## **INVESTOR COMPLAINTS REPORT**

## Redressal of Complaints received against Mutual Funds (MFs) during 2014-2015

Name of Mutual Fund : Quantum Mutual Fund

Total Number of Folios as on March 31, 2015 : 47,792

	Type of Complaint#		Action on (a) and (b)									
Complaint Code		(a) No. of complaints pending at the beginning of the year	(b) No. of Complaints received during the year		Resc	olved		Non Actionable*	Pending			
				Within 30 days	30- 60 days	60- 180 days	Beyond 180 days		0-3 months	3-6 months	6-9 months	9-12 months
ΙA	Non receipt of Dividend on Units	-	-	-	-	-	-	-	-	-	-	-
ΙB	Interest on delayed payment of dividend	-	-	-	-	-	-	-	-	-	-	-
I C	Non receipt of Redemption Proceeds	-	1	1	-	-	-	-	-	-	-	-
I D	Interest on delayed payment of Redemption	-	-	-	-	-	-	-	-	-	-	-
II A	Non receipt of Statement of Account/Unit Certificate	-	2	2		-	-	-	-	-	-	-
II B	Discrepancy in Statement of Account	-	-	-	-	-	-	-	-	-	-	-

II C	Data corrections in Investor details	-	10	10	-	-	-	-	-	-	-	-
II D	Non receipt of Annual Report/Abridged Summary	-	-	-	-	-	-	-	-	-	-	-
III A	Wrong Switch between Schemes	-	-	-	-	-	-	-	-	-	-	-
III B	Unauthorized switch between Schemes	-	-	-	-	-	-	-	-	-	-	-
III C	Deviation from Scheme attributes	-	-	-	-	-	-	-	-	-	-	-
III D	Wrong or excess charges/load	-	-	-	-	-	-	-	-	-	-	-
III E	Non Updation of changes viz. address, PAN, bank details, nomination, etc	-	2	2	-	-	-	-	-	-	-	-
IV	Others	-	30	30	-	-	-	-	-	-	_	-
Total		-	45	45	-	-	-	-	-	-	-	-

# Including against its authorized persons/ distributors/ employees. etc.

<sup>\*</sup>Non actionable means the complaint that are incomplete / outside the scope of the mutual fund No compensation has been paid / account adjustment made for resolving the complaints Note: Classification of Complaints as per the Grievance Redressal Policy of the AMC