

CERTIFICATE

We have been requested by Quantum Mutual Fund (“the Mutual Fund”), having its registered office at 505, Regent Chambers, Nariman Point, Mumbai - 400 021 vide a mandate letter dated March 21, 2016, to certify the voting reports disclosed by them on their website, in terms of a SEBI Circular CIR/IMD/DF/05/2014 dated March 24, 2014, for the purpose of onward submission to the Trustees of the Mutual Fund.

The compliance with the requirements of the Circular is the responsibility of the management of the Mutual Fund.

The following documents have been furnished to us by the Mutual Fund:

- 1) Quarterly voting reports disclosed on its website for all the 4 quarters of financial year 2015-16.
- 2) Voting data and supporting documents maintained by the Mutual Fund with respect to the voting reports disclosed.
- 3) Written representation from the management with regard to the above.

We have verified, on a test basis the contents of the voting reports with:

1. Voting data and supporting documents maintained by the Mutual Fund and
2. Notices downloaded from the various investee Company’s websites.

We have performed our procedures in accordance with the Guidance Note on Audit Reports and Certificates for Special Purposes issued by the Institute of Chartered Accountants of India (“ICAI”).

Based on the procedures performed by us as stated above and according to the information, explanations and written representation provided to us by the Mutual Fund, we certify that:

- (i) Voting reports for all the 4 quarters of financial year 2015-16 disclosed on the Mutual Fund’s website are in accordance with the format prescribed in the circular.

(ii) Voting reports were disclosed on the Mutual Fund’s website on a quarterly basis within 10 working days from the end of the relevant quarter.



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Chartered Accountants

- (iii) Contents of the voting reports are matching with the supporting documents maintained by the Mutual Fund.

We have not reviewed (a) whether the voting reports cover all relevant investee Companies, (b) the rationale of the voting decisions taken by the Management of the Mutual Fund and (c) the actual action thereon taken by the concerned official/s except for Evoting.

This Certificate is issued solely for the purpose of onward submission to the Trustees of the Mutual Fund. This Certificate may not be useful for any other purpose. Haribhakti & Co. LLP shall not be liable to the Mutual Fund or to any other concerned, for any claims, liabilities or expenses relating to this assignment, except to the extent of fees relating to this assignment

For Haribhakti & Co.LLP

Chartered Accountants

ICAI Firm Registration No.103523W




Chetan Desai

Partner

Membership No: 017000

Date: 20th June, 2016

Place: Mumbai