



# Common Application Form

(Continuous Offer of units at Applicable NAV)

## Quantum Long Term Equity Fund

(An Open-ended Equity Scheme)

## Quantum Liquid Fund

(An Open ended Liquid Scheme)

## Quantum Tax Saving Fund

(An Open ended Equity Linked Savings Scheme)

## Quantum Equity Fund of Funds

(An Open-ended Equity Fund of Funds Scheme)

## Quantum Gold Savings Fund

(An Open-ended Fund of Fund Scheme)

and only  
India's 1<sup>st</sup> Direct to Investor  
Mutual Fund

MUTUAL FUND	TRUSTEE	SPONSOR	INVESTMENT MANAGER
<b>Quantum Mutual Fund.</b> 505, Regent Chambers, 5th Floor, Nariman Point, Mumbai - 400021	<b>Quantum Trustee Company Private Ltd.</b> 505, Regent Chambers, 5th Floor, Nariman Point, Mumbai - 400021	<b>Quantum Advisors Private Ltd.</b> 503 - 504, Regent Chambers, 5th Floor, Nariman Point, Mumbai - 400021	<b>Quantum Asset Management Company Private Ltd.</b> 505, Regent Chambers, 5th Floor, Nariman Point, Mumbai - 400021.

## KEY INFORMATION MEMORANDUM - CUM - APPLICATION FORM

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document (SID) and Statement of Additional Information (SAI) available free of cost at any of the Investor Service Centers or from the website [www.QuantumAMC.com](http://www.QuantumAMC.com) / [www.QuantumMF.com](http://www.QuantumMF.com)

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

The KIM is dated June 29, 2011

## QUANTUM LONG TERM EQUITY FUND

(An Open-ended Equity Scheme)

### Investment Objective

The investment objective of the Scheme is to achieve long-term capital appreciation by investing primarily in shares of companies that will typically be included in the BSE 200 Index and are in a position to benefit from the anticipated growth and development of the Indian economy and its markets.

### Asset Allocation Pattern of the scheme

Types of Instruments	Normal allocation (% of Net Assets)	Minimum allocation (% of Net Assets)	Maximum allocation (% of Net Assets)
Listed Equity & Equity Related Securities of Companies	95% to 99%	65%	99%
Unlisted Equity & Equity Related Securities of Companies	0% to 3%	0%	5%
Money Market Instruments	1% to 5%	1%	35%
Liquid Schemes of Mutual Funds	0% to 5%	0%	5%

The Scheme may seek investment opportunity in the ADR/GDR and Foreign Securities (maximum 10% of Net Assets) subject to SEBI (Mutual Funds) Regulations, 1996. The Scheme may use derivatives mainly for the purpose of hedging and portfolio balancing (max. 5% of Net Assets) based on the opportunities available subject to SEBI (Mutual Funds) Regulations, 1996.

### Investment Strategy

The investment strategy of the Scheme will be to invest in a basket of stocks after using intensive fundamental analysis, both quantitative and qualitative, monitor the portfolio actively but not so as to engage in excessive trading, and control risk by keeping the portfolio adequately diversified (both in terms of the sectors included in the portfolio as well as with respect to the level of concentration of any particular investment).

The primary focus of the Scheme will be on companies which will typically be included in the BSE 200 Index. Valuations will be developed based on the business of the company, the environment in which it operates the skills and resources of its management, the strength of its balance sheet and cash flow relative to its long term goals, and other fundamental sector criteria. Investments will be made in companies whose shares are undervalued when compared to long term valuation expectations. Investments will be sold when the Investment Manager believes the market price of the shares has exceeded its assessment of the long term value of that company, or if there are adverse changes to that company's management, prospects or the markets in which that company operates. This evaluation against market prices will tend to be based on fundamental criteria (dividend yields, price to earnings, price to cash flow, price to book value, and other different measures of share price ratios), relative to a company's peer group, its history and the overall equity markets.

### Risk Profile of the Scheme

Mutual Fund Units involve investment risks including the possible loss of principal. Please read the Scheme Information Document carefully for details on risk factors and other details before investment. Scheme specific Risk Factors are summarized below:

- Risk associated with investment in Equity and Equity related Securities, Trading Volumes, Settlement Periods.
- Risks associated with Derivatives or Stock Lending
- Investments in mutual fund units involve investment risk such as trading volumes, settlement risk, liquidity risk & default risk. Trading volume may restrict liquidity in equity & debt investments. The AMC may choose to invest in unlisted securities which may increase the risk on the portfolio. Also, the value of the Scheme investments may be affected by interest rates, currency and exchange rates, change in law / policies of the government, taxation laws and political, economic or other developments.
- Investment in debt and money market instruments are subject to risk, audit risk, spread risk, re-investment risk, basis risk, audit risk, spread risk, prepayment risk etc. to the extent of the Scheme's investments in such securities.

### Risk Management Strategies

Risk is an important part of the investment functions. Effective Risk Management is critical to Fund Management for achieving financial goals. Investments made by the Scheme shall be made in accordance with Investment Objective of the Scheme and provisions of SEBI (Mutual Funds) Regulations.

The Fund has identified following Risk and designed Risk Management Strategies which is the part of the Investment Process to manage such risks.

Type of Risks	Risk Mitigation / Management Strategies
Quality risk: Risk of investing in unsustainable/weak companies	Investment universe is selected carefully, based on the quality of business, management capabilities, nature of industry, history of the company, promoter background etc. and also meet regularly companies official to update the information about the companies.
Price risk: Risk for overpaying for a company	A number of valuation tools are applied to judge the fair value of the companies and investment is made only if there is good upside in the stock price. For deciding the valuation of the companies various tools is considered such as history of the companies, peers over 5-10 year periods, quantitative analysis.
Concentration risk	The investments are made in stocks across a number of sectors to ensure the diversification. There is stock wise limit is that no investment is made more than 6% of portfolio.
Liquidity risk: High impact cost	The Investments are made only in such stocks which has high trading volume in the market.
Volatility risk: price volatility due to company or portfolio specific factors	For hedging the portfolio generally cash is used and when the stocks / markets go up sharply above the comfort level at that time stock has been sold and cash has been retained to invest for further good opportunity.

Event risk: Price risk due to company or sector specific events Regular meetings with the companies and internal meetings of portfolio team helps to identify and resolve the Event Risk.

### Plans and Options

**Plans:** Presently the scheme does not offer any Plan.

### Options:

The Scheme offers two Options:

- Growth Option
  - Dividend Option
- The Dividend Option will in turn have two facilities namely;
- Dividend Pay-Out Facility
  - Dividend Re-investment Facility

### Applicable NAV

The cut-off times for determining Applicable NAV's for subscription, redemptions, switch-ins and switch-outs at the Investor Service Centres / Official Points of Acceptance from time-to-time are as per the details given below :

### Subscriptions/Purchases including Switch Ins:

- In respect of valid applications received upto 3.00 p.m. on a Business Day with a local cheque or demand draft payable at par at the place where it is received the applicable NAV shall be closing NAV of the day of the receipt of application.
- In respect of valid applications received after 3.00 p.m. on a Business Day with a local cheque or demand draft payable at par at the place where it is received the applicable NAV shall be closing NAV of next Business Day.
- In respect of valid applications received with an outstation cheque or demand draft which is not payable at par at the place where it is received, the applicable NAV shall be the closing NAV of the day on which the cheque or demand draft is credited.

### Redemptions including Switch Outs:

- In respect of valid applications received upto 3.00 p.m. the applicable NAV shall be closing NAV of the day of the receipt of application.
- In respect of valid applications received after 3.00 p.m. the applicable NAV shall be closing NAV of next Business Day.

Where the application is received via post or courier at any of the official point of acceptance / Investor Services Centers, the date & time of receipt of the post or courier will not be considered for reckoning the application as having been accepted. An application will be considered accepted only when it is time stamped at the official point of acceptance / Investor Services Centers

### Minimum Application Amount/ Number of Units (for all the options)

Purchase	Additional Purchase	Repurchase/Redemption
₹ 500/- and in multiples of ₹ 1/- thereafter.	₹ 500/- and in multiples of ₹ 1/- thereafter / 50 Units	₹ 500/- and multiples of ₹ 1/- thereafter or account balance whichever is less / 50 units

### A) Systematic Investment Plan (SIP)

The provisions for Minimum Application Amount will not be applicable under SIP investments.

Frequencies Available Under SIP	Daily	Weekly	Fortnightly	Monthly	Quarterly
<b>Minimum Amount</b>	₹ 100/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter
<b>Minimum No. of Installments / Instructions</b>	132	25	13	6	4
<b>Days availability</b>	All business days				
<b>Frequency of dates</b>	5,7,15,21,25 & 28 of the month				

### B) Systematic Transfer Plan (STP)

The provisions for Minimum Redemption Amount in the scheme and Minimum Application Amount for the Transferee scheme (s) will not be applicable under STP.

Frequencies Available Under STP	Daily	Weekly	Fortnightly	Monthly	Quarterly
<b>Minimum Amount</b>	₹ 100/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter
<b>Minimum No. of Installments / Instructions</b>	132	25	13	6	4
<b>Days availability</b>	All business days				
<b>Frequency of dates</b>	5,7,15,21,25 & 28 of the month				
<b>Minimum Balance to Start STP</b>	₹ 5000/-				

### C) Systematic Withdrawal Plan (SWP)

Frequencies Available Under SWP	Weekly	Fortnightly	Monthly	Quarterly
<b>Minimum Amount</b>	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter
<b>Minimum No. of Installments / Instructions</b>	25	13	6	4

<b>Days availability</b>	All business days
<b>Frequency of dates</b>	5,7,15,21,25 & 28 of the month
<b>Minimum Balance to Start SWP</b>	₹ 5000/-

#### Dispatch of Repurchase (Redemption) Request

Within 10 working days of the receipt of the redemption request at the Official Point of Acceptance / Investor Services Center of the Quantum Mutual Fund.

#### Benchmark Index

The Scheme's performance will be benchmarked against BSE 30 Total Return Index.

#### Dividend Policy

The Trustee proposes to follow the following dividend distribution policy:

In terms of SEBI Circular No. 1/64057/06 dated April 4, 2006, the Trustees shall fix the quantum of dividend and the record date. The AMC shall, within one calendar day of the decision by the Trustee, issue notice to the public, communicating the decision, including the record date. The record date shall be 5 calendar days after the issue of notice and issued in accordance with the terms of the Circular. Declaration of dividend is subject to the availability of distributable surplus. It must be distinctly understood that the actual declaration of dividends under the Scheme and the frequency thereof will, inter-alia, depend upon the distributable surplus of the Scheme. There is no assurance or guarantee to Unitholders as to the rate of dividend distribution nor will that dividend be regularly paid. The dividend that may be paid out of the net surplus of the Scheme will be paid only to those Unitholders whose names appear in the register of Unitholders on the notified record date. Unitholders are entitled to receive dividend within 30 days of the date of declaration of the dividend. However, the Mutual Fund will endeavour to make dividend payments sooner to Unitholders. On distribution of dividends, the NAV will stand reduced by the amount of dividend distributed and statutory levy, if any, at the close of business hours on record date.

#### Name of the Fund Manager

Mr. Atul Kumar – Fund Manager • Mr. Nilesh Shetty – Associate Fund Manager

#### Name of the Trustee Company

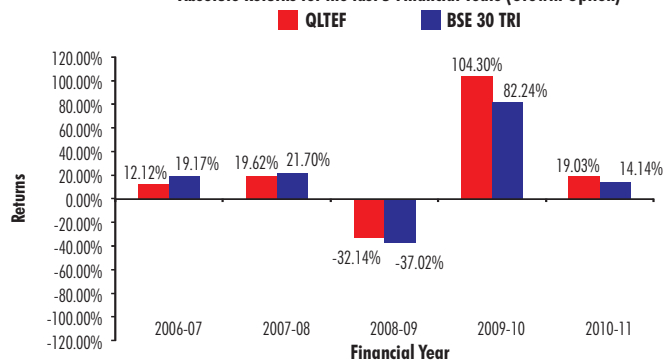
Quantum Trustee Company Private Limited

#### Performance of the Scheme (as on May 31, 2011) :

Compounded Annualized Returns (Growth Option)	Scheme Returns %	Benchmark Returns % #
Returns for the last 1 year	13.70%	10.41%
Returns for the last 3 years	15.92%	5.23%
Returns for the last 5 years	17.07%	13.98%
Returns since inception	16.21%	12.61%

**Past performance may or may not be sustained in the future.** Above returns are Compounded Annualized Returns. Date of Inception - March 13, 2006 # Benchmark Index - BSE 30 TRI.

#### Absolute Returns for the last 5 Financial Years (Growth Option)



Past performance may or may not be sustained in future

#### Expenses of the Scheme

##### i) Load Structure

Type of Load	Load chargeable (as % of NAV)
<b>Entry Load/Switch In Load</b>	Not Applicable *
<b>Exit Load/Switch Out Load:</b>	
- within 6 months from the date of allotment	4.00%
- after 6 months but within 12 months from the date of allotment	3.00%
- after 12 months but within 18 months from the date of allotment	2.00%
- after 18 months but within 24 months from the date of allotment	1.00%
- after 24 months from the date of allotment	Nil

Entry Load : Not Applicable

In terms of SEBI circular no. SEBI/IMD/CIR No. 4/ 168230/09 dated June 30, 2009 has notified that, w.e.f. August 01, 2009 there will be no entry load charged to the schemes of the Mutual Fund and the upfront commission to distributors will be paid by the investor directly to the distributor, based on his assessment of various factors including the service rendered by the distributor.

**Quantum Mutual Fund does not charge Entry Load since inception.**

##### ii) Recurring expenses

First ₹ 100 crores of the average daily/ weekly net assets: 2.50%

Next ₹ 300 crores of the average daily/ weekly net assets: 2.25%

Next ₹ 300 crores of the average daily/ weekly net assets: 2.00%

Balance: 1.75%

Actual expenses for the previous financial year ended March 31, 2011: ₹ 9,310,709.15 (1.53% p.a.)

#### Waiver of Load for Direct Applications

Not Applicable

Pursuant to SEBI circular no. SEBI/IMD/CIR No. 4/ 168230/09 dated June 30, 2009 no entry load shall be charged for all mutual fund schemes. Therefore, the procedure for waiver of load for direct applications is no longer applicable.

#### Tax treatment for the Investors (Unitholders)

Investors are advised to refer to the details in the Scheme Information Document and Statement of Additional Information and also independently refer to his/her/their tax advisor.

#### Daily Net Asset Value (NAV) Publication

The NAV will be declared on all Business Days and will be published in 2 newspapers. NAV can also be viewed on www.QuantumAMC.com/ www.QuantumMF.com and www.amfiindia.com.

Investors may obtain NAV information on any Working Day by calling the office of the AMC or any of the Investor Service Centres.

#### For Investor Grievances please contact

Name and Address of Registrar Registrars & Transfer Agents Karvy Computershare Pvt Ltd Karvy Plaza, H. No. 8-2-596, Avenue 4, Street No. 1 Banjara Hills, Hyderabad- 500 034 Phone: (91) (040) 44677123 Fax: (91) (040) 23420802	Quantum Asset Management Company Private Limited Ms. Meera Shetty Investor Relations Officer 505, Regent Chambers, 5th Floor, Nariman Point, Mumbai - 400 021 Toll Free : 1800 22 3863 Alternate No.: 022 22829414 For non MTNL/BSNL landline, mobile users and investors outside India E-mail: Customercare@QuantumAMC.com
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#### Unitholders' Information

##### Account Statement:

An Account Statement reflecting the number of units allotted shall be dispatched to the Unitholders by ordinary Post / Courier / Electronic mode (if so mandated) as per the time lines given below :

- (1) For Initial / Addition Subscription as well as Redemption / Switch of units : Within 10 working days from the date of acceptance of valid request;
  - (2) For Systematic Investment Plan (SIP) / Systematic Transfer Plan (STP) / Systematic Withdrawal Plan (SWP) - Within 10 working days from the end of Quarter i.e. March, June, September or December.
- Investors who subscribe/redeem units in dematerialized mode, the holding statement as issued by the Depository Participant would be deemed to be adequate compliance with requirements of SEBI regarding dispatch of statements of account.

##### Annual Account Statement:

- The Mutual Funds shall provide the Account Statement to the Unitholders who have not transacted during the last six months prior to the date of generation of Account Statements. The Account Statement shall reflect the latest closing balance and value of the Units prior to the date of generation of the Account Statement. The Account Statements in such cases may be generated and issued along with the Portfolio Statement or Annual Report of the Scheme.
- Alternatively, soft copy of the Account Statements shall be mailed to the investors' e-mail address, instead of physical Statement, if so mandated.

#### Half yearly Disclosures: Portfolio / Financial Results

##### Portfolio Disclosures:

The Fund shall disclose the Half-yearly Scheme Portfolios on its web site at www.QuantumAMC.com / www.QuantumMF.com and on AMFI web site (www.amfiindia.com) in the prescribed format before the expiry of one month from the close of each half-year.

The Fund shall also before the expiry of one month from the close of each half year (i.e. as on 31st March and 30th September) send to the Unitholders a complete statement of Scheme's portfolio or if such Statement is not sent to the Unitholders, it will be published by way of an advertisement in one English daily circulating in the whole of India and in a newspaper published in the language of the region where the Head Office of the Mutual Fund is situated.

##### Half Yearly Results:

The Fund shall before the expiry of 1 month from the close of each half year, (i.e. as on 31st March and 30th September), publish its Half Yearly Unaudited Financial Results in one English daily newspaper circulating in the whole of India and in a newspaper published in the language of the region where the Head Office of the Mutual Fund is situated and update the same on the AMC's website at www.QuantumAMC.com / www.QuantumMF.com and on AMFI's website at www.amfiindia.com within 1 month from the close of each half year, in the formats as prescribed by SEBI.

##### Annual Report:

Scheme wise Annual Report or an abridged summary thereof shall be mailed to all Unit holders within four months from the date of closure of the relevant accounts year (i.e. 31st March) each year.

## QUANTUM LIQUID FUND

(An Open ended Liquid Scheme)

#### Investment Objective

The primary investment objective of the Scheme is to provide optimal returns with low to moderate levels of risk and high liquidity through judicious investments in money market and debt instruments.

#### Asset Allocation Pattern of the scheme

Types of Instruments	Normal Allocation (% of Net Assets)
Money Market Instruments and other short term debt instruments (*) having maximum re-pricing tenor of not more than 91 days in maturity(**)	100%

Note :

- (\*) Debt Instruments may include investments in securitised debt instruments upto 40% of the Net Assets of the Scheme.
- Pending deployment, funds would be invested in short term fixed deposits of scheduled commercial banks having a maximum maturity of not more than 91 days.
- The Scheme may also invest in foreign securities subject to the limits as may be applicable to mutual funds from time to time under various regulations and also under FEMA or such other laws as may be applicable.

The Scheme shall be in compliance with the SEBI Circular dated January 19, 2009.

(\*\*) Maximum re-pricing tenor of 91 days would be reckoned as under - :

- For a fixed rate asset, the remaining tenor is 91 days or less.
- For a floating rate asset, the interest reset frequency is 91 days or less.
- For a fixed rate/floating rate asset where the principal is paid in a staggered and/or an amortizing basis (e.g. securitized papers), the average maturity of such an asset is 91 days or less.
- For a portfolio using Interest Rate Derivatives,
  - The composite floating rate asset (underlying fixed rate asset and Interest Rate Swap, paying fixed and receiving floating) has interest reset frequency upto 91 days.
  - If Interest Rate Swaps (receiving fixed and paying floating), have been used to convert a floating rate asset into a fixed rate asset, the fixed leg of the Interest Rate Swap having remaining tenor upto 91 days.
  - For a portfolio using Forward Rate Agreements, the summation of the beginning and end dates of the period covered is 91 days or less.

#### Investment Strategy

The fund management team would endeavor to maintain consistent performance in the Scheme by balancing yields and safety as well as maintaining high liquidity.

Various risks carried by debt investments such as Interest Rate Risk, Liquidity Risk, Default Risk, etc. cannot be eliminated, but can be minimized by diversification and hedging.

The fund management team has a Dynamic Model in place to balance and minimize the various risks in the debt markets.

The fund management team would actively track the local interest rate markets as well as the developments in global markets and accordingly rebalance the portfolio of the Scheme.

As the primary objective of the Scheme is to provide high liquidity with minimal volatility in returns, the Fund Manager would invest significant portion of its assets in short term/floating rate assets which carry low market risk.

#### Risk Profile of the Scheme

Mutual Fund Units are subject to market risks. Please read the Scheme Information Document carefully for details on risk factors and other details before investment. Scheme specific Risk Factors are summarized below:

- Risk associated with investments in Debt and Money Market Instruments which involve Interest rate risk, Liquidity & Settlement Risk, Investment Risk, Credit Risk, Re-investment Risk etc.,
- Risk associated with investments in Derivatives which involve Credit Risk, Illiquidity Risk etc
- Risk associated with investments in Stock Lending consists of the failure to another party, to comply with the terms of agreement entered into. There can be a loss in such a transaction if the price of the security goes up instead of falling down.
- Scheme may invest in domestic, securitized debt such as asset backed securities (ABS) or mortgage backed securities (MBS) which involves risks like Prepayment Risk, Market risk etc.

#### Risk Management Strategies

Risk is an important part of the investment functions. Effective Risk Management is critical to Fund Management for achieving financial goals. Investments made by the Scheme shall be made in accordance with Investment Objective of the Scheme and provisions of SEBI (Mutual Funds) Regulations.

The Fund has identified following Risk and designed Risk Management Strategies which is the part of the Investment Process to manage such risks.

Type of Risks	Risk Mitigation / Management Strategies
<b>Interest Rate Risk</b>	Measured through Average Maturity Duration / Portfolio Sensitivity to interest rate changes (PV01). The investment is made only in shorter tenor instruments (< 91 days) and has low duration and is thus exposed to lower market risks.

<b>Credit and Default Risk</b>	Measured through Portfolio credit quality. The Scheme is mandated to invest a minimum of up to 80% in instruments with the highest credit rating as given by a SEBI registered credit rating agency which helps maintain good portfolio quality.
<b>Liquidity Risk</b>	Measured through Trading Data / Portfolio Liquidity Report. The Scheme caps the illiquid assets as a % of portfolio to ensure that the fund remains liquid to meet redemptions.
<b>Mismatch Risk / ALM (Asset Liability mismatch) Risk (Investor horizon and asset maturity mismatch)</b>	Measured through ALM Report. The Fund has a well laid out ALM policy which ensures that the portfolio maturity is aligned to investor horizon. The scheme assumes certain amount of redemptions on a regular basis and thus plans its asset purchases based on this estimate ensuring smooth redemptions with limited market risks.

#### Plans and Options

**Plans:** Presently the scheme does not offer any Plan.

#### Options:

The Scheme offers three Options, namely;

- Growth Option
- Monthly Dividend Payout Option
- Daily Dividend Re-investment Option

#### Applicable NAV

The cut-off times for determining Applicable NAV's for subscription, redemptions and switch-outs to be made at the Investor Service Centres/ Official Points of Acceptance from time to time are as per the details given below:

#### Subscriptions/Purchases including Switch-Ins:

- Where the application is received upto 2.00 p.m. on a day and funds are available for utilization before the cut-off time, the applicable NAV shall be closing NAV of the day immediately preceding the day of receipt of application;
- Where the application is received after 2.00 p.m. on a day and funds are available for utilisation on the same day, the applicable NAV shall be the closing NAV of the day immediately preceding the next business day; and
- Respective of the time of receipt of application, where the funds are not available for utilisation before the cut-off time, the applicable NAV shall be the closing NAV of the day immediately preceding the day on which the funds are available for utilization.

These provisions will also apply to Systematic Investment Plan (SIP) and Systematic Transfer Plan (STP)

#### Repurchase/Redemption s including Switch-Outs:

- Where the application is received up to 3.00 p.m., the closing NAV of the day on which application is received shall be applicable.
- Where the application is received after 3.00 p.m., the closing NAV of the next business day shall be applicable.

#### Minimum Application Amount/ Number of Units (for all the options)

Purchase /Switch In	Additional Investments (for all the options)	Repurchase/ Redemption/ Switch Out (for all the options)
i) Growth Option - ₹ 5,000/- and in multiples of ₹ 1/- thereafter.	₹ 500/- and in multiples of ₹ 1/- thereafter / 50 units	₹ 500/- and in multiples of ₹ 1/- thereafter or Account balance which ever is less / 50 units
ii) Monthly Dividend Payout Option - ₹ 10,000/- and in multiples of ₹ 1/- thereafter.		
iii) Daily Dividend Re-investment Option - ₹ 1,00,000/- and in multiples of ₹ 1/- thereafter.		

#### A) Systematic Investment Plan (SIP)

The provisions for Minimum Application Amount will not be applicable under SIP investments.

Frequencies Available Under SIP	Monthly	Quarterly
<b>Minimum Amount</b>	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter
<b>Minimum No. of Installments / Instructions</b>	6	4
<b>Days availability</b>	All business days	
<b>Frequency of dates</b>	5,7,15,21,25 & 28 of the month	

#### B) Systematic Transfer Plan (STP)

The provisions of Minimum Redemption Amount in the scheme and Minimum Application Amount for the transferee scheme(s) will not be applicable under STP.

Frequencies Available Under STP	Daily	Weekly	Fortnightly	Monthly	Quarterly
<b>Minimum Amount</b>	₹ 100/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter
<b>Minimum No. of Installments / Instructions</b>	132	25	13	6	4
<b>Days availability</b>	All business days				
<b>Frequency of dates</b>	5,7,15,21,25 & 28 of the month				
<b>Minimum Balance to Start STP</b>	₹ 5000/-				

#### C) Systematic Withdrawal Plan (SWP)

Frequencies Available Under SWP	Weekly	Fortnightly	Monthly	Quarterly
<b>Minimum Amount</b>	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter
<b>Minimum No. of Installments / Instructions</b>	25	13	6	4
<b>Days availability</b>	All business days			
<b>Frequency of dates</b>	5,7,15,21,25 & 28 of the month			
<b>Minimum Balance to Start SWP</b>	₹ 5,000/-			

#### Dispatch of Repurchase (Redemption) Request

Within 10 working days of the receipt of the redemption request at the Official Point of Acceptance of the Quantum Mutual Fund.

#### Benchmark Index

The Scheme's performance will be benchmarked against CRISIL Liquid Fund Index.

#### Dividend Policy

The Trustee proposes to follow the following dividend distribution policy:

In terms of SEBI Circular No. 1/64057/06 dated April 4, 2006, the Trustees shall fix the quantum of dividend and the record date. The AMC shall, within one calendar day of the decision by the Trustee, issue notice to the public, communicating the decision, including the record date. The record date shall be 5 calendar days after the issue of notice and issued in accordance with the terms of the Circular. Declaration of dividend is subject to the availability of distributable surplus. It must be distinctly understood that the actual declaration of dividends under the Scheme and the frequency thereof will, inter-alia, depend upon the distributable surplus of the Scheme. There is no assurance or guarantee to Unitholders as to the rate of dividend distribution nor will that dividend be regularly paid. The dividend that may be paid out of the net surplus of the Scheme will be paid only to those Unitholders whose names appear in the register of Unitholders on the notified record date. Unitholders are entitled to receive dividend within 30 days of the date of declaration of the dividend. However, the Mutual Fund will endeavour to make dividend payments sooner to Unitholders. On distribution of dividends, the NAV will stand reduced by the amount of dividend distributed and statutory levy, if any, at the close of business hours on record date. The requirement of giving notice shall not be applicable under monthly dividend payout option and daily dividend reinvestment option.

#### Name of the Fund Manager

Mr. Arvind Chari

#### Name of the Trustee Company

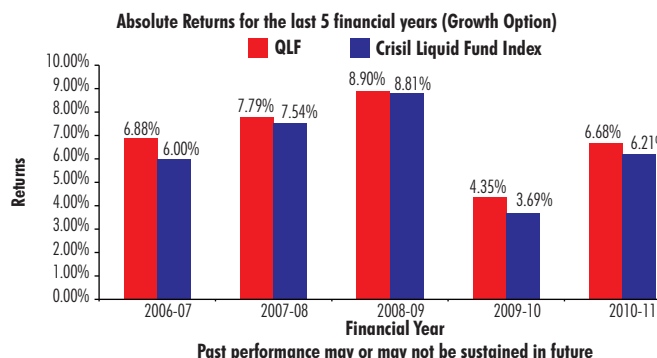
Quantum Trustee Company Private Limited

#### Performance of the Scheme (as on May 31, 2011) :

Compounded Annualized Returns (Growth Option)	Scheme Returns %	Benchmark Returns % #
Returns for the last 1 year	7.3730%	6.9047%
Returns for the last 3 years	6.6450%	6.1944%
Returns for the last 5 years	7.0218%	6.5136%
Returns since inception	6.9944%	6.4983%

**Past performance may or may not be sustained in the future.** Above returns are Compounded Annualised Returns.

Date of Inception - April 07, 2006. # Benchmark Index - Crisil Liquid Fund Index.



#### Expenses of the Scheme

##### i) Load Structure

Entry Load : Not Applicable

In terms of SEBI circular no. SEBI/IMD/CIR No. 4/ 168230/09 dated June 30, 2009 it has been notified that, w.e.f. August 01, 2009 there will be no entry load charged to the schemes of the Mutual Fund and the upfront commission to distributors will be paid by the investor directly to the distributor, based on his assessment of various factors including the service rendered by the distributor.

**Quantum Mutual Fund does not charge Entry Load since inception.**

Exit Load : NIL

##### ii) Recurring expenses

First ₹ 100 crores of the average daily/ weekly net assets: 2.25%

Next ₹ 300 crores of the average daily/ weekly net assets: 2.00%

Next ₹ 300 crores of the average daily/ weekly net assets: 1.75%

Balance: 1.50%

Actual Expenses for the Previous Financial Year ended March 31, 2011: ₹ 917,183.57/- (0.36% p.a.)

#### Waiver of Load for Direct Applications

Not Applicable

Pursuant to SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009 no entry load shall be charged for all mutual fund schemes. Therefore, the procedure for waiver of load for direct applications is no longer applicable.

#### Tax treatment for the Investors (Unitholders)

Investors are advised to refer to the details in the Scheme Information Document and Statement of Additional Information and also independently refer to his/her/their tax advisor.

#### Daily Net Asset Value (NAV) Publication

The NAV will be declared on all Calendar days and will be published in 2 newspapers. NAV can also be viewed on [www.QuantumAMC.com](http://www.QuantumAMC.com) / [www.QuantumMF.com](http://www.QuantumMF.com) and [www.amfiindia.com](http://www.amfiindia.com).

Investors may obtain NAV information on any Business Day by calling the office of the AMC or any of the Investor Service Centres.

#### For Investor Grievances please contact

Name and Address of Registrar  
Registrars & Transfer Agents  
Karvy Computershare Pvt Ltd  
Karvy Plaza, H. No. 8-2-596, Avenue 4, Street No. 1  
Banjara Hills, Hyderabad- 500 034  
Phone: (91) (040) 44677123  
Fax: (91) (040) 23420802

Quantum Asset Management Company Private Limited  
Ms. Meera Shetty  
Investor Relations Officer  
505, Regent Chambers, 5th Floor, Nariman Point, Mumbai - 400 021  
Toll Free : 1800 22 3863  
Alternate No. : 022 22829414  
For non MTNL/BSNL landline, mobile users and investors outside India  
E-mail: [Customercare@QuantumAMC.com](mailto:Customercare@QuantumAMC.com)

#### Unitholders' Information

##### Account Statement:

An Account Statement reflecting the number of units allotted shall be dispatched to the Unitholders by ordinary post/courier/electronic mode (if so mandated) as per the time lines given below:

- For initial/addition subscription as well as redemption/switch of units : within 10 Working days from the date of acceptance of valid request;
- For Systematic Investment Plan (SIP) / Systematic Transfer Plan (STP) / Systematic Withdrawal Plan (SWP) — within 10 working days from the end of Quarter i.e. March, June, September or December

Investors who subscribe/redeem units in dematerialized mode, the holding statement as issued by the Depository Participant would be deemed to be adequate compliance with requirements of SEBI regarding dispatch of statements of account.

##### Annual Account Statement:

The Funds shall provide the Account Statement to the Unitholders who have not transacted during the last six months prior to the date of generation of account statements. The Account Statement shall reflect the latest closing balance and value of the Units prior to the date of generation of the account statement. The account statements in such cases may be generated and issued along with the Portfolio Statement or Annual Report of the Scheme.

Alternately, soft copy of the account statements shall be mailed to the investors' e-mail address, instead of physical statement, if so mandated.

#### Half yearly Disclosures: Portfolio / Financial Results

##### Portfolio Disclosures:

The Fund shall disclose the half-yearly scheme portfolios on its web site at [www.QuantumAMC.com](http://www.QuantumAMC.com)/[www.QuantumMF.com](http://www.QuantumMF.com) and on AMFI web site ([www.amfiindia.com](http://www.amfiindia.com)) in the prescribed format before the expiry of one month from the close of each half-year.

The Fund shall also before the expiry of one month from the close of each half year (i.e. as on 31st March and 30th September) send to the Unitholders a complete statement of the Scheme's portfolios or if such statement is not sent to the Unitholders, it will be published by way of an advertisement in one English daily circulating in the whole of India and in a newspaper published in the language of the region where the Head Office of the Mutual Fund is situated.

##### Half Yearly Results:

The Fund shall before the expiry of 1 month from the close of each half year, (i.e. as on 31st March and 30th September), publish its Half Yearly Unaudited Financial Results in one English daily newspaper circulating in the whole of India and in a newspaper published in the language of the region where the Head Office of the Mutual Fund is situated and update the same on the AMC's website at [www.QuantumAMC.com](http://www.QuantumAMC.com)/[www.QuantumMF.com](http://www.QuantumMF.com) and on AMFI's website at [www.amfiindia.com](http://www.amfiindia.com), within 1 month from the close of each half year, in the formats as prescribed by SEBI.

##### Annual Report:

Scheme wise Annual Report or an abridged summary thereof shall be mailed to all unitholders within four months from the date of closure of the relevant accounts year (i.e. 31st March) each year.

# QUANTUM TAX SAVING FUND

(An Open ended Equity Linked Savings Scheme)

## Investment Objective

The investment objective of the Scheme is to achieve long-term capital appreciation by investing primarily in shares of companies that will typically be included in the BSE 200 index and are in a position to benefit from the anticipated growth and development of the Indian economy and its markets.

## Asset Allocation Pattern of the scheme

Types of Instruments	Normal allocation (% of Net Assets)	
	Minimum allocation (% of Net Assets)	Maximum allocation (% of Net Assets)
Equity and equity-related Securities*	80%	100%
Debt and money market instruments	0%	20%

In accordance with the ELSS, investments by the Scheme in equity and equity related Securities will not fall below 80% of the net assets of the Scheme.

\*Equity related Securities shall mean all those instruments which are permitted as per the ELSS Guidelines from time to time and shall include equities, cumulative convertible preference shares and fully convertible debentures and bonds of companies. Investment may also be made in partly convertible issues of debentures and bonds including those issued on rights basis subject to the condition that, as far as possible, the non-convertible portion of the debentures so acquired or subscribed, shall be divested within a period of 12 (twelve) months.

The Scheme does not intend to make any investments in derivatives, and ADR/GDRs/foreign Securities. However, the Scheme may make investments in derivatives, ADR/GDRs/foreign Securities as and when permitted by the ELSS. The scheme shall not invest in Foreign Securitized Debt.

## Investment Strategy

The investment strategy of the Scheme will be to invest in a basket of stocks after using intensive fundamental analysis, both quantitative and qualitative, monitor the portfolio actively but not so as to engage in excessive trading, and control risk by keeping the portfolio adequately diversified (both in terms of the sectors included in the portfolio as well as with respect to the level of concentration of any particular investment).

The primary focus of the Scheme will be on companies which will typically be included in the BSE 200 Index. Valuations will be developed based on the business of the company, the environment in which it operates, the skills and resources of its management, the strength of its balance sheet and cash flow relative to its long term goals, and other fundamental sector criteria. Investments will be made in companies whose shares are undervalued when compared to long term valuation expectations. Investments will be sold when the Investment Manager believes the market price of the shares has exceeded its assessment of the long term value of that company, or if there are adverse changes to that company's management, prospects or the markets in which that company operates. This evaluation against market prices will tend to be based on fundamental criteria (dividend yields, price to earnings, price to cash flow, price to book value, and other different measures of share price ratios), relative to a company's peer group, its history and the overall equity markets.

## Risk Profile of the Scheme

Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below:

- Risk associated with investment in Equity and Equity related Securities, Trading Volumes and Settlement Periods.
- Risks associated with Derivatives or stock lending
- Investments in mutual fund units involves investment risk such as trading volumes, settlement risk, liquidity risk & default risk. Trading volume may restrict liquidity in equity & debt investments. The AMC may choose to invest in unlisted securities which may increase the risk on the portfolio. Also, the value of the Scheme investments may be affected by interest rates, currency and exchange rates, change in law / policies of the government, taxation laws and political, economic or other developments.
- Investment in debt and money market instruments are subject to risk, audit risk, spread risk, re-investment risk, basis risk, audit risk, spread risk, prepayment risk etc. to the extent of the Scheme's investments in such securities.

Due to the lock in requirements under ELSS Guidelines, the ability of investors to realize returns is restricted for the first three years.

## Risk Management Strategies

Risk is an important part of the investment functions. Effective Risk Management is critical to Fund Management for achieving financial goals. Investments made by the Scheme shall be made in accordance with Investment Objective of the Scheme and provisions of SEBI (Mutual Funds) Regulations.

The Fund has identified following Risk and designed Risk Management Strategies which is the part of the Investment Process to manage such risks.

Type of Risks	Risk Mitigation / Management Strategies
Quality risk: Risk of investing in unsustainable/weak companies	Investment universe is selected carefully, based on the quality of business, management capabilities, nature of industry, history of the company, promoter background etc. and also meet regularly companies official to update the information about the companies.
Price risk: Risk for overpaying for a company	A number of valuation tools are applied to judge the fair value of the companies and investment is made only if there is good upside in the stock price. For deciding the valuation of the companies various tools is considered such as history of the companies, peers over 5-10 year periods, quantitative analysis.
Concentration risk	The investments are made in stocks across a number of sectors to ensure the diversification. There is stock wise limit is that no investment is made more than 6% of portfolio.
Liquidity risk: High impact cost	The Investments are made only in such stocks which has high trading volume in the market.
Volatility risk: price volatility due to company or portfolio specific factors	For hedging the portfolio generally cash is used and when the stocks / markets go up sharply above the comfort level at that time stock has been sold and cash has been retained to invest for further good opportunity.
Event risk: Price risk due to company or sector specific events	Regular meetings with the companies and internal meetings of portfolio team helps to identify and resolve the Event Risk.

## Plans and Options

**Plans:** Presently the scheme does not offer any Plan.

### Options:

The Scheme offers two Options:

- Growth Option
- Dividend Option.

The Dividend Option will in turn have two facilities namely;

- Dividend Pay-Out Facility
- Dividend Re-investment Facility

## Applicable NAV

The cut-off times for determining Applicable NAV's for subscription, redemptions, switch-ins and switch-outs at the Investor Service Centres / Official Points of Acceptance from time-to-time are as per the details given below :

### Subscriptions/Purchases including Switch Ins:

- In respect of valid applications received upto 3.00 p.m. on a Business Day with a local cheque or demand draft payable at par at the place where it is received the applicable NAV shall be closing NAV of the day of the receipt of application.
- In respect of valid applications received after 3.00 p.m. on a Business Day with a local cheque or demand draft payable at par at the place where it is received the applicable NAV shall be closing NAV of next Business Day.
- In respect of valid applications received with an outstation cheque or demand draft which is not payable at par at the place where it is received, the applicable NAV shall be the closing NAV of the day on which the cheque or demand draft is credited.

### Redemptions including Switch Outs:

- In respect of valid applications received upto 3.00 p.m the applicable NAV shall be closing NAV of the day of the receipt of application.
- In respect of valid applications received after 3.00 p.m. the applicable NAV shall be closing NAV of next Business Day.

Where the application is received via post or courier at any of the official point of acceptance / Investor Services Centers, the date & time of receipt of the post or courier will not be considered for reckoning the application as having been accepted. An application will be considered accepted only when it is time stamped at the official point of acceptance / Investor Services Centers.

## Minimum Application Amount/ Number of Units (for all the options)

Purchase	Additional Purchase	Repurchase/Redemption
₹ 500/- and in multiples of ₹ 500/- thereafter.	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- or 50 units

## A) Systematic Investment Plan (SIP)

Frequencies Available Under SIP	Daily	Weekly	Fortnightly	Monthly	Quarterly
<b>Minimum Amount</b>	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter
<b>Minimum No. of Installments / Instructions</b>	132	25	13	6	4
<b>Days availability</b>	All business days				
<b>Frequency of dates</b>	5,7,15,21,25 & 28 of the month				

## B) Systematic Transfer Plan (STP)

**After completion of lock in period of 3 years from the date of investment.**

The provisions of Minimum Application Amount for the transferee Scheme(s) will not be applicable under STP.

Frequencies Available Under STP	Daily	Weekly	Fortnightly	Monthly	Quarterly
<b>Minimum Amount</b>	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter
<b>Minimum No. of Installments / Instructions</b>	132	25	13	6	4
<b>Days availability</b>	All business days				
<b>Frequency of dates</b>	5,7,15,21,25 & 28 of the month				
<b>Minimum Balance to Start STP</b>	₹ 5000/-				

## C) Systematic Withdrawal Plan (SWP)

**After completion of lock in period of 3 years from the date of investment.**

Frequencies Available Under SWP	Weekly	Fortnightly	Monthly	Quarterly
<b>Minimum Amount</b>	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter
<b>Minimum No. of Installments / Instructions</b>	25	13	6	4
<b>Days availability</b>	All business days			
<b>Frequency of dates</b>	5,7,15,21,25 & 28 of the month			
<b>Minimum Balance to Start SWP</b>	₹ 5000/-			

## Lock-in-period

Redemption of Units can be made only after a period of three years (lock-in period) from the date of allotment of Units proposed to be redeemed as prescribed in the ELSS.

The Trustee reserves the right to change the lock-in period prospectively from time to time, in the event of amendment(s) to the ELSS with respect to the lock-in period.

## Dispatch of Repurchase (Redemption) Request

Within 10 working days of the receipt of the redemption request at the Official Point of Acceptance of the Quantum Mutual Fund.

## Benchmark Index

The Scheme's performance will be benchmarked against BSE 30 Total Return Index.

## Dividend Policy

The Trustee proposes to follow the following dividend distribution policy:

In terms of SEBI Circular No. 1/64057/06 dated April 4, 2006, the Trustees shall fix the quantum of dividend and the record date. The AMC shall, within one calendar day of the decision by the Trustee, issue notice to the public, communicating the decision, including the record date. The record date shall be 5 calendar days after the issue of notice and issued in accordance with the terms of the Circular. Declaration of dividend is subject to the availability of distributable surplus. It must be distinctly understood that the actual declaration of dividends under the Scheme and the frequency thereof will, inter-alia, depend upon the distributable surplus of the Scheme. There is no assurance or guarantee to Unitholders as to the rate of dividend distribution nor will that dividend be regularly paid. The dividend that may be paid out of the net surplus of the Scheme will be paid only to those Unitholders whose names appear in the register of Unitholders on the notified record date. Unitholders are entitled to receive dividend within 30 days of the date of declaration of the dividend. However, the Mutual Fund will endeavour to make dividend payments sooner to Unitholders. On distribution of dividends, the NAV will stand reduced by the amount of dividend distributed and statutory levy, if any, at the close of business hours on record date.

## Name of the Fund Manager

Mr. Atul Kumar

## Name of the Trustee Company

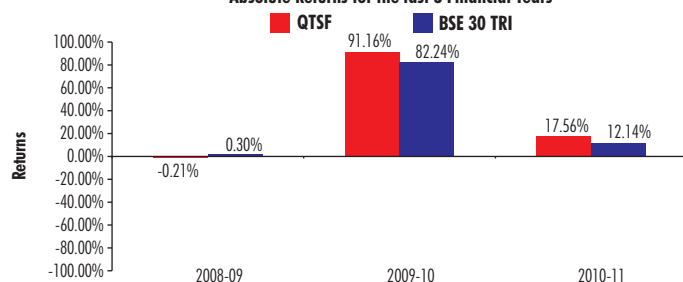
Quantum Trustee Company Private Limited

## Performance of the Scheme (as on May 31, 2011) :

Compounded Annualized Returns (Growth Option)	Scheme Returns %	Benchmark Returns % #
Returns for the last 1 year	12.32%	10.41%
Returns since inception	37.35%	31.63%

**Past performance may or may not be sustained in the future.** Above returns are Compounded Annualized Returns. Date of Inception - December 23, 2008 # Benchmark Index - BSE 30 Total Return Index.

## Absolute Returns for the last 3 Financial Years



**Past performance may or may not be sustained in future**

## Expenses of the Scheme

### i) Load Structure

Entry Load: Not Applicable

In terms of SEBI circular no. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009 has notified that, w.e.f. August 01, 2009 there will be no entry load charged to the schemes of the Mutual Fund and the upfront commission to distributors will be paid by the investor directly to the distributor, based on his assessment of various factors including the service rendered by the distributor.

## Quantum Mutual Fund does not charge Entry Load since inception.

Exit Load: Nil

### ii) Recurring expenses

First ₹ 100 crores of the average daily/ weekly net assets: 2.50%

Next ₹ 300 crores of the average daily/ weekly net assets: 2.25%

Next ₹ 300 crores of the average daily/ weekly net assets: 2.00%

Balance: 1.75%

Actual expenses for the previous financial year ended March 31, 2011 - ₹ 372,293.52 (1.62% p.a.)

### Waiver of Load for Direct Applications

Not Applicable

Pursuant to SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009 no entry load shall be charged for all mutual fund schemes. Therefore, the procedure for waiver of load for direct applications is no longer applicable.

### Tax treatment for the Investors (Unit holders)

Investors are advised to refer to the details in the Scheme Information Document and Statement of Additional Information and also independently refer to his/her/their tax advisor.

### Daily Net Asset Value (NAV) Publication

The NAV will be declared on all Business Days and will be published in 2 newspapers. NAV can also be viewed on www.QuantumAMC.com/ www.QuantumMF.com and www.amfiindia.com.

Investors may obtain NAV information on any Working Day by calling the office of the AMC or any of the Investor Service Centers.

### For Investor Grievances please contact

Name and Address of Registrar  
Registrars & Transfer Agents  
Karvy Computershare Pvt Ltd  
Karvy Plaza, H. No. 8-2-596, Avenue 4, Street No. 1  
Banjara Hills, Hyderabad- 500 034  
Phone: (91) (040) 44677123  
Fax: (91) (040) 23420802

Quantum Asset Management Company Private Limited  
Ms. Meera Shetty  
Investor Relations Officer  
505, Regent Chambers, 5th Floor, Nariman Point, Mumbai - 400 021  
Toll Free : 1800 22 3863  
Alternate No.: 022 22829414  
For non MTNL/BSNL landline, mobile users and investors outside India  
E-mail:Customercare@QuantumAMC.com

### Unitholders' Information

#### Account Statement:

- An Account Statement reflecting the number of units allotted shall be dispatched to the Unitholders by ordinary post/courier/electronic mode (if so mandated) as per the time lines given below:
  - For initial/addition subscription as well as redemption/switch of units : within 10 working days from the date of acceptance of valid request;
  - For Systematic Investment Plan (SIP) / Systematic Withdrawal Plan (SWP) / Systematic Transfer Plan (SWP) – within 10 working days from the end of Quarter i.e. March, June, September or December
- Investors who subscribe/redeem units in dematerialized mode, the holding statement as issued by the Depository Participant would be deemed to be adequate compliance with requirements of SEBI regarding dispatch of statements of account.

#### Annual Account Statement:

The Mutual Funds shall provide the Account Statement to the Unitholders who have not transacted during the last six months prior to the date of generation of account statements. The Account Statement shall reflect the latest closing balance and value of the Units prior to the date of generation of the account statement. The account statements in such cases may be generated and issued along with the Portfolio Statement or Annual Report of the Scheme.

Alternatively, soft copy of the account statements shall be mailed to the investors' e-mail address, instead of physical statement, if so mandated.

### Half yearly Disclosures: Portfolio / Financial Results

#### Portfolio Disclosures:

The Fund shall disclose the half-yearly scheme portfolios on its web site at www.QuantumAMC.com/www.QuantumMF.com and on AMFI web site (www.amfiindia.com) in the prescribed format before the expiry of one month from the close of each half-year.

The Fund shall also before the expiry of one month from the close of each half year (i.e. as on 31st March and 30th September) send to the Unitholders a complete statement of Scheme's portfolios or if such statement is not sent to the Unitholders, it will be published by way of an advertisement in one English daily circulating in the whole of India and in a newspaper published in the language of the region where the Head Office of the Mutual Fund is situated.

#### Half Yearly Results:

The Fund shall before the expiry of 1 month from the close of each half year, (i.e. as on 31st March and 30th September), publish its Half Yearly Unaudited Financial Results in one English daily newspaper circulating in the whole of India and in a newspaper published in the language of the region where the Head Office of the Mutual Fund is situated and update the same on the AMC's website at www.QuantumAMC.com/ www.QuantumMF.com and on AMFI's website at www.amfiindia.com, within 1 month from the close of each half year, in the formats as prescribed by SEBI.

#### Annual Report:

Scheme wise Annual Report or an abridged summary thereof shall be mailed to all unitholders within four months from the date of closure of the relevant accounts year (i.e. 31st March) each year.

## QUANTUM EQUITY FUND OF FUNDS

(An Open-ended Equity Fund of Funds Scheme)

### Investment Objective

The investment objective of the scheme is to generate long-term capital appreciation by investing in a portfolio of open-ended diversified equity schemes of mutual funds registered with SEBI. There can be no assurance of positive returns from following the stated investment strategy.

### Asset Allocation Pattern of the scheme

Types of Instruments	Normal allocation (% of Net Assets)	
	Minimum allocation (% of Net Assets)	Maximum allocation (% of Net Assets)
Open-ended diversified equity schemes of mutual funds registered with SEBI.	90%	100%
Money Market Instruments	0%	10%

The scheme will only invest in third party mutual funds. The fund shall invest in a mix of diversified equity schemes, mid cap/ small cap/ large cap oriented schemes.

### Investment Strategy

The investment strategy of the Scheme will be to invest predominantly in open-ended diversified equity schemes of Mutual Funds, which are registered with SEBI after using intensive fundamental analysis both quantitative and qualitative, monitor the portfolio regularly but not so as to engage in excessive churning, and control risk by keeping portfolio adequately diversified.

The Scheme will invest a majority of its money in open-ended diversified equity schemes that have been in existence for at least 3 years at the time of making investments. The Scheme will not invest in any sector/thematic funds. Besides, it will not make any investments in the schemes of Quantum Mutual Fund. Furthermore, the schemes that get short-listed will be tested across the quantitative and qualitative parameters, set as a part of our fund selection process.

### Risk Profile of the Scheme

Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below:

- The scheme proposes to invest predominantly in the diversified equity schemes of Mutual Funds, registered with SEBI. Hence the scheme's performance will depend upon the performance of the underlying schemes. Any change in the investment policies or the fundamental attributes of the underlying schemes may affect the performance of the scheme.
- Investments in underlying equity schemes will have all the risks associated with such schemes including performance of underlying stocks, derivative investments, off shore investments, stock lending, etc.
- The changes in asset allocation may result in higher transaction costs.
- Since the Scheme proposes to invest at least in 5 underlying schemes, the significant underperformance in even one of the underlying schemes may adversely affect the performance of the Scheme.

### Risk Management Strategies

Risk is an important part of the investment functions. Effective Risk Management is critical to Fund Management for achieving financial goals. Investments made by the Scheme shall be made in accordance with Investment Objective of the Scheme and provisions of SEBI (Mutual Funds) Regulations.

The Fund has identified following Risk and designed Risk Management Strategies which is the part of the Investment Process to manage such risks.

Type of Risks	Risk Mitigation / Management Strategies
Scheme Selection Risk – Risk of investing in a poor performing scheme	The Fund has laid down the criteria for quantitative and qualitative ranking for selection of the schemes which pick up schemes with consistent long-term performance with an emphasis on sound research and investment process. The criteria have been followed by meeting the fund managers of the schemes to ascertain deviation from the strategy. The rebalancing of weightage is also made if necessary. The performances of the schemes have been reviewed every six months.
Portfolio concentration Risk	The Fund has laid down the policy in case of portfolio holdings which has criteria for investments into the schemes, limits on the per scheme investments, per mutual fund house and schemes managed by the fund managers. The Fund also calculate the stock and sector concentration based on the weighted stock holdings of the scheme invested and also take corrective action by rebalancing if necessary.

### Plans and Options

**Plans:** Presently the scheme does not offer any Plan.

#### Options:

The Scheme offers two Options:

(i) Growth Option

(ii) Dividend Option

The Dividend option in turn will have two facilities namely;

(a) Dividend Payout Facility

(b) Dividend Re-investment Facility

### Applicable NAV

The cut-off times for determining Applicable NAV's for subscription, redemptions and switch-outs to be made at the Investor Service Centers/ Official Points of Acceptance are as per the details given below:

#### Subscriptions/Purchases including Switch Ins:

- In respect of valid applications received upto 3.00 p.m. on a Business Day with a local cheque or demand draft payable at par at the place where it is received the applicable NAV shall be closing NAV of the day of the receipt of application.
- In respect of valid applications received after 3.00 p.m. on a Business Day with a local cheque or demand draft payable at par at the place where it is received the applicable NAV shall be closing NAV of next Business Day.
- In respect of valid applications received with an outstation cheque or demand draft which is not payable at par at the place where it is received, the applicable NAV shall be the closing NAV of the day on which the cheque or demand draft is credited.

#### Redemptions including Switch Outs:

- In respect of valid applications received upto 3.00 p.m. the applicable NAV shall be closing NAV of the day of the receipt of application.
- In respect of valid applications received after 3.00 p.m. the applicable NAV shall be closing NAV of next Business Day.

Where the application is received via post or courier at any of the official point of acceptance / Investor Services Centers, the date & time of receipt of the post or courier will not be considered for reckoning the application as having been accepted. An application will be considered accepted only when it is time stamped at the official point of acceptance / Investor Services Centers.

### Minimum Application Amount/ Number of Units (for all the options)

Purchase/Switch Ins	Additional Purchase	Repurchase /Redemption/Switch Outs
₹ 500/- and in multiples of ₹ 1/- thereafter.	₹ 500/- and in multiples of ₹ 1/- thereafter / 50 Units	₹ 500/- and multiples of ₹ 1/- thereafter or account balance whichever is less/ 50 units

### A) Systematic Investment Plan (SIP)

The provisions for Minimum Application Amount will not be applicable under SIP investments.

Frequencies Available Under SIP	Daily	Weekly	Fortnightly	Monthly	Quarterly
<b>Minimum Amount</b>	₹ 100/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter
<b>Minimum No. of Installments / Instructions</b>	132	25	13	6	4
<b>Days availability</b>	All business days				
<b>Frequency of dates</b>	5,7,15,21,25 & 28 of the month				

### B) Systematic Transfer Plan (STP)

The provisions of Minimum Redemption Amount in the scheme and Minimum Application Amount for the transferee scheme(s) will not be applicable under STP.

Frequencies Available Under STP	Daily	Weekly	Fortnightly	Monthly	Quarterly
<b>Minimum Amount</b>	₹ 100/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter
<b>Minimum No. of Installments / Instructions</b>	132	25	13	6	4
<b>Days availability</b>	All business days				
<b>Frequency of dates</b>	5,7,15,21,25 & 28 of the month				
<b>Minimum Balance to start STP</b>	₹ 5,000/-				

### C) Systematic Withdrawal Plan (SWP)

Frequencies Available Under SWP	Weekly	Fortnightly	Monthly	Quarterly
<b>Minimum Amount</b>	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter
<b>Minimum No. of Installments / Instructions</b>	25	13	6	4
<b>Days availability</b>	All business days			
<b>Frequency of dates</b>	5,7,15,21,25 & 28 of the month			
<b>Minimum Balance to start SWP</b>	₹ 5,000/-			

### Dispatch of Repurchase (Redemption) Request

Within 10 working days of the receipt of the redemption request at the Official Point of Acceptance of the Quantum Mutual Fund.

### Benchmark Index

The Scheme's performance will be benchmarked against BSE 200 Index.

### Dividend Policy

The Trustee proposes to follow the following dividend distribution policy:

In terms of SEBI Circular No. 1/64057/06 dated April 4, 2006, the Trustees shall fix the quantum of dividend and the record date. The AMC shall, within one calendar day of the decision by the Trustee, issue notice to the public, communicating the decision, including the record date. The record date shall be 5 calendar days after the issue of notice and issued in accordance with the terms of the Circular. Declaration of dividend is subject to the availability of distributable surplus. It must be distinctly understood that the actual declaration of dividends under the Scheme and the frequency thereof will, inter-alia, depend upon the distributable surplus of the Scheme. There is no assurance or guarantee to Unitholders as to the rate of dividend distribution nor will that dividend be regularly paid. The dividend that may be paid out of the net surplus of the Scheme will be paid only to those Unitholders whose names appear in the register of

Unitholders on the notified record date. Unitholders are entitled to receive dividend within 30 days of the date of declaration of the dividend. However, the Mutual Fund will endeavour to make dividend payments sooner to Unitholders. On distribution of dividends, the NAV will stand reduced by the amount of dividend distributed and statutory levy, if any, at the close of business hours on record date.

#### Name of the Fund Manager

Mr. Arvind Chari

#### Name of the Trustee Company

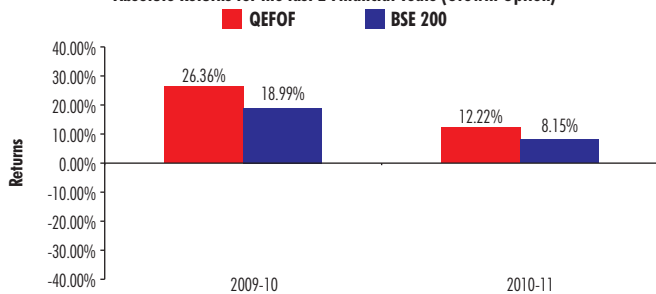
Quantum Trustee Company Private Limited

#### Performance of the Scheme (as on May 31, 2011) :

Compounded Annualized Returns (Growth Option)	Scheme Returns %	Benchmark Returns % #
Returns for the last 1 year	10.02%	6.94%
Returns since inception	19.70%	12.47%

**Past performance may or may not be sustained in the future.** Above returns are Compounded Annualized Returns. Date of Inception — July 20, 2009 # Benchmark Index - BSE 200 Index.

#### Absolute Returns for the last 2 Financial Years (Growth Option)



**Past performance may or may not be sustained in future**

#### Expenses of the Scheme

##### i) Load Structure

Entry Load: Not Applicable

In terms of SEBI circular no. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009 it has been notified that, w.e.f. August 01, 2009 there will be no entry load charged to the schemes of the Mutual Fund and the upfront commission to distributors will be paid by the investor directly to the distributor, based on his assessment of various factors including the service rendered by the distributor.

**Quantum Mutual Fund does not charge Entry Load since inception.**

Exit Load: Repurchase/Redemption/Switch out Load - 1.5% within 1 year from the date of allotment.

The total expenses of the scheme including the management fees shall not exceed 0.75% of the weekly or daily average net assets.

Actual expenses for the previous financial year ended March 31, 2011 - ₹127,179.39/- (0.75% p.a.)

#### Waiver of Load for Direct Applications

Not Applicable

Pursuant to SEBI circular no. SEBI/IMD/CIR No.4/168230/09 dated June 30, 2009 no entry load shall be charged for all mutual fund schemes. Therefore, the procedure for waiver of load for direct applications is no longer applicable.

#### Tax treatment for the Investors (Unitholders)

Investors are advised to refer to the details in the Scheme Information Document & Statement of Additional Information and also independently refer to his/her/their tax advisor.

#### Daily Net Asset Value (NAV) Publication

The NAV will be declared on all Calendar days and will be published in 2 newspapers. NAV can also be viewed on www.QuantumAMC.com/www.QuantumMF.com and www.amfiindia.com.

Investors may obtain NAV information on any Business Day by calling the office of the AMC or any of the Investor Service Centers.

#### For Investor Grievances please contact

Name and Address of Registrar  
Registrars & Transfer Agents  
Karvy Computershare Pvt Ltd  
Karvy Plaza, H. No. 8-2-596, Avenue 4, Street No. 1  
Banjara Hills, Hyderabad- 500 034  
Phone: (91) (040) 44677123  
Fax: (91) (040) 23420802

Quantum Asset Management Company Private Limited  
Ms. Meera Shetty  
Investor Relations Officer  
505, Regent Chambers, 5th Floor, Nariman Point, Mumbai - 400 021  
Toll Free : 1800 22 3863  
Alternate No.: 022 22829414  
For non MTNL/BSNL landline, mobile users and investors outside India  
E-mail: Customercare@QuantumAMC.com

#### Unitholders' Information

##### Account Statement:

An Account Statement reflecting the number of units allotted shall be dispatched to the Unitholders by ordinary post / courier / electronic mode (if so mandated) as per the time lines given below :

- For initial / addition subscription as well as redemption/switch of units : within 10 working days from the date of acceptance of valid request;
- For Systematic Investment Plan (SIP) / Systematic Transfer Plan (STP) / Systematic Withdrawal Plan (SWP) — within 10 working day from the end of Quarter i.e. March, June, September or December

Investors who subscribe/redeem units in dematerialized mode, the holding statement as issued by the Depository Participant would be deemed to be adequate compliance with requirements of SEBI regarding dispatch of statements of account.

##### Annual Account Statement:

- The Mutual Funds shall provide the Account Statement to the Unitholders who have not transacted during the last six months prior to the date of generation of account statements. The Account Statement shall reflect the latest closing balance and value of the Units prior to the date of generation of the account statement. The account statements in such cases may be generated and issued along with the Portfolio Statement or Annual Report of the Scheme.
- Alternatively, soft copy of the account statements shall be mailed to the investors' e-mail address, instead of physical statement, if so mandated.

#### Half yearly Disclosures: Portfolio / Financial Results

##### Portfolio Disclosures:

The Fund shall disclose the half-yearly scheme portfolios on its web site at www.QuantumAMC.com/www.QuantumMF.com and on AMFI web site (www.amfiindia.com) in the prescribed format before the expiry of one month from the close of each half-year.

The Fund shall also before the expiry of one month from the close of each half year (i.e. as on 31st March and 30th September) send to the Unitholders a complete statement of Scheme's portfolios or if such statement is not sent to the Unitholders, it will be published by way of an advertisement in one English daily circulating in the whole of India and in a newspaper published in the language of the region where the Head Office of the Mutual Fund is situated.

##### Half Yearly Results:

The Fund shall before the expiry of 1 month from the close of each half year, (i.e. as on 31st March and 30th September), publish its Half Yearly Unaudited Financial Results in one English daily newspaper circulating in the whole of India and in a newspaper published in the language of the region where the Head Office of the Mutual Fund is situated and update the same on the AMC's website at www.QuantumAMC.com/www.QuantumMF.com and on AMFI's website at www.amfiindia.com, within 1 month from the close of each half year, in the formats as prescribed by SEBI.

##### Annual Report:

Scheme wise Annual Report or an abridged summary thereof shall be mailed to all unitholders within four months from the date of closure of the relevant accounts year (i.e. 31st March) each year.

## QUANTUM GOLD SAVINGS FUND

(An Open Ended Fund of Fund Scheme)

#### Investment Objective

The investment objective of the scheme is to provide capital appreciation by predominantly investing in units of Quantum Gold Fund - Exchange Traded Fund.

The performance of Scheme may differ from that of Quantum Gold Fund and the domestic prices of gold due to expenses and certain other factors. There can be no assurance or guarantee that the investment objective of the Scheme will be achieved.

#### Asset Allocation Pattern of the scheme

Types of Instruments	Normal Allocation (% of Net Assets)	
	Minimum (% of Net Assets)	Maximum (% of Net Assets)
Units of Quantum Gold Fund	95%	100%*
Money Market instruments, Short-term Corporate debt securities, CBLO and units of Debt and Liquid Schemes of Mutual Funds	0%	5%

\* As the scheme invests 95% to 100% of the net assets into the units of Quantum Gold Fund, the scheme will, by and large, be passively managed Scheme.

It may be clearly understood that the percentages above are only indicative and not absolute.

The Scheme would invest in money market instruments, short-term corporate debt securities, CBLO and units of debt and liquid schemes of mutual funds, in order to meet the liquidity requirements of the Scheme.

#### Investment Strategy

The AMC uses 'passive' approach to try and achieve the Scheme's investment objective. The Scheme would predominantly invest in the units of Quantum Gold Fund. The AMC does not make any judgments about the investment merit of a Quantum Gold Fund nor will it attempt to apply any economic, financial or market analysis. Passive approach eliminates active management risks in regards to over / underperformance vis-à-vis the benchmark.

The Scheme would endeavor to stay invested in the underlying practically to the maximum extent possible at all times. However, the Scheme would also maintain some funds for meeting expenses and redemption purposes, in order to meet the liquidity requirements of the Scheme as prescribed in the Asset Allocation.

Quantum Gold Fund which is the underlying investment of this Scheme endeavors to track domestic prices of gold by investments in physical gold. It is also a passively managed scheme. On an average the Quantum Gold Fund has been 99.98% invested in gold since its inception in February 2008. This track record in terms of the investment allocation to physical gold evidences the extent to which the fund attempts to track the underlying.

Tracking error means the variance between returns of the underlying benchmark (gold ETF units in this case) and the NAV of the Scheme for any given period.

Tracking Error means the extent to which the NAV of the Scheme moves in a manner inconsistent with the movements of the benchmark on any given day or over any given period of time due to any cause or reason whatsoever including but not limited to expenditure incurred by the scheme, dividend payouts if any, whole cash not invested at all times as it may keep a portion of funds in cash to meet redemption etc. Tracking error quantifies how closely a managers return pattern follows that of a benchmark index, and is defined as the standard deviation of the funds excess return over the underlying / benchmark index return.

Given the structure of Quantum Gold Fund, the AMC expects the tracking error to be lower. The AMC will endeavor to keep the tracking error as low as possible. Under normal circumstances, such tracking errors (with respect to the underlying i.e. Quantum Gold Fund) are not expected to exceed 2% per annum. However this may vary when the markets are very volatile and also when the inflows / outflows in the fund are too excessive.

#### Rebalancing

The deviation from the underlying ETF as specified above shall mainly be on account of the receipt of cash flows which on an average takes 5 days given the existing operational procedures.

The table shows below the impact that could happen on the Scheme performance as a result of delay in receipt of money over previous six months ending on May 31, 2011.

% change in prices between 'n' days	2 Days	3 Days	4 Days	5 Days	6 Days	7 Days
<b>Average</b>	0.2%	0.3%	0.3%	0.4%	0.5%	0.6%
<b>Max</b>	4.2%	4.3%	4.1%	3.9%	5.5%	6.4%
<b>Min</b>	-2.7%	-3.7%	-3.5%	-3.6%	-3.8%	-3.5%

The Scheme would endeavor to maintain the indicated asset allocation. However there could be a variance in the asset allocation on account of receipt of cash flows as mentioned above, which on an average takes 5 business days to clear given the existing operational procedure.

#### Investment Process

The Scheme will endeavor to track the domestic prices of gold by investing in units of Quantum Gold Fund (ETF).

The investments could be made either directly with the underlying fund or through the secondary market.

The AMC will analyse from time to time different ways of taking exposure to units of Quantum Gold Fund from the perspective of risk and return and decide the same in the best interest of investors.

#### (a) Managing the fund flows:

##### (i) In case of Subscription

The asset allocation pattern mandates 95-100% allocation to the underlying units of Quantum Gold Fund. New subscriptions received would be deployed immediately in the underlying units such that the new funds received get deployed to the minimum level of the indicated asset allocation in not more than 7 days.

The following would be indicative timelines from the day of receipt of application and the deployment of the same in the underlying units of Quantum Gold Fund.

T day — Receipt of Application

T + 1 - T + 5 days - Availability of clear funds for deployment

T + 3 - T + 7 days — Deployment of funds either buying the units of Quantum Gold Fund on the exchange or by transacting directly with the Quantum Gold Fund through in kind creation process.

##### (ii) In case of Redemptions

During Redemptions, there are two ways to generate cash flows to meet redemptions. The Scheme can sell the underlying units on the exchange or can surrender the underlying units directly to Quantum Gold Fund and receive gold which in turn can be sold to generate cash.

##### (a) Selling underlying units on the exchange:

T day — receipt of redemption request

T - T + 2 days — Underlying units would be sold on the exchange

T + 2 - T + 4 days — Receipt of cash on account of sale following the normal T + 2 settlement cycle

T + 3 - T + 7 days — investor receives the redemption proceeds

##### (b) Transacting directly with the Quantum Gold Fund:

T day — receipt of redemption request

T + 1 day — Redemption request would be made to the underlying fund

T + 2 days — Receipt of gold on account of redemption in the underlying gold ETF

T + 2 - T + 5 days — sale of gold and receipt of cash proceeds

T + 3 - T + 7 days - investor receives the redemption proceeds

As mentioned above, there is a time lag involved between the allotment of NAV based on receipt of transaction request and actual deployment of funds on account of the various procedures involved and time for clearance of funds through the banking channel. This would have an impact on the NAV and result in the tracking error on account of changes in price.

When the Scheme receives redemptions and the price of the underlying gold ETF decreases due to decrease in gold prices, the Scheme would have to sell more units of the underlying to raise cash for redemption payments. This would lead to a negative tracking error to the extent of price movement.

There is an equal probability of both the outcomes of having a positive or negative tracking error. It could be likely that such alternate outcomes would even out overtime resulting in a neutral effect over the long term and despite the attempt to closely track the underlying,

a tracking error may result due to conditions that are beyond the control of the fund manager:

(a) The Scheme would buy the underlying units on the exchange platform. The price at which the Scheme buys underlying units and its NAV (based on London AM fix and RBI reference rate) are likely to be different thereby causing deviation and creating tracking error.

(b) The underlying units bought will incur a brokerage cost which would again lead to deviation in the Scheme and the underlying NAVs.

(c) Tracking error would also arise on account of time lag between allotment of NAV to the investor and availability of actual funds for deployment as explained in above para. On account of this time lag, the procurement price of the underlying units would be different than the NAV at which allotment is made leading to tracking errors.

### Risk Profile of the Scheme

Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below:

- The Scheme will invest predominantly in units of Quantum Gold Fund (QGF), a mutual fund scheme in the form of Exchange Traded Fund. The Scheme's performance may depend upon the performance of QGF. Any change in the investment policy or the fundamental attributes of QGF could affect the performance of the Scheme. All risks associated with underlying scheme, including performance of their underlying physical gold, asset class risk, passive investment risk, indirect taxation risk, etc., will therefore be applicable in this Scheme. Investors who intend to invest in the Scheme are required to and deemed to have understood the risk factors of the underlying scheme.
- The Scheme's NAV will react to the Gold price movements and movements in the NAV of Quantum Gold Fund. The factor that may affect the price of Gold, among other things include demand and supply for gold in India and in the global market, Indian and foreign exchange rates, inflation trends, trading in gold as commodity, legal restriction on movement / trade of gold that may be imposed by RBI, Government of India or countries that supply or purchase gold to / from India, trends and restrictions on export / import of gold in and out of India, etc.
- The portfolio disclosure of the scheme will be limited to providing the particulars of the Quantum Gold Fund where the scheme has invested and will not include the investments made by Quantum Gold Fund. However, as the scheme proposes to invest only in underlying scheme, the underlying assets will be and large be physical gold.
- The investors of the scheme will bear dual loads i.e. those of the scheme and those of Quantum Gold Fund. Hence, the investor under the scheme may receive lower pre-tax returns than what they could have received if they had invested directly in underlying scheme in the same proportions.
- Although the units of Quantum Gold Fund are listed on the stock exchange, there can be no assurance that an active secondary market for Quantum Gold Fund will develop or be maintained.
- Trading in units of Quantum Gold Fund on the stock exchanges may be halted because of market conditions or for reasons that in view of stock exchange authorities or SEBI, trading in the units of Quantum Gold Fund is not advisable. In addition, trading of units of Quantum Gold Fund is subject to trading halts caused by extraordinary market volatility and pursuant to circuit filter rules of the stock exchanges and SEBI. There can be no assurance that the requirements of stock exchanges necessary to maintain the listing of the units of Quantum Gold Fund will continue to be met or will remain unchanged.
- The units of Quantum Gold Fund may trade above or below their NAV. The NAV of Quantum Gold Fund will fluctuate with changes in the market value of its holdings. The trading prices of the units of Quantum Gold Fund will fluctuate in accordance with changes in its NAV as well as market supply and demand for the units of Quantum Gold Fund. However, given that units of Quantum Gold Fund can be created and redeemed in Creation Units Size directly with Fund, it is expected that large discounts or premiums to the NAV of Quantum Gold Fund will not sustain due to arbitrage opportunity available.
- Any changes in trading regulations by the stock exchanges or SEBI may affect the ability of market maker to arbitrage resulting into wider premium/discount to NAV of Quantum Gold Fund.

### Risk Management Strategies

Risk is an important part of the investment functions. Effective Risk Management is critical to Fund Management for achieving financial goals. Investments made by the Scheme shall be made in accordance with Investment Objective of the Scheme and provisions of SEBI (Mutual Funds) Regulations, 1996.

The Fund has identified following Risk and designed Risk Management Strategies which is the part of the Investment Process to manage such risks:-

Type of Risks	Risk mitigation / Management Strategies
Risk of deviating away from the investment objective of tracking gold prices through investments in units of Quantum Gold Fund (ETF)	The approach adopted to managing the Scheme would be a passive investment approach. The Scheme endeavors to stay near fully invested at all times. Brokerage paid for buying units on the exchange may also lead to increase in deviation. To mitigate the same, the Scheme will analyze from time to time different ways of taking exposure to units of Quantum Gold Fund from the perspective of risk and return and decide the same in the best interest of investors. For e.g. : Investing directly with the fund by purchasing and delivering physical gold to create / purchase new units whenever possible will help to avoid payment of any brokerage charges. However, the bid ask spreads in the underlying security and time lag for availability of fund for deployment may lead to small deviations.
Price risk	<b>Buying / selling on the exchange:</b> On account of the usual bid-ask spread, the Scheme may pay / receive premium / discount while purchasing / selling the underlying units on the exchange. The fair value of the units of the Quantum Gold ETF would be calculated on a real time basis and orders would be placed near the real time fair value to avoid overpaying while purchases or receiving less while selling other than a reasonable bid ask spread. <b>Buying / selling directly through the Quantum Gold Fund:</b> There is price risk involved when buying physical gold for purchase of units on account of the possibility of the difference between the purchase price of gold and the gold price computed by the underlying fund for valuation purposes. The fund may choose to buy gold by placing the order to buy at London AM Fixing price which is used for valuation for the underlying fund and thereby avoid price risk to a greater extent. In case of redeeming units directly with the fund, the fund would deliver gold on a 1+1 basis which causes time lag and also the same would have to be sold on the open market which can be at a premium / discount. However, the Scheme would usually sell the units on the exchange.
Liquidity risk	It is found that sufficient liquidity is provided by the authorized participants of Quantum Gold Fund. In case of liquidity issues, the fund can opt for the alternative way of buying / selling units in exchange of the underlying. The underlying can then be bought/ sold in order to deploy funds or to raise cash for redemptions.

### Plans and Options

The Scheme offers only one option – Growth Option.

### Applicable NAV

The cut-off times for determining Applicable NAV's for subscription, redemptions and switch ins/outs to be made at the Investor Service Centers/ Official Points of Acceptance are as per the details given below:

#### Subscriptions/Purchases including Switch Ins:

- In respect of valid applications received upto 3.00 p.m. on a Business Day with a local cheque or demand draft payable at par at the place where it is received the applicable NAV shall be closing NAV of the day of the receipt of application.
- In respect of valid applications received after 3.00 p.m. on a Business Day with a local cheque or demand draft payable at par at the place where it is received the applicable NAV shall be closing NAV of next Business Day.
- In respect of valid applications received with an outstation cheque or demand draft which is not payable at par at the place where it is received, the applicable NAV shall be the closing NAV of the day on which the cheque or demand draft is credited.

#### Redemptions including Switch Outs:

- In respect of valid applications received upto 3.00 p.m. the applicable NAV shall be closing NAV of the day of the receipt of application.
- In respect of valid applications received after 3.00 p.m. the applicable NAV shall be closing NAV of next Business Day.

Where the application is received via post or courier at any of the Official point of Acceptance / Investor Services Centers, the date & time of receipt of the post or courier will not be considered for reckoning the application as having been accepted. An application will be considered accepted only when it is time stamped at the official point of acceptance / Investor Services Centers.

### Minimum Application Amount/ Number of Units

Purchase / Switch Ins	Additional Purchase	Repurchase / Redemption
₹ 500/- and in multiples of ₹ 1/- thereafter.	₹ 500/- and in multiples of ₹ 1/- thereafter / 50 units.	₹ 500/- and in multiples of ₹ 1/- thereafter or account balance whichever is less / 50 units.

### A) Systematic Investment Plan (SIP):

The provision for Minimum Application Amount will not be applicable under SIP Investments.

Frequencies Available Under SIP	Daily	Weekly	Fortnightly	Monthly	Quarterly
<b>Minimum Amount</b>	₹ 100/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter
<b>Minimum No. of Installments / Instructions</b>	132	25	13	6	4
<b>Days Availability</b>	All business days				
<b>Frequency of dates</b>	5,7,15,21,25 & 28 of the month				

### B) Systematic Withdrawal Plan:

Frequencies Available Under SWP	Weekly	Fortnightly	Monthly	Quarterly
<b>Minimum Amount</b>	₹ 500/- and in multiples of ₹ 1/- thereafter			
<b>Minimum No. of Installments / Instructions</b>	25	13	6	4
<b>Days Availability</b>	All business days			
<b>Frequency of dates</b>	5,7,15,21,25 & 28 of the month			
<b>Minimum Balance to start SWP</b>	₹ 5,000/-			

### C) Systematic Transfer Plan

The provisions of Minimum Redemption Amount in the Scheme and Minimum Application Amount for the Transferee scheme(s) will not be applicable under STP.

Frequencies Available Under STP	Daily	Weekly	Fortnightly	Monthly	Quarterly
<b>Minimum Amount</b>	₹ 100/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter
<b>Minimum No. of Installments / Instructions</b>	132	25	13	6	4
<b>Days Availability</b>	All business days				
<b>Frequency of dates</b>	5,7,15,21,25 & 28 of the month				
<b>Minimum Balance to start STP</b>	₹ 5,000/-				

### Despatch of Repurchase (Redemption) Request

Within 10 working days of the receipt of the redemption request at the Official Point of Acceptance/ Investor Service Centers of the Quantum Mutual Fund.

### Benchmark Index

Domestic Price of Physical Gold.

### Dividend Policy

The Scheme does not offer any dividend option / plan.

### Name of the Fund Manager

Mr. Chirag Mehta

### Name of the Trustee Company

Quantum Trustee Company Private Limited

### Performance of the scheme (as on May 31, 2011) :

#### A) Absolute Returns as on May 31, 2011 (Growth Option)

Compounded Annualized Returns (Growth Option)	Scheme Returns %	Benchmark Returns % #
Returns since inception	2.50%	3.30%
<b>Past performance may or may not be sustained in the future.</b> Above returns are Compounded Annualized Returns. Date of Inception – May 19, 2011 # Benchmark Index - Domestic Price of Physical Gold		

#### B) Absolute Returns for the last 5 financial years (Growth Option)

The Scheme has not completed a financial year.

### Expenses of the Scheme

#### (i) Load Structure

Entry load : Not Applicable\*

\* In terms of SEBI circular no. SEBI/IMD/CIR No. 4/1 68230/09 dated June 30, 2009 it has been notified that, w.e.f. August 01, 2009 there will be no entry load charged to the schemes of the Mutual Fund and the upfront commission to distributors will be paid by the investor directly to the distributor, based on his assessment of various factors including the service rendered by the distributor.

Exit load : 1.5 % if redeemed or switch out on or before 1 year from the date of allotment of units.

#### (ii) Recurring expenses

##### Annual Recurring Expenses :

The investors may note that no investment management fee will be charged to the scheme. The total expenses charged to the Scheme shall not be more than 1.25% of the daily or weekly average net asset of the Scheme and underlying Scheme i.e. Quantum Gold Fund. For example if expenses charged to Quantum Gold Fund is 1.00% of the daily or weekly average net assets, then the expenses charged to the Scheme will be 0.25% of the daily or weekly average net assets OR if expenses charged to Quantum Gold Fund is 0.75% of the daily or weekly average net assets, then the expenses charged to the Scheme will be 0.50% of the daily or weekly average net assets.

### Waiver of Load for Direct Applications

Not Applicable

Pursuant to SEBI circular no. SEBI/IMD/CIR No. 4/1 68230/09 dated June 30, 2009 no entry load shall be charged for all mutual fund schemes. Therefore, the procedure for waiver of load for direct applications is no longer applicable.

### Tax treatment for the Investors (Unitholders)

Investors are advised to refer to the details in the Scheme Information Document & Statement of Additional Information and also independently refer to his/her/their tax advisor.

### Daily Net Asset Value (NAV) Publication

The NAV will be declared on all Calendar days and will be published in 2 newspapers. NAV can also be viewed on [www.QuantumAMC.com](http://www.QuantumAMC.com) / [www.QuantumMF.com](http://www.QuantumMF.com) and [www.amfiindia.com](http://www.amfiindia.com).

Investors may obtain NAV information on any Working Day by calling the office of the AMC or any of the Investor Service Centers.

### For Investor Grievances please contact

Name and Address of Registrars & Transfer Agent	Quantum Asset Management Company Private Limited
Karvy Computershare Pvt Ltd Unit: Quantum Mutual Fund Karvy Plaza, H. No. 8-2-596, Avenue 4, Street No. 1, Banjara Hills, Hyderabad 500 034 Phone: (91) (40) 44677123 • Fax: (91) (22) 23420802	Ms. Meera Shetty Investor Relations Officer 505, Regent Chambers, 5th Floor, Nariman Point, Mumbai - 400 021 Toll Free: 1800 22 3863 • Alternate No: 022 - 22829414 For non MTNL/BSNL landline, mobile users and investors outside India Email: <a href="mailto:customercare@QuantumAMC.com">customercare@QuantumAMC.com</a>

### Unitholders' Information

#### Account Statement:

- An Account Statement reflecting the number of units allotted shall be dispatched to the Unitholders by ordinary post / courier / electronic mode (if so mandated) as per the time lines given below:
  - For Initial / Addition subscription as well as Redemption/Switch of units: within 10 working days from the date of acceptance of valid request;
  - For Systematic Investment Plan (SIP) / Systematic Withdrawal Plan (SWP) / Systematic Transfer Plan (STP) - within 10 working days from the end of Quarter i.e. March, June, September or December

- Investors who subscribe/redeem units in dematerialized mode, the holding statement as issued by the Depository Participant would be deemed to be adequate compliance with requirements of SEBI regarding dispatch of statements of account.

#### Annual Account Statement:

- The Mutual Funds shall provide the Account Statement to the Unitholders who have not transacted during the last six months prior to the date of generation of Account Statements. The Account Statement shall reflect the latest closing balance and value of the Units prior to the date of generation of the Account Statement, The Account Statements in such cases may be generated and issued along with the Portfolio Statement or Annual Report of the Scheme.
- Alternately, soft copy of the Account Statements shall be mailed to the investors' e-mail address, instead of physical statement, if so mandated.

#### Half yearly Disclosures: Portfolio / Financial Results

##### Portfolio Disclosures:

The Fund shall disclose the half-yearly scheme portfolios on its web site at [www.QuantumAMC.com](http://www.QuantumAMC.com)/[www.QuantumMF.com](http://www.QuantumMF.com) and on AMFI web site ([www.amfiindia.com](http://www.amfiindia.com)) in the prescribed format before the expiry of one month from the close of each half-year.

The Fund shall also before the expiry of one month from the close of each half year (i.e. as on 31st March and 30th September) send to the Unitholders a complete statement of Scheme's portfolios or if such statement is not sent to the Unitholders, it will be published by way of an advertisement in one English daily circulating in the whole of India and in a newspaper published in the language of the region where the Head Office of the Mutual Fund is situated.

##### Half Yearly Results:

The Fund shall before the expiry of 1 month from the close of each half year, (i.e. as on 31st March and 30th September), publish its Half Yearly Unaudited Financial Results in one English daily newspaper circulating in the whole of India and in a newspaper published in the language of the region where the Head Office of the Mutual Fund is situated and update the same on the AMC's website at [www.QuantumAMC.com](http://www.QuantumAMC.com)/[www.QuantumMF.com](http://www.QuantumMF.com) and on AMFI's website at [www.amfiindia.com](http://www.amfiindia.com), within 1 month from the close of each half year, in the formats as prescribed by SEBI.

##### Annual Report:

Scheme wise Annual Report or an abridged summary thereof shall be mailed to all unitholders within four months from the date of closure of the relevant accounts year (i.e. 31st March) each year.

## COMPARISON CHART

Name of the Scheme	Asset Allocation Pattern				Primary Investment Pattern	Differentiation	AUM ₹ in Crore (As on May 31, 2011)	No. of Folios (As on May 31, 2011)
	Type of Instruments	Normal allocation (% of Net Assets)	Minimum allocation (% of Net Assets)	Maximum allocation (% of Net Assets)				
Quantum Long Term Equity Fund					To invest in shares of companies included in BSE-200 Index.	Open ended Equity Scheme	77.87	6,377
	Listed Equity & Equity Related Securities of Companies	95% to 99%	65%	99%				
	Unlisted Equity & Equity Related Securities of Companies	0% to 3%	0%	5%				
	Money Market Instruments	1% to 5%	1%	35%				
	Liquid Schemes of Mutual Funds	0% to 5%	0%	5%				
Quantum Liquid Fund	<b>Type of Instruments</b>	<b>Normal Allocation (% of Net Assets)</b>			To invest in Debt & Money Market Instruments.	Open ended Liquid Scheme	26.09	736
	Money Market Instruments and other short term debt instruments having maximum re-pricing tenor of not more than 91 days in maturity	100%						
Quantum Gold Fund ETF	<b>Type of instruments</b>	<b>Normal Allocation (% of Net Assets)</b>			To invest in Physical Gold.	Open ended Exchange Traded Fund-Gold	33.28	7,093
		<b>Minimum Allocation (% of Net Assets)</b>	<b>Maximum Allocation (% of Net Assets)</b>					
	Physical Gold	90%	100%					
	Money Market instruments, Short-term Corporate debt securities, CBLO and units of Debt and Liquid Schemes of Mutual Funds	0%	10%					
Quantum Index Fund	<b>Type of instruments</b>	<b>Normal Allocation (% of Net Assets)</b>			To invest in stocks of companies comprising S&P CNX Nifty Index.	Open ended Exchange Traded Fund	1.52	398
		<b>Minimum Allocation (% of Net Assets)</b>	<b>Maximum Allocation (% of Net Assets)</b>					
	Securities covered by the S & P CNX Nifty Index	90%	100%					
	Money Market Instruments, other short term debt instruments as permitted under SEBI (Mutual Funds) Regulations, 1996 and Liquid Schemes of Mutual Funds	0%	10%					
Quantum Tax Saving Fund	<b>Type of instruments</b>	<b>Normal Allocation (% of Net Assets)</b>			To invest in shares of companies included in BSE-200 Index.	Open ended Equity Linked Savings Scheme	3.92	1,173
		<b>Minimum Allocation (% of Net Assets)</b>	<b>Maximum Allocation (% of Net Assets)</b>					
	Equity and Equity-related Securities	80%	100%					
	Debt and Money Market Instruments	0%	20%					
Quantum Equity Fund of Funds	<b>Type of instruments</b>	<b>Normal Allocation (% of Net Assets)</b>			To invest in a portfolio of open-ended diversified equity schemes of mutual funds registered with SEBI.	Open ended Equity Fund of Funds Scheme	2.56	399
		<b>Minimum Allocation (% of Net Assets)</b>	<b>Maximum Allocation (% of Net Assets)</b>					
	Open-ended diversified equity schemes of mutual funds registered with SEBI.	90%	100%					
	Money Market Instruments	0%	10%					
Quantum Gold Savings Fund	<b>Type of instruments</b>	<b>Normal Allocation (% of Net Assets)</b>			To invest in the units of Quantum Gold Fund ETF	An open Ended Fund of Funds Scheme	0.87	457
		<b>Minimum Allocation (% of Net Assets)</b>	<b>Maximum Allocation (% of Net Assets)</b>					
	Units of Quantum Gold Fund ETF	95%	100%					
	Money Market instruments, Short-term Corporate debt securities, CBLO and units of Debt and Liquid Schemes of Mutual Funds	0%	5%					

**SCHEME FEATURES as on 31st May, 2011**

	<b>QUANTUM LONG TERM EQUITY FUND</b>	<b>QUANTUM TAX SAVING FUND</b>	<b>QUANTUM LIQUID FUND</b>
<b>NATURE OF SCHEME</b>	Open-ended Equity Scheme	Open-ended Equity Linked Savings Scheme with a lock-in period of 3 years	Open-ended Liquid Scheme
<b>INVESTMENT OBJECTIVE</b>	The investment objective of the Scheme is to achieve long-term capital appreciation by investing primarily in shares of companies that will typically be included in the BSE 200 Index and are in a position to benefit from the anticipated growth and development of the Indian economy and its markets.	The investment objective of the Scheme is to achieve long-term capital appreciation by investing primarily in shares of companies that will typically be included in the BSE 200 Index and are in a position to benefit from the anticipated growth and development of the Indian economy and its markets.	The primary investment objective of the Scheme is to provide optimal returns with low to moderate levels of risk and high liquidity through judicious investments in money market and debt instruments.
<b>FUND MANAGER</b>	Mr. Atul Kumar (Since November 15, 2006)	Mr. Atul Kumar (Since December 10, 2008)	Mr. Arvind Chari (Since April 24, 2007)
<b>FUND MANAGER TOTAL EXPERIENCE</b>	9 yrs.	9 yrs.	8 yrs
<b>INCEPTION DATE (DATE OF ALLOTMENT)</b>	March 13, 2006	December 23, 2008	April 7, 2006
<b>ENTRY LOAD</b>	NA* *In terms of SEBI circular no. SEBI/IMD/CIR No. 4/ 168230/09 dated June 30, 2009 has notified that, w.e.f. August 01, 2009 there will be no entry load charged to the schemes of the Mutual Fund and the upfront commission to distributors will be paid by the investor directly to the distributor, based on his assessment of various factors including the service rendered by the distributor		
<b>EXIT LOAD</b>	Repurchase/ Redemption/Switch Out - within 6 months of allotment 4.00%, after 6 months but within 12 months of allotment 3.00%, after 12 months but within 18 months of allotment 2.00%, after 18 months but within 24 months of allotment 1.00%, after 24 months of allotment Nil	NIL	NIL
<b>EXPENSES RATIO</b>	1.50%	1.50%	0.45%
<b>INVESTMENT OPTIONS</b>	Growth & Dividend (Dividend Option will in turn have two Facilities, Dividend Payout Facility and Dividend Re-investment Facility)	Growth & Dividend (Dividend Option will in turn have two Facilities, Dividend Payout Facility and Dividend Re-investment Facility)	Growth, Daily Dividend Reinvestment & Monthly Dividend Payout.
<b>MINIMUM APPLICATION AMOUNT (Under each Option)</b>	₹ 500/- and in multiples of ₹ 1/-thereafter, Additional Investment would be ₹ 500/- and in multiples of ₹ 1/- thereafter/ 50 units	₹ 500 and in multiples of ₹ 500 thereafter, Additional Investment in all schemes would be ₹ 500 and in multiples of 500 thereafter	Growth option ₹ 5,000/-and in multiples of ₹ 1/- thereafter, Additional Investment - ₹ 500/- and in multiples of ₹ 1/- thereafter/50 units. Monthly Dividend Payout option ₹10,000/-and in multiples of ₹ 1/- thereafter, Additional Investment - ₹ 500/- and in multiples of ₹ 1/- thereafter/ 50 units. Daily Dividend Re-investment option ₹ 1,00,000/- and in multiples of ₹ 1/- thereafter, Additional Investment - ₹ 500/- and in multiples of ₹1/- thereafter/ 50 units
<b>LOCK-IN PERIOD</b>	NIL	3 years from the date of allotment of the respective Units	NIL
<b>NET ASSET VALUE (NAV)</b>	Every Business Day	Every Business Day	Every Business Day
<b>BENCHMARK INDEX</b>	BSE 30 Total Return Index	BSE 30 Total Return Index	Crisil Liquid Fund Index

**SCHEME FEATURES as on 31st May, 2011**

	<b>QUANTUM EQUITY FUND OF FUNDS</b>	<b>QUANTUM GOLD SAVINGS FUND</b>
<b>NATURE OF SCHEME</b>	Open-ended Equity Fund of Funds Scheme	Open-ended Fund of Funds Scheme
<b>INVESTMENT OBJECTIVE</b>	The investment objective of the scheme is to generate long-term capital appreciation by investing in a portfolio of open-ended diversified equity schemes of mutual funds registered with SEBI. There can be no assurance of positive returns from following the stated investment strategy.	The investment objective of the Scheme is to provide capital appreciation by predominantly investing in units of Quantum Gold Fund - Exchange Traded Fund (QGF). The performance of the Scheme may differ from that of Quantum Gold Fund and the domestic prices of gold due to expenses and certain other factors. There can be no assurance or guarantee that the investment objective of the Scheme will be achieved.
<b>FUND MANAGER</b>	Mr. Arvind Chari (Since June 26, 2009)	Mr. Chirag Mehta (Since May 19, 2011)
<b>FUND MANAGER TOTAL EXPERIENCE</b>	8 yrs	6 yrs
<b>INCEPTION DATE (DATE OF ALLOTMENT)</b>	July 20, 2009	May 19, 2011
<b>ENTRY LOAD</b>	NA* *In terms of SEBI circular no. SEBI/IMD/CIR No. 4/ 168230/09 dated June 30, 2009 has notified that, w.e.f. August 01, 2009 there will be no entry load charged to the schemes of the Mutual Fund and the upfront commission to distributors will be paid by the investor directly to the distributor, based on his assessment of various factors including the service rendered by the distributor	
<b>EXIT LOAD</b>	Repurchase/ Redemption/ Switch Out Load – 1.5 % within 1 year from the date of allotment	1.5 % if redeemed or switch out on or before 1 year from the date of allotment of units.
<b>EXPENSES RATIO</b>	0.75%	0.25%
<b>INVESTMENT OPTIONS</b>	Growth & Dividend (Dividend Option will in turn have two Facilities, Dividend Payout Facility and Dividend Re-investment Facility)	Growth
<b>MINIMUM APPLICATION AMOUNT (Under each Option)</b>	₹ 500/- and in multiples of ₹ 1/-thereafter, Additional Investment would be ₹ 500/- and in multiples of ₹ 1/- thereafter/ 50 units	₹ 500/- and in multiples of ₹ 1/-thereafter.
<b>LOCK-IN PERIOD</b>	NIL	NIL
<b>NET ASSET VALUE (NAV)</b>	Every Business Day	Every Business Day
<b>BENCHMARK INDEX</b>	BSE 200 Index	Domestic Price of Gold

# INSTRUCTIONS FOR FILLING UP THE APPLICATION FORM

## 1. GENERAL INSTRUCTIONS

- Please read the Key Information Memorandum, Scheme Information Document (SID) of the respective scheme(s) and Statement of Additional Information (SAI) containing the terms of offer carefully before investing.
  - Please Tick (✓) in the appropriate box (□), where boxes have been provided.
  - Application Form should be filled legibly in ENGLISH in BLOCK Letters using Black or Dark Blue ink. Incomplete application forms are liable to be rejected. Please refer to the checklist at the end of the application form to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and/or rejection of your application.
  - Please strike out any section that is not applicable. Correction/Cancellation on any of the information should be countersigned by the investor.
  - All applicants are deemed to have accepted the terms subject to which the offer is being made and bind themselves to the terms upon signing the Application Form and tendering the payment.
  - In case of applications under Power of Attorney or by a Limited Company or by a Corporate Body or Eligible Institution or a Registered Society or a Trust or a Fund the original Power of Attorney or the certified copy thereof alongwith the relevant resolution or authority to make the application, as the case may be including authorisation granted in favour of the officials signing the application and their specimen signature etc., or duly certified copy thereof alongwith a certified copy of the Memorandum and Articles of Association and/or bye-laws and/or trust deed and/or partnership deed and certificate of registration must be lodged at the office of the Registrar, quoting the serial number of the application simultaneously with the submission of the Application Form, failing which the application is liable to be rejected.
  - All communications and payments will be made to the sole/first applicant or to the Karta in case of HUF.
  - While processing the redemption/switch out request in case Quantum AMC/Registrar come across a signature mismatch, then Quantum AMC/Registrar reserves the right to process the redemption/switch only on the basis of supporting documents confirming the identity of the investors. List of such documents would be notified by Quantum AMC from time to time.
  - Investors who wish to make Systematic Investment Plan (SIP) will need to complete and submit the Application Form along with the SIP Application Form.
  - Quantum Mutual Fund does not levy any transaction charges to pay to the distributors and will invest the whole subscription amount received and units will be allotted accordingly.
  - Quantum Mutual Fund investors will be provided with the Yes Bank Drop Box Facility to facilitate submission of the subscription application(s) without visiting the Official Point of Acceptance. Quantum Mutual Fund Drop Boxes will be available at various locations such as Housing Societies/ Railway Stations/ ATMs of the Bank etc. as disclosed on the homepage of our website www.QuantumAMC.com/ www.QuantumMF.com. Quantum Mutual Fund shall not be liable for any claims raised by the investors with regard to timing of the clearing of Cheque, incomplete documentation received, any delay arising in processing the transaction request or non-receipt of the cheque/ application form by the AMC/Yes Bank.
- The applicability of NAV for non-liquid schemes will be based on the receipt of subscription applications at the Karvy collection centres which is upto 1 + 2 business days and for liquid schemes will be based on the receipt of subscription applications at the Karvy collection Centres upto 1 + 2 business days as well as the availability of funds for utilization. Please read the terms and conditions with regard to the Drop Box Facility updated on our website.

## 2. EXISTING UNITHOLDER INFORMATION

An existing investor should mention the correct Folio Number & go directly to Section (Scheme Details). Investors should note that there will be no change to the existing Investor Profile Details mentioned in the Existing Folio.

### 3. A PERMANENT ACCOUNT NUMBER (PAN)

Permanent Account Number (PAN) issued by the Income Tax Authorities has been made mandatory for all the applications received in the Scheme, irrespective of the amount of transaction (except MICRO SIP Investments). Accordingly it is mandatory for investor to provide their PAN along with self-attested copy of PAN Card while making an application for Purchase of Units. In case of joint holding, PAN details of all holders should be submitted. In case the application is on behalf of minor /POA holder, PAN details of the Guardian/ POA holder must be submitted. Investors residing in the state of Sikkim are exempt from the mandatory requirement of PAN proof submission; however sufficient documentary evidence shall have to be submitted for verifying that they are residents of the State of Sikkim. Applications without the aforesaid details are liable to be rejected without any reference to the investors.

### B KNOW YOUR CUSTOMER (KYC)

According to SEBI Guidelines under "The Prevention of Money Laundering Act, 2002, Mutual Funds are required to follow enhanced Know Your Customer (KYC) norms. Accordingly, it will be mandatory for all categories of investors to be KYC Compliant, irrespective of the amount of investment. Categories include:

- Individual investors.
- Non-individual investors such as Companies, Body Corporates, Association of Persons, Banks, Financial Institutions, Funds, Trusts, Societies, Hindu Undivided Family (HUF), Partnership Firms, Foreign Institutional Investors, Limited Liability Partnerships etc.
- Non-Resident Investors (NRIs), including Persons of Indian Origin (PIOs).

The above category of investors for the purpose of KYC compliance shall include their constituted Power of Attorney (POA) holder, in case of investment through a POA and each of the applicants, in case of application in joint names. Please refer to our website www.QuantumAMC.com and AMFI website www.amfiindia.com for the KYC Compliance application form and POS's of the Central Agency CDSE Ventures Limited (C.V.L.) where the completed forms and the relevant documents are to be submitted. Applications for subscriptions without a valid KYC Compliance for the relevant category of investors may be rejected.

Provided further, where it is not possible to verify the KYC Compliance status of the investor at the time of allotment of units, Quantum AMC shall verify the KYC Compliance status of the investor within a reasonable time after the allotment of units. In case during this time purchase the investor's KYC verification/ Compliance is under process, subsequent purchase shall not be permitted till the investor is KYC Compliant.

In the event of non-compliance of KYC requirements, Quantum AMC reserves the right to freeze the folio of the investor(s) for any kind of transactions or affect mandatory redemption of unit holdings of the investors at the Applicable NAV, subject to payment of exit load, if any. Investors, who have obtained MIN allotment letter by submitting the PAN copy, are deemed to be KYC compliant. Investors should note that on completion of KYC compliance all details of the investor in the Mutual Fund records will be replaced by the details as given in KYC Application Form by the investor to the C.V.L. Any change in this details like change of Name / Address / Status / Signature, etc. should be given by investor directly to C.V.L (POS) in the prescribed Change request form quoting the KYC acknowledgement number / PAN number. Quantum AMC reserves the right to call for any additional information from the investors / applicant / reject applications / subsequent application in order to fulfill the requirements of PMLA norms prescribed by SEBI/PMLA Regulations from time to time.

Quantum AMC further reserves the right to source data / documents / information / specimen signature from third party/ C.V.L and that Quantum AMC has the right to use the same / specimen signature for validation to process any future transactions that are submitted by the investor.

## 4. APPLICANT INFORMATION

- Name should be given in full without any abbreviations. Preferably write exactly as it appears in your Bank Account or as it appears in the incorporation document or demat account as the case may be.
- Name, Date of birth of the Minor, Name of Parent/Guardian and relationship with minor is mandatory for investment on behalf of a Minor applicant, proof of date of birth and relationship proof is mandatory.
- Name of the Contact Person, email and Telephone no. should be mentioned in case of investments by Company, Body Corporate, Trust, Society, FI and other non-individual applicants.
- The signature should be in English or in any of the Indian languages. Thumb Impressions must be attested by a magistrate or a notary public or a special executive magistrate under his/her official seal. Application by minor should be signed by the guardian. In case of H. U. F., the Karta should sign on behalf of the H. U. F.
- The designated Investor Service Center/ Official Point of Acceptance will affix time stamp/manual stamp and return the acknowledgement slip from the application form, to acknowledge receipt of the application. No separate receipt will be issued for the application form.
- Please fill in all the fields to prevent rejection of your Application Form. Please refer to the checklist provided at the end of the Application Form to ensure that the necessary details and attachments are made available. The application complete in all respects along with the cheque/ fund transfer instructions must be submitted to the nearest designated Investor Service Center, Official Points of Acceptance Applications which are incomplete, invalid in any respect or not accompanied by cheque or fund transfer instructions for the amount payable are liable to be rejected.
- Investors must write the Application Form number / Folio number on the reverse of the cheques accompanying the Application Form.
- Name and address must be given in full (P.O. Box Address alone is not sufficient).  
In case of NRIs/FI's 'Overseas Address' should also be provided, failing which application may be rejected. In addition, Indian address should be stated for correspondence.
- Some additional details are required for validating your identity for certain transactions / Communications. Hence please fill annual income, mobile number and email id in case of all applicants.
- Please provide email ID & Mobile Number, this will help us send investment / product related communication and resolve any queries more promptly. This is compulsory for all electronic/telecommunication facilities available now and to be introduced in future.
- In the event the application has more than one investor and the mode of holding is not specified in the application form, the default option for holding would be considered to be "anyone or survivor". However, in all such cases, communications, proceeds of all dividend/redemption will be paid to the first named holder.
- The minor shall be the first and the sole holder in an account. There shall not be any joint accounts with minor as the first or joint holder.
- Date of birth of the minor along with photocopy of supporting documents as enumerated below shall be mandatory while opening the account on behalf of minor:
  - Birth certificate of the minor, or
  - School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., or
  - Passport of the minor, or
  - Any other suitable proof evidencing the date of birth of the minor
- Guardian in the folio on behalf of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian.

## 5. POWER OF ATTORNEY

Investment through constituted Attorney should necessarily be signed by the constituted Power of Attorney holder.

## 6. E-MAIL/TELEPHONIC COMMUNICATION

You can now generate PIN online through our website to view your folio details and also to transact online. PIN is not required to purchase online and also not required for online SIP. The PIN can be generated online through our website. This will help you in keeping track of your fund via the internet & the phone (whenever introduced) and allow you to purchase, switch and redeem your

units at the touch of a button.

Please refer to Electronic Communication /Transactions Terms and Conditions for details.

## 7. ELECTRONIC/TELECOMMUNICATION FACILITY

Please indicate the documents which you do NOT wish to receive in physical format, not ticking on the box would mean that a physical copy along with an email of the said document(s) will be sent to you. Newsletters, addenda and other general information will be sent to you via email only. Physical copies of the same will not be sent.  
As per SEBI guidelines, Annual Report will be forwarded to the registered email id i.e. registered with the AMC. In case unitholder desires to receive physical copy of the same you need to inform us accordingly.

## 8. BANK ACCOUNT DETAILS

SEBI Regulations have made it mandatory for investors to mention the Bank Name & address of branch and Bank Account Number in their investment application form in order to protect the interest of investors from fraudulent encashment of cheques. For registering multiple bank account please fill separate Form for Registering/ Adding/cancellation of Multiple Bank Accounts. Individuals/ HUF can register upto 5 bank accounts and Non Individuals upto 10 bank accounts.

Please attach a cancelled original cheque leaf / copy of the cheque leaf self attested / certified by the Bank where the account number and name(s) of all bank account holders are printed on the face of the cheque. In case the names are not so mentioned, the investor can submit a letter from the Bank or copy of the bank account statement / pass book self attested / certified by the Bank which contain the details of the account such as name and address of the investor, bank account number, bank branch and address, MICR and IFSC Code of the Branch.

**PAYMENT OF REDEMPTION /DIVIDEND:** Investors are requested to provide the following details along with the mandatory requirement of bank account details (bank, branch address, account type and account no.) in the application form for electronic fund transfer (EFT) of dividend / redemption amount to the unit holders bank account. Quantum AMC will automatically extend this facility to all unit holders in case the bank account as communicated by the unit holder is with any of the bank providing EFT facility.

The 11 digit IFSC (Indian Financial System Code), The 9-digit MICR (Magnetic Ink Character Recognition) number appearing next to the cheque number in the cheque leaf is to be mandatorily given.

Based on the above information AMC will enable secure transfer of your redemption and dividend payouts via the various electronic mode of transfers (RTGS/NEFT / Direct Credit mode that are available in the banking system).

This facility of EFT is safe and fast and eliminates the potential risk of loss of instruments in transit through physical mode. The Mutual Fund, however, reserves the right to issue a cheque / demand draft to unit holders residing at locations where this facility is not available. If the remittance is delayed or not affected for reasons of incomplete or incorrect information, Quantum AMC cannot be held responsible. For validation of IFSC/MICR code, investor shall attach the cancelled cheque / copy of cheque. If these documents are not provided the fund will not be responsible for consequent delay in receipt of payment. Fund is also not responsible for bankers delay.

**ELECTRONIC CLEARING SERVICE (ECS) - ECS facility is available only in respect of dividend payments and not in the case of Redemption of Units.** Based on the bank particulars, investors will receive a direct credit of the amount due to them in their notified account with intimation to the unit holder.

## 9. INVESTMENT DETAILS

Investors should indicate the Option for which the application is made. In case Investors wish to opt for both the Options, separate Application form will have to be filled. In case applications are received where option/sub-option for investment is not selected the default option/Sub option will be applicable.

Quantum Long Term Equity Fund/ Quantum Tax Saving Fund/ Quantum Equity Fund of Funds	<ul style="list-style-type: none"> <li>Growth Option in case Growth Facility or Dividend Facility is not indicated.</li> <li>Dividend Reinvestment Facility in case Dividend Reinvestment Facility or Dividend Payout Facility is not indicated.</li> </ul>
Quantum Liquid Fund	Growth Option in case Monthly Dividend Payout Facility or Daily Dividend Re-investment Facility is not indicated.
Quantum Gold Savings Fund	Growth Option

Dividend Transfer facility is available in Quantum Liquid Fund-Monthly Dividend option, where investor can transfer their dividend amount as on when declared by the fund to any other scheme (Excluding ETF schemes) of the applicable NAV.

## 10. MODE OF PAYMENT

- As required by AMFI, effective November 15, 2010, Quantum Mutual Fund shall not accept applications for subscriptions with third party payment instruments.
- Investors may make payment by single Cheque/ DD payable locally in the city where the application form is submitted at Quantum AMC/ Karvy Collection Centers or electronic mode such as RTGS/NEFT directly to Mutual Fund Collection account
- The cheque should be drawn on any bank which is situated at and is a member/sub member of the bankers clearing house. Cheque drawn on the bank not participating in the clearing house will not be accepted.
- Payment through Stock invest, outstation cheques and cash will not be accepted.  
The AMC shall bear the bank charges incurred by the investor in obtaining the demand draft; except for Liquid Schemes (As per demand draft charges prescribed by State Bank of India). In that case, the investor can obtain a draft for investment amount net of draft charges. The AMC shall not refund any demand draft charges in cash.
- The cheque should be drawn in favor of "Quantum Mutual Fund & PAN (number)" or Quantum (Scheme name) & PAN (number) and crossed "Account Payee Only".
- Returned cheques will not be presented again for collection and the accompanying application will be rejected.
- Single cheque for investments in multiple schemes and multiple cheques for investments in Single Scheme will not be accepted.
- If the dividend amount under Dividend payout facility in QLF is less than or equal to ₹ 500/-, the same will be reinvested.
- In case of investment through electronic mode (RTGS/ Transfer letter), you are requested to contact the nearest Quantum AMC/ Karvy Collection Centers for the Bank Account Number to which the Purchase/additional purchase amount is to be credited.
- In case of demand drafts, unit holder should attach necessary supporting documents as required by the fund, like Bank certificate, self attested, Bank Pass Book or statement to prove that the funds are from a Bank account held by the first Unit holder only else the fund reserves the right to reject the application or call for additional details.
- NRI / FI's  
Repatriation Basis - In the case of NRIs, payment may be made either by inward remittance through normal banking channels or out of funds held in their Non-Resident (External) Rupee Account (NRE) / Foreign Currency (Non-Resident) Account (FCNR). FI's shall pay their subscription either by inward remittance through normal banking channels or put of funds held in Foreign Currency Account or Non-Resident Rupee Account maintained by the FI with a designated branch of an authorized dealer.  
Non Repatriation Basis - In the case of NRIs, payment may be made either by inward remittance through normal banking channels or out of funds held in their NRE/FCNR/Non-Resident Ordinary Rupee Account (NRO)/Non-Resident (Special) Rupee Account (NSR). Please indicate by ticking the relevant account from which payment is being made.
- In case of payment through electronic mode (RTGS/NEFT or Transfer Letter), need to provide the bank acknowledgement copy along with purchase application.

## 11. NOMINATION DETAILS

Applicants while applying for Units singly/ jointly can make a nomination at the time of initial investment or during subsequent investments.

- The nomination can be made only by individuals applying for /holding units on their own singly or jointly. Non-individuals including society, trust (other than a religious or charitable trust), body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney, and folios held by minor cannot nominate. If the units are held jointly, all joint holders will have to sign the nomination form.
- A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the Unit Holder. Nomination can also be made in favor of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- A Non-Resident Indian can be a Nominee subject to the exchange control regulations in force, from time to time.
- Nomination in respect of the units stands rescinded upon the redemption/ transfer/ transmission of units.
- Transmission of units in favour of a Nominee shall be a valid discharge by the Asset Management Company (AMC) against the legal heir.
- Investor can nominate maximum three nominees as nominee. If investor wants to nominate more than one nominee, please fill separate Nomination Form which is available on the website.
- The cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination. On cancellation of the nomination, the nomination shall stand rescinded and Quantum AMC/ Fund/ Trustees shall not be under any obligation to transmit the units in favour of the Nominee.
- Nomination is mandatory in case of single holding.

## 12. DEMAT ACCOUNT

Unit holders who have provided the Demat Account details will be issued units in demat form on request. Please submit Client Master copy or any documents specifying the name and demat account no. details as a proof of demat account details furnished in the application form.

Investor can hold the Units in demat form by selecting the relevant option of Yes/No - for opting or not opting for Units in demat form. If no option is exercised, the default option will be "No". Investors have to ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant. If the details mentioned in the application are incomplete/incorrect, not matching with the Depository data, then Units will be issued in Physical mode and Statement of Account will be issued to the Unit holder.

Investor have to ensure that the bank a/c through which the investment is being done matches with the bank a/c details in the demat account, otherwise the application is liable to be rejected. In case of holding in demat account the bank mandate, mode of holding, nominee details, contact details etc. will be as per the details stated in the demat account and not as per this application.

In case an investor desires to redeem, the dematerialized units have to be converted into physical form (represented by statement of account issued by Quantum AMC) by submitting an application for Re-materialization of units to the Depository Participant, in such case application for redemption would be considered on the date when physical redemption slip is provided duly signed to the Quantum AMC. As an alternative, redemption request can be placed through Depository Participants and Exchanges specified intermediaries where NSE MFSS/ BSE StAR platform is available for trading of Mutual Fund Units.

Kindly note that facility to subscribe/ hold units in demat form is not available for Quantum Liquid Fund - Daily Dividend Reinvestment Option, Switches and SIP/SWP/STP investments.

## 13. LIST OF ATTACHMENTS

You are requested to enclose the relevant documents as listed in the check list of accompanying documents depending on the applicant's status and tick in the box against the document.



- **Quantum Long Term Equity Fund**  
(An Open-ended Equity Scheme)
- **Quantum Liquid Fund**  
(An Open ended Liquid Scheme)
- **Quantum Tax Saving Fund**  
(An Open ended Equity Linked Savings Scheme)
- **Quantum Equity Fund of Funds**  
(An Open-ended Equity Fund of Funds Scheme)
- **Quantum Gold Savings Fund**  
(An Open Ended Fund of Fund Scheme)

# COMMON APPLICATION FORM

Offer of units at Applicable NAV

*and only*  
India's 1<sup>st</sup> Direct to Investor  
Mutual Fund

505, Regent Chambers, 5th Floor, Nariman Point, Mumbai - 400021. www.QuantumMF.com

Application No: \_\_\_\_\_

DISTRIBUTOR INFORMATION			FOR OFFICE USE ONLY		
Name & ARN Code	Sub-Broker Code	E- Code	Registrar/Bank Serial No.	Date of Receipt	Time of Receipt

Please read the instructions carefully, before filling up the application. Use this form if you are making a one time investment. For SIP investment use the separate SIP Form (All sections to be filled in English and in BLOCK LETTERS). Fields marked with (\*) are mandatory.

**2 EXISTING UNIT HOLDER INFORMATION** (Please note that Applicant details & mode of holding will be as per existing Folio Number) (Refer Instruction No. 2)

Folio No. \_\_\_\_\_  
Name of First Applicant \_\_\_\_\_

Mandatory *	PAN (Refer Instruction No.3A) Please attach certified PAN copy	Know Your Customer (KYC) (Refer Instruction No. 3B)
1st Applicant /Guardian	_____	Yes <input type="checkbox"/> (Please submit Proof)
2nd Applicant	_____	Yes <input type="checkbox"/> (Please submit Proof)
3rd Applicant	_____	Yes <input type="checkbox"/> (Please submit Proof)
POA Holder	_____	Yes <input type="checkbox"/> (Please submit Proof)

**4 APPLICANT INFORMATION** (Refer Instruction No. 4) (TO BE FILLED IN BLOCK LETTERS)\* (Fields marked with # are compulsory)

Name of Sole/ 1st Applicant  Mr.  Ms.  M/s.  Others \_\_\_\_\_ Please Specify \_\_\_\_\_ Date of Birth/ Date of Incorporation \_\_\_\_\_  
 Proof of Date of Birth (In case of Minor)  Birth Certificate  School Leaving Certificate  Passport  Others \_\_\_\_\_ Please Specify \_\_\_\_\_  
 # Mobile No. \_\_\_\_\_ # Email ID \_\_\_\_\_  
 Parent/ Guardian Name of 1st Applicant - (in case of Minor)/Contact person (in case of non individual applicant) \_\_\_\_\_ Relationship with Minor/ Designation \_\_\_\_\_  
 Name of 2nd Applicant  Mr.  Ms.  M/s. \_\_\_\_\_ Date of Birth \_\_\_\_\_  
 Mobile No. \_\_\_\_\_ Email ID \_\_\_\_\_  
 Name of 3rd Applicant  Mr.  Ms.  M/s. \_\_\_\_\_ Date of Birth \_\_\_\_\_  
 Mobile No. \_\_\_\_\_ Email ID \_\_\_\_\_  
**Mode of Holding**  Single  Joint  Any one or survivor(s)(Default option in case of more than one applicant)  
**Legal Status** Please (✓)  Resident Individual  Resident Minor  NRI/PIO  NRI/PIO Minor  FII's  Society/Club  AOP/BOI  FOF  HUF  
 NRI/PIO Repatriation Basis  NRI/PIO Non-Repatriation Basis  NRI/PIO Minor Repatriation Basis  Partnership Firm  Trust  
 NRI/PIO Minor Non-Repatriation Basis  Bank  Company/Body Corporate  Others \_\_\_\_\_ Please Specify \_\_\_\_\_  
**Occupation**  Business  Professional  Agriculturist  House Wife  Student  Defence  Bureaucrat  Forex Dealer  
 Unlisted Company  Listed Company  Politically Exposed Person  Private Sector Service  Public Sector / Gov. Service  
 Dealers in High Value Commodities (Traders in Precious Metals, Jewellery & Antique Dealers)  Others \_\_\_\_\_ Please Specify \_\_\_\_\_  
**Annual Income** (Please ✓)  Upto 5 Lacs  5 to 15 Lacs  15 to 25 Lacs  25 Lacs & above

Mailing Address of Sole/First Applicant (P.O. Box alone may not be sufficient) This address will be replaced with the address as per your KYC records on validation of your KYC data. Overseas Investor must provide Indian Address

City \_\_\_\_\_ State \_\_\_\_\_ Country **I N D I A** Pin code \_\_\_\_\_

Contact Details of Sole/ First Applicant  
Tel No - STD Code \_\_\_\_\_ Res. \_\_\_\_\_ Off. \_\_\_\_\_ Fax \_\_\_\_\_

Overseas Address (mandatory for NRI/FII applicant). This address will be replaced with the address as per your KYC records on validation of your KYC data. Applications from investors residing in USA or Canada shall not be accepted

Address for correspondence (for NRI applicants)  
Indian  Overseas   
City \_\_\_\_\_ Country \_\_\_\_\_ Zip code \_\_\_\_\_

**5 POWER OF ATTORNEY (POA)** (Refer Instruction No. 5)

POA Name Mr./Ms. \_\_\_\_\_  
Address \_\_\_\_\_ City \_\_\_\_\_ Pin No. \_\_\_\_\_

If investment is being made by a Constitutional Attorney, please submit notarised copy of POA

**6 GO GREEN : Electronic Communication / Transactions** (Refer Instruction No.6 & 7)

I/ We have read and understood the terms and conditions of Electronic Communication / Transactions, available in the common application form for transactions, etc for using the Quantum Mutual Fund website or any electronic / other medium (Facility) and agree to be bound and governed by the same on availing / using any Facility. I / We authorize Quantum Mutual Fund, Quantum AMC to issue Personal Identification Number (PIN) / Telephone PIN (TPIN) on my mailing address, registered email id / mobile number (even if I have registered my mobile number with the National Do Not Call Registry) as stated in section 4 above.  
I / We would like to receive various communications / updates / alerts / notifications from Quantum Mutual Fund, Quantum AMC etc on my registered email id / mobile number (even if I have registered my mobile number with the National Do Not Call Registry) as stated in section 4 above.

Account Statement  Other Statutory Information

**ACKNOWLEDGEMENT SLIP** (To be filled in by the investor)

Quantum Mutual Fund  
505, Regent Chambers, 5th Floor, Nariman Point, Mumbai - 400021. www.QuantumMF.com  
Date \_\_\_\_\_  
Received from: Mr. / Ms. / M/s \_\_\_\_\_ an application for allotment  
Scheme \_\_\_\_\_ Option \_\_\_\_\_ Facility \_\_\_\_\_  
vide Cheque No \_\_\_\_\_ Dated \_\_\_\_/\_\_\_\_/\_\_\_\_ Amount (₹) \_\_\_\_\_  
Drawn on Bank and Branch \_\_\_\_\_  
Please note: All purchases are subject to realization of cheques (Please refer Scheme Information Document)

Application No: \_\_\_\_\_

Collection Center's Stamp & Receipt Date and Time



## ELECTRONIC COMMUNICATION / TRANSACTIONS TERMS AND CONDITIONS

The terms and conditions (hereinafter referred to as "**Terms and Conditions**") and any other information referred or linked to in these Terms and Conditions will apply to a person (hereinafter referred to as the "**User**" which expression shall, where context so requires, include more than one User) or who may execute any transaction for the purchase, sale and switching of units or for change in personal profile data (hereinafter referred to as "**Transaction(s)**") of any scheme offered by Quantum AMC (hereinafter referred to as "**Units**") irrespective of the commercial value via any service offered by Quantum AMC either through its website, who may access the website ([www.QuantumMF.Com/www.QuantumAMC.com/](http://www.QuantumMF.Com/www.QuantumAMC.com/) hereinafter referred to as "**Website**") call centers, mobile phones, fax or any other electronic or other medium (hereinafter referred to as a "**Facility**"). A Facility shall be capable of transmitting or communicating any communication or information using devices having access to such media with the use of personal identification number (hereinafter referred to as "**PIN**") or telephone personal identification number (hereinafter referred to as "**TPIN**") or any other secure information or method provided by Quantum AMC or Karvy Computershare Private Limited, its registrar (hereinafter referred to as "**Registrar**") or by any other entity as authorized by Quantum AMC, to the Users generated by or at any medium as aforesaid. A Facility may be provided by the Registrar and Quantum AMC's affiliates and subsidiaries or by any outsourced service provider / partner / agent of Quantum AMC either individually, jointly or severally (hereinafter referred to as "**QUANTUM AMC**".)

ANY USER ENTERING INTO ANY TRANSACTION BY USING A FACILITY IS REQUIRED TO READ THESE TERMS AND CONDITIONS AND WILL BE BOUND BY THESE TERMS AND CONDITIONS AND IS ADVISED NOT TO ENTER INTO ANY TRANSACTION IF HE HAS NOT READ OR UNDERSTOOD ANY OF THESE TERMS AND CONDITIONS. IF ANY TRANSACTION HAS BEEN ENTERED INTO BY ANY USER, THAT ACT OF ENTERING INTO THE TRANSACTION SHALL ITSELF EVIDENCE THAT THE USER HAS READ AND UNDERSTOOD THE TERMS AND CONDITIONS STATED

- ON THE WEBSITE;
- IN THE SCHEME INFORMATION DOCUMENT ("SID") READ WITH ADDENDUM'S ISSUED FROM TIME TO TIME BY QUANTUM AMC;
- IN THE STATEMENT OF ADDITIONAL INFORMATION ("SAI") READ WITH ADDENDUM'S ISSUED FROM TIME TO TIME BY QUANTUM AMC
- IN THE KEY INFORMATION MEMORANDUM ("KIM") READ WITH ADDENDUM'S ISSUED FROM TIME TO TIME BY QUANTUM AMC
- IN ANY SUCH MARKETING DOCUMENTS ISSUED FROM TIME TO TIME BY QUANTUM AMC

AND THAT SUCH TERMS AND CONDITIONS WILL APPLY TO EVERY SUCH USE OR ACCESS TO A FACILITY. ANY USER WHO DOES NOT WISH TO ACCEPT ANY OF THE AFORESAID TERMS AND CONDITIONS HE MAY NOT USE ANY FACILITY PROVIDED BY QUANTUM AMC.

If the User is availing any Facility, then, the Terms and Conditions shall govern all dealings between the User and Quantum AMC with respect to the Transactions made by the User using such Facility as also any other Facility. If the User has already registered with Quantum AMC for utilizing a Facility then the Terms and Conditions shall be in addition to and not in derogation of the existing terms and conditions governing the use of such Facility.

### PRE-REQUISITES

The User acknowledges that he/she shall provide Permanent Account Number ("PAN")/Know Your Customer Compliance Letter ("KYC Letter")/Bank Account Details / Email ID / Mobile No. such other personal details like date of birth, specimen signature, mother's maiden name etc. and such other documents/supporting required for verifying the identity and the address of the User as well as required by the laws/statutes/regulatory requirements from time to time to allow a Facility to the User. The User understands that a Facility can be used only after receipt of necessary documents and to the satisfaction of Quantum AMC.

The User further agrees and acknowledges that such documents/information including specimen signature of user may be obtained by Quantum AMC directly from the User or CDSL Ventures Limited (CVL) – Independent Agency appointed by Mutual Fund Industry to collect documents relating to identity and address of the investor(s) in terms of Prevention of Money Laundering Act, 2002. The User authorizes Quantum AMC to rely on such documents / information including specimen signature of the User obtained from CVL without counter checking / validating the same with the User and the User shall be deemed to have acknowledged the validity of the same. Quantum AMC reserves right to ask any additional documents/ information including specimen signature of the User validated / verified by the Bank.

### REFERENCE

The User acknowledges that he/she has reviewed/studied the SID / KIM of the scheme(s), the SAI and the addendum, if any to the SID / KIM and SAI carefully and in its entirety and shall not construe the contents thereof or regard the summaries contained therein or information contained in a Facility as advice relating to legal, taxation, or financial/investment matters and is advised to consult his/her own professional advisor(s) as to the legal or any other requirements or restrictions relating to the subscription, acquisition, holding, disposal (sale, transfer, switch or redemption or conversion into money) of Units and to the treatment of income (if any), capitalization, capital gains, any distribution, and other tax consequences relevant to the subscription, acquisition, holding, capitalization, disposal (sale, transfer, switch or redemption or conversion into money) of Units within his/her jurisdiction / nationality, residence, domicile etc. or under the laws of any jurisdiction which is applicable to such User, and (also) to determine possible legal, tax, financial or other consequences of subscribing to, purchasing or holding, selling, redeeming or switching any Units before making an application for Units of Quantum AMC's fund.

### PERMISSIONS

Quantum AMC permits the User to access, use and interact with a Facility subject to the Terms and Conditions contained herein.

### ESTABLISHING A FACILITY

Quantum AMC covenants to exercise reasonable care in establishing a Facility and make reasonable endeavours to make the Facilities available to the User at all times.

### ASSOCIATED BANK ACCOUNT

The User can mention upto 5 bank names, address of the branch and Bank A/C numbers in the application form for facilitating payments to the User. The User agrees that the default Bank A/C or any of such 5 Bank A/C's for payment of redemption/dividend, etc. would be the Bank A/C from where the User is making payment for subscribing to the units of the fund for the purposes of investment.

The User may maintain at all times, one or more (upto 5) associated bank account and shall provide details thereof with Quantum AMC along with instructions to the respective banks to make payments to Quantum AMC on execution of a Transaction for purchasing any Units. The User undertakes to comply with the procedure defined by Quantum AMC for submission of such documents (including screenshot of online Bank A/c statement, scanned copy or cancelled original cheque leaf/copy of Bank A/C statement, etc.) to make/receive payment through the associated bank accounts.

### EXCLUSIONS AND LIMITATIONS

1. Quantum AMC does not represent or warrant that:-
  1. access to a Facility by the User; or any part of it will be uninterrupted, reliable or fault free;
  2. a Facility or any of its contents will be accurate, complete or reliable;
  3. any services (whether or not provided by Quantum AMC) will be provided with due care and skill; and
  4. it acknowledges receipt of any Transactions or verifies such Transactions.
2. Quantum AMC shall not be liable for:-
  1. any technical, factual, textual or typographical inaccuracies, errors or omissions on or relating a Facility or any information on a Facility;
  2. the unavailability of a Facility (or any part of it), products or services;
  3. any delay in providing, or failure to provide or make available, products or services or any negligent provision of products or services;
  4. any misrepresentation on or relating to a Facility, services (other than a fraudulent misrepresentation made by Quantum AMC or on their behalf).
  5. the non-execution of any Transaction where Quantum AMC has a reason to believe that the Transactions are not genuine or unclear or doubtful or otherwise cannot be put into effect.
3. The User agrees and acknowledges that he/she will take full responsibility for any Transactions executed using a Facility, abide by the records of Transactions maintained by Quantum AMC and that such records shall be conclusive proof of such Transaction and shall be binding on the User and may be used as evidence in any proceedings.
4. The User agrees that each of these exclusions and limitations are reasonable having regard to the nature of a Facility.
5. None of the above exclusions and limitations shall affect any statutory rights which are not capable of being excluded. However, in such case the obligation of Quantum AMC, where permitted by law, will be limited to the re-supply of a Facility, products or services to the User.
6. Each of the above exclusions and limitations shall be construed as a separate and severable provision of these Terms and Conditions.

### ACCESS

Quantum AMC reserves the right in its sole discretion to deny the User, access to any Facility or any part of a Facility without notice and to decline to provide the products or services to the Users who are in breach of these Terms and Conditions of use.

### OPERATION OF USER ACCOUNT

1. If the mode of holding of Users account with Quantum AMC is 'Single': a Facility will be available only to such sole User.
2. If the mode of holding is 'Joint', Quantum AMC shall send/make available the PIN/TPIN/secure information for executing Transactions to the first User under the folio.  
If the mode of holding is 'Anyone' or 'Survivor', Quantum AMC shall send / make available the PIN / TPIN / Secure information for executing Transactions to the first User under the folio. The PIN / TPIN / secure information allotted to the first User will be sufficient for executing Transactions.
3. The User of any such folios agrees and confirms that Quantum AMC shall not be in a position to verify the User of a Facility and thereby not be responsible or liable for any Transactions arising out of misuse of the PIN/TPIN/secure information by any of the joint User(s), survivor or any other person.
4. If the User is a minor, his/her parents / legal guardians shall be eligible to avail of a Facility till such User attains majority. In such case, the personal details of the parents / legal guardians shall be submitted to Quantum AMC/Registrar. The parents / legal guardians hereby agree not to use a Facility on behalf of the User once he/she attains majority.
5. Not more than three joint holders will be permitted.
6. The Facility is not offered to those categories that are other than individuals and to those willing to transact electronically either through a Power of Attorney in their favour or through online arrangement with any broker or distributor or by use of any third party website.

In a case, where, the mode of holding is 'Anyone' or 'Survivor' or 'Joint', any use shall be deemed to have been carried out jointly by all the joint Users. The joint Users agree and confirm that the every User has the full and absolute authority to act for and on behalf of all and each of the other joint Users in all matters relating to a Facility. Liability of all the joint Users shall be joint and several and shall be binding on each of the joint Users, their respective legal heirs, successors, executors and permitted assigns. The joint User(s) shall be deemed to have confirmed and ratified all the acts done by any other joint User(s) in relation to a Facility.

### SUBSCRIPTION AND CHARGES

The subscription for a Facility is offered by Quantum AMC to the User at no cost. However, Quantum AMC reserves the right to levy and/or review such charges, fees, interests and costs wherever applicable at regular intervals and inform the User of the date from which such charges will be applicable, if any. The User may agree to pay such charges in order to continue using a Facility and / or Quantum AMC has the explicit authority from the Users to hold the Unit holding account of the Users to recover such charges. If the User fails at any time to pay the applicable subscription charges becoming due, Quantum AMC may, in its discretion and without prejudice to its other rights, deny such User who has not paid the applicable charges access to a Facility. Quantum AMC need not provide the Users with any advance notice in such circumstances.

### LINKS TO OTHER SITES

Certain links, including hypertext links, in a Facility shall take the User outside the Facility. Links are provided for the Users convenience and inclusion of any link does not imply endorsement or approval by Quantum AMC of the linked site, its operator or its content. Quantum AMC is not responsible for the content of any third party which is outside a Facility.

### TERMINATION

Quantum AMC may terminate access to a Facility for any User if he/she is in breach of any of these Terms and Conditions and in particular upon any failure by such User to pay his/her subscription charges, if any, in accordance with these Terms and Conditions.

### SUSPENSION

Quantum AMC may temporarily suspend access to a Facility for the User if he/she fails to comply with the KYC norms, delay in making the payment for a Transaction, delay in receipt of documents/information etc from third party source, or fails to comply with any rules, regulations, procedures or formalities notified by SEBI or any other regulation authority with respect to a Transaction or commits breach of any of the Terms and Conditions.

### EVENT OF DEATH

In the event of death of the User (or any of them), all the Units in the name of such deceased User shall stand transferred to the nominee of such deceased User and if there are more than one nominees, then the Units shall be transferred in equal proportions to each nominee.

### TAXES

Quantum AMC has endeavored to make clear whether the prices for Units of a scheme and services available through a Facility include any relevant tax or duty or do not. Where in any case it is not clear, the User must take note that after he/she enters into any Transaction, he/she might be required to bear a liability to tax or duty imposed or which may be imposed in future by operation of law that is in addition to the price of the Units.

### PAYMENT MODES

Online transfer from the User's Bank Account or credit through RTGS/NEFT from the Users Bank A/C is the only acceptable method of payment for executing any Transaction on any Facility. The payment must be made in full.

### ISSUANCE OF UNITS

The User needs to be KYC Compliant as per the SEBI Guidelines issued under The Prevention of Money Laundering Act, 2002. Quantum AMC will verify the status of KYC Compliance with CVL at the time of issuance of units to the User. Whenever it is not possible for Quantum AMC to verify the status of KYC Compliance at the time of issuance of units to the User then Quantum AMC will verify the status of KYC Compliance Status with CVL within a reasonable time after issuance of units. In case at the first time purchase of units if KYC verification status of the User is under process, subsequent purchase shall not be permitted till the User become KYC Compliant. Quantum AMC reserves right to freeze the folio(s) of the User for any transactions and affect mandatory redemption of units held by the User at the applicable NAV subject to exit load if any. The User who have already obtained erstwhile Mutual Fund Identification Number (MIN) by submitting the PAN copy as proof of identity are deemed to be considered as KYC Compliant User. Quantum AMC reserves right to call any additional information/ documents reject initial / subsequent purchase request to meet regulatory requirements.

1. Quantum AMC shall issue Units to a User only when the User makes payment for the amount of Transaction executed by such User. If, however, a payment has not been made Quantum AMC shall not issue any Units to the User and the Transaction will be recorded as cancelled.

### USER OBLIGATIONS

1. The User of a Facility shall not:-
  - a. violate any applicable law or encourage or provide instructions to another to do so;
  - b. defame, abuse, harass, stalk, threaten, or otherwise violate the legal rights (such as rights of privacy and publicity) of others;
  - c. post any infringing, obscene, indecent, or unlawful material or information;
  - d. misrepresent his/her identity;
  - e. collect or store personal information about any other person;
  - f. send "spam" messages to any other person or Quantum AMC;
  - g. upload files that contain viruses, corrupted files, or any other similar software or applications that may damage any other persons computer;
  - h. falsify the meta data of the file that is uploaded;
  - i. download any file posted by another User;
  - j. legally distribute any content from a Facility; or
  - k. exit any Facility without logging -off at any time when he/she is not using such Facility (Email ID & Mobile No.)
2. The User acknowledges and agrees that
  - i. he/she is aware of all security risks including possible third party interception of his/her account and the content of his/her account becoming known to third parties;
  - ii. understands that the use of any Email ID, Mobile, Facility is not a secure method of viewing, accepting and transmitting information and that it involves security hazards and the risk of any loss of information or obtaining of information or misuse by any third party of his/her account and Quantum AMC shall, in no way, be held responsible for the same and shall not be considered as a breach of its or its constituent company's User confidentiality provisions;
  - iii. even though his/her Mobile No. is registered under the National Do Not Call Registry (NDNC), he/she explicitly permits Quantum AMC to send and he/she would like to receive various communications (for products or otherwise), updates, alerts, notifications & Quantum AMC shall in no way be held responsible for & the same shall not be considered as a breach of such TRAI Regulations/Guidelines;

- iv. he/she will not use any Facility on an electronic device which belongs to any other person or which is provided to the User by his/her employer, except with such person's or, as the case may be, his/her employer's previous permission. In the event the User does not comply with this provision, Quantum AMC shall not be held responsible for any harm or loss caused to any person/User. Quantum AMC shall not be held responsible if the electronic device via which the User utilizes a Facility contains software applications, due to which any conflict which may arise resulting into loss of information or any other damage;
- v. he/she is solely responsible to ensure that the PIN/TPIN/secure information is kept confidential and not revealed/disclosed to any third party, including any person claiming to represent Quantum AMC and shall take all possible care to prevent discovery of the PIN/TPIN/secure information by any third person. The User shall immediately communicate to Quantum AMC in writing on becoming aware of any loss, misuse or wrongful disclosure to any third party of the /PIN /TPIN/secure information. Quantum AMC may in its absolute discretion, issue to the User, a new PIN/TPIN/secure information on similar terms and conditions or under such terms and conditions as Quantum AMC may deem fit;
- vi. he/she is aware of the fact that PIN/TPIN/secure information is generated randomly at the back-office of Quantum AMC or of the Registrar or such authorized entity and shall be changed by the User when accessing a Facility for the first time;
- vii. Quantum AMC may require him/her to define and maintain electronic signatures from such service providers including authentication of the electronic transaction record by "click"/"I Accept"/"Submit" affirmation etc. as Quantum AMC may require to authenticate all electronic Transactions made through the website of Quantum AMC and he/she shall be responsible for keeping the said electronic signatures confidential and secure and that Quantum AMC shall not be held responsible for any misuse of the digital signatures and any loss or damage arising there from ;
- viii. He/she shall be bound by all the provisions and notifications issued by SEBI, Information Technology Act 2000 or any other regulatory body. He/she shall be bound by his 'signature' that Quantum AMC may seek from CVL as part of his additional documents/information for doing any transaction outside the usage of the facility. He/she further agrees that he/she will provide such verification/validation as Quantum AMC may desire.
- ix. In relation to the Transactions entered into by the User using a Facility and any additional right or obligation created therein.
- x. He/she shall be bound by his declarations and authority provided to CVL while seeking compliance under the KYC procedure including disclosing/sharing/remitting his/her information to the Mutual Fund.

## REPRESENTATIONS AND WARRANTIES

User represents and warrants that:

- a. he/she is above 18 years of age and capable of executing the Transactions using the Facilities offered by Quantum AMC;
- b. the requisite power and authority and all necessary permits, authorizations, consents, and approvals to execute, deliver and perform obligations by every User and to consummate the Transactions contemplated herein and to be entered into by every User under or in connection with the purchase/sale/switching of Unit have been obtained and are in full force and effect without any modification or variation;
- c. his/her the performance does not: (i) conflict with or result in any material breach or violation of any of the Terms and Conditions of, or constitute (or with notice or lapse of time or both constitute) a default by a User of any of the Terms and Conditions of which it is a User or by which it is bound; (ii) violate any court order, judgment, injunction, award, decree or writ against, or binding upon any of the User or upon the securities, properties or business of any User; or violate any applicable Law or any other jurisdiction in which any User maintains a business presence; and (iii) each of the User has read and understood the Terms and Conditions which constitute a valid and binding obligation on part of the User being enforceable against him/her in the event of any breach by any of him/her of any of the provisions stated herein

## SMS AND EMAIL ALERTS

SMS alerts and email notifications of the Transactions executed by a User in addition to various communications, updates etc. may be provided by Quantum AMC to the User on providing information like the email address and the mobile number (even if the user has registered his/her mobile number under the National Do Not Call Registry) of the User. The User must inform Quantum AMC immediately about any change in the email address and/or mobile number. Quantum AMC shall not be held responsible for any damage or loss of information or breach of confidentiality in relation to providing such service to the User and the User hereby acknowledges that he/she will take full responsibility with regard to SMS alert and email notification services provided by Quantum AMC.

## COPYRIGHT

All rights in the design, text, graphics, process/procedure, communication and other material on any Facility and the selection or arrangement thereof are the copyrights of Quantum AMC or other third parties. Permission is granted to the User to copy and print (to the extent permitted) in hard copy portions of any Facility solely in connection with the Transactions through a Facility. Any other use of the content on the Facility (including reproduction for purposes other than those noted above and alteration, modification, distribution, or republication) without prior written permission of Quantum AMC is strictly prohibited.

The User hereby grants to Quantum AMC, a perpetual royalty-free, irrevocable license to copy, issue copies, communicate to the public, make publicly available and include in a cable programme service (either in whole or in part or in a modified or edited form) any material the User up-loads or posts or communicate to a Facility (whether to a chat room, bulletin board or otherwise). The User acknowledges and agrees that such material is not up-loaded or posted subject to any obligation of confidence.

## ADVERTISEMENT

Quantum AMC may advertise and promote its products & services and those of third parties to investor(s)/subscriber(s) Email ID and Mobile Nos. Any dealings that the User may have with any advertisers and other third parties found on or through the Mobile No., Email ID, Website are solely between the User and the third party. Quantum AMC disclaims all liability in connection therewith.

## NO COMMERCIAL USE

The User agrees that he/she will use any Facility only for his/her personal use and that he/she shall not exploit such Facility or any of its contents for any commercial purpose.

## THIRD PARTY RIGHTS

The User acknowledges and agrees that the representations and warranties are intended to grant rights to, and operate for the benefit of, all the service providers to a Facility and that each such service provider may rely upon and enforce such representations and warranties against the User.

## VARIATIONS

Quantum AMC reserves the right at any time without notice to revise the content of any Facility (including the products and services offered by them) and these Terms and Conditions. Any changes to these Terms and Conditions will be posted / updated on such Facility/ SID / SAI / KIM and notified by an email to User on best effort basis and by continuing to use a Facility following any such change, the User will signify that he/she has read and understood the changes and would be bound by the revised Terms and Conditions of use of a Facility for any Transactions.

## EVENTS BEYOND CONTROL

Quantum AMC shall not be liable to the User for any breach of these Terms and Conditions of use or any failure to provide or delay in providing the products or services through a Facility resulting from any event or circumstance beyond reasonable control of Quantum AMC including, without limitation, strikes, lock-outs and other industrial disputes, breakdown of systems or network access, fire, explosion or accident.

## FACILITY ACCESS LOCATION

Quantum AMC Operates the Facilities from its back office currently from Mumbai (and from such other location as feasible from time to time) in India, and makes no representation that a Facility used by the User is appropriate or available for use beyond India. If the User uses any Facility from outside India, he/she would be responsible for compliance with applicable local laws

## UNENFORCEABILITY

The enforceability or otherwise of any of the Terms and Conditions shall not affect the enforceability of the rest of the Terms and Conditions

## NO TRANSACTIONS

No Transactions on any Facility shall be executed

- a. by the User who is below 18 years of age; or
- b. by any User acting through a Power of Attorney; or
- c. by arrangements with brokers/distributors/third party websites.

Quantum AMC reserves the right to reject (unless specifically permitted) the Transactions executed by any of the persons or methods provided in this provision.

## DISCLAIMER OF CONSEQUENTIAL DAMAGES

Quantum AMC is not responsible or liable for any damages whatsoever (including, without limitations, incidental and consequential damages, lost profits, or damage to computer hardware or loss of data information or business interruption) resulting from the use or inability to use a Facility and its content, whether based on warranty, contract, tort, or any other legal theory, and whether or not, such organisations or entities were advised of the possibility of such damages.

## NO ENDORSEMENT

The User shall not publicly represent or imply that Quantum AMC is participating in, or has sponsored, approved, or endorsed the manner or purpose of the User's use or reproduction of the contents on a Facility. Quantum AMC reserves the right to prosecute, to the fullest extent of the law, any use of Quantum AMC's Facility contents in a manner that falsifies, misrepresents, disparages, or fraudulently uses the contents.

## INVESTIGATION

Quantum AMC may investigate any suspected breaches of its Facilities security or its information technology or other systems or networks, breaches of these Terms and Conditions and may involve with law enforcement authorities in investigating such matters, prosecuting the violators to the full extent of the law, and discontinue a Facility or terminate access to it for any User at any time, without notice, for any reason and without any obligation to the User whatsoever.

## LOG FILES

Quantum AMC uses IP addresses to administer a Facility and track User movements.

## COOKIES

Facility provided by Quantum AMC uses cookies / recordings / images etc. to retrieve User details for each visit which are used in some areas of such Facility. Some of our affiliate / service partners may also use cookies.

## CONFLICT

Where any conflict or contradiction appears between the Terms and Conditions of a Facility and any other relevant terms and conditions, policies or notices, the Terms and Conditions of such Facility shall prevail.

## CESSION

Quantum AMC shall be entitled to cede, assign and delegate all or any of its rights and obligations in terms of any relevant Terms and Conditions, policies and notices to any third party. No User shall cede, assign or delegate any of his/her rights or obligations under any Facility.

## CONFIDENTIALITY

Quantum AMC shall not copy or distribute any User's information maintained by him/her for any purpose other than providing access to the User for using any Facility or to communicate with the User or to identify the User. However, the User acknowledges that Quantum AMC is authorized to provide any information or details relating to the User or his/her account to any third person so far as is necessary to give effect to any instructions or to comply with any order of Court or of any competent/ statutory authority or as is required under applicable law.

## APPLICABLE LAW AND JURISDICTION

These Terms and Conditions shall be governed by the laws of India. All or any dispute or differences arising between Quantum AMC and the User, to the extent possible, be settled amicably by prompt negotiation between his/her respective representatives. In the event of any failure to settle the dispute by mutual negotiations within 30 days of the commencement of the negotiations, the same shall be referred to arbitration in accordance with the provisions for the time being in force of the Arbitration and Conciliation Act, 1996 of India or any amendment or reenactment thereof. Quantum AMC and the User to the dispute shall jointly appoint a sole arbitrator. If within 15 days from the date of reference of the dispute to the arbitration, they are unable to jointly appoint a sole arbitrator, then, in that event, the appointment of the arbitrator shall be made in accordance with the Arbitration and Conciliation Act, 1996 of India or any amendment or reenactment thereof. The arbitration proceedings shall be conducted in the city of Mumbai and the language of the arbitration shall be English.

## COMMENTS AND QUESTIONS

Quantum AMC may be contacted if the User has any questions, comments or concerns arising from any Facility or these Terms and Conditions.

## INDEMNITY

The User agrees to defend, indemnify, and hold harmless Quantum AMC, his/her officers, directors, employees and agents, from and against any claims, actions or demands, including without limitation legal and accounting fees, alleging or resulting from

- a. the use of a Facility; or
- b. the breach or non compliance of any of the Terms and Conditions of; or
- c. any illegal, unauthorized, fraudulent usage or misuse of User's PIN/TPIN/secure information; or
- d. all requests carrying the User's PIN/TPIN/secure information as evidenced by electronic records available at Quantum AMC; or
- e. the use of a Facility on an electronic device which belongs to any other person or which is provided to the User by his/her employer without such person's or, as the case may be, his/her employer's previous permission.

## WAIVER

No delay, neglect or forbearance on the part of Quantum AMC in enforcing against the User any term or condition of these Terms and Conditions shall either be or be deemed to be a waiver or in any way prejudice any right of Quantum AMC under these Terms and Conditions. No right, power or remedy in these Terms and Conditions conferred upon or reserved for Quantum AMC is exclusive of any other right, power or remedy available to Quantum AMC.

## RISKS

The User acknowledges that he/she is utilizing Facilities at his/her own risk. These risks would, among others, include the following:

- a. Misuse of PIN/TPIN/secure information: The User acknowledges that if any third person obtains access to his/her PIN/TPIN/secure information, such third person would be able to execute Transactions using a Facility.
- b. Internet/Electronic Frauds: Every electronic medium per se is susceptible to a number of frauds, misuse, hacking, other actions, which could affect the Transactions. There can be no guarantee/warranty by Quantum AMC to indemnify the User from such Electronic frauds like hacking, back door entry or any such unlawful acts. The User shall separately evaluate the risks arising out of Transactions executed using a Facility.
- c. The technology for enabling the availability of a Facility could be affected by virus or other malicious, destructive or corrupting code, programme or macro. Besides, Data/Documents/Information sourced from third party sources may be effected by poor image quality, virus, etc including tagging of wrong information to the user, or availability of old/unupdated data of the user. This could result in non-execution of Transactions or delays in the processing the Transactions or failure in processing of Transactions and other such failures and disabilities. The User understands that Quantum AMC disclaims all and any liability, whether direct or indirect, arising out of any failure or inability by Quantum AMC to honour the User's instructions for executing a Transaction through a Facility or not. The User understands that Quantum AMC shall not be responsible for any of the aforesaid risks. The User also understands that Quantum AMC shall disclaim all liability in respect of such risks.
- d. Any disclosure of PIN/TPIN/secure information by Quantum AMC to any other person, for any reason whatsoever, including for the service of viewing a family portfolio, or disclosure to any person for conducting any transactions.

## TRANSACTION DISCREPANCY

In case of any discrepancy in the details of any Transaction executed in respect of the User Accounts, the User shall be obliged to intimate such discrepancy to Quantum AMC in writing within 10 (ten) days of receipt of the Statement of Account in respect of the his/her account, failing which, such Statement will be deemed to be correct and accepted by the User.

## INVESTOR GRIEVANCE

Quantum AMC has established an Investor Grievance Cell for redressal of the User grievances in relation to the Transactions executed by the Users using any Facility. The User has an option either to write an email on CustomerCare@QuantumAMC.com or call on 1-800-22-3863 (toll free) detailing the grievance so as to allow Quantum AMC to take appropriate action on the same.

I/We have read and understood the terms and conditions for Electronic Communications / Transactions and shall be deemed to have acknowledged that the Facility availed of or the transactions made by me / us is as per the Terms and Conditions. I/ we agree not to hold Quantum AMC responsible for my / our actions relating to use of the Facility.



# SYSTEMATIC INVESTMENT PLAN ECS/AUTO DEBIT MANDATE FORM

and only  
India's 1<sup>st</sup> Direct to Investor  
Mutual Fund

505, Regent Chambers, 5th Floor, Nariman Point, Mumbai - 400021. www.QuantumAMC.com

Please fill this form in ENGLISH in BLACK/DARK COLOURED INK in CAPITAL LETTERS.

New Investor (also Attach new application form duly filled & signed)  Change in Bank Account (for Existing Investor)  Micro SIP

### DISTRIBUTOR INFORMATION (FOR OFFICE USE ONLY)

Name & ARN Code	Sub-Broker Code	E- Code	Registrar/Bank Serial No.	Date of Receipt	Time of Receipt

### INVESTOR DETAILS

Folio/Application No.  PAN No\*

Sole/First Investor Name:

\*Please provide KYC Acknowledgement Letter if not sent before.

### INVESTMENT DETAILS [Please (✓) the Scheme(s)]

<input type="checkbox"/> Quantum Long Term Equity Fund	<input type="checkbox"/> Quantum Tax Saving Fund	<input type="checkbox"/> Quantum Liquid Fund
<input type="checkbox"/> Quantum Equity Fund of Funds	<input type="checkbox"/> Dividend Option	<input type="checkbox"/> Dividend Reinvestment Facility
<input type="checkbox"/> Growth Option	<input type="checkbox"/> Dividend Payout Facility	<input type="checkbox"/> Dividend Transfer
<input type="checkbox"/> Quantum Gold Savings Fund	<input type="checkbox"/> Growth Option	Transfer To <input type="text"/>

### Frequency Details (Please ✓)

<input type="checkbox"/> Daily	<input type="checkbox"/> Weekly	<input type="checkbox"/> Fortnightly	<input type="checkbox"/> Monthly	<input type="checkbox"/> Quarterly
All Business Days	7th, 15th, 21st, 28th of a week	<input type="radio"/> 5th, 21st OR <input type="radio"/> 7th & 25th	<input type="radio"/> 5th OR <input type="radio"/> 21st	<input type="radio"/> 7th OR <input type="radio"/> 25th OR <input type="radio"/> 15th OR <input type="radio"/> 28th

No of Installments:  SIP Start Date  SIP End Date

Amount Per Installment:  Amount (in words)

I/We hereby authorize Quantum Mutual Fund and their authorized service providers to debit my/our following bank account by ECS (Debit clearing/Auto Debit) for collection of SIP payments

Note: Please allow 30 days for Auto Debit to register and start. \*Only monthly and quarterly SIP frequencies are available for Quantum Liquid Fund.

### BANK MANDATE DETAILS

1st Account Holder Name as per Bank Records

2nd Account Holder Name as per Bank Records

3rd Account Holder Name as per Bank Records

Bank Name

Branch Address

City  Pin

Bank Account Type  Savings  Current  NRO  NRE  FCNR

Bank Account No.

MICR Code  (This is a 9 digit number next to the cheque no.)

Mandatory enclosure:  Blank Cancelled Cheque /  Copy of the cheque of above account (Please ✓)



Please provide the MICR Code of the bank branch from where the ECS is to be effected. MICR Codes starting or ending with "000" are not valid for ECS.

I/We wish to inform you that I/We have registered with Quantum Mutual Fund through their Authorized Service Provider(s) and representative for my/our payment to Quantum Mutual Fund by debit to my/our above mentioned bank account. For this purpose I/We authorize their Service Provider(s) and the representative to raise debit on my/our above mentioned account with your branch. I/We here by authorize you to honor all such requests received through their authorized Service Provider(s) and representative to debit my/our account with the amount requested, for due remittance of the proceeds to Quantum Mutual Fund.

I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I/We hereby declare that the particulars given above are correct and complete. If the transactions is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Quantum Mutual Fund or their authorized Service Provider(s) and representative responsible. If the date of debit to my/our account happens to be a non-business day as per Mutual Fund or a Bank holiday, execution of the SIP will happen on the next working day and allotment of units will happen as per the Terms and Conditions listed in Scheme Information Document (SID) and Statement of Additional Information (SAI) of the Mutual Fund. The above mentioned bank shall not be liable for, nor be in default by reason of any failure or delay in completion of this service, where such failure or delay is caused in whole or in part by any acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, fog, war, lightning, earthquake, change of government policies, unavailability of banks computer system, force majeure event or any other cause of peril which is beyond the above mentioned banks reasonable control and which has the effect of preventing the performance of this service by the above-mentioned bank. I/We shall not dispute or challenge any debit, raised under this mandate, on any ground whatsoever. I/We shall not have any claim against the bank in respect of the amount so debited pursuant to the mandate submitted by me/us. I/We shall keep the bank and authorized Service Provider(s) and representative jointly and or severally indemnified from time to time, against all claims, actions, suits, for any loss, damage, costs, charges and the expenses incurred by the bank and authorized Service Provider(s) and representative, by reason of their acting upon the instructions issued by the above named authorized signatories/ beneficiaries. This request for debit mandate is valid and may be revoked only through written letter withdrawing the mandate signed by the authorized signatories/beneficiaries and giving reasonable notice to such withdrawals. I/We here by apply for the respective units of Quantum Mutual Fund Scheme(s) at NAV based the resale price an agree to abide by terms, conditions, rules and regulations of Scheme(s). I/we hereby authorize bank to debit my account for mandate verification charges, if any.

First Account Holders Signature  
(As per bank records)

Second Account Holders Signature  
(As per bank records)

Third Account Holders Signature  
(As per bank records)

### FOR BANK USE ONLY (Not to be filled in by Investor)

Certified that particulars furnished above are correct as per our records-

Recorded on

Recorded by

Mandate Ref. No.

Bank Account No.

(Bank's Stamp)

(Signature of Authorized Official from the Bank)

# SIP ECS/AUTO DEBIT MANDATE FORM



## SIP/ECS Facility : Terms and Conditions

- Complete Common Application form and SIP ECS Mandate Form along with the first cheque should be submitted to the AMC / Kavya Collection Centers.
- New investors should mandatorily give a cheque for the first Installment. The first cheque should be drawn on the same bank account which is to be registered for ECS (Debit Clearing). Alternatively, the cheque may be drawn on any bank, for which investor should provide a photocopy of the cheque or cancelled cheque of the bank/ branch for which ECS is to be registered.
- First SIP cheque and subsequent SIP installments via ECS should be of the same amount.
- ECS instructions will take a minimum of 30 days for registration with the bank and hence the first auto debit will be carried out only after one month, on the SIP date mentioned on the form. The AMC reserves the right to modify the SIP period depending on the one month period for registration to ensure minimum number of installments as mentioned in Scheme Information Document (SID)
- ECS facility is offered only to

### List of cities for Auto Debit facility via ECS

Agra	Gorakhpur	Pondicherry
Ahmedabad	Gulbarga	Pune
Allahabad	Guwahati	Raichur
Amritsar	Gwalior	Raipur
Anand	Hubli	Rajkot
Asansol	Hyderabad	Ranchi
Aurangabad	Indore	Salem
Bangalore	Jabalpur	Shimla
Baroda	Jaipur	Shimoga
Belgaum	Jalandhar	Sholapur
Bhavnagar	Jammu	Siliguri
Bhilwara	Jamnagar	Surat
Bhopal	Jamshedpur	Tiruppur
Bhubaneswar	Jodhpur	Tirunelveli
Bijapur	Kakinada	Tirupati
Bikaner	Kanpur	Trichur
Calicut	Kolhapur	Trichy
Chandigarh	Kolkata	Trivandrum
Chennai	Kota	Udaipur
Cochin	Lucknow	Udipi
Coimbatore	Ludhiana	Varanasi
Cuttack	Madurai	Vijaywada
Davangere	Mandya	Vizag
Dehradun	Mangalore	Bardhaman
Delhi	Mumbai	Shillong
Dhanbad	Mysore	Goa
Durgapur	Nagpur	Haldia
Erode	Nasik	Tumkur
Gadag	Nellore	Hasan
Gangtok	Patna	Imphal

- The cities mentioned above may be modified/ updated/ changed/ removed at any time in future at the discretion of the AMC without assigning any reasons or prior notice. If any city, bank/branch is removed, SIP instructions for investors in such cities, bank/branch via ECS route will be discontinued without prior notice.
- The SIP Enrollment will be discontinued in cases where Two consecutive SIP installments are not honored or the bank account is closed and no request for change in bank account has been submitted.
- Request for cancellation should be submitted 15 business days prior to the next SIP date.
- Request for change in bank mandate to be submitted atleast 30 days before the due date of next SIP installment.
- The bank account provided for ECS (Debit) should participate in local MICR Clearing.
- MICR code starting and / or ending with 000 are not valid for ECS
- The investor agrees to abide by the terms and conditions of ECS facility of the Reserve Bank of India (RBI) as applicable at the time of investment and as may be modified from time to time.
- The investor undertakes to keep sufficient funds in the account till the date of execution of the debit. The investor hereby declares that the particulars given overleaf are correct and complete. If the date of debit to the investors account happens to be a non Business day as per the fund, execution of the debit will not happen on the day of the holiday and allotment of Units will happen as per the terms and conditions listed in the concerned Scheme Information Document (SID). The Fund, its Registrars, Auto Debit Banks and other service providers shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligation under this agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riots, strike, mutiny, revolution, fire, flood, fog, war, change of government policies, unavailability of banks computer system, force majeure events or any other cause of peril which is beyond their reasonable control and which has the effect of preventing the performance of contract by them.
- Investors will not hold Quantum AMC, its registrars, banks and other service providers responsible if the transaction is delayed or not effected or the investor's bank account is debited in advance or after the specific SIP date or if 2-3 consecutive SIP installments are debited by your bank in a day due to the local holidays or any other reason.
- Quantum AMC reserves the right to reject any application without assigning any reason thereof.
- Please refer SID for minimum SIP investment amount under each schemes

- Please refer the Key Information Memorandum (KIM) and SID of the respective scheme for applicable NAV, risk factors, load (exit/entry) and other information on the respective scheme before investing.
- If Investor has not provided the SIP frequency or period, then the default SIP frequency will be monthly & the default SIP date would be 5th of every month. The SIP installment would be the minimum number of installments of the respective scheme as mentioned in the respective Scheme Information Document (SID). In case of Fortnightly SIP frequency, default date will be considered as 5<sup>th</sup> and 21<sup>st</sup> of the month.
- Incorrect, incomplete or ambiguous forms will not be accepted and will be returned to the investor within 10 business days via normal post.
- To avail of SIP in separate schemes/options via Direct Debit/ECS facility, an investor will have to fill a separate form for each scheme/ options. A single form cannot be used for different schemes simultaneously.
- In case the SIP end date is not mentioned while submitting the application, the SIP mandate will be construed to be perpetual, till instruction to the contrary is received from the investor
- For modification/change in SIP amount, New SIP Auto debit mandate form with revised SIP amount alongwith letter to discontinue the existing SIP amount to be submitted 30 days prior to the Installment date.
- If an investor gives a request for change in bank mandate of the same amount as that of the existing SIP amount in the same folio, the existing SIP will be discontinued and only the new SIP containing new bank details will be considered. But if an investor gives a request for change in bank mandate with different SIP amount both the new SIP as well as the existing SIP would continue.
- In case of an investor who was a minor, the minor on attaining majority has to submit all the necessary documents and complete all the formalities as mentioned in the SID to start or renew a SIP/STP/SWP.

### Additional instructions for Micro Systematic Investment Plan (MSIP)

- Micro SIP (Systematic Investment Plan) upto ₹ 50,000 per year per investor shall be exempted from the requirement of PAN.
- In lieu of PAN card copy Investor (including joint holders) to provide the self attested valid Photo ID Proof. Please refer Point 6 below.
- All Micro SIPs where aggregate of installments in a financial year i.e. April to March does not exceed ₹ 50,000.
- This exemption will be applicable only investments by individuals (including NRIs but not PIOs), Minors and Sole proprietary firms. HUFs and other categories will not be eligible for Micro SIPs. The exemption is applicable to joint holders also.
- While making additional/subsequent Micro SIP investment, investor can quote the existing folio number where a Micro SIP has been registered and therefore need not resubmit the supporting documents again.
- Photo identification documents to be submitted in case of Micro SIP Voter Identity Card, Driving License, Government / Defense identification card, Passport, Photo Ration Card, Photo Debit Card (Credit card will not be accepted), Employee ID cards issued by companies registered with Registrar of Companies, Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament, ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks, Senior Citizen / Freedom Fighter ID card issued by Government, Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI, Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL), Any other photo ID card issued by Central Government / State Governments /Municipal authorities / Government organizations like ESIC / EPFO.
- Proof of address copy. It is clarified that where photo identification documents contain the address of the investor, a separate proof of address is not required.

### Installment options available under SIP

		SIP				
		Daily	Weekly	Fortnightly	Monthly	Quarterly
Quantum Long Term Equity Fund /	Minimum SIP amount	₹ 100/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter
	Minimum SIP Installments	132	25	13	6	4
Quantum Tax Saving Fund	Minimum SIP amount	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter
	Minimum SIP Installments	132	25	13	6	4
Quantum Liquid Fund	Minimum SIP amount	-	-	-	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter
	Minimum SIP Installments	-	-	-	6	4



# SYSTEMATIC TRANSACTION FORM

(PDC SIP/STP/SWP)

505, Regent Chambers, 5th Floor, Nariman Point, Mumbai - 400021. www.QuantumAMC.com

and only  
India's 1<sup>st</sup> Direct to Investor  
Mutual Fund

Please fill this form in ENGLISH in BLACK/DARK COLOURED INK in CAPITAL LETTERS.

## 1 DISTRIBUTOR INFORMATION (FOR OFFICE USE ONLY)

Name & ARN Code	Sub-Broker Code	E- Code	Registrar/Bank Serial No.	Date of Receipt	Time of Receipt

## 2 NEW / EXISTING UNIT HOLDER INFORMATION

Folio / Application No.  Pan No.

Name of the Sole/1st Applicant

## 3 SCHEME DETAILS

<input type="checkbox"/> Quantum Long Term Equity Fund	<input type="checkbox"/> Quantum Tax Saving Fund	<input type="checkbox"/> Quantum Liquid Fund			
<input type="checkbox"/> Quantum Equity Fund of Funds		<input type="checkbox"/> Dividend Option			
<input type="checkbox"/> Growth Option	<input type="checkbox"/> Dividend Reinvestment Facility	<input type="checkbox"/> Dividend Payout Facility	<input type="checkbox"/> Dividend Reinvestment Facility	<input type="checkbox"/> Dividend Payout Facility	<input type="checkbox"/> Dividend Transfer
<input type="checkbox"/> Quantum Gold Savings Fund		<input type="checkbox"/> Growth Option			

## 4 FREQUENCY DETAILS (Please ✓)

Daily	Weekly	Fortnightly	Monthly	Quarterly
<input type="checkbox"/> All Business Days	<input type="checkbox"/> 7th, 15th, 21st, 28th of a week	<input type="checkbox"/> 5th, 21st OR <input type="checkbox"/> 7th & 25th	<input type="checkbox"/> 5th OR <input type="checkbox"/> 21st	<input type="checkbox"/> 7th OR <input type="checkbox"/> 25th OR <input type="checkbox"/> 15th OR <input type="checkbox"/> 28th

## 5 SYSTEMATIC INVESTMENT PLAN (SIP) DETAILS (Please ✓) Post Dated Cheque (PDC's)

Regular SIP  Change in Bank Mandate for existing SIP  Micro SIP (MSIP)

**Enrollment Details**  
No of Installments:   
Amount Per Installment:  Amount (in words)

**1st Installment Cheque Details**  
Cheque/DD  Date  Amount (Rs.)   
Drawn on Bank & Branch   
Photo Identification proof and Residential Proof number in case of Micro SIP of 1st Applicant   
2nd Applicant  3rd Applicant   
Cheque Nos From  To

## 6 SYSTEMATIC TRANSFER PLAN (STP) DETAILS (Please ✓) (Please allow 7 days to register STP)

To Scheme   
Plan  Option   
No of Installments:   
Amount Per Installment:  Amount (in words)

## 7 SYSTEMATIC WITHDRAWAL PLAN (SWP) DETAILS (Please ✓) (Please allow 7 days to register SWP)

Amount Per Withdrawal:  Amount (in words)   
No of Installments:

## 8 CONTACT DETAILS

Email ID   
Mobile No.  Tel. No. STD Code

### DECLARATION AND SIGNATURES

I/We have read and understood the terms and contents of Statement of Information (SAI), Scheme Information Document (SID) of the scheme(s), I/We hereby apply to the Trustees of Quantum Mutual Fund for units of scheme(s) of Quantum Mutual Fund as indicated above and agree to abide by the terms, conditions, rules and regulations of the scheme (s). I/We hereby declare that the particulars given herein are correct and complete. I/We confirm that I/we have not received and will not receive any commission or brokerage or any other incentive in any form, directly or indirectly, for subscribing to units issued under any of the scheme(s). I/We hereby declare that the amount invested in the scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions of the provisions of Income Tax Act, 1961, Prevention of Money Laundering Act, 2002, Prevention of Corruption Act, 1988 or any other applicable laws enacted by the Government of India from time to time.

**For Micro SIP investors-** I/we hereby declare that the I/we do not have any existing Micro SIP's which together with current application will result in aggregate investments exceeding ₹ 50,000 in a financial year.

**For NRIs/FLIs only:** I/We confirm that I am/we are Non Residents of Indian Nationality/origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our Non-resident External Account/FCNR account/NRO/NRSR Account. (Including amount of transactions made in future)

Repatriation  Non Repatriation

1st Applicant	2nd Applicant	3rd Applicant
To be sign by all Applicants if mode of holding is joint	To be sign by all Applicants if mode of holding is joint	To be sign by all Applicants if mode of holding is joint

# TERMS & CONDITIONS



## General

- Please refer to the Key Information Memorandum (KIM), Scheme Information Document (SID) of respective schemes and Statement of Additional Information (SAI) for Applicable NAV, Risk Factors, Load and other information of the Scheme before investing.
- If any chosen day falls on a non business day, the next business day will be considered as the transaction date.
- Incorrect / Incomplete applications are liable to be rejected.
- An Account statement will be dispatched within 10 working days for the first investment through SIP. The subsequent account statement will be dispatched once every quarter ending March, June, September and December within 10 working days of the end of the respective quarter or after completion of the tenure whichever is earlier. In case of specific request received from unit holder, Mutual Fund endeavor to provide the account statement within 5 working days from the receipt of such request without any charges.
- For a new STP / SWP to start it will take minimum 10 days.

- The unit holders can choose to opt out from the SIP/STP/SWP at any point of time by submitting a written request to the nearest designated Investor Service Center. Such request for discontinuation should be received at least 10 days prior to the next due date of the SIP/SWP/STP.
- Quantum AMC reserves the right to reject any application without assigning any reason thereof and the Trustee reserves the right to change/modify the terms and conditions of SIP/SWP/STP.
- For mandatory requirements like Bank account details, Know Your Customer (KYC) and required documents please refer KIM/SID.
- Dividend Transfer Plan is possible only from Quantum Liquid Fund - Monthly Dividend Payout Option to any other scheme.
- In case of an investor how was a minor, the minor on attaining majority has to submit all the necessary documents and complete all the formalities as mentioned in the SID to start or renew a SIP / STP / SWP.
- Installment options available under SIP/STP/SWP

		SIP					STP					SWP			
		Daily	Weekly	Fortnightly	Monthly	Quarterly	Daily	Weekly	Fortnightly	Monthly	Quarterly	Weekly	Fortnightly	Monthly	Quarterly
Quantum Long Term Equity Fund / Quantum Equity Fund Of Funds	Minimum SIP amount	₹ 100/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 100/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter
	Minimum SIP Installments	132	25	13	6	4	132	25	13	6	4	25	13	6	4
Quantum Tax Saving Fund	Minimum SIP amount	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter
	Minimum SIP Installments	132	25	13	6	4	132	25	13	6	4	25	13	6	4
Quantum Liquid Fund	Minimum SIP amount	-	-	-	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 100/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter
	Minimum SIP Installments	-	-	-	6	4	132	25	13	6	4	25	13	6	4

Note: Minimum Balance to Start the STP/SWP is ₹ 5000/-

## Systematic Investment Plan (PDC)

- Existing Unit holders in scheme(s) of Quantum Mutual Fund are required to submit only the Systematic Transaction Form. Existing unit holders should note that the unit holder's details & the mode of holding will be as per the existing account. New investors, who wish to enroll for SIP through Auto Debit/ECS, should fill the Common Application Form & ECS Mandate Form in addition to the Systematic Transaction Form.
- First SIP cheque could be submitted on any business day.
- Investors opting for PDC SIP investment can subscribe to this facility subject to the condition that each of the SIP installments including the initial cheque should be of the same amount and uniformly dated i.e. either 5<sup>th</sup>, 7<sup>th</sup>, 15<sup>th</sup>, 21<sup>st</sup>, 25<sup>th</sup> or 28<sup>th</sup> of any month.
- Returned/Dishonored cheque Rejects will not be presented again for collection. If the 1st installment cheque is dishonored, the SIP processing/ registration will be rejected (the remaining cheques would be returned).
- SIP enrollment will be discontinued in case the SIP installment is not honored consecutively for 2 SIP installments.
- Please note that Quantum Tax Saving Fund (QTSF) has a lock-in period for 3 years.
- Additional SIP: If an investor wants to enroll in another scheme & continue for the existing folio, then the investor has to submit a duly filled & signed Systematic Transaction Form along with fresh cheques (if investment is in the new scheme).
- Top-up: After completion of the tenure, in case the investor wants to continue his SIP investment for another tenure with the existing folio, then the investor has to submit a duly filled & signed Systematic Transaction Form.
- Pursuant to implementation of Know Your Customer (KYC) norms under Prevention of Money Laundering Act, 2001, with effect from January 1, 2011, it is mandatory for all applicants to attach the KYC acknowledgement letter for all applicants irrespective of the amount of investment in the Application Form/ Transaction Slip/ Form.
- The minimum number of installments for any frequency will include the first cheque only if the initial amount is the same as that of the remaining PDC SIP installments.

(SIP frequency for PDC SIP)

## Additional instructions for Micro Systematic Investment Plan (MSIP)

- Micro SIP (Systematic Investment Plan) upto ₹ 50,000 per year per investor shall be exempted from the requirement of PAN.
- In lieu of PAN card copy Investor (including joint holders) to provide the self attested valid Photo ID Proof. Please refer Point 6 below.
- All Micro SIPs where aggregate of installments in a financial year i.e. April to March does not exceed ₹ 50,000.
- This exemption will be applicable only for investments by individuals (including NRIs but not PIOs), Minors and Sole proprietary firms. HUFs and other categories will not be eligible for Micro SIPs. The exemption is applicable to joint holders also.

- While making additional/subsequent Micro SIP investment, investor can quote the existing folio number where a Micro SIP has been registered and therefore need not resubmit the supporting documents again.
- Photo identification documents to be submitted in case of Micro SIP Voter Identity Card, Driving License, Government / Defense identification card, Passport, Photo Ration Card, Photo Debit Card (Credit card will not be accepted), Employee ID cards issued by companies registered with Registrar of Companies, Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament, ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks, Senior Citizen / Freedom Fighter ID card issued by Government, Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI, Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL), Any other photo ID card issued by Central Government / State Governments /Municipal authorities / Government organizations like ESIC/EPFO.
- Proof of address copy: it is clarified that where photo identification documents contain the address of the investor, a separate proof of address is not required.

## Systematic Transfer Plan / Systematic Withdrawal Plan

- All valid requests for STP/SWP forms from one scheme to the other scheme would be treated as switch-out/redemption & switch-in/purchase at the applicable NAV of the respective scheme(s). The difference between the NAV of two schemes will be reflected in the number of units allotted.
- Minimum Investment Amount in Transfer Out scheme should be as per the respective SID/ Addendum.
- Units marked under Lien, Pledge or Lock-in Period in the Transfer Out scheme will not be eligible for STP/SWP.
- All valid applications will be processed as per the applicable uniform cut off timings on the working days as mentioned in the respective scheme SID.
- A Unit holder who has opted for STP/SWP under a specific Scheme can also redeem or switch his Units to any other eligible Scheme provided he has sufficient balance in his account on the date of such a request
- The Unit holder can make additional subscriptions in accordance to the terms and conditions of the Scheme Information Document (SID). Such additional subscription will alter the functioning of the STP/SWP.
- STP/SWP will be terminated automatically incase minimum STP/SWP installment amount is not available in the folio.
- In case of STP / SWP from Quantum Long Term Equity Fund before 2 years from the date of purchase of existing units, the NAV and load will be charged as applicable.
- STP and SWP for QTSF will be applicable after 3 years of lock-in period.

**Mutual Fund investments are subject to market risks. Please read Statement of Additional Information (SAI) and respective Scheme Information Document (SID) carefully for detailed risk factors and other information before investing.**



WEBSITE

[www.QuantumAMC.com](http://www.QuantumAMC.com)



EMAIL

[CustomerCare@QuantumAMC.com](mailto:CustomerCare@QuantumAMC.com)



TOLL FREE

1800 22 3863



PHONE

(022) 2282 9414



## C - DELETION OF BANK ACCOUNT(S)



Please delete the following Bank Account(s) as registered accounts for my/our folio(s) mentioned in the 1st page

Folio No.	Permanent Account Number (PAN)
Name of Sole / First Unit Holder	
FIRST NAME	
MIDDLE NAME	
LAST NAME	

Bank Name	Bank A/c No.
Bank Name	Bank A/c No.
Bank Name	Bank A/c No.
Bank Name	Bank A/c No.

Deletion of a default bank account is not permitted unless the investor mentions another registered bank account as a default account in Part A of this Form.

**SIGNATURES** for Part C (Mandatory) (To be signed as per mode of holding. In case of non-Individual Unit holders, to be signed by AUTHORISED SIGNATORIES)

**Declaration:**

I/we have read and understood the terms and conditions for registration of Bank Accounts and agree to abide by the same. I/we understand that my/our request will be executed only if it is filled properly with all details mentioned correctly and necessary documents are attached as applicable, failing which the request will be rejected. I/we would not hold Quantum Mutual Fund, the AMC / Trustee and the Registrar's liable for any loss due to delayed execution or rejection of the request.

Sole / First Applicant / Unit holder	Second Applicant / Unit holder	Third Applicant / Unit holder
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## INSTRUCTIONS AND TERMS AND CONDITIONS

- This facility allows a unit holder to register multiple bank account details for all investments held in the specified folio (existing or new) Individuals/ HuF/ Sole Proprietor Firm can register upto 5 different bank accounts for a folio by using this form. Non-individuals can register upto 10 different bank accounts for a folio. Any more Bank account/s, even if mentioned or provided will not be registered unless accompanied by deletion request to delete any existing bank accounts.
  - Unitholder(s) need to attach any one of the following mandatory documents in original, in respect of each bank account for registering the bank accounts, failing which the particular bank account will not be registered. This will help in verification and registration of the account details accurately.
    - Cancelled Cheque with name and account number pre-printed.
    - Bank Statement
    - Certified copy of the Pass Book

If photocopy of any document is submitted, the copy should be certified by the bank or investors must produce original for verification.

All documents submitted should clearly evidence the bank name, account number and name of all account holders.
  - Bank account registration/deletion request will be accepted and processed only if all the details are correctly filled and the necessary documents are submitted. The request is liable to be rejected if any information is incomplete or incorrectly filled or if there is deficiency in the documents submitted.
  - The first/sole unit holder in the folio should be one of the holders of the bank account being registered.
  - The investors can change the default bank account by submitting this form. In case multiple bank accounts are opted for registration as default bank account, the mutual fund retains the right to register any one of them as the default bank account.
  - A written confirmation of registration/addition/ change / deletion or inability to process the request will be dispatched to the unitholder within 10 business days of receipt of such request. The Account statement will reflect default bank mandate only and hence unitholder should preserve this letter for their reference.
  - If any of the registered bank accounts are closed/ altered, please intimate the AMC by using the designated application form only.
  - The Bank Account chosen as the primary/default bank account will be used for all Redemption payouts/ Dividend payouts. At anytime, investor can instruct the AMC to change the default bank account by choosing one of the additional accounts already registered with the AMC.
  - If request for redemption is received along with a change of bank account or before verification of the new bank account, the redemption request would be processed to the currently registered default (old) bank account. Consequent to introduction of "Multiple Bank Accounts Facility", the existing facility of redemption with change of bank mandate will not be applicable. A cooling-off period of 10 business days is required for registering the bank account and no redemption payouts will be made into such bank accounts during the cooling-off period.
- Quantum Mutual Fund will not be responsible for sending redemption proceeds without considering unregistered bank account mentioned in the redemption request.
- If an NRI in a folio, purchases investments vide SB or NRO bank account, the bank account types for redemption can be SB or NRO only. If the purchase investments are made vide NRE account(s), the bank accounts types for redemption can be SB/ NRO/ NRE.
  - The registered bank accounts will also be used to identify the pay-in proceeds. Hence, unit holder(s) are advised to register their various bank accounts in advance using this facility and ensure that payments for ongoing purchase transactions are from any of the registered bank accounts only, to avoid fraudulent transactions and potential rejections due to mismatch of pay-in bank details with the accounts registered in the folio.
  - Quantum Mutual Fund /AMC/ Trustee and its Registrar's shall not be held liable for any loss arising to the unit holder(s) due to the credit of redemption/ dividend proceeds into any other bank accounts registered in the folio.



## NOMINATION DETAILS / INSTRUCTIONS

As per SEBI regulations, you can assign one or more nominee(s) to the investment, to whom the amounts will be payable on death of the sole or all Unitholders as the case may be. This form is to be filled if you wish to nominate multiple nominees. If you are filling this at the time of opening a new folio with Quantum Mutual Fund, please submit this along with the Common Application Form. You may also use this for nominating multiple nominees subsequent to opening a folio, or to modify existing nomination(s)

- a) The nomination can be made only by individuals applying for / holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, Karta of HUF cannot nominate.
- b) A Unitholder can have a maximum of 3 nominees.
- c) A minor can be nominated and in that event, the name, address and signature of the guardian of such minor nominee shall be provided. Nomination may also be in favour of the Central Government, State Government, local authority, any person designated by virtue of his office or a religious or charitable trust.
- d) The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of HUF or a PoA holder. A non-resident Indian can be a Nominee, subject to the exchange control regulations in force, which may change from time to time.
- e) Nomination in respect of the units stands rescinded upon the transfer/transmission of units. Transmission of units in favour of a Nominee shall be valid discharge by the AMC against the legal heir.
- f) A Non-Resident Indian can be a nominee subject to the exchange control regulations in force, from time to time.
- g) The cancellation of nomination can be made only by Unitholders on their own behalf, singly or jointly, and who have made the original nomination. On cancellation of the nomination, the nomination shall stand rescinded and the AMC shall be under no obligation to transfer the units in the Nominee's favour.
- h) If the unitholders have not indicated the percentage of allocation for each nominee, the AMC shall settle the claim proportionately amongst the nominees on equal basis. However in case of 3 Nominee(s), the allocation by default will be 34%, 33% & 33% respectively for each Nominee in the sequential order if the investor/s has/have failed to furnish the ratios.
- i) PoA Holders cannot execute a nomination request, it has to be executed by the Applicant(s)/Unitholder(s).
- j) Submission of fresh Nomination form if found in order will tantamount to cancellation of existing Nomination.
- k) Nominee is registered/modified/changed only at folio level and not at Scheme/Option/Facility level.



# Minor Attaining Majority - Request Form to change Status

To

Quantum Mutual Fund

Folio No.:	
Investor Name:	

Investment was made in the above Folio when I was a minor and the same was represented by \_\_\_\_\_ <Guardian Name>. As I have completed 18 years of age as on \_\_\_\_\_ <Date>, I hereby request to update ,my status as Individual and remove the Guardian Name. Please also update the following details in your records for the above referred Folio.

	Investor Particulars		Bank Particulars
PAN*		Bank Name*	
Email ID*		Branch	
D. O. B		A/c Type - A/c No*	
Tax Status	<input type="checkbox"/> Residential <input type="checkbox"/> Non Residential (not a resident of USA and Canada)	Branch Address	
Mobile No.		Bank City	
Tel - Res		MICR Code (9 Digit)*	
Tel - Office		IFSC Code (11 Digit)*	

\* mandatory

Signature of First Holder (Major)	Guardian's Attestation	Bank Attestation
Name:	Registered Guardian's Name	Branch Seal with name, designation and employee number

### Documents attached:

- KYC Confirmation Letter / KYC acknowledgment copy along with PAN Card copy. [Mandatory]. Age Proof – Birth Certificate / PAN Card Copy / Driving License

Attach Any one of following:

- Cancelled Cheque with Name & Account number printed on it.
- Original Bank statement / Copy of the Bank Statement showing A/c holder Name and A/c No. duly attested by the relevant Bank Manager
- Copy of Pass book showing A/c holder Name and A/c No. duly attested by the relevant Bank Manager

Please note:

(You may produce the originals of the documents mentioned above, along with the photocopies, at the counter, we shall verify them and return the originals to you, or photocopies can be submitted attested by the Banker Manager, (name, designation, employee code, and seal should be affixed, clearly on the copy).

### ACKNOWLEDGEMENT

We acknowledge the receipt of the request for change of status from minor to major from Mr. / Ms. / M/s. \_\_\_\_\_ in Folio No. \_\_\_\_\_ with Quantum Mutual Fund.

Date of receipt at \_\_\_\_\_



SEAL

INVESTOR SERVICE CENTERS/ OFFICIAL POINT OF ACCEPTANCE

Quantum Asset Management Company Pvt. Ltd.

Mumbai: 505, Regent Chambers, 5th Floor, Nariman Point, Mumbai - 400021.  
 Chennai: Office No. 657, No. 10/11 Dr. Radhakrishnan Salai, Mylapore, Chennai - 600004.

Karvy Computershare Pvt. Ltd. Collection Centers

• **Agartala** - Jagannath Bari Road, Bidur Kottar Choumani, Agartala - 799001. • **Agra** - Deepak Wasan Plaza, Behind Holiday Inn, Opp Megdoot Furnitures, Sanjay Place, Agra - 282002 (U.P.).  
 • **Ahmedabad** - 201, Shaik Building, Opp : Madhusudan House, Nr. Navrangpura Telephone Exchange, Navrangpura, Ahmedabad - 380006. • **Ajmer** - 1-2, 1st Floor, Ajmer Tower, Kutchari Road, Ajmer - 305001. • **Akola** - Shop No-30, Ground Floor, Yamuna Tarang Complex, N.H. No.-06, Murtizapur Road, Akola - 444004. • **Aligarh** - 1st Floor, Kumar Plaza, Aligarh - 202001, Uttar Pradesh. • **Allahabad** - RSA Towers, 2nd Floor, Above Sony TV Showroom, 57, S P Marg, Civil Lines, Allahabad - 211001. • **Alleppey** - 2nd Floor, JP Towers, Near West of Zilla Court Bridge, Mullakkal, Alleppey - 688011. • **Alwar** - 101, 1st Floor, Saurabh Towers, Opp. UTI, Road No # 2, Bhagat Singh Circle, Alwar - 301001. • **Amaravathi** - Shop No. 13 & 27, First Floor, Gulshan Plaza, Raj Pet, Badnera Road, Amaravathi - 444605. • **Ambala** - 6349, Nicholson Road, Adjacent Kos Hospital, Ambala Cantt, Ambala - 133001. • **Amritsar** - 72-A, Taylor's Road, Agra Heritage Gandhi Ground, Amritsar - 143001. • **Anand** - F-6, Chitrangana Complex, Opp. Motikaka Chawl, VV Nagar, Anand - 388001. • **Anantihapur** - # 15-149, 1st Floor, S.R. Towers, Opp. Lalithakala Parishat, Subash Road, Anantapur - 515001. • **Angul** - Durga Shankar Road, Main Road, Infront of AXIS Bank, Angul - 759122. • **Ankleshwar** - Shop No. FF 4 & 5, Shree Narmada Arcade, Old N.H.No. 8, Opp. HDFC Bank, Ankleshwar - 393001. • **Asansol** - Hotel Ashok, 2nd Floor 18, G T Road, Asansol - 713301. • **Aurangabad** - Shop No: 214/215, Tapadiya City Centre, Nirala Bazar, Aurangabad - 431001. • **Azamgarh** - 1st Floor Alkal Building, Opp. Nagaripalika Civil Lines, Azamgarh - 276001. • **Balassore** - M S Das Street, Gopalgaon, Balassore - 756001. • **Bangalore** - No : 51/25, 1st Floor, Surya Building, Ratna Avenue, Richmond Road, Bangalore - 560025. • **Banars** - Ambika Market, Natunagar, Banars - 722001. • **Bareilly** - 1st Floor, 165, Civil Lines, Opp. Hotel Bareilly Palace, Near Rly Station Road, Bareilly - 243001. • **Banarnore (WB)** - Thakur Market Complex, 72, Naya Sarak Road, Gorabazar, PO. Berhampore, DIST. Murshidabad, Barhampore - 742101. • **Baroda** - SB-485, Mangaldeep Complex, Opposite Mesonic Hall, Productivity Road, Alkapuri, Vadodara - 390007. • **Begusarai** - Hotel Diamond Surbhi Complex, Near I.O.C Township Gate, Kapasiya Chowk, Begusarai - 811517. • **Belgaum** - CTS No 3939/A2 A1, Above Raymonds Show Room, Beside Harsha Appliances, Club Road, Belgaum - 590001. • **Bellary** - No. 1 Kbh Colony, Gandhinagar, Bellary - 583101. • **Berhampur (OR)** - Ramlingam Tank Road, Berhampur - 760002. • **Betul** - 107, Hotel Utkarsh, Awasthi Complex, JH College Road, Civil Lines, Beetul - 460001. • **Bhagalpur** - 2nd Floor, Chandralok Complex, Ghantaghar, Radha Rani Sinha Road, Bhagalpur - 812001. • **Bharuch** - 147-148, Aditya Complex, Near Kasak Circle, Bharuch - 392002. • **Bhatinda** - 2048, 1st Floor, Opp. Canara Bank, The Mall Road, Bhatinda - 151001. • **Bhavnagar** - Surabhi Mall, 301, 3rd Floor, Waghawadi Road, Bhavnagar - 364401. • **Bhilai** - Shop No-1, First Floor, Plot NO-1, Old Sada Office Block, Commercial Complex, Near HDFC ATM, Nehru Nagar, East, Post - BHILAI, Pin - 490020. • **Bhilwara** - 27-28, 1st Floor, Hira-Panna Complex, Pur Road, Bhilwara - 311001. • **Bhopal** - Kay Kay Business Centre, 133 Zone I MP Nagar, Bhopal - 462021. • **Bhubaneswar** - Plot No- 104/105(P), Jaydev Vihar, Besides Hotel Pal Heights, Bhubaneswar - 751013. • **Bikaner** - 2nd Floor, Plot No 70 & 71, Panchsathi Circle, Sardul Gunj Scheme, Bikaner - 334003. • **Bilaspur** - Shop No 201/202, V.R. Plaza, Link Road, Bilaspur - 495001. • **Bokaro** - B-1, 1st Floor, Near Sona Chandi Jewellers, City Centre, Sector - 4, Bokaro Steel City - 827004. • **Burdwan** - 63 G T Road, Birhata, Halder Complex, 1st Floor, Burdwan - 713101. • **Calicut** - Sowbhaga Shopping Complex, Areyadathupalam, Mavoor Road, Calicut - 673004. • **Chandigarh** - Sco-371-372, First Floor, Above HDFC Bank, Sector 35B, Chandigarh - 160022. • **Chandrapur** - Shop No.5, Office No. 2, 1st Floor, Routs Raghuvanshi Complex, Beside Azad Garden, Main Road, Chandrapur - 442402. • **Chennai** - Flat No F11, First Floor, Akshya Plaza, (Erstwhile Harris Road), Opp Chief City Metropolitan Court, # 108, Adhithanar Salai, Egmore, Chennai - 600022. • **Chinsura** - JC Ghosh Sarani, Near Bus Stand, Chinsura - 712101. • **Cochin** - Building Nos.39 All Arcade, 1st Floor, Near Atlantis Junction, Kizhavana Road, Panampilly Nagar, Cochin - 682036, Ernakulum District. • **Coimbatore** - 29/1, 2 ND Floor, Chinilhamani Nagar, Opp To Indian Overseas Bank, Nsr Road, Saibaba Colony. • **Coonoor** - 76 Cammella Terrace, Grays Hills, Coonoor - 643101. • **Cuttack** - Dargha Bazar, Opp. Dargha Bazar Police Station, Buxibazar, Cuttack - 753001. • **Darbhanga** - Jaya Complex, 2nd Floor, Above Furniture Planet, Donar Chowk, Darbhanga - 846003. • **Davangere** - # 15/9, Sobagu Complex, 1st Floor, 2nd Main Road, P J Extension, Davangere - 577002. • **Dehradun** - Kaulagarh Road, Near Sirmur Marg, Above Reliance Worldweld, Dehradun - 248001. • **Deoria** - 1st Floor, Shanti Niketan, Opp. Zila Panchayat, Civil Lines, Deoria - 274001. • **Dewas** - 27 Rmo House, Station Road, Dewas - 455001. • **Dhanbad** - 208, New Market, 2nd Floor, Katras Road, Bank More, Dhanbad - 826001. • **Dharwad** - G-7 & 8, Sri Banashankari Avenue, Ramnagar, Opp. NTTFPB Road, Dharwad - 580001. • **Dhule** - Ashoka Estate, Shop No. 14/A, Upper Ground Floor, Sakri Road, Opp. Santoshi Mata Mandir, Dhule - 424001. • **Dindigul** - No. 9, Old No.4/B, New Agraharam, Palani Road, Dindigul - 624001. • **Durgapur** - MWAV-16 Bengal Ambuja, 2nd Floor, City Centre, Durgapur - 713216. • **Eluru** - D-20:23B-5-93/1, Savitri Complex, Edaravari Street, Near Dr. Prabhavathi Hospital, R.R. Pet, Eluru - 534002. • **Erode** - No. 4, KMY Salai, Veerappan Traders Complex, Opp. Erode Bus Stand, Sathy Road, Erode - 638003. • **Faridabad** - A-2B, 1st Floor, Nehru Ground, Neelam Bata Road, NIT, Faridabad - 121001. • **Ferozpur** - 2nd Floor, Malwal Road, Opp. H.M. School, Ferozpur City - 152002. • **Gandhidham** - 104, Dhiraj Chambers, B/H Gandhidham Nagar Palika, Opp. Hotel Venus, Sector-9, Gandhidham - 370201. • **Gandhinagar** - 27, Suman Tower, Near Hotel Haveli, Sector No.11, Gandhinagar, Ahmedabad - 382011. • **Gaya** - 1st Floor Lal Bhawan, Tower Chowk, Near Kiran Cinema, Gaya - 823001. • **Ghaziabad** - 1st Floor, C-7, Lohia Nagar, Ghaziabad - 201001. • **Ghaziपुर** - Shubhra Hotel Complex, 2nd Floor, Mahabubgh, Ghaziपुर - 233001. • **Gonda** - Shree Market, Sahabgunj, Near Nuramal Mandir, Station Road Gonda, Gonda - 271001. • **Gondia** - Shop No. : 9, Raj Laxmi Complex, Rai Toly, Gondia - 441614. • **Gorakhpur** - Above V.I.P. House, Ajdant A.G. Girls Inter College, Bank Road, Gorakhpur - 273001. • **Gulbarga** - CTS No. 2913, First Floor, Asian Tower, Next To Hotel Aditya, Jagat Station Main Road, Gulbarga - 581505. • **Guntur** - Door No : 6- 10- 18, Sai House, 10 / 1, Arundelpet, Guntur - 522002. • **Gurgaon** - Shop No. 18, Ground Floor, Sector - 14, Opp. AKD Tower, Near Huda Office, Gurgaon - 122001. • **Guwahati** - 54 Sagarika Bhawan, R G Baruah Road, (AIDC Bus Stop), Guwahati - 781024. • **Gwalior** - Shindi Ki Chawani, Nadi Gate Pul, MLB Road, Gwalior - 474001. • **Hajipur** - Ramrajya Complex, Kacheri Road, Near Canara Bank, Hajipur - 844101. • **Haldwani** - Above Kapilaz Sweet House, Opp LIC Building, Pilikothi, Haldwani - 263139, Uttarakhand. • **Haridwar** - 8, Govind Puri, Opp. LIC - 2, Above Vijay Bank, Main Road, Ranipur More, Haridwar - 249401. • **Hassan** - St. Anthony's Complex, Ground Floor, H N Pure Road, Hassan - 573201. • **Hazratnagar** - C/O Hemlata Jain, Kalitari Road, Hazratnagar - 825301. • **Hissar** - Sector 71, 1st Floor, Red Square Market, Hissar - 125001. • **Hoshiarpur** - 1st Floor, The Mall Tower, Opp Kapila Hospital, Sutheri Road, Near Mahatma Palace, Hoshiarpur - 146001. • **Hubli** - 22 & 23, 3rd Floor, Eureka Junction, T B Road, Hubli - 580029. • **Hyderabad** - 8-2-596 Karvy Plaza, Avenue 4, Street No. 1, Banjara Hills, Hyderabad - 500 034. • **Indore** - G-7, Royal Ratan Building, M.G. Road, Opp. Kotak Mahindra Bank, Indore - 452010. • **Jabalpur** - 43, Naya Bazar, Opposite Shyam Talkies, Jabalpur (M.P.) 482001. • **Jaipur** - S-16 A, 3rd Floor, Land Mark, Opposite Jaipur Club, Mahavir Marg, C- Scheme, Jaipur - 302001. • **Jalandhar** - Lower Ground Floor, Office No: 3, Arora Prime Tower, Plot No : 28, G T Road, Jalandhar - 144004. • **Jalgaon** - 148 Navi Pet, Opp. Vijaya Bank, Near. Bharat Dudhohay, Jalgaon, Jalgaon - 425001. • **Jalpaiguri** - D.B.C. Road, Near Rupasree Cinema Hall, Beside Kalamandir, Po & Dist Jalpaiguri, Jalpaiguri - 735101. • **Jammu** - 29 D/C, Near Service Selection Commission Office, Gandhi Nagar, Jammu - 180004. • **Jamnagar** - 108 Madhav Plaza, Opp SBI Bank, Near Lal Bangalov, Jamnagar - 361001. • **Jamshedpur** - Kanchan Tower, 3rd Floor, Chhaganlal Dayalji @ Sons, 3-S B Shop Area, (Near Traffic Signal), Main Road, Bistupur, Jamshedpur - 831001. • **Jaunpur** - 119, R N Complex, 2nd Floor, Near Jay Ceej Crossing, Ummarpur, Jaunpur - 222002. • **Jhansi** - 371/01, Narayan Plaza, Gwalior Road, Near Jeevan Shah Chauraha, Jhansi - 284001. • **Jodhpur** - 203, Modi Arcade, Chupasnki Road, Jodhpur - 342001. • **Jorhat** - New Medical Store Complex, 3rd Floor, A T Road, Opp. Chowk Bazar, Jorhat - 785001. • **Junagadh** - 124/125, Punit Shopping Center, Ranavat Chowk, Junagadh, Gujarat - 362001. • **Kanchipuram** - New No. 357, Old No. 230, Gandhi Road, Next To IDBI Bank, Kanchipuram - 631511. • **Kannur** - 3rd Floor, Prabhat Complex, Fort Road, Kannur - 670001. • **Kanpur** - 15/46, Ground Floor, Opp. Muir Mills, Civil Lines, Kanpur - 208001. • **Karaiikudi** - Gopi Arcade, 100 Feet Road, Karaiikudi - 630001. • **Karimnagar** - H.No. 4-2-130/131, Above Union Bank, Jafri Road, Rajeev Chowk, Karimnagar - 505001. • **Karnal** - SCO 26, Kunjipura Road, Nehru Place, Karnal - 132001. • **Karur** - No. 6, Old No. 1304, Thiru-Vi Road, Near G. R. Kalyan Mahal, Karur - 639001. • **Keonjhar** - 2nd Floor, Maruti Arcade, Thanna Square, Keonjhar - 758001. • **Kharagpur** - Malancha Road, Beside UTI Bank, Kharagpur - 721304. • **Kolhapur** - 605/71/4 E Ward, Near Sultane Chambers, Shahupuri 2nd Lane, Kolhapur - 416001. • **Kolkata** - 166A, Rashbehari Avenue, 2nd Floor, Near Adi Dhakerhwari Bastralaya, OPP. Fortis Hospital, Kolkata - 700029, West Bengal. • **Kollam** - Ground Floor, Vigneshwara Bhavan, Below Reliance Web World, Kadappakkada, Kollam - 691008. • **Korba** - 1st Floor, 35 Indira Complex, T P Nagar, Korba (C.G.) - 496477. • **Kota** - H.No. 29, First Floor, Near Lala Lalpat Rai Circle, Shopping Centre, Kota - 324007. • **Kottayam** - 1st Floor, CSI Ascension Church Complex, Kottayam - 686001. • **Krishnagar** - 52 R N Tagore Road, High Street, Krishnagar Nadia, Nadia - 741101. • **Kurnool** - Shop No.43, 1st Floor, S V Complex, Railway Station Road, Kurnool - 518004. • **Lucknow** - Usha Sadan, 24, Prem Nagar, Ashok Marg, Lucknow - 226001. • **Ludhiana** - SCO - 136, First Floor, Above Airtel Show Room, Feroze Gandhi Market, Ludhiana - 141001. • **Madurai** - Rakesh Towers, 30-C, Bye Pass Road, 1st Floor, Opp Nagappa Motors, Madurai - 625010. • **Malappuram** - First Floor, Cholakkal Building, Near A U P School, Up Hill, Malappuram - 676505. • **Malda** - Sahistuli Under Ward, No-6, English Bazar Municipality, No-1 Govt Colony, Malda - 732101. • **Mangalore** - Ground Floor, Mahendra Arcade, Kodial Bai, Mangalore - 575003. • **Margao** - 2nd Floor, Dalal Commercial Complex, Opp. Hari Mandir, Pajifond, Margao-Goa - 403601. • **Mathura** - 3538-3540, Infront of BSA College, Gaushtala Road, Mathura - 281004. • **Meerut** - 1st Floor, Medi Centre Complex, Opp. ICICI Bank, Hapur Road, Meerut - 250002. • **Mehsana** - UI - 47, Appolo Enclave, Opp. Simandhar Temple, Modhera Char Rasta, Highway, Mehsana - 384002. • **Mirzapur** - Girja Sadan, Dankin Gunj, Mirzapur - 231001. • **Moga** - Near Dharmsalaha Brat Ghar, Civil Line, Duff Road, Moga - 142001. • **Moradabad** - Om Arcade, Parker Road, Above Syndicate Bank, Tari Khana Chowk, Moradabad - 244001. • **Morena** - Moti Palace, Near Ramjanki Mandir, Jiwaaji Ganj, Morena - 476001. • **Mumbai** - Das Chambers, Ground Floor, Opp. BSE & Next to Corporation Bank, Dalal Street, Fort, Mumbai - 400023. • **Muzaffarpur** - 1st Floor, Uma Market, Near Thana Gumti, Motiheel, Muzaffarpur, Bihar - 842001. • **Mysore** - L- 350, Silver Tower, Clock Tower, Ashoka Road, Mysore - 570001. • **Nadiad** - 105 GF, City Point, Near Paras Cinema, Nadiad - 387001. • **Nagarcoil** - 3A, South Car Street, Parfan Complex, Nr The Laxmi Villas Bank, Nagarcoil - 629001. • **Nagpur** - Plot No.2/1, House No. 102/1, Mangaldeep Apartment, Mata Mandir Road, Opp. Khandelwal Jewellers, Dharampet, Nagpur - 440010. • **Namakkal** - 105/2, Arun Towers, Paramathi Street, Namakkal - 637001. • **Nanded** - Shop No. 4, First Floor, Opp. Bank of India, Santikrupa Market, Gurudwara Road, Nanded - 431602. • **Nasik** - S-12, Second Floor, Suyojit Sankul, Shanarapur Road, Nasik - 422002. • **Navsari** - 1st Floor, Chinmay Arcade, Opp. Sattapur, Tower Road, Navsari - 396445. • **Nellore** - 207, 1st Floor, Kaizen Heights, 16/2/230, Sunday Market, Pogathota, Nellore - 524001. • **New Delhi** - 305, 3rd Floor, New Delhi House, Bara Khamba Road, Connaught Place, New Delhi - 110001. • **Nizamabad** - H.No. 4-9-55, 1st Floor, Appala Rameshwara Complex, Jawahar Road, Nizamabad - 503001. • **Noida** - 307, Jaipuria Plaza D-68 A, 2nd Floor, Opp. Delhi Public School, Sector 26, Noida - 201301. • **Palghat** - 12/3110, (No.28/21), Metro Complex, Head Post Office Road, Sultanpet, Palghat - 678001. • **Panipat** - 1st Floor, Krishna Tower, Near HDFC Bank, Opp. Railway Road, G T Road, Panipat - 132103. • **Panjim** - City Business Centre, Coelho Pereira Building, Room Nos. 18, 19 & 20, Dada Vaidya Road, Panjim - 403001. • **Panthalak** - I Floor, 9A, Improvement Trust Building, Near HDFC Bank, Patel Chowk, Panthalak - 145001. • **Patiala** - SCO 27 D, Chhoti Baradari, Patiala - 147001. • **Patina** - 3A, 3rd Floor, Anand Tower, Beside Chankya Cinema Hall, Exhibition Road, Patna - 800001. • **Pollachi** - S S Complex, New Scheme Road, Pollachi - 642002. • **Pondicherry** - First Floor, No.7, Thyagaraja Street, Pondicherry - 605001. • **Porbandar** - 3rd Floor, R D complex, Opp. Sahyog Hospital, M G Road, Porbandar - 360575. • **Pudukottai** - Sundaram Masilamani Towers, Ts No. 5476 - 5479, Pm Road, Old Tirumayam Salai, Near Anna Station, Jubilee Arts, Pudukottai - 622001. • **Pune** - Shop No. 16, 17 & 18, Ground Floor, Sreenath Plaza, Dyanshaw Paduka Chowk, F C Road, Pune - 411004. • **Puri** - Ground Floor, VIP Road, Near Pkda Office, P.S. Puri, Puri - 752001. • **Raipur** - Room No. TF 31, 3rd Floor, Millennium Plaza, Behind Indian Coffee House, G E Road, Raipur - 492 001. • **Rajahmundry** - Dr.No: 6-1-4, First Floor, Rangachary Street, Tnagar, Rajahmundry - 533101. • **Rajapalayam** - Professional Couriers Building, 40/C (1), Hospital Road, Rajapalayam - 626117. • **Rajkot** - 104, Siddhi Vinayak Complex, Dr. Yagnik Road, Opp Ramkrishna Ashram, Rajkot - 360001. • **Ranchi** - Commerce Towers, 3rd Floor, Room No. 307, Beside Mahatir Towers, Main Road, Ranchi - 834001. • **Ratlam** - 1 Nagpal Bhawan, Freeganj Road, Tobatti, Ratlam - 457001. • **Renukoot** - Shop No. 18, Near Complex Birla Market, Renukoot - 231217. • **Rewa** - 1st Floor, Angoori Building, Besides Allahabad Bank, Trans University Road, Civil Lines, Rewa - 485001. • **Rohtak** - 1st Floor, Ashoka Plaza, Delhi Road, Rohtak - 124001. • **Roorkee** - Shree Ashadeep Complex, 16 Civil Lines, Near Income Tax Office, Roorkee, Uttaranchal - 247667. • **Rourkela** - 1st Floor, Sandhu Complex, Kanchery Road, Uditi Nagar, Rourkela - 769012. • **Sagar** - Above Poshak garments, 5 Civil Lines, Opposite Income Tax Office, Sagar - 470002. • **Sahanpur** - 18 Mission Market, Court Road, Sahanpur - 247001, Uttar Pradesh. • **Salem** - Door No: 40, Brindavan Road, Near Perumal Koil, Fairlands, Salem - 636016. • **Sambalpur** - Quality Massion, 1st Floor, Above Bata Shop / Preeti Auto Combine, Nayapara, Sambalpur - 768001. • **Satna** - 1st Floor, Gopal Complex, Near Busstand Rewa Road, Satna (M.P.) - 485 001. • **Shaktinagar** - 1st/A-375, V V Colony, Dist. Sonbhadra, Shaktinagar - 231222. • **Shillong** - Mami Bhawan, Thana Road, Lower Police Bazar, Shillong - 793001. • **Shimla** - Triveni Building, By Pas Chowk, Khallini, Shimla - 171002. • **Shimoga** - Uday Ravi Complex, LLR Road, Durg Gudi, Shimoga - 577201. • **Shivpur** - 1st Floor, M.P.R.P. Building, Near Bank of India, Shivpur - 788001. • **Sikar** - 1st Floor, Super Towers, Behind Ram Mandir, Station Road, Sikar - 332001. • **Silchar** - 1st Floor, Chowdhara Complex, N N Ditta Road, Fremtala, Silchar - 788001. • **Siliguri** - Nanak Complex, Near Chhuchur Road, Sevoke Road, Siliguri - 734001. • **Sitapur** - 12/12-A Surya Complex, Arya Nagar, Opp. Mal Gadam, Sitapur - 261001. • **Sivakasi** - 363, Thiruthangal Road, Opp. Tneb, Sivakasi - 626123. • **Solan** - Sahni Bhawan, Adjacent Anand Cinema Complex, The Mall, Solan 173212. • **Solapur** - Siddeshwar Securities, No 6, Vaman Road, Vijayapur Road, Vaman Nagar, Solapur - 413004. • **Sonepat** - 205 R Model Town, Above Central Bank of India, Sonepat - 131001. • **Sri Ganganagar** - 35-E-Block, Opp. Sheeta Mata Vatika, Sri Ganga Nagar - 335001. • **Srikakulam** - 4-1-28/1, Venkateshwara Colony, Day & Night Junction, Srikakulam - 532001. • **Sultanpur** - Rama Shankar Complex, Civil Lines, Faizabad Road, Sultanpur - 228001. • **Surat** - G-6 Empire State Building, Near Parag House, Udhna Darwaja, Ring Road, Surat - 395002. • **Tezpur** - 1st Floor, Mayur Bhawan, Binraj Road, Tezpur - 784001. • **Thanjavur** - Nalliah Complex, No.70, Srinivasam Pillai Road, Thanjavur - 613001. • **Theni** - Siva Tower, 265, Subban Chetty Street, Cumbum Road, Theni - 625531. • **Thodupuzha** - First Floor, Pulimootil Pioneer, Pala Road, Thodupuzha - 685584. • **Tirunelveli** - Jeney Building, 55/18, S N Road, Near Arvind Eye Hospital, Tirunelveli - 627001. • **Tirupathi** - Plot No. 16 (South Part), First Floor, R C Road, Tirupathi - 517502. • **Tirupur** - First Floor, 224 A, Selvakumar Departmental Stores, 1st Floor, Kamaraj Road, Opp To Cotton Market Complex, Tirupur - 641604. • **Tiruvalla** - 2nd Floor, Eriinjey Complex, Near Kotak Securities, Ramanachira, Tiruvalla - 689107. • **Trichur** - 2nd Floor, Brother's Complex, Near Dhana Laxmi Bank Head Office, Naikkandal Junction, Trichur - 680001. • **Trichy** - Sri Krishna Arcade, 1st Floor, 60 Thennur High Road, Trichy - 620017. • **Trivandrum** - 2nd Floor, Akshaya Towers, Above Jetairways, Sasithamangalam, Trivandrum - 695010. • **Tuticorin** - 4 B, A34, A37, Mangalalm, Mani Nagar, Opp. Rajaji Park, Palayamkottai Road, Tuticorin - 628003. • **Udaipur** - 201-202, Madhav Chambers, Opp. G.P.O, Chetak Circle, Madhuban, Udaipur - 313001. • **Ujjain** - 101, Aastha Tower, 13/1, Dhanwantri Marg, Freet Gunj, Ujjain - 456010. • **Valsad** - Shop No 2, Phiroza Corner, Opp. Next Showroom, Tithal Road, Valsad - 396001. • **Vapi** - Shop No 5, Pikhajai Residency, Opp. DCB Bank, Vapi Silvasa Road, Vapi - 396195. • **Varanasi** - D-64/132, Ka 1st Floor, Anant Complex, Sigra, Varanasi - 221010. • **Vellore** - No. 1, M.N.R. Arcade, Officer's Line, Krishna Nagar, Vellore - 632001. • **Vijayawada** - 39-10-7, Opp. Muntazim Water Tank, Labbipet, Vijayawada - 520010. • **Visakhapatnam** - 47-14-5/1 Eswar Paradise, Dwaraka Nagar, Main Road, Visakhapatnam - 530016. • **Vijayanagar** - "Sudhagar", 19-6-13/1, 1st Floor, Near SBI Fort Branch, Visanagar, Visanagar - 535002. • **Warangal** - 5-6-95, 1st Floor, Opp. B.Ed Collage, Lashkar Bazar, Chandra Complex, Hanmakonda, Warangal - 506001. • **Yamuna Nagar** - Jagdhari Road, Above Uco Bank, Near D.A.V. Grils College, Yamuna Nagar - 135001.



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