# TRIGGER FORM (Registration/ Cancellation)

☐ REGISTRATION of     ☐ Action   ☐ Alert          ☐ CANCELLATION of     ☐ Action   ☐ Alert

## i UNIT HOLDER INFORMATION (Mandatory)

**Folio No/ Application No.** ☐☐☐☐☐☐☐☐☐☐

**Name of the sole/ First Applicant** ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

## ii TRANSACTION DETAILS (Mandatory)

Scheme _____ Option _____ Facility _____

Investment Amount ☐☐☐☐☐☐☐☐☐     Transaction Date [D D M M Y Y Y Y]

## iii TRIGGER OPTION (Please ✓)

☐ 1) Investment Value reaches ₹ ☐☐☐☐☐☐☐

☐ 2) Investment value increased by A ☐☐☐☐☐☐☐☐ from the trigger registration

☐ 3) Investment value appreciated by ☐☐☐ from the trigger registration

☐ 4) Stop Loss for A ☐☐☐☐☐☐☐☐ from trigger registration

☐ 5) Stop Loss for ☐☐☐ on investment value from trigger registration

☐ 6) NAV reaches A ☐☐☐☐☐☐☐ (if value already reached before registration, trigger request will be rejected)

☐ 7) NAV appreciates by ☐☐☐ from trigger registration

☐ 8) BSE sensex reaches ☐☐☐☐ points

☐ 9) NSE NIFTY reaches ☐☐☐☐ points

☐ 10) BSE Sensex Rise ☐ /Fall ☐ /Rise or Fall ☐ (Please (✓) one only) by ☐☐☐☐ points from trigger registration

☐ 11) NSE Nifty Rise ☐ /Fall ☐ /Rise or Fall ☐ (Please (✓) one only) by ☐☐☐☐ points from trigger registration

☐ 12) BSE Sensex Rise ☐ /Fall ☐ /Rise or Fall ☐ (Please (✓) one only) by ☐☐☐☐ from trigger registration

☐ 13) NSE Nifty Rise ☐ /Fall ☐ /Rise or Fall ☐ (Please (✓) one only) by ☐☐☐☐ from trigger registration

☐ 14) Trigger Alert/Active on [D D M M Y Y Y Y] or ☐☐☐ number of days

☐ 15) BSE Sensex Rise ☐ /Fall ☐ /Rise or Fall ☐ (Please (✓) one only) by ☐☐☐☐ points

☐ 16) NSE Sensex Rise ☐ /Fall ☐ /Rise or Fall ☐ (Please (✓) one only) by ☐☐☐☐ points

### A. Action Based Trigger (only for Quantum Liquid Fund)

☐ 1) Full switch from Quantum Liquid fund to _____ scheme _____ option _____ facility_____

☐ 2) Switch _____ number of units from Quantum Liquid fund to _____ scheme _____ option_____ facility_____

☐ 3) Switch Rs. _____ from Quantum Liquid Fund to _____ scheme _____ option_____ facility_____

☐ 4) Switch gain amount of Rs._____ from Quantum Liquid fund to _____ scheme _____ option_____ facility_____

☐ 5) Switch original investment amount from Quantum Liquid Fund to _____ scheme _____ option_____ option and gain amount to _____ scheme _____ option _____ facility

☐ 6) Switch gain amount from Quantum Liquid Fund _____ scheme _____ option_____ facility and Original investment amount to _____ scheme _____ option_____

## OR

### B. Alert based trigger (Default option if no action is specified)

Mode of Alerts   ☐ Email (Please provide email ID) _____

               ☐ SMS (Please provide Mobile No.) ☐☐☐☐☐☐☐☐☐☐

### C. Recurring Triggers

If only Gains are to switched, then please specify whether the relevant Trigger opted for remains alive for subsequent activation on the original investment until advised: Please (✓) Yes ☐ No ☐ (Applicable for 15 & 16 Trigger Option only)

## iv DECLARATION

I/We have read & understood the Terms & Conditions of Trigger Facility & accept & abide by the same. I/we have received, read & understood the SID & SAI & Key Information Memorandum. If the trigger is not activated and/or implemented due to reasons, which are beyond the control of Quantum AMC, the AMC would not be held responsible. Trigger is only a facility extended by the AMC for the convenience of the unit holders and does not form part of any scheme objectives.

Place: _____     Date [D D M M Y Y Y Y]

| 1st Applicant | 2nd Applicant | 3rd Applicant |
|---|---|---|

**1   What is a Trigger?**

Triggers are options provided to the Unit holder to enable automatic switch of investments on the happening of the desired event. Triggers can help Investor make the most of market movements without the hassles of constant tracking. It provides information (alert based trigger) / initiates action (action based trigger). The Unit holder can specify a specific event/action, which may be related to time or value or a specific event/action in advance and when this event/action takes place the trigger will get activated. If Unit holder has opted for alert based trigger, this facility will "ALERT" (via Mail/SMS) the Unit holder after meeting the specifications provided by the investor. If the Unit holder has opted for action based trigger, the system will process the transaction (switch) on the basis of the specifications provided by the Unit holder.

**2   How is a Trigger useful to the Investor?**

Trigger facility helps the Unit holders to minimize the loss and/or timely book the profits. Also trigger is an additional facility provided to the Unit holders to save time on completing switch formalities on happening of a particular predetermined event.

**3   Who sets/ defines the trigger?**

The Unit holder has to set the Trigger.

**4.   Who executes the Trigger?**

The AMC will execute the trigger on the Unit holder's behalf.

**5.   How does the Trigger work?**

A Trigger will activate a transaction / alert when the event selected for has reached the value greater than/ equal to the specified particular value i.e. Trigger Point.

Eg:  Mr. Z bought 5000 units at NAV of 11.00. If  Mr. Z wants to switch all his units when the NAV reaches 15.00, he has to keep track of the NAV daily and then send a switch request within a stipulated time period for effecting the switch at the NAV of the intended day.

However, instead of keeping a track of the NAV every day, Mr. Z can set a Trigger: To switch all units when NAV is 13 or more. In this case, the AMC will keep track on behalf of Mr. Z and switch his units on the day when the NAV reaches 13 or more. Thus Trigger is useful in financial planning.

**6.   What are the different kinds of triggers available to the Unit holders?**

The various types of Triggers available to the Unit holder are:

a.   Event-based Triggers: Unit holder can set triggers based on the occurrence of a particular external event that affects the value of investment. For example,

- Value of investment reaches or crosses a particular value
- Investment Value appreciates by specified
- Capital appreciation of a particular amount
- NAV reaches or crosses a particular value
- NAV appreciates by specified
- Switch on a particular day or date
- BSE SENSEX Rise/Fall by specific points/percentage
- NSE NIFTY Rise/Fall by specific points/percentage
- BSE /NSE Sensex reaches specific points/percentage

b.   Time based Triggers: Time based triggers are actioned on the day and /or date opted by the investor. For e.g. If investor has opted particular day or date trigger for redeeming specified amount to buy some gift for his/her relative's birthday, a trigger could be set based on the date as requested by the Unitholder or Investor can give a redemption request before a specific date – 25th Wedding Anniversary, retirement date, three years from date of trigger or son/daughter reaches the age of 21...

**7.   Action based trigger is available for which scheme?**

Action based trigger is available for the Quantum Liquid Fund only

**8.   What are the various options available to the Unit holders on the activation of action based Trigger?**

On the day of the happening of the event the Unit holder can choose from the following options

- Full switch from Liquid Scheme into other non ETF schemes of Quantum Mutual Fund
- Switch of certain number of units
- Switch of certain amount
- Switch of only gains into other non ETF schemes of Quantum Mutual Fund
- Switch original investment amount in Liquid scheme of any other non ETF scheme
- Switch Gain amount in Liquid Scheme to any other non ETF schemes

**9   Can a Unit holder apply for Trigger for multiple transactions in one Trigger request?**

No. Use separate trigger application form for each transaction of a scheme/plan /option.

**10   Will the intra-day points of BSE/NSE be considered for transactions on the day of the activation of the Trigger?**

No. Only end of the day closing points of BSE/NSE will be considered for activating the trigger. Percentage rise/falls will be calculated by taking the base index as on the investment date.

**11   What is the base for activation of the Trigger?**

If the trigger is a time based trigger, the time event prescribed by the Unit holder would be the base of activation of the trigger. If the trigger is event based, the happening of the event prescribed by the Unit holder is the base for activation of the Trigger.

**12   Which value will be considered for trigger execution in Investment Value/NAV change?**

The end of the day NAV based Price of Investment  on the day of registration of the trigger will be compared with the NAV based Price of Investment (without considering exit load) of each subsequent business day till the occurrence of the trigger event prescribed by the Unit holder.

**13   How is the application for a trigger made?**

The application for a trigger is made by submitting the duly filled and signed Trigger application form at designated  Investor Service Centers of Quantum Mutual Fund.

**14   Where the Trigger Form is available?**

Trigger Form is available at all designated Investor Service Centers of Quantum Mutual Fund and on the website – www.QuantumAMC.com.

**15   Can a trigger once activated be set again?**

Yes. You can select the recurring trigger option available in Trigger Form. However, if the said option is not selected, a fresh request for activation of the same has to be made.

**16   Can a set trigger be modified?**

Yes, the Trigger can be modified by submitting a duly signed request letter. Ten (10) days time is required to update the modified trigger request at our end.

**17   Is there a limit on number of triggers options that can be set?**

Yes. A unit holder cannot select multiple trigger options available in the form for same transaction. Receipt of multiple trigger options will be liable for rejection.

**18   How is the Unit holder informed about the execution of the trigger?**

The Unit holder is informed about the execution and the subsequent transaction through the SMS/physical/E-mail account statement as opted in Application form.

**19   Can a Unit holder submit a trigger request after partial switch out?**

Yes, In case Trigger request is received after partial switch-out from the Original Invested amount in liquid scheme, then the said trigger will be actioned after fulfillment of the  Original invested amount. Example: – Investor invested Rs.30,000/- on 1/2/2010 , and switched 5,000/- on 20/4/2010. Trigger request for appreciation is received for transaction (of 30,000/-) on 15/05/2010. Trigger will be actioned after the original invested amount reaches Rs.30, 000/-