

7. INVESTMENT DETAILS

(Refer note 7)

Cheque should be made in favour of "QUANTUM GOLD FUND"

Investment Amount				DD Charges (if applicable)				Net Amount (Cheque / DD Amount)			
Rs.				Rs.				Rs.			

Mode of Payment	Switch in / Cheque / Demand Draft / _____ (Strike off whichever is not applicable)	Instrument No.		Dated	D	D	M	M	Y	Y	Y	Y
	Bank	Branch		City								

Drawn on	
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Switch in option (only for existing investors)

Switch in from (Please ✓ the appropriate option)

- | | | | |
|---|------------------------------|---|---|
| <input type="radio"/> Quantum Long Term Equity Fund | <input type="radio"/> Growth | <input type="radio"/> Dividend Reinvestment | <input type="radio"/> Dividend Payout |
| <input type="radio"/> Quantum Liquid Fund | <input type="radio"/> Growth | <input type="radio"/> Daily Dividend Reinvestment | <input type="radio"/> Monthly Dividend Payout |

Type of Bank Account (Please ✓)

- | | | | | |
|------------------------------|--|---|--|--|
| Resident : | <input type="radio"/> Savings | | | |
| NRI : Non Repatriation basis | <input type="radio"/> NRE * | <input type="radio"/> NRO | <input type="radio"/> FCNR* | <input type="radio"/> Foreign Inward Remittance* |
| NRI : Repatriation basis | <input type="radio"/> NRE * | <input type="radio"/> FCNR* | <input type="radio"/> Foreign Inward Remittance* | |
| FII : | <input type="radio"/> Non Resident Rupee Account | <input type="radio"/> Foreign Inward Remittance | | |

8. DECLARATION AND SIGNATURES

(Refer note 8)

I / We have read and understood the Instruction, the Offer Document and the Key Information Memorandum of Quantum Gold Fund (Scheme). I / We hereby apply for allotment / purchase of Units in the Scheme indicated above and agree to abide by the terms and conditions applicable thereto. I / We hereby declare that I / We am / are authorised to make this investment in the abovementioned Scheme and that the amount invested in the Scheme is through legitimate sources only and legally belong to me / us and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulation, Notification or Directions issued by any regulatory authority in India from time to time.

I / We hereby confirm that I / We have applied / completed the KYC registration process with your appointed agency - CVL.

I / We hereby authorise Quantum Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my bank(s) and Quantum Mutual Fund's bank(s) or any other regulatory authority.

I / We authorise you to make available details of my investments / redemption in your scheme, in any form and any other relevant data to _____ to facilitate them to provide me value added information on my portfolio with your Fund.

I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We declare that the information given in this application form is correct, complete and truly stated.

* I/We confirm that I am /We are Non- Resident(s) of Indian Nationality/origin but not a person residing in Canada or a United States person within the meaning of Regulation(S) under the United States Securities Act of 1933, as amended from time to time and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE/FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/our NRE/FCNR Account.

I/We hereby declare that all the particulars given herein are true, correct and complete to the best of my/our knowledge and belief, I further agree not to hold Quantum Mutual Fund liable for any consequences in case of any of the above particulars being false, incorrect or incomplete. I hereby undertake to promptly inform the Quantum Mutual Fund of any changes to the information provided herein above and agree and accept that the Quantum Mutual Fund, their authorized agents & representatives are not liable or responsible for any loss, costs, damages arising out of any actions undertaken or activities performed by them on the basis of information provided by me as also due to not intimating/delay in intimating such changes.

I/We hereby authorize Quantum Mutual Fund to disclose, share, remit in any form, mode or manner all/any of the information provided by me to any Regulatory Authority (ies) including Financial Intelligence Unit, India (FIU-IND) including all changes, updates to such information as and when provided by me without any obligation of advising me/us of the same.

I/We hereby agree to provide any additional information or documents as may be required by the Quantum Mutual Fund or its agents in connection with this application.

SIGNATURE(S)

All Applicants MUST SIGN HERE (Please refer to the instructions) / checklist and ensure that all relevant sections are completed)

Dated : _____

x	x	x
Sole / 1st Applicant	2nd Applicant	3rd Applicant

9. LIST OF ATTACHMENTS (Please tick the documents attached alongwith the form

(Refer note 9)

Resolution / Authorisation to invest	<input type="checkbox"/> Overseas Auditor's Certificate	<input type="checkbox"/>	Total number of attachments
List of Authorised Signatories with Specimen Signature(s)	<input type="checkbox"/> Notarised Power of Attorney	<input type="checkbox"/>	
Memorandum / Articles of Association	<input type="checkbox"/> PAN Copy duly certified	<input type="checkbox"/>	To be filled in by applicant
Trust Deed	<input type="checkbox"/> Demat Account Details	<input type="checkbox"/>	
Bye - Laws	<input type="checkbox"/> KYC Acknowledgement Letter	<input type="checkbox"/>	Office to verify the said Nos.
Partnership Deed	<input type="checkbox"/>	<input type="checkbox"/>	

INVESTOR SERVICES CENTRES

QUANTUM ASSET MANAGEMENT COMPANY PRIVATE LIMITED

505, REGENT CHAMBERS, 5th FLOOR, NARIMAN POINT, MUMBAI - 400 021

Phone : 91-22-22875923 Fax : 91-22-22854318 Website : www.QuantumAMC.com

For Information - Email : info@QuantumAMC.com For Investor Grievances / Complaints - Email : investorrelations@QuantumAMC.com

If you have any queries with regard to completing this form, call Quantum, on 1800 - 22 - 3863 (toll-free) Lines are open from 9.30 A.M. to 5.30 P.M. on all business days

KEY INFORMATION MEMORANDUM

Quantum Gold Fund (An Open ended Exchange Traded Fund – Gold)

Continuous Offer of Units at NAV based Prices

NAME OF THE AMC: QUANTUM ASSET MANAGEMENT COMPANY PRIVATE LIMITED

NAME OF THE MUTUAL FUND: QUANTUM MUTUAL FUND

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centre or distributors or from the website www.QuantumAMC.com / www.QuantumMF.com

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

Investment Objective	The Investment Objective of the Scheme is to generate returns that are in line with the performance of gold and gold related instruments subject to tracking errors. However, investments in gold related instruments will be made if and when SEBI permits mutual funds to invest, in gold related instruments. The Scheme is designed to provide returns that before expenses, closely correspond to the returns provided by gold.		
Asset Allocation Pattern of the scheme	Types of Instruments	Normal Allocation (% of Net Assets)	
		Minimum Allocation (% of Net Assets)	Maximum Allocation (% of Net Assets)
	Physical Gold	90%	100%*
	Money Market instruments, Short-term Corporate debt securities, CBLO and units of Debt and Liquid Schemes of Mutual Funds	0%	10%
	*As the scheme invests 90% to 100% of the net assets into Gold, the scheme will, by and large, be passively managed fund. However, if and when permitted under SEBI regulations, the scheme may invest in gold related securities including derivatives. Note : Investment in warehouse receipts and other permitted instruments linked to Gold Prices and units of international gold linked ETFs would be made as and when, and to the extent permitted by regulatory authorities. The Scheme would invest in money market instruments, short-term corporate debt securities, including securitized debt, CBLO and units of debt and liquid schemes of mutual funds, in order to meet the liquidity requirements of the Scheme.		

Investment Strategy	The AMC uses 'passive' approach to try and achieve the Scheme's investment objective. The Scheme does not try to "beat" the markets they track and do not seek temporary defensive positions when markets decline or appear over valued. The AMC does not make any judgments about the investment merit of a particular gold investment nor will it attempt to apply any economic, financial or market analysis. Passive approach eliminates active management risks in regard to over / underperformance vis-à-vis the benchmark.								
Risk Profile of the Scheme	<p>Mutual Fund units involve investment risks including possible loss of principal. Please read the Scheme Information Document (SID) carefully for details on risk factors and other details before investment. Scheme specific Risk Factors are summarized below:</p> <p>The Scheme's NAV will react to the gold price movements. The investor may lose money over short or long period due to fluctuation in scheme's NAV in response to factors such as economic and political developments, changes in interest rates and perceived trends in bullion prices, market movement and over longer periods during market downturns.</p> <p>Any changes in trading regulations by the stock exchange (s) or SEBI may affect the ability of Authorised Participant to arbitrage resulting into wider premium/ discount to NAV. Any changes in the regulations relating to import and export of gold or gold jewellery (including customs duty, sales tax and any such other statutory levies) may affect the ability of the scheme to buy/sell gold against the purchase and redemption requests received.</p> <p>The units of the Scheme may trade above or below their NAV. The NAV of the Scheme will fluctuate with changes in the market value of Scheme's holdings. The trading prices of QGF units will fluctuate in accordance with changes in their NAV as well as market supply and demand for the units. However, given that QGF units can be created and redeemed in Creation Units Size directly with the Fund, it is expected that large discounts or premiums to the NAV of QGF units will not sustain due to arbitrage opportunity available.</p>								
Risk Management Strategies	<p>Risk is an important part of the investment functions. Effective Risk Management is critical to Fund Management for achieving financial goals. Investments made by the Scheme shall be made in accordance with Investment Objective of the Scheme and provisions of SEBI (Mutual Funds) Regulations.</p> <p>The Fund has identified following Risk and designed Risk Management Strategies which is the part of the Investment Process to manage such risks.</p> <table border="1" data-bbox="410 1381 1471 1902"> <thead> <tr> <th data-bbox="410 1381 911 1413">Type of Risks</th> <th data-bbox="919 1381 1471 1413">Risk Mitigation / Management Strategies</th> </tr> </thead> <tbody> <tr> <td data-bbox="410 1423 911 1535">Tracking Error Risk – Risk of deviating away from the investment objective of tracking gold prices</td> <td data-bbox="919 1423 1471 1535">The Fund follows a passive investment approach. The Fund does not try to time the market. It endeavors to stay near fully invested at all times.</td> </tr> <tr> <td data-bbox="410 1545 911 1782">Quality and Purity Risk – Risk of sub standard quality of gold</td> <td data-bbox="919 1545 1471 1782">Gold purchased by the Fund is 0.995 purity and above. Gold sourced shall be from an LBMA accredited refiner only. The Fund has a well defined and specific good delivery norms policy to be followed by the custodian for acceptance of Gold.</td> </tr> <tr> <td data-bbox="410 1793 911 1902">Custody risk– There is a risk that part or all of the scheme's gold could be lost, damaged or stolen.</td> <td data-bbox="919 1793 1471 1902">To ensure the highest safety Gold is stored with renowned vaulting agencies in their professional vaults. Gold held by the Fund is fully insured.</td> </tr> </tbody> </table>	Type of Risks	Risk Mitigation / Management Strategies	Tracking Error Risk – Risk of deviating away from the investment objective of tracking gold prices	The Fund follows a passive investment approach. The Fund does not try to time the market. It endeavors to stay near fully invested at all times.	Quality and Purity Risk – Risk of sub standard quality of gold	Gold purchased by the Fund is 0.995 purity and above. Gold sourced shall be from an LBMA accredited refiner only. The Fund has a well defined and specific good delivery norms policy to be followed by the custodian for acceptance of Gold.	Custody risk– There is a risk that part or all of the scheme's gold could be lost, damaged or stolen.	To ensure the highest safety Gold is stored with renowned vaulting agencies in their professional vaults. Gold held by the Fund is fully insured.
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Plans and Options	Plans: Nil. Options: The Scheme offers only one option - Growth Option	
Applicable NAV	<p>The Fund creates / redeems units of the Scheme in ' Creation Unit Size' which is exchanged for portfolio Deposit which would consist of physical Gold of defined purity and quantity and Cash Component. The fund declares the Portfolio Deposit or Cash Component on the website www.QuantumAMC.com/www.QuntumMF.com and the same would be applicable for creating and redeeming unit size for that Business Day.</p> <p>(A) Applicable NAV for Purchases in Creation in Unit Size:</p> <p>(i) In respect of valid application received from Authorised Participant / Large Investor along with the Portfolio Deposit and / or Cash Component, upto 3.00 p.m on a Business Day at the official point of acceptance, NAV of the day on which the application is received shall be applicable.</p> <p>(ii) In respect of valid application received for purchase in Creation Unit Size, from Authorised Participant / Large Investor along with the Portfolio Deposit and / or Cash Component after 3.00 p.m, on a Business Day at the official point of acceptance, the closing of NAV of the next Business Day on which the application is received shall be applicable.</p> <p>(B) Applicable NAV for Redemptions in Creation Unit Size :</p> <p>(iii) In respect of valid application received upto 3.00 p.m. on a Business Day at the official point of acceptance, NAV of the day on which the application is received shall be applicable.</p> <p>(iv) In respect of valid application received after 3.00 p.m. on a Business Day at the official point of acceptance, the closing NAV of the next Business Day on which the application is received shall be applicable</p>	
Minimum Application Amount/ Number of Units	<p>Directly with Fund: The investors can create / redeem in exchange of Portfolio Deposit and Cash Component in creation of unit size at NAV based Price.</p> <p>On the Exchange: Approx equal to price of ½ gram of Gold quoted on the NSE. On NSE, the units can be purchased /sold in minimum lot of 1 unit and in multiples thereof.</p>	
Despatch of Repurchase (Redemption) Request	<p>Within 10 working days of the receipt of the redemption request at the Official Point of Acceptance of the Quantum Mutual Fund.</p>	
Benchmark Index	<p>The Schemes performance will be benchmarked against Domestic Price of Gold.</p>	
Dividend Policy	<p>The Trustee proposes to follow the following dividend distribution policy:</p> <p>In terms of SEBI Circular No. 1/64057/06 dated April 4, 2006, the Trustees shall fix the quantum of dividend and the record date. The AMC shall, within one calendar day of the decision by the Trustee, issue notice to the public, communicating the decision, including the record date. The record date shall be 5 calendar days after the issue of notice and issued in accordance with the terms of the Circular. Declaration of dividend is subject to</p>	

the availability of distributable surplus. It must be distinctly understood that the actual declaration of dividends under the Scheme and the frequency thereof will, inter-alia, depend upon the distributable surplus of the Scheme. There is no assurance or guarantee to Unitholders as to the rate of dividend distribution nor will that dividend be regularly paid. The dividend that may be paid out of the net surplus of the Scheme will be paid only to those Unitholders whose names appear in the register of Unitholders on the notified record date. Unitholders are entitled to receive dividend within 30 days of the date of declaration of the dividend. However, the Mutual Fund will endeavour to make dividend payments sooner to Unitholders. On distribution of dividends, the NAV will stand reduced by the amount of dividend distributed and statutory levy, if any, at the close of business hours on record date.

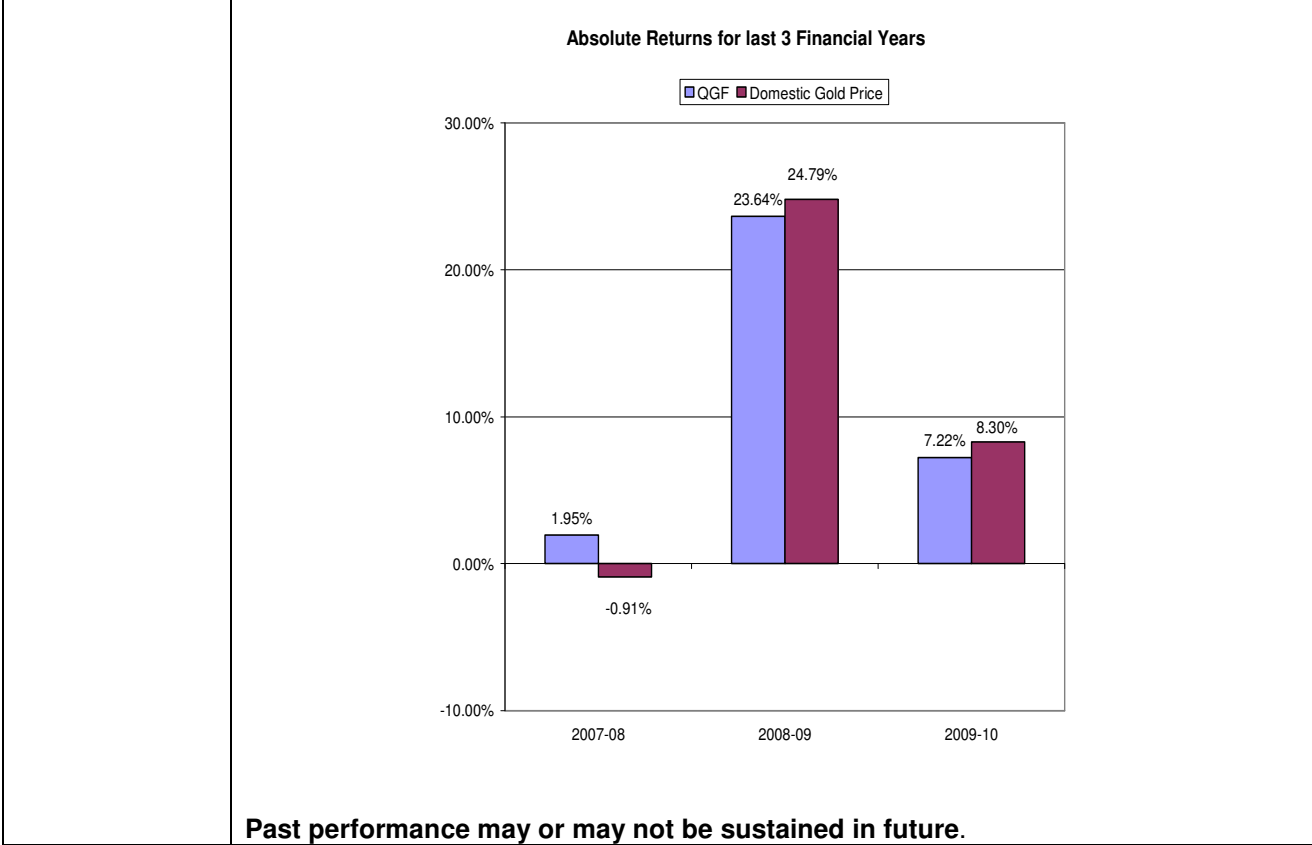
Name of the Fund Manager Mr. Chirag Mehta

Name of the Trustee Company Quantum Trustee Company Private Limited

Performance of the scheme : (as at October 31, 2010)	Compounded Annualized Returns	Scheme Returns - %	Benchmark Returns % #
	Returns for the last 1 year	20.45	21.70
	Since Inception	18.88	18.74

PAST PERFORMANCE MAY OR MAY NOT BE SUSTAINED IN FUTURE

Above returns as Compounded Annualized Returns.
Date of inception: February 22, 2008
Benchmark Index – Domestic Price of Gold



<p>Expenses of the Scheme</p> <p>(i) Load Structure</p> <p>(ii) Recurring expenses</p>	<p>Entry Load :Not Applicable</p> <p>In terms of SEBI circular no. SEBI/IMD/CIR No. 4/ 168230/09 dated June 30, 2009 has notified that, w.e.f. August 01, 2009 there will be no entry load charged to the schemes of the Mutual Fund and the upfront commission to distributors will be paid by the investor directly to the distributor, based on his assessment of various factors including the service rendered by the distributor.</p> <p>Quantum Mutual Fund does not charge Entry Load since inception.</p> <p>Exit Load :</p> <p>Repurchase/Redemption Load :</p> <ul style="list-style-type: none"> • Authorised Participant :NIL • Eligible Investor: 0.50%. <p>First Rs. 100 crores of the average daily net assets: 2.50% Next Rs. 300 crores of the average daily net assets: 2.25% Next Rs. 300 crores of the average daily net assets: 2.00% Balance: 1.75.</p> <p>Actual Expenses for the Previous Financial Year ended March 31, 2010: Rs. 1,195,877.90/- (1.00% p.a.)</p>	
<p>Waiver of Load for Direct Application</p>	<p>Not Applicable</p> <p>Pursuant to SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009 no entry load shall be charged for all mutual fund schemes. Therefore, the procedure for waiver of load for direct applications is no longer applicable.</p>	
<p>Tax treatment for the Investors (Unitholders)</p>	<p>Investors are advised to refer to the details in the Scheme Information Document and Statement of Additional Information and also independently refer to his/her/their tax advisor.</p>	
<p>Daily Net Asset Value (NAV) Publication</p>	<p>The NAV will be declared on all Business Days and will be published in 2 newspapers. NAV can also be viewed on www.QuantumAMC.com / www.QuantumMF.com and www.amfiindia.com</p> <p>Investors may obtain NAV information on any Working Day by calling the office of the AMC or any of the Investor Service Centres.</p>	
<p>For Investor Grievances please contact</p>	<p>Registrars & Transfer Agents Karvy Computershare Pvt Ltd Karvy Plaza, H. No. 8-2-596, Avenue 4 ,Street No. 1 Banjara Hills, Hyderabad- 500 034 Phone: (91) (040) 44677123 Fax: (91) (040) 23420802</p>	<p>Ms. Meera Shetty Investor Relations Officer Quantum Asset management Company Private Limited 505, Regent Chambers, 5th Floor Nariman Point, Mumbai – 400 021 Toll free no.: 1800-22-3863 Alternate no.: 022-2289414</p>

	Email:qmfprocessing@karvy.com	E-mail : customercare@QuantumAMC.com
Unitholders' Information	<p>Account Statement:</p> <ul style="list-style-type: none"> • An Account Statement reflecting the number of units allotted shall be dispatched to the Unitholders by ordinary post/courier/electronic mode (if so mandated) within 10 days from the date of acceptance of valid request. • As the units of the Schemes are in demat, investors would be provided with a statement of holding by his / her / their Depository Participants as per the rules of Depository. <p>Annual Account Statement:</p> <ul style="list-style-type: none"> • The Mutual Funds shall provide the Account Statement to the Unitholders who have not transacted during the last six months prior to the date of generation of account statements. The Account Statement shall reflect the latest closing balance and value of the Units prior to the date of generation of the account statement, The account statements in such cases may be generated and issued along with the Portfolio Statement or Annual Report of the Scheme. • Alternately, soft copy of the account statements shall be mailed to the investors' e-mail address, instead of physical statement, if so mandated. <p>Half yearly Disclosures: Portfolio / Financial Results</p> <p>Portfolio Disclosures:</p> <p>The Fund shall disclose the half-yearly scheme portfolios on its web site at www.QuantumAMC.com/www.QuantumMF.com and on AMFI web site (www.amfiindia.com) in the prescribed format before the expiry of one month from the close of each half-year.</p> <p>The Fund shall also before the expiry of one month from the close of each half year (i.e. as on 31st March and 30th September) send to the Unitholders a complete statement of scheme's portfolio or if such statement is not sent to the Unitholders, it will be published by way of an advertisement in one English daily circulating in the whole of India and in a newspaper published in the language of the region where the Head Office of the Mutual Fund is situated</p> <p>Half Yearly Results:</p> <p>The Fund shall before the expiry of 1 month from the close of each half year, (i.e.as on 31st March and 30th September), publish its Half Yearly Unaudited Financial Results in one English daily newspaper circulating in the whole of India and in a newspaper published in the language of the region where the Head Office of the Mutual Fund is situated and update the same on the AMC's website at www.QuantumAMC.com/www.QuantumMF.com and on AMFI's website at www.amfiindia.com, within 1 month from the close of each half year, in the formats as prescribed by SEBI.</p> <p>Annual Report:</p> <p>Scheme wise Annual Report or an abridged summary thereof shall be mailed to all</p>	

	unitholders within four months from the date of closure of the relevant accounts year (i.e. 31st March) each year.
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Dated: November 29, 2010

COMPARISON CHART

Name of the Scheme	Asset Allocation Pattern				Primary Investment Pattern	Differentiation	AUM Rs. in Crore (As on October 31, 2010)	No. of Folios (As on October 31, 2010)																				
Quantum Long Term Equity Fund	<table border="1"> <thead> <tr> <th data-bbox="284 428 479 548">Type of Instruments</th> <th data-bbox="483 428 646 548">Normal allocation (% of Net Assets)</th> <th data-bbox="651 428 813 548">Minimum allocation (% of Net Assets)</th> <th data-bbox="818 428 976 548">Maximum allocation (% of Net Assets)</th> </tr> </thead> <tbody> <tr> <td data-bbox="284 554 479 667">Listed Equity & Equity Related Securities of Companies</td> <td data-bbox="483 554 646 667">95% to 99%</td> <td data-bbox="651 554 813 667">65%</td> <td data-bbox="818 554 976 667">99%</td> </tr> <tr> <td data-bbox="284 674 479 808">Unlisted Equity & Equity Related Securities of Companies</td> <td data-bbox="483 674 646 808">0% to 3%</td> <td data-bbox="651 674 813 808">0%</td> <td data-bbox="818 674 976 808">5%</td> </tr> <tr> <td data-bbox="284 814 479 865">Money Market Instruments</td> <td data-bbox="483 814 646 865">1% to 5%</td> <td data-bbox="651 814 813 865">1%</td> <td data-bbox="818 814 976 865">35%</td> </tr> <tr> <td data-bbox="284 871 479 951">Liquid Schemes of Mutual Funds</td> <td data-bbox="483 871 646 951">0% to 5%</td> <td data-bbox="651 871 813 951">0%</td> <td data-bbox="818 871 976 951">5%</td> </tr> </tbody> </table>				Type of Instruments	Normal allocation (% of Net Assets)	Minimum allocation (% of Net Assets)	Maximum allocation (% of Net Assets)	Listed Equity & Equity Related Securities of Companies	95% to 99%	65%	99%	Unlisted Equity & Equity Related Securities of Companies	0% to 3%	0%	5%	Money Market Instruments	1% to 5%	1%	35%	Liquid Schemes of Mutual Funds	0% to 5%	0%	5%	To invest in shares of companies included in BSE-200 Index.	Open ended Equity Scheme	59.83	3,353
Type of Instruments	Normal allocation (% of Net Assets)	Minimum allocation (% of Net Assets)	Maximum allocation (% of Net Assets)																									
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Type of Instruments	Normal Allocation(% of Net Assets)															
	Minimum Allocation (% of Net Assets)	Maximum Allocation (% of Net Assets)														
Securities covered by the S & P CNX Nifty Index	90%	100%														
Money Market Instruments, other short term debt instruments as permitted under SEBI (Mutual Funds) Regulations, 1996 and Liquid Schemes of Mutual Funds	0%	10%														
Quantum Tax Saving Fund	<table border="1"> <thead> <tr> <th data-bbox="232 858 610 1022" rowspan="2">Type of Instruments</th> <th colspan="2" data-bbox="610 858 922 911">Normal Allocation (% of Net Assets)</th> </tr> <tr> <th data-bbox="610 911 768 1022">Minimum Allocation (% of Net Assets)</th> <th data-bbox="768 911 922 1022">Maximum Allocation (% of Net Assets)</th> </tr> </thead> <tbody> <tr> <td data-bbox="232 1022 610 1079">Equity and Equity-related Securities</td> <td data-bbox="610 1022 768 1079">80%</td> <td data-bbox="768 1022 922 1079">100%</td> </tr> <tr> <td data-bbox="232 1079 610 1136">Debt and Money Market Instruments</td> <td data-bbox="610 1079 768 1136">0%</td> <td data-bbox="768 1079 922 1136">20%</td> </tr> </tbody> </table>	Type of Instruments	Normal Allocation (% of Net Assets)		Minimum Allocation (% of Net Assets)	Maximum Allocation (% of Net Assets)	Equity and Equity-related Securities	80%	100%	Debt and Money Market Instruments	0%	20%	To invest in shares of companies included in BSE-200 Index.	Open ended Equity Linked Savings Scheme	2.40	596
Type of Instruments	Normal Allocation (% of Net Assets)															
	Minimum Allocation (% of Net Assets)	Maximum Allocation (% of Net Assets)														
Equity and Equity-related Securities	80%	100%														
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Quantum Equity Fund of Funds	<table border="1"> <thead> <tr> <th data-bbox="232 1224 610 1388" rowspan="2">Type of Instruments</th> <th colspan="2" data-bbox="610 1224 922 1276">Normal Allocation (% of Net Assets)</th> </tr> <tr> <th data-bbox="610 1276 768 1388">Minimum Allocation (% of Net Assets)</th> <th data-bbox="768 1276 922 1388">Maximum Allocation (% of Net Assets)</th> </tr> </thead> <tbody> <tr> <td data-bbox="232 1388 610 1472">Open-ended diversified equity schemes of mutual funds registered with SEBI.</td> <td data-bbox="610 1388 768 1472">90%</td> <td data-bbox="768 1388 922 1472">100%</td> </tr> <tr> <td data-bbox="232 1472 610 1503">Money Market Instruments</td> <td data-bbox="610 1472 768 1503">0%</td> <td data-bbox="768 1472 922 1503">10%</td> </tr> </tbody> </table>	Type of Instruments	Normal Allocation (% of Net Assets)		Minimum Allocation (% of Net Assets)	Maximum Allocation (% of Net Assets)	Open-ended diversified equity schemes of mutual funds registered with SEBI.	90%	100%	Money Market Instruments	0%	10%	To invest in a portfolio of open-ended diversified equity schemes of mutual funds registered with SEBI.	Open ended Equity Fund of Funds Scheme	1.83	226
Type of Instruments	Normal Allocation (% of Net Assets)															
	Minimum Allocation (% of Net Assets)	Maximum Allocation (% of Net Assets)														
Open-ended diversified equity schemes of mutual funds registered with SEBI.	90%	100%														
Money Market Instruments	0%	10%														

Dated : November 29,2010

INSTRUCTIONS FOR FILLING UP THE APPLICATION FORM

1. Sole/1st Applicant's Details

- The Applicant's name and address including the PIN Code Number must be given in full (P.O. Box No. alone is not sufficient.) Applications on behalf of minors should be signed by their guardian. Please write your name exactly as it appears in your PAN Card and Demat Account (as provided in item no. 3). In case of non-individual applicants, i.e. HUF/Companies/AOP/BOI/Trusts/Societies/FIIs etc., the name, address, Email-ID and telephone number of the contact person to whom the correspondence should be addressed to should be provided. In case the investor is a NRI/PIO/FII, an overseas address should also be provided, along with the local address. However, all correspondence shall be at the local address.
- PAN of Sole/First Applicant, Second Applicant and Third Applicant must be mentioned in all cases irrespective of the amount being invested and a self attested photo copy of the PAN card must be attached and the original provided for verification. Where original PAN Card is not provided for verification the copy of PAN Card must be certified as true by a Bank Manager or a Gazetted Officer, or an AMFI Registered Distributor or a Notary or any other Judicial Authority. Where the person making an application is a minor who does not have any income chargeable to income-tax, he shall quote the PAN of his/her father, mother or guardian, as the case may be. Any change in the status of the sole/1st applicant must be conveyed to the Fund in order to assist in accurate tax treatment of income arising from such investment. In the absence of the above PAN Copies the application shall be rejected.

2. Joint Applicant's Details

- "Single" holding will entitle only the first holder to operate the account to the exclusion of the joint holders. "Anyone or Survivor" will entitle any one of the joint holders to operate the account. "Jointly" will allow operation of the account only if all the holders sign the instruction. If the mode of holding is left blank or is not clear, it will by default be treated as "jointly".

3. Demat Account Details

- As the units of the Scheme will be issued in Demat form, applicants must ensure that the order of names mentioned in the Application Form should match exactly with the order of names in the Demat Account held with the Depository Participant. Client ID, Names, Address and PAN details, other KYC norms mentioned in the Application Form will be verified against the Depository data which will be downloaded from Depository master. Only those applications where the details are matched with the Depository data, will be treated as valid applications. If the details mentioned in the application are incomplete/ incorrect or do not match with the Depository data, the application shall be treated as invalid and shall be liable to be rejected.

4. Bank Account Details

- As per the SEBI guidelines, it is mandatory for investors to mention in the application form, the details of their bank account in which income and/or redemption proceeds are to be credited. In the absence of the bank details, the application form will be rejected. The Mutual Fund/Asset Management Company will not be responsible for any loss arising out of fraudulent encashment of cheques and delay/loss in transit.
- Direct Credit facility is available if the investor's bank is using the NEFT or RTGS platform of RBI. In case the investor wishes to avail of Direct Credit facility, he/she must specify the IFSC code in the space provided. However, if you wish to receive a cheque payout, please tick in the space provided.

5. KYC Compliance

- Presently, it is mandatory for all investors who wish to make an investment of 50,000/- or above to obtain a KYC acknowledgement letter from the specified Points of Service's (POS's) of CDSL Ventures Limited (Central Agency), who have been authorized by the mutual fund industry for this purpose. The applicant must attach the KYC Acknowledgement Letter of all the applicants (Guardian's letter in case the applicant is Minor), along with the application for subscription. The KYC Compliance will be verified with the records of the Central Agency before allotting units. Applications for subscriptions of value of Rs.50,000/- and above from applicant/s who are not KYC registered with CVL or have not submitted their KYC Application with CVL may be rejected. Also, applicants / unit holders intending to apply for units / currently holding units and operating their Mutual Fund folios through a Power of Attorney (PoA) must ensure that the issuer of the PoA and the holder of the PoA have attached the KYC Acknowledgement Letter at the time of investment above the threshold. PoA holders are not permitted to apply for a KYC registration on behalf of the issuer of the PoA. Please refer to our website www.QuantumAMC.com and AMFI's website www.amfiindia.com for the KYC compliance application form and POS's of the central agency where the completed forms and the relevant documents are to be submitted.

6. E-mail Communication

- Investor's requiring the Account Statements, Annual Reports, Newsletter, Fact Sheets to be sent to them through email need to indicate such option by ticking the relevant box provided in the application form.

7. Investment Details

- Presently the only Plan offered under QGF is the Growth Plan.
- Application should be for a minimum amount of Rs. 5,000/-. There is no maximum limit.
- Resident Investors
Payment should be made by a single Cheque/DD payable locally, in the city where the official points of acceptance at which the application is submitted, is located. The Cheque/DD should be crossed, marked "Account payee" and

drawn in favour of "Quantum Gold Fund". In case an applicant is located in a place where there is no designated collection centre, the completed application with a Bank Draft/Pay Order for the investment amount (net of Demand Draft charges) may be forwarded to the Quantum Asset Management Company Private Limited office in Mumbai. Application form along with cheque/DD/Pay order can be submitted at any of the official points of acceptance of Quantum Mutual Fund listed in the KIM.

No cash, money orders, outstation cheques, post dated cheques and postal orders will be accepted.

Bank charges for outstation demand drafts will be borne by the AMC and will be limited to the bank charges stipulated by the Indian Banks Association, if a demand draft issued by a bank in a place where there is no ISC/Collection Centre provided for the investors. In all other cases, the AMC will not accept any request for refund of demand draft charges. Please mention the PAN and name of the applicant(s) on the reverse of the cheque/demand draft tendered with the application

*** NRIs/FIIs**

Repatriation Basis - In the case of NRIs, payment may be made either by inward remittance through normal banking channels or out of funds held in their Non - Resident (External) Rupee Account (NRE)/Foreign Currency (Non-Resident) Account (FCNR). FIIs shall pay their subscription either by inward remittance through normal banking channels or out of funds held in Foreign Currency Account or Non-Resident Rupee Account maintained by the FII with a designated branch of an authorised dealer.

Non Repatriation Basis - In the case of NRIs, payment may be made either by inward remittance through normal banking channels or out of funds held in their NRE/FCNR/Non-Resident Ordinary Rupee Account (NRO)/Non-Resident (Special) Rupee Account (NRRS). Please indicate by ticking the relevant account from which payment is being made.

8. Declaration & Signatures

- Signatures should be in English or in any other Indian language. Thumb impressions must be attested by a Judicial Magistrate/Notary Public under his/her official seal.
- In case the application is made under a Power of Attorney (POA), the original registered Power of Attorney or a certified copy of the POA, duly notarised should be submitted with the application. The POA document should contain the signatures of both the Applicant & the constituted Attorney. If the Power of Attorney is not received along with the application, the application is liable to be rejected.
- In case of HUF, the Karta should sign on behalf of the HUF. Authorised signatories, signing on behalf of a Co./Body Corp./Society/Trust etc., should sign under their official designation and stamp and a list of Authorised Signatories with their names & designations duly certified/attested by the bankers should be attached with the application form.

9. List of Attachments

- You are requested to enclose the relevant documents as listed in the check list of accompanying documents given below depending on the applicant's status and tick in the box against the document being attached.

10. Nomination Details

Since the units will be issued in electronic form, in the Depository account of the unitholders, the nomination details provided by the unit holders to the Depository will be applicable to the units of the Scheme.

11. General Instructions

- Please read the Key Information Memorandum and the Offer Document carefully before investing. All applicants are deemed to have read, understood and accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the Application Form and tendering payment.
- The application form must be filled legibly in English in BLOCK letters in black or any dark colored ink.
- Applicants should countersign wherever corrections or over writings are made on the application or cheque.
- All applications are accepted subject to detailed scrutiny and verification. Applications which are not complete in all respects are liable for rejection, either at the collection point itself or subsequently after detailed scrutiny/verification at the back office of the registrars.
- Application made by a Limited Company or a Body Corporate or a registered Society or a Trust, should be accompanied by a copy of the relevant resolution or authority to make the application, as the case may be, alongwith a certified copy of the Memorandum and Articles of Association/Trust Deed/Bye Laws/Partnership Deed, whichever is applicable
- All communications and payments will be made to the sole/first applicant or to the Karta in case of HUF.
- While processing the redemption/switch out request in case the AMC/Registrar come across a signature mismatch, then the AMC/Registrar reserves the right to process the redemption only on the basis of supporting documents confirming the identity of the investors. List of such documents would be notified by AMC from time to time on its website.
- In case of non-receipt of account statement within 30 days of submission of the application forms, please contact the Investor Service Centre listed in the KIM. You could also send an email to investorrelations@QuantumAMC.com
- It is expressly understood that the investor/Unitholder has the express authority from the relevant Constitution to invest in the Units of the Fund and the AMC/ Trustees/Fund would not be responsible if the investment is ultra vires the relevant Constitution, such as the Memorandum & Articles of Association and/or Bye laws and/or Trust Deed and/or Partnership Deed and Certificate of Registration.

CHECKLIST

Documents	Companies	Trusts	Societies	Partnership Firms	FIS	Investments through Constituted Attorney
1. Resolution / Authorisation to invest	✓	✓	✓	✓	✓	
2. List of Authorised Signatories with Specimen Signature(s)	✓	✓	✓	✓	✓	✓
3. Memorandum & Articles of Association	✓					
4. Trust Deed		✓				
5. Bye-Laws			✓			
6. Partnership Deed				✓		
7. Overseas Auditor's Certificate					✓	
8. Notarised Power of Attorney						✓
9. For all applications - PAN	✓	✓	✓	✓	✓	✓
10.KYC Acknowledgement Letter if investments are Rs. 50,000/- or above	✓	✓	✓	✓	✓	✓
All documents above should be originals / true copies certified by the Director / trustee / Company Secretary / Authorised Signatory / Notary Public. PAN copy must be certified by a Bank Manager, AMFI Registered Distributor, Gazetted Officer, Notary or any other Judicial authority.						

- Please ensure that:
- Your Application Form is complete in all respect. Name, Address & contact details are mentioned in full, signed by all applicants.
 - Demat Account Details for all applicants are filled in correctly and completely.
 - Bank Account Details are entered completely and correctly.
 - Certified Permanent Account Number (PAN) copies of all Applicants are attached.
 - The Cheque / DD is drawn in the correct name as mentioned in instruction no. 7 above & duly signed.
 - PAN is mentioned on the reverse of the Cheque / DD.
 - Documents as listed alongside are submitted along with the Application (as applicable to your specific case).
 - Your investment is not less than the minimum investment amount.
 - In case amount invested is more than Rs. 50,000/- KYC Acknowledgement Letter is attached.

OFFICIAL POINT OF ACCEPTANCE / INVESTOR SERVICE CENTRE

Quantum Asset Management Company Private Limited

505, Regent Chambers, 5th Floor, Nariman Point, Mumbai – 400 021.

Tel: 022 - 61447800

Toll Free No. : 1800 22 3863

Website : www.QuantumAMC.com / www.QuantumMF.com

Email for information : info@QuantumAMC.Com

Email for Investor Grievances / Complaints : investorrelations@QuantumAMC.Com