

## **1. GENERAL**

The application should be completed in ENGLISH in BLOCK LETTERS only. Please tick the appropriate box where boxes have been provided. Please refer to the Key Information Memorandum and Offer Document carefully before filling in the application form. All applicants are deemed to have accepted the terms subject to which the offer is being made and bind themselves to the terms upon signing the application form. The Creation/redemption process is restricted to Authorised Participants (AP) and Eligible investors. Eligible investors are investors who subscribe or redeem in creation unit size as determined by the Fund from time-to-time. Presently the creation unit size is 2,000 units. Prior to first creation request, such investors are required to open an account with the AMC by submitting all relevant constitutional documents, identification details, bank account details, demat account details, PAN details, including certified copy of PAN card, KYC Acknowledgement Letter, list of authorized signatories who are authorized to accept/give delivery of gold etc. Any application submitted before confirmation from the AMC that the account has been opened is liable to be rejected.

## **2. KYC Compliance Letter**

Presently, it is mandatory for all investors making investments of Rs.50,000/- and above in Mutual Fund units to complete KYC formalities and obtain a KYC acknowledgement letter from the specified Points of Service's (POS's) of CDSL Ventures Limited (Central Agency), who have been authorized by the mutual fund industry for this purpose. You must attach the KYC Acknowledgement Letter obtained by you (of all the applicants in case of joint applicants, Guardian's letter in case the applicant is Minor), along with the application for subscription of QGF units.

Applications for subscriptions of value of Rs.50,000/- and above which are not accompanied by the KYC acknowledgement letter will be rejected. Also, applicants / unit holders intending to apply for units / currently holding units and operating their Mutual Fund folios through a Power of Attorney (PoA) must ensure that the issuer of the PoA and the holder of the PoA must attach the KYC Acknowledgement Letter at the time of investment above the threshold. PoA holders are not permitted to apply for a KYC registration on behalf of the issuer of the PoA.

Please refer to our website [www.QuantumAMC.com](http://www.QuantumAMC.com) and AMFI' website [www.amfiindia.com](http://www.amfiindia.com) for the KYC compliance application form and POS's of the central agency where the completed forms and the relevant documents are to be submitted. In case you have already completed the earlier KYC (MIN) procedure with CVL and had while doing so, submitted certified copy of your PAN, kindly attach a copy of the MIN letter. If you had obtained MIN without submitting PAN copy but with other proof of identity document, please submit attested copy of PAN card to CVL for KYC compliance.

## **3. MINIMUM APPLICATION SIZE**

Application should be for a minimum of 1 creation unit and in multiples of 1 creation unit thereafter.

Presently 1 creation unit is equal to 2,000 QGF units.



counterparty and have not left the physical custody of vaulting agent at any time after import. Certified Vault agents would be Brinks Arya Pvt. Ltd..

- vii. Original VAT invoice. The rate of gold indicated therein would be the same as defined by the AMC in the portfolio deposit. In case the AP/Eligible Investor does not have a VAT registration, the purchase tax, if any, paid by the Fund on receipt of Gold from the AP/Eligible Investor, will be recovered from the AP/Eligible investor.

The above norms are indicative and the AMC reserves the right to alter these without giving any notice. The AP / Eligible Investor may verify from the Custodian the good / bad delivery norms before delivery of gold.

The gold delivered will be verified by the Custodian or its authorized Vaulting Agents for compliance with good / bad delivery norms. The Custodian will have a right to reject any delivery as bad and the decision of the Custodian in this regard will be final. Where the delivery is rejected by the Custodian on account of not meeting 'good delivery norms', it shall be the responsibility of the AP / Eligible Investor to collect the gold from the premises of Custodian / authorized Vaulting Agent.

The AMC will on confirmation from the Custodian that the gold submitted meets the good delivery norms, instruct the Registrar to upload the units in the demat account of the investor.

## 7. CASH COMPONENT

In addition, to the delivery of gold, the AP / Eligible Investor has to furnish a cheque towards payment of the cash component or credit the cash component to the account of the Fund. The amount may be credited to such a Bank Account of the Fund as may be intimated by the AMC from time to time. Where any cash component is payable by the Fund, the AMC will arrange to transfer the same to the account of the investor as per his bank mandate given to the Fund.

## 8. REDEMPTION

Where an application for redemption is submitted by the AP / Eligible Investor before 3:00 pm on any business day, the applicant has to simultaneously ensure that the units underlying the redemption request are transferred, before the above cut-off time, to the following account: Redemption request must be accompanied by the DP transfer/instruction slip.

Depository Name	CDSL
DP ID	16013900
DP Name	Deutsche Bank
Client ID	00008821
Name of the Account	Quantum Gold Fund – Redemption Account

Where units are not received by the Registrar by end of day, the application is liable to be rejected.

In case of redemption, the investor will have to take delivery of the Gold from the Custodian's authorized vault agent's location/premises as decided by the Fund and intimated to the AP/Eligible investor at his own cost, on production of valid identity proof in original such as passport copy, PAN copy etc.

AP / Eligible Investors are requested to verify the Gold alongwith the relevant documents at the time of accepting the same from the Custodian / Custodian's authorized Vault Agent. AMC / Fund / Custodian shall have no responsibility for the Gold once AP / Eligible investor has taken delivery of the same as indicated above.

## **9. DELIVERY OF GOLD**

All deliveries, in case of subscription or redemption, shall be in Mumbai only. In case of subscription, it shall be the responsibility of the AP / Eligible Investor to deliver the Gold to any of the Custodian's authorized vaulting agents. Similarly, in case of redemption the AMC will deliver the Gold to the AP / Eligible Investor at the premises of the Custodian's authorized vaulting agent. Deutsche Bank AG, the Fund's Custodian, has appointed Brinks Arya India Private Limited as their vaulting agents. Their contact details are as under:

### **Brinks Arya India Private Limited (Brinks)**

**G-9, Diamond Plaza, Lamington Road, Mumbai – 400 004**

<b>Contact Person for Custody</b>	<b>Tel No. &amp; e-mail address</b>	<b>Fax No.</b>
Kaivan P. Jalnawalla	91-22-66580455 kaivan@brinksarya.com	91-22-66580454

Brinks will accept / give deliveries of gold on all working days between 9:00 am to 5:00 pm.