

**QUANTUM MUTUAL FUND**

**Details of Votes cast during the Quarter from October 2015 to December 2015, for the Financial year 2015-16**

Quarter	Meeting Date	Company Name	Type of meetings (AGM/EGM/CCM/PBL)#	Proposal by Management or Shareholder	Proposal's description	Investee company's Management Recommendation	Vote (For/Against/Abstain*)	Reason supporting the vote decision
Oct - Dec 15	31-Oct-15	SUN PHARMACEUTICAL INDUSTRIES LIMITED	AGM	Management	Adoption of financial statements for the year ended 31 March 2015	In Favour of the Proposal.	Abstain	The Scrip is part of the Index Scheme and hence not actively researched and managed.
					To declare final dividend of Rs.3.0 (face value Re.1)	In Favour of the Proposal.	Abstain	The Scrip is part of the Index Scheme and hence not actively researched and managed.
					Reappointment of Sudhir Valia as a Whole-time Director	In Favour of the Proposal.	Abstain	The Scrip is part of the Index Scheme and hence not actively researched and managed.
					Ratification of Deloitte Haskins & Sells LLP as statutory auditors	In Favour of the Proposal.	Abstain	The Scrip is part of the Index Scheme and hence not actively researched and managed.
					Ratify increase in remuneration to cost auditors for FY15	In Favour of the Proposal.	Abstain	The Scrip is part of the Index Scheme and hence not actively researched and managed.
					Ratify payment of remuneration to cost auditors for FY16	In Favour of the Proposal.	Abstain	The Scrip is part of the Index Scheme and hence not actively researched and managed.
					Deletion of Article 135(bb) of the Articles of Association (AoA) of the company	In Favour of the Proposal.	Abstain	The Scrip is part of the Index Scheme and hence not actively researched and managed.
					Approval to offer and allot convertible bonds, debentures and/or securities etc. up to Rs. 120 bn	In Favour of the Proposal.	Abstain	The Scrip is part of the Index Scheme and hence not actively researched and managed.
Oct - Dec 15	4-Nov-15	SUN PHARMACEUTICAL	PBL	Management	Approval for providing loan(s)/guarantee(s)/security(ies) to other corporate bodies up to Rs. 500 bn	In Favour of the Proposal.	Abstain	The Scrip is part of the Index Scheme and hence not actively researched and managed.
Oct - Dec 15	15-Dec-15	MARUTI SUZUKI INDIA LIMITED	PBL	Management	Approve related party transactions with Suzuki Motor Gujarat Private Limited	Not In Favour of the Proposal.	Against	There are better alternatives available that the company could have pursued
Oct - Dec 15	18-Dec-15	AXIS BANK LIMITED	PBL	Management	Reappoint Prasad R. Menon as an Independent Director from 23 January 2016 upto 8 October 2018	In Favour of the Proposal.	Abstain	The Scrip is part of the Index Scheme and hence not actively researched and managed.
Oct - Dec 15	22-Dec-15	HCL Technologies Limited	AGM	Management	Adoption of financial statements for the year ended 30 June 2015	In Favour of the Proposal.	Abstain	The Scrip is part of the Index Scheme and hence not actively researched and managed.
					Reappoint Sudhindar Krishan Khanna as Director	In Favour of the Proposal.	Abstain	The Scrip is part of the Index Scheme and hence not actively researched and managed.
					Ratify S R Batliboi & Co. as statutory auditors for one year	In Favour of the Proposal.	Abstain	The Scrip is part of the Index Scheme and hence not actively researched and managed.
					Appoint Thomas Sieber as Independent Director for five years	In Favour of the Proposal.	Abstain	The Scrip is part of the Index Scheme and hence not actively researched and managed.
					Implementation of the 2004 stock option Plan (ESOP Plan) through trust mechanism	In Favour of the Proposal.	Abstain	The Scrip is part of the Index Scheme and hence not actively researched and managed.
					Authorize the ESOP Trust for secondary acquisition of the company's shares	In Favour of the Proposal.	Abstain	The Scrip is part of the Index Scheme and hence not actively researched and managed.

\* The option to Abstain from voting is with regards to all those Securities which are part of the Index Scheme since these securities are not actively researched and managed. However, the Fund has exercised voting for securities commonly held under the Index scheme and other schemes since these securities are actively researched and managed.

Summary of Votes cast during the F.Y. 2015-2016						
F.Y.	Quarter	Total no. of resolutions	Break-up of Vote decision			Abstained*
			For	Against		
2015-16	October 2015 - December 2015	17	0		1	16

**QUANTUM MUTUAL FUND**

**Details of Votes cast during the Quarter from October 2015 to December 2015, for the Financial year 2015-16**

Quarter	Meeting Date	Company Name	Type of meetings (AGM/EGM/CCM/PBL)#	Proposal by Management or Shareholder	Proposal's description	Investee company's Management Recommendation	Vote (For/Against/Abstain*)	Reason supporting the vote decision
	* The option to Abstain from voting is with regards to all those Securities which are part of the Index Scheme since these securities are not actively researched and managed. However, the Fund has exercised voting for securities commonly held under the Index scheme and other schemes since these securities are actively researched and managed.							
	# AGM - Annual General Meeting/ EGM - Extra Ordinary General Meeting/ CCM - Court Convened Meeting/ PBL - Postal Ballot							