and mid-sized Indian and Multinational organizations, of which 15 years have been in financial services industry. He is the Chief Executive officer of Quantum Advisors Private Limited. He joined Quantum Advisors Private Limited in June 2010 as Vice President Accounts & Finance and was appointed Chief Operating Officer in Oct 2011. Prior to joining Quantum Advisors Private Limited, he worked for 3 years at Marsh India as an AVP - Finance. He received his Bachelor's Degree in Commerce from Raipur University in 1996 and is a Chartered Accountant qualified from the Institute of Chartered Accountants of India.

The Board of Directors recommends your approval for appointment of Mr. Piyush Thakkar as a Director of the Company.

Your Directors feel that, considering Mr. Piyush Thakkar's vast experience it will be in the interest of the Company to appoint Mr. Piyush Thakkar as a Director of the Company and accordingly recommend his appointment.

None of the Directors or Key Managerial Personnel of the Company or any of their relative is interested in the resolution except Mr. Piyush Thakkar.

By Order of the Board
for Quantum Asset Management Company Private Limited

Brinda Modi
Company Secretary
ACS : 29408

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