



SYSTEMATIC TRANSACTION FORM (SIP/STP/SWP)

and only
India's 1st Direct to Investor
Mutual Fund

505, Regent Chambers, 5th Floor, Nariman Point, Mumbai - 400021. www.QuantumAMC.com

Please fill this form in ENGLISH in BLACK/DARK COLOURED INK in CAPITAL LETTERS.

1 DISTRIBUTOR INFORMATION (FOR OFFICE USE ONLY)

| Name & ARN Code | Sub-Broker Code | E- Code | Registrar/Bank Serial No. | Date of Receipt | Time of Receipt |
|-----------------|-----------------|---------|---------------------------|-----------------|-----------------|
| | | | | | |

2 NEW / EXISTING UNIT HOLDER INFORMATION

Folio / Application No.

Name of the Sole/1st Applicant

3 SCHEME DETAILS

| | | | |
|--|---|---|--|
| <input type="checkbox"/> Quantum Long Term Equity Fund | <input type="checkbox"/> Quantum Tax Saving Fund | <input type="checkbox"/> Quantum Liquid Fund | |
| <input type="checkbox"/> Quantum Equity Fund of Funds | | <input type="checkbox"/> Dividend Option | |
| <input type="checkbox"/> Growth Option | <input type="checkbox"/> Dividend Reinvestment Facility | <input type="checkbox"/> Dividend Payout Facility | <input type="checkbox"/> Dividend Transfer |
| <input type="checkbox"/> Quantum Gold Savings Fund | <input type="checkbox"/> Growth Option | Transfer To <input type="text"/> | |

4 FREQUENCY DETAILS (Please ✓)

| <input type="checkbox"/> Daily | <input type="checkbox"/> Weekly | <input type="checkbox"/> Fortnightly | <input type="checkbox"/> Monthly | <input type="checkbox"/> Quarterly |
|--------------------------------|---------------------------------|---|--|--|
| All Business Days | 7th, 15th, 21st, 28th of a week | <input type="radio"/> 5th, 21st OR <input type="radio"/> 7th & 25th | <input type="radio"/> 5th OR <input type="radio"/> 21st | <input type="radio"/> 7th OR <input type="radio"/> 25th OR <input type="radio"/> 15th OR <input type="radio"/> 28th |

5 SYSTEMATIC INVESTMENT PLAN (SIP) DETAILS (Please ✓)

Post Dated Cheque (PDC's)

Regular SIP Change in Bank Mandate for existing SIP Micro SIP (MSIP)

Enrollment Details

No of Installments:

Amount Per Installment: Amount (in words)

1st Installment Cheque Details

Cheque/DD Date Amount (Rs.)

Drawn on Bank & Branch

Photo Identification proof number in case of Micro SIP of 1st Applicant 2nd Applicant 3rd Applicant

Cheque Nos From To

6 SYSTEMATIC TRANSFER PLAN (STP) DETAILS (Please ✓) (Please allow 7 days to register STP)

To Scheme

Plan Option

No of Installments:

Amount Per Installment: Amount (in words)

7 SYSTEMATIC WITHDRAWAL PLAN (SWP) DETAILS (Please ✓) (Please allow 7 days to register SWP)

Amount Per Withdrawal: Amount (in words)

No of Installments:

8 CONTACT DETAILS

Email ID

Mobile No. Tel. No. STD Code

DECLARATION AND SIGNATURES

I/ We have read and understood the terms and contents of Statement of Additional Information (SAI), Scheme Information Document (SID) of the scheme(s), I/We hereby apply to the Trustees of Quantum Mutual Fund for units of scheme(s) of Quantum Mutual Fund as indicated above and agree to abide by the terms, conditions, rules and regulations of the scheme (s). I/We hereby declare that the particulars given herein are correct and complete. I/We confirm that I/we have not received and will not receive any commission or brokerage or any other incentive in any form, directly or indirectly, for subscribing to units issued under any of the scheme(s). I/We hereby declare that the amount invested in the scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions of the provisions of Income Tax Act, 1961, Prevention of Money Laundering Act, 2002, Prevention of Corruption Act, 1988 or any other applicable laws enacted by the Government of India from time to time.

For Micro SIP investors- I/we hereby declare that the I/we do not have any existing Micro SIP's which together with current application will result in aggregate investments exceeding ₹ 50,000 in a financial year.

For NRIs/FLIs only: I/We confirm that I am/we are Non Residents of Indian Nationality/origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our Non-resident External Account/FCNR account/NRO/NRSR Account. (Including amount of transactions made in future)

Repatriation Non Repatriation

1st Applicant

2nd Applicant

3rd Applicant

To be sign by all Applicants if mode of holding is joint

To be sign by all Applicants if mode of holding is joint

To be sign by all Applicants if mode of holding is joint

TERMS & CONDITIONS



General

- Please refer to the Key Information Memorandum (KIM), Scheme Information Document (SID) of respective schemes and Statement of Additional Information (SAI) for Applicable NAV, Risk Factors, Load and other information of the Scheme / Plan before investing.
- If any chosen day falls on a non business day, the next business day will be considered as the transaction date.
- Incorrect / Incomplete applications are liable to be rejected.
- An Account statement will be dispatched within 10 working days for the first investment through SIP. The subsequent account statement will be dispatched once every quarter ending March, June, September and December within 10 working days of the end of the respective quarter or after completion of the tenure whichever is earlier. In case of specific request received from unit holder, Mutual

- Fund endeavor to provide the account statement within 5 working days from the receipt of such request without any charges.
- The unit holders can choose to opt out from the SIP/STP/SWP at any point of time by submitting a written request to the nearest designated Investor Service Center. Such request for discontinuation should be received at least 10 working days prior to the next due date of the SIP/STP/SWP.
- Quantum AMC reserves the right to reject any application without assigning any reason thereof and the Trustee reserves the right to change/modify the terms and conditions of SIP/STP/SWP.
- For mandatory requirements like Bank account details, Know Your Customer (KYC) and required documents please refer KIM/SID
- Installment options available under SIP/STP/SWP

| | | SIP | | | | | STP | | | | | SWP | | | |
|--|--------------------------|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| | | Daily | Weekly | Fortnightly | Monthly | Quarterly | Daily | Weekly | Fortnightly | Monthly | Quarterly | Weekly | Fortnightly | Monthly | Quarterly |
| Quantum Long Term Equity Fund / Quantum Equity Fund Of Funds | Minimum SIP amount | ₹ 100/- and in multiples of ₹ 1/- thereafter | ₹ 500/- and in multiples of ₹ 1/- thereafter | ₹ 500/- and in multiples of ₹ 1/- thereafter | ₹ 500/- and in multiples of ₹ 1/- thereafter | ₹ 500/- and in multiples of ₹ 1/- thereafter | ₹ 100/- and in multiples of ₹ 1/- thereafter | ₹ 500/- and in multiples of ₹ 1/- thereafter | ₹ 500/- and in multiples of ₹ 1/- thereafter | ₹ 500/- and in multiples of ₹ 1/- thereafter | ₹ 500/- and in multiples of ₹ 1/- thereafter | ₹ 500/- and in multiples of ₹ 1/- thereafter | ₹ 500/- and in multiples of ₹ 1/- thereafter | ₹ 500/- and in multiples of ₹ 1/- thereafter | ₹ 500/- and in multiples of ₹ 1/- thereafter |
| | Minimum SIP Installments | 132 | 25 | 13 | 6 | 4 | 132 | 25 | 13 | 6 | 4 | 25 | 13 | 6 | 4 |
| Quantum Tax Saving Fund | Minimum SIP amount | ₹ 500/- and in multiples of ₹ 500/- thereafter | ₹ 500/- and in multiples of ₹ 500/- thereafter | ₹ 500/- and in multiples of ₹ 500/- thereafter | ₹ 500/- and in multiples of ₹ 500/- thereafter | ₹ 500/- and in multiples of ₹ 500/- thereafter | ₹ 500/- and in multiples of ₹ 500/- thereafter | ₹ 500/- and in multiples of ₹ 500/- thereafter | ₹ 500/- and in multiples of ₹ 500/- thereafter | ₹ 500/- and in multiples of ₹ 500/- thereafter | ₹ 500/- and in multiples of ₹ 500/- thereafter | ₹ 500/- and in multiples of ₹ 500/- thereafter | ₹ 500/- and in multiples of ₹ 500/- thereafter | ₹ 500/- and in multiples of ₹ 500/- thereafter | ₹ 500/- and in multiples of ₹ 500/- thereafter |
| | Minimum SIP Installments | 132 | 25 | 13 | 6 | 4 | 132 | 25 | 13 | 6 | 4 | 25 | 13 | 6 | 4 |
| Quantum Liquid Fund | Minimum SIP amount | - | - | - | ₹ 500/- and in multiples of ₹ 1/- thereafter | ₹ 500/- and in multiples of ₹ 1/- thereafter | ₹ 100/- and in multiples of ₹ 1/- thereafter | ₹ 500/- and in multiples of ₹ 1/- thereafter | ₹ 500/- and in multiples of ₹ 1/- thereafter | ₹ 500/- and in multiples of ₹ 1/- thereafter | ₹ 500/- and in multiples of ₹ 1/- thereafter | ₹ 500/- and in multiples of ₹ 1/- thereafter | ₹ 500/- and in multiples of ₹ 1/- thereafter | ₹ 500/- and in multiples of ₹ 1/- thereafter | ₹ 500/- and in multiples of ₹ 1/- thereafter |
| | Minimum SIP Installments | - | - | - | 6 | 4 | 132 | 25 | 13 | 6 | 4 | 25 | 13 | 6 | 4 |

Note: Minimum Balance to Start the SIP/STP/SWP is ₹ 5000/-

Systematic Investment Plan

- Existing Unit holders in scheme(s) of Quantum Mutual Fund are required to submit only the Systematic Transaction Form & ECS Mandate Form. Existing unit holders should note that the unit holder's details & the mode of holding will be as per the existing account. New investors, who wish to enroll for SIP through Auto Debit/ECS, should fill the Common Application Form & ECS Mandate Form in addition to the Special Product Form.
- Direct Debit/ECS instructions will take minimum 30 days for registration with the bank and hence the first auto debit will be carried out only after 30 days on the SIP date. The AMC reserves the right to modify the SIP registration period.
- First SIP cheque could be submitted on any business day.
- Investors opting for SIP investment can subscribe to this facility subject to the condition that each of the SIP installments including the initial cheque should be of the same amount.
- Initial cheque should be drawn on any bank, which is situated at & is a member of the Banker's Clearing House located at the place where the SIP application is submitted or payable at par & should participate in local MICR clearing. Please contact the nearest designated Investor Service Centre for the updated list. For outstation applications, the initial DD has to be payable at the nearest AMC locations. No outstation cheques will be accepted. The cheque should be drawn in favor of the scheme chosen {e.g. Pay ***** [scheme name] & crossed "A/c Payee Only"}.
- Payments will be accepted by ECS/Auto Debit mode. For this purpose, investors/unit holders are required to give ECS Mandate Form to debit their bank accounts at periodic intervals & credit the subscription proceeds to Quantum Mutual Fund Bank Account.
- Returned/Dishonored cheque/ECS Rejects will not be presented again for collection. If the 1st installment cheque is dishonored, the SIP processing/registration will be rejected.
- SIP enrollment will be discontinued in case the SIP installment is not honored consecutively for 2 SIP installments. If the SIP details indicated in this form materially varies from the SIP details indicated in the ECS/Auto Debit Form, the SIP application is liable to be rejected. Please note that Quantum Tax Saving Fund (QTSF) has a lock-in period for 3 years.
- If investor has not provided the SIP frequency/period/date, the default SIP frequency would be Monthly & the SIP installments would be the minimum number of installments of the respective schemes as specified in the Scheme Information Document. The default date will be considered as 7th of the month for Monthly & Quarterly option. In case of Fortnightly, default date will be considered as 7th & 21st of the month.
- Additional SIP: If an investor wants to enroll in another scheme & continue for the existing folio, then the investor has to submit a duly filled & signed SIP form along with the first cheque (if investment is in the new scheme) & ECS /Auto Debit form.
- Top-up: After completion of the tenure, in case the investor wants to continue his SIP investment for another tenure with the existing folio, then the investor has to submit a duly filled & signed SIP form & ECS Mandate Form.
- The list of locations where ECS facility is available is subject to change from time to time.
- As per Prevention of Money Laundering Act 2002, it is mandatory for all investors, except for resident individual investors investing ₹ 50,000 and above, to be KYC compliant. For more details please refer point XI on "Instructions to Investor for Filling up the Application Form.

Additional instructions for Micro Systematic Investment Plan (MSIP)

- Micro SIP (Systematic Investment Plan) upto ₹ 50,000 per year per investor shall be exempted from the requirement of PAN.
- In lieu of PAN card copy Investor (including joint holders) to provide the self attested valid Photo ID Proof. Please refer Point 6 below.
- All Micro SIPs where aggregate of installments in a financial year i.e. April to March does not exceed ₹ 50,000.
- This exemption will be applicable only investments by individuals (including NRIs but not PIOs), Minors and Sole proprietary firms. HUFs and other categories will not be eligible for Micro SIPs. The exemption is applicable to joint holders also.
- While making additional/subsequent Micro SIP investment, investor can quote the existing folio number where a Micro SIP has been registered and therefore need not resubmit the supporting documents again.
- Photo identification documents to be submitted in case of Micro SIP Voter Identity Card, Driving License, Government / Defense identification card, Passport, Photo Ration Card, Photo Debit Card (Credit card will not be accepted), Employee ID cards issued by companies registered with Registrar of Companies, Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament, ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks, Senior Citizen / Freedom Fighter ID card issued by Government, Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI, Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL), Any other photo ID card issued by Central Government / State Governments /Municipal authorities / Government organizations like ESIC / EPFO.

Systematic Transfer Plan / Systematic Withdrawal Plan

- All valid requests for STP/SWP forms from one scheme to the other scheme would be treated as switch-out/redemption & switch-in/purchase at the applicable NAV of the respective scheme(s). The difference between the NAV of two schemes will be reflected in the number of units allotted.
- Minimum Investment Amount in Transfer Out scheme should be as per the respective SID/ Addendum.
- Units marked under Lien, Pledge or Lock-in Period in the Transfer Out scheme will not be eligible for STP/SWP.
- All valid applications will be processed as per the applicable uniform cut off timings on the working days as mentioned in the respective scheme SID.
- A Unit holder who has opted for STP/SWP under a specific Scheme can also redeem or switch his Units to any other eligible Scheme provided he has sufficient balance in his account on the date of such a request
- The Unit holder can make additional subscriptions in accordance to the terms and conditions of the Scheme Information Document (SID). Such additional subscription will alter the functioning of the STP/SWP.
- STP/SWP will be terminated automatically incase minimum STP/SWP installment amount is not available in the folio.
- Redemption and switch over for QTSF will be applicable after 3 years of lock-in period.

Mutual Fund investments are subject to market risks. Please read Statement of Additional Information (SAI) and respective Scheme Information Document (SID) carefully for detailed risk factors and other information before investing.



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