INVESTOR COMPLAINTS REPORT

Redressal of Complaints received against Mutual Funds (MFs) during 2016-2017

Name of Mutual Fund : Quantum Mutual Fund

Total Number of Folios as on March 31, 2017 : 56,260

Complaint Code	Type of Complaint#	(a) No. of complaints pending at the beginning of the year	Action on (a) and (b)											
			(b) No. of Complaints received during the year	Resolved				Non	Pending					
				Within 30 days	30-60 days	60-180 days	Beyond 180 days	Actionab le *	0-3 months	3-6 months	6-9 months	9-12 months		
ΙA	Non receipt of													
	Dividend on Units	0	0	0	0	0	0	0	0	0	0	0		
ΙB	Interest on delayed payment of Dividend	0	0	0	0	0	0	0	0	0	0	0		
I C	Non receipt of Redemption													
	Proceeds	0	0	0	0	0	0	0	0	0	0	0		
ID	Interest on delayed payment of Redemption	0	0	0	0	0	0	0	0	0	0	0		
II A	Non receipt of Statement of Account/Unit Certificate	0	1	1	0	0	0	0	0	0	0	0		
II B	Discrepancy in Statement of Account	0	0	0	0	0	0	0	0	0	0	0		
II C	Data corrections in													
	Investor details	0	4	4	0	0	0	0	0	0	0	0		
II D	Non receipt of Annual Report/Abridged													
	Summary	0	0	0	0	0	0	0	0	0	0	0		

III A	Wrong switch											
	between Schemes	0	0	0	0	0	0	0	0	0	0	0
III B	Unauthorized switch											
	between Schemes	0	0	0	0	0	0	0	0	0	0	0
III C	Deviation from											
	Scheme attributes	0	0	0	0	0	0	0	0	0	0	0
III D	Wrong or excess											
	charges/load	0	0	0	0	0	0	0	0	0	0	0
III E	Non updation of											
	changes viz.											
	address, PAN, bank											
	details, nomination,											
	etc	0	1	1	0	0	0	0	0	0	0	0
IV	Others*	0	17	17	0	0	0	0	0	0	0	0
Total		0	23	23	0	0	0	0	0	0	0	0

Including against its authorized persons/ distributors/ employees etc.

*Non actionable means the complaint that are incomplete / outside the scope of the mutual fund

No compensation has been paid / account adjustment made for resolving the complaints

Note: Classification of Complaints as per the Grievance Redressal Policy of the AMC