INVESTOR COMPLAINTS REPORT

Redressal of Complaints received against Mutual Funds (MFs) during 2017-2018

Name of Mutual Fund : Quantum Mutual Fund

Total Number of Folios as on March 31, 2018 : 60,702

Complai	Type of	(a) No. of	Action on (a) and (b)										
nt Code	Complaint#	complaints	(b) No. of Resolved					Non Pending					
		pending at the beginning of the year	Complaints received during the year	Within 30 days	30- 60 days	60- 180 days	Beyond 180 days	Actio nabl e *	0-3 months	3-6 mont hs	6-9 month s	9-12 mont hs	
IA	Non receipt of Dividend on Units	0	0	0	0	0	0	0	0	0	0	0	
I B	Interest on delayed payment of Dividend	0	0	0	0	0	0	0	0	0	0	0	
IC	Non receipt of Redemption Proceeds	0	1	1	0	0	0	0	0	0	0	0	
ID	Interest on delayed payment of Redemption	0	0	0	0	0	0	0	0	0	0	0	
II A	Non receipt of Statement of Account/Unit Certificate	0	1	1	0	0	0	0	0	0	0	0	
II B	Discrepancy in Statement of Account	0	0	0	0	0	0	0	0	0	0	0	
II C	Data corrections in Investor details	0	1	1	0	0	0	0	0	0	0	0	
II D	Non receipt of Annual Report/Abridged Summary	0	0	0	0	0	0	0	0	0	0	0	
III A	Wrong switch between Schemes	0	1	1	0	0	0	0	0	0	0	0	
III B	Unauthorized switch between Schemes	0	0	0	0	0	0	0	0	0	0	0	
III C	Deviation from Scheme attributes	0	0	0	0	0	0	0	0	0	0	0	
III D	Wrong or excess charges/load	0	0	0	0	0	0	0	0	0	0	0	

III E	Non updation of											
	changes viz.											
	changes viz. address, PAN,											
	bank details,											
	nomination, etc	0	1	1	0	0	0	0	0	0	0	0
IV	Others	0	28	28	0	0	0	0	0	0	0	0
Total		0	33	33	0	0	0	0	0	0	0	0

Including against its authorized persons/ distributors/ employees etc.

No compensation has been paid / account adjustment made for resolving the complaints

Note: Classification of Complaints as per the Grievance Redressal Policy of the AMC

Quantum Mutual Fund has a Grievance Redressal Policy (Policy) which is uploaded on the website. Our website also discloses the queries / complaints that have been resolved and categorized as per our Grievance Policy. The Policy follows proactive and stringent norms in comparison to norms normally prescribed for categorizing of complaints e.g. If investor gets any error while investing online, that is considered as a Complaint even if it may not fall under the category of being a complaint, as prescribed by SEBI / AMFI.

Quantum AMC has launched new website on September 26, 2017. The objective being to improve the online investment experience, create a better user interface, faster execution of transactions, improve linking of our website with third parties like our Registrar and Transfer Agent, Banks, Payment Aggregators etc. The new website / interface has been launched after almost a year of robust efforts by the AMC team. As the complete interface / backend as well as website displayed changed with the launch of the new website, there were some issues / problems faced by investors while transacting online on website. The summary of the same are as follows:

Sr. No	Particulars	Total No. Of Issues and Resolved			
1	Investors Issues Faced and Resolved during September 26, 2017 to November 15, 2017	135			
	In Transacting Online in the new launched website				

^{*}Non actionable means the complaint that are incomplete / outside the scope of the mutual fund