INVESTOR COMPLAINTS REPORT

Redressal of Complaints received against Mutual Funds (MFs) during 2018-2019

Name of Mutual Fund : Quantum Mutual Fund

Total Number of Folios as on March 31, 2019 : 65,918

Complai	Type of	(a) No. of	Action on (a) and (b)											
nt Code	Complaint#	complaints pending at the beginning of the year	(b) No. of		Res	olved		Non Actio nabl e *	Pending					
			Complaints received during the year	Within 30 days	30- 60 days	60- 180 days	Beyond 180 days		0-3 months	3-6 mont hs	6-9 month s	9-12 mont hs		
ΙA	Non receipt of Dividend on Units	0	1	1	0	0	0	0	0	0	0	0		
ΙB	Interest on delayed payment of Dividend	0	0	0	0	0	0	0	0	0	0	0		
IC	Non receipt of Redemption Proceeds	0	0	0	0	0	0	0	0	0	0	0		
ID	Interest on delayed payment of Redemption	0	0	0	0	0	0	0	0	0	0	0		
II A	Non receipt of Statement of Account/Unit Certificate	0	0	0	0	0	0	0	0	0	0	0		
II B	Discrepancy in Statement of Account	0	2	2	0	0	0	0	0	0	0	0		
II C	Data corrections in Investor details	0	2	2	0	0	0	0	0	0	0	0		
II D	Non receipt of Annual Report/Abridged Summary	0	0	0	0	0	0	0	0	0	0	0		
III A	Wrong switch between Schemes	0	0	0	0	0	0	0	0	0	0	0		
III B	Unauthorized switch between Schemes	0	0	0	0	0	0	0	0	0	0	0		
III C	Deviation from Scheme attributes	0	0	0	0	0	0	0	0	0	0	0		
III D	Wrong or excess charges/load	0	0	0	0	0	0	0	0	0	0	0		

III E	Non updation of changes viz. address, PAN, bank details,											
	nomination, etc	0	0	0	0	0	0	0	0	0	0	0
	nomination, etc	0	U	U	U	U	U	U	U	U	Ü	U
IV	Others	0	54	51	2	1	0	0	0	0	0	0
Total		0	59	56	2	1	0	0	0	0	0	0

Including against its authorized persons/ distributors/ employees etc.

^{*}Non actionable means the complaint that are incomplete / outside the scope of the mutual fund No compensation has been paid / account adjustment made for resolving the complaints Note: Classification of Complaints as per the Grievance Redressal Policy of the AMC