INVESTOR COMPLAINTS REPORT

Redressal of Complaints received against Mutual Funds (MFs) during 2019 - 2020

Name of Mutual Fund : Quantum Mutual Fund

Total Number of Folios as on March 31, 2020 : 69,100

Complai	Type of	(a) No. of	Action on (a) and (b)										
nt Code	Complaint#	complaints pending at the beginning of the year	(b) No. of		Res	olved		Non Action able *	Pending				
			Complaints received during the year	Within 30 days	30 - 60 days	60 - 180 days	Beyond 180 days		0-3 months	3-6 months	6-9 months	9-12 months	
ΙA	Non receipt of Dividend on Units	0	0	0	0	0	0	0	0	0	0	0	
ΙB	Interest on delayed payment of Dividend	0	0	0	0	0	0	0	0	0	0	0	
I C	Non receipt of Redemption Proceeds	0	0	0	0	0	0	0	0	0	0	0	
I D	Interest on delayed payment of Redemption	0	1	1	0	0	0	0	0	0	0	0	
II A	Non receipt of Statement of Account/Unit Certificate	0	0	0	0	0	0	0	0	0	0	0	
II B	Discrepancy in Statement of Account	0	1	1	0	0	0	0	0	0	0	0	
II C	Data corrections in Investor details	0	2	2	0	0	0	0	0	0	0	0	
II D	Non receipt of Annual Report/Abridged Summary	0	0	0	0	0	0	0	0	0	0	0	
III A	Wrong switch between Schemes	0	0	0	0	0	0	0	0	0	0	0	
III B	Unauthorized switch between Schemes	0	0	0	0	0	0	0	0	0	0	0	
III C	Deviation from Scheme attributes	0	0	0	0	0	0	0	0	0	0	0	

Complai	Type of Complaint#	(a) No. of complaints	Action on (a) and (b)										
nt Code			(b) No. of	Resolved				Non	Pending				
		pending at	Complaints	Within	30 -	60 -	Beyond	Action	0-3	3-6	6-9	9-12	
		the	received	30 days	60	180	180	able *	months	months	months	months	
		beginning of	during the		days	days	days						
		the year	year										
III D	Wrong or excess	0	0	0	0	0	0	0	0	0	0	0	
	charges/load												
III E	Non updation of	0	1	1	0	0	0	0	0	0	0	0	
	changes viz.												
	address, PAN,												
	bank details,												
	nomination, etc												
IV	Others	0	89	89	0	0	0	0	0	0	0	0	
Total		0	94	94	0	0	0	0	0	0	0	0	

Including against its authorized persons/ distributors/ employees etc.

No compensation has been paid / account adjustment made for resolving the complaints

Note: Classification of Complaints as per the Grievance Redressal Policy of the AMC

^{*}Non actionable means the complaint that are incomplete / outside the scope of the mutual fund