INVESTOR COMPLAINTS REPORT

Redressal of Complaints received against Mutual Funds (MFs) during 2013-2014

Name of Mutual Fund : Quantum Mutual Fund

Total Number of Folios as on March 31, 2014 : 40,538

				Action on (a) and (b)								
Complaint Code	Type of Complaint#	(a) No. of complaints pending at the beginning of the year	(b) No. of Complaint s received during the year	Resolved				Non Actionable*	Pending			
				Within 30 days	30-60 days	60-180 days	Beyond 180 days		0-3 months	3-6 months	6-9 months	9-12 months
ΙA	Non receipt of Dividend on Units	-	-	-	-	-	-	-	-	-	-	-
ΙB	Interest on delayed payment of dividend	-	-	-	-	-	-	-	-	-	-	-
I C	Non receipt of Redemption Proceeds	-	-	-	-	-	-	-	-	-	-	-
ID	Interest on delayed payment of Redemption	-	-	-	-	-	-	-	-	-	-	-
II A	Non receipt of Statement of Account/Unit Certificate	-	2	2		-	-	-	-	-	-	-
II B	Discrepancy in Statement of Account	-	-	-	-	-	-	-	-	-	-	-
II C	Data corrections in Investor details	-	5	5	-	-	-	-	-	-	-	-
II D	Non receipt of Annual Report/Abridged Summary	-	-	-	-	-	-	-	-	-	-	-
III A	Wrong Switch between Schemes	-	-	-	-	-	-	-	-	-	-	-
III B	Unauthorized switch between Schemes	-	-	-	-	-	-	-	-	-	-	-
III C	Deviation from Scheme attributes	-	-	-	-	-	-	-	-	-	-	-
III D	Wrong or excess charges/load	-	-	-	-	-	-	-	-	-	-	-

III E	Non Updation of changes viz. address, PAN, bank details, nomination, etc	-	2	2	-	-	-	-	-	-	-	-
IV	Others*	-	29	29	-	-	-	-	-	-	-	-
Total		-	38	38	-	-	-	-	-	-	-	-

[#] Including against its authorized persons/ distributors/ employees. etc.
*Non actionable means the complaint that are incomplete / outside the scope of the mutual fund